

# Life Cycle Inventories of Photovoltaics

Version: 2012

Carried out by

Niels Jungbluth, Matthias Stucki, Karin Flury,  
Rolf Frischknecht, Sybille Büsser

**ESU-services Ltd.**

On behalf of the

**Swiss Federal Office of Energy SFOE**

Uster, September 2012

Report

ESU-services Ltd.  
Rolf Frischknecht  
Niels Jungbluth  
Sybille Büsser  
Karin Flury  
René Itten  
Salome Schori  
Matthias Stucki  
www.esu-services.ch

Kanzleistrasse 4  
T +41 44 940 61 91  
T +41 44 940 61 32  
T +41 44 940 61 35  
T +41 44 940 61 02  
T +41 44 940 61 38  
T +41 44 940 61 35  
T +41 44 940 67 94  
F +41 44 940 61 94

CH - 8610 Uster  
frischknecht@esu-services.ch  
jungbluth@esu-services.ch  
buesser@esu-services.ch  
flury@esu-services.ch  
itten@esu-services.ch  
schori@esu-services.ch  
stucki@esu-services.ch

## Imprint

Title	Life Cycle Inventories of Photovoltaics
Authors	Niels Jungbluth, Matthias Stucki, Karin Flury, Rolf Frischknecht, Sybille Büsser ESU-services Ltd., fair consulting in sustainability Kanzleistr. 4, CH-8610 Uster <a href="http://www.esu-services.ch">www.esu-services.ch</a> Phone +41 44 940 61 91, Fax +41 44 940 61 94 email: <a href="mailto:frischknecht@esu-services.ch">frischknecht@esu-services.ch</a>
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Version	174-LCI-Photovoltaics-2012_v1.1, 05/09/2012 11.23.00

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## Abstract

*Solar* radiation is a source for renewable energy. One possible use is the production of electricity in photovoltaic appliances (PV). The aim of this report is to provide up-to-date data about the environmental impacts of electricity production with photovoltaic appliances in Switzerland, Europe and selected OECD countries. The life cycle inventory data of photovoltaics are being updated with financial contribution of the Swiss Federal Office of Energy.

Data were collected in this project directly from manufacturers and were provided by other research projects. Life cycle assessment (LCA) studies from different authors are considered in the assessment. The information is used to elaborate life cycle inventories from cradle to grave of the PV-electricity production in 3kW<sub>p</sub> plants and in Swiss, Spanish, German and US-American large scale PV power plants (open ground and mounted) in the year 2009 (kW<sub>p</sub> - kilowatt peak). The life cycle inventories cover single- and multicrystalline cells, ribbon-silicon, amorphous-silicon, CdTe and CIS thin film cells. Environmental impacts due to the infrastructure required in each of the production stages are also included in the inventories.

## Preface for the 2012 update

Within the 2012 update, the electricity mix of solar grade silicon, as well as wafer thickness and kerf loss were updated. New inventory data of the production of CdTe modules in Germany, the United States, and Malaysia were obtained from First Solar. The module efficiencies of the different photovoltaic technologies were updated, which results in a lower input of modules per kW<sub>p</sub> of photovoltaic installation.

Since China has become the largest producer of photovoltaic modules, a production chain of crystalline silicon based modules in China was modelled. Datasets of modules at regional storages in Europe cover modules produced in Europe as well as imports from China; or in the case of CdTe modules imports from the US and Malaysia.

## Acknowledgements 2012

The research work on photovoltaics within the 2012 project was financed by the Swiss Federal Office of Energy. This contribution is highly acknowledged. Thanks go to the colleagues from the Paul Scherrer Institute, Villigen and from ESU-services Ltd., Uster for their collaboration during the revision of the data.

We thank Parikhit Sinha from First Solar for providing detailed inventory data of the CdTe module production in their facilities. Thomas Hostettler provided us with useful advice of current developments in the photovoltaic market. We thank him for this valuable support.

## Preface for the update in the frame of ecoinvent data v2.2+

Within the update in the frame of ecoinvent data v2.2+, new datasets of the installation of large power plants erected in Switzerland, Germany, Spain and the US were established as well as datasets of the electricity production therewith. These datasets cover real operating power plants with a capacity in the range of between 93 kW<sub>p</sub> and 3.5 MW<sub>p</sub>. Both, open ground installations and power plants mounted on buildings are taken into account.

In addition to the existing datasets of mounting systems for photovoltaic power plants that are mounted on buildings, a new dataset of an average ground mounting system is established. This system is fixed with metal profiles that are piled into the ground. The dataset also includes the materials of a fence which is typically set up around the ground mounted photovoltaic power plant.

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The national electricity mixes are updated and completed with the new datasets of large photovoltaic power plants and new national and international statistical data about the current (2008) market situation of photovoltaic electricity.

Furthermore, the efficiency of the CdTe modules is updated as well as the electricity consumption in the production of these modules in Germany.

For the following chemicals and materials used in photovoltaics, new life cycle inventory datasets are created: ethylen-tetrafluorethylen (ETFE), polyvinylalcohol (PVA), polyvinylbutyralfoil, silicon tetrahydride, and nitrogen trifluoride.

## **Acknowledgements ecoinvent v2.2+**

The research work on photovoltaics within the ecoinvent v2.2+ project was financed by the Swiss Federal Office of Energy. This contribution is highly acknowledged. Thanks go to the colleagues from the Paul Scherrer Institute, Villigen and from ESU-services Ltd., Uster for their collaboration during the revision of the data v2.2+.

We thank the SolarSpar GmbH, the Edison Power GmbH Europe, Thomas Hostettler, and Vasilis M. Fthenakis who helped us with data of large photovoltaic power plants.

Mariska de Wild-Scholten and the Kuraray GmbH Europe provided useful information about the production of Ethylen-Tetrafluorethylen, Polyvinylalcohol, Polyvinylbutyralfoil, Silicon Tetrahydride, and Nitrogen trifluoride. We thank them for this valuable support.

## **Preface for the update in the frame of ecoinvent data v2.1**

Within the update in the frame of ecoinvent data v2.1, changes mainly in two fields were adopted; the CdTe photovoltaic datasets and the mounting structures were updated.

Since the CdTe modules are only produced without framing materials, the corresponding datasets are newly referred to as CdTe laminates instead of CdTe panels as before. In addition, the CdTe laminate producer and the market shares of different production sites were updated. Furthermore, the Cadmium emission factor in semiconductor grade Cadmium production was adjusted (reduced by a factor of about 20).

The average weight of different mounting systems was calculated with data from the latest market survey (Siemer 2008), whereas each product on the market was weighted by its installed capacity in Europe. From the updated weight figures, correction factors were identified in order to adjust old data on material quantities to the current market situation.

## **Preface for the update in the frame of ecoinvent data v2.0**

In the past years the PV sector developed rapidly. Ongoing projects such as *CrystalClear*<sup>1</sup> have investigated the up-to-date life cycle inventory data of the multi- and singlecrystalline technologies (de Wild-Scholten & Alsema 2005). Updated LCI data of single- and multicrystalline PV technologies were investigated within the framework of the CrystalClear project based on questionnaires sent to different involved industries. The data investigated with 11 European and US photovoltaic companies for the reference year 2005 are now implemented in the ecoinvent database and documented according to the ecoinvent requirements. The following unit process raw data are investigated and updated:

- multicrystalline SoG-silicon, Siemens process (new solar-grade process)
- multicrystalline-Si wafer (mc-Si or multi-Si)

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<sup>1</sup> See [www.ipcrystalclear.info](http://www.ipcrystalclear.info) for detailed information.

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- singlecrystalline-Si wafer (sc-Si or single-Si)
  - ribbon Si wafer (so far not covered by ecoinvent data v1.3)
  - ribbon-, multi- or single-Si cell (156 mm x156 mm)
  - modules, ribbon-Si (new) and other module types
  - silica carbide (SiC)
  - PV-electricity mix Switzerland and in other countries
  - recycling of sawing slurry and provision of SiC and glycol
  - front metallization paste and back side metallization paste of solar cells
  - inverter including electronic components<sup>2</sup>

The naming convention for crystalline cells has been updated according to the today usage. Instead of mono crystalline cells we speak now of single crystalline silicon (sc-Si) cells. Instead of polycrystalline we use multicrystalline silicon (mc-Si).

New technologies like thin film cells like CIS or CdTe are entering the market. For the first time also thin film photovoltaics (CIS, CdTe and amorphous silicon) are investigated for the ecoinvent data based on literature information.

The yield per kW<sub>p</sub> is one important factor for the comparison of PV with other types of electricity production. For ecoinvent data v1.3 only the situation in Switzerland has been investigated. Now we investigate the electricity mixes for several European countries with the specific yields for each country. Also non-European countries (e.g. from Asia, Australia and North-America) are considered for this calculation. It should be noted that different electricity/energy mixes for the manufacturing upstream chain have not been modelled in any case. The extrapolation to non-European countries has been made basically only using specific average country-wide electricity yields at the power plant.

So far not many experiences exist concerning the end-of-life treatment of PV plants. The modelling is based on today expectations and not on real experiences.

The whole report has been translated to English in order to facilitate the discussion about the PV life cycle inventory data e.g. within the framework of planned IEA-PVPS activities.

## **Acknowledgements ecoinvent v2.0**

The research work on photovoltaics within the ecoinvent v2.0 project was financed by the Swiss Federal Office of Energy and the European Photovoltaic Industry Association (EPIA). These contributions are highly acknowledged. Thanks go to the colleagues from the Paul Scherrer Institut, Villigen and ESU-services Ltd., Uster for their collaboration during the revision of the data v2.0.

Mariska de Wild-Scholten and Erik Alsema provided us the data from the CrystalClear project. But, besides they send many interesting further information and helped for discussing the appropriate data for different PV technologies. Furthermore they contributed detailed comments to first drafts of this report. Thank you to both of you.

We thank Vasilis M. Fthenakis and Marco Raugei for the help and for answers on detailed questions on their publications.

We thank Bernhard Dimmler and Tobias Brosi, Würth Solar who helped us with data for CIS modules.

Thanks go to Mr. Nowak, who was not only providing a part of the financial support, but also read a first draft and gave further hints for improvement.

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<sup>2</sup> This part of the report has been elaborated by M. Tuchschnid, ESU-services Ltd.

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## Vorwort zur Überarbeitung im Rahmen von ecoinvent Daten v1.0

Die der Ökobilanz von schweizerischen Photovoltaikanlagen bisher zugrundeliegenden Sachbilanzdaten aus den „Ökoinventaren von Energiesystemen“ von 1996 basierten auf deutschen Studien die im Jahr 1992 veröffentlicht wurden. Sie sind damit 10 Jahre alt. Die Photovoltaik ist einem raschen Wandel unterworfen. Eine gründliche Neubearbeitung war deshalb notwendig (Jungbluth 2003; Jungbluth et al. 2004; Jungbluth 2005).

Grundlage für diese Überarbeitung ist die Studie von Jungbluth & Frischknecht (2000) in der die Daten der vorhergehenden Auflage kritisch überprüft und dort wo notwendig an neue Entwicklungen angepasst wurden. Dabei wurde ein optimierter Produktionsweg angenommen. Für die *ecoinvent* Datenbank wird nun eine Durchschnittsbetrachtung für die Produktion im Jahr 2000 erarbeitet und ein Szenario für zukünftige Entwicklungen abgeschätzt. Dafür wurde eine Reihe weiterer Literaturquellen und neue Herstellerangaben ausgewertet.

Grundlage für den Text dieser Überarbeitung ist das entsprechende Kapitel aus den „Ökoinventaren von Energiesystemen“. Literatur, die bereits in dieser Studie zitiert wurde wird in eckige Klammern <xxx> gesetzt. Die neu zitierte Literatur wird in runden Klammern (xxx) gezeigt. Am Schluss des Berichtes befinden sich hierfür zwei getrennte Literaturverzeichnisse.

## Verdankungen zur Aufdatierung für ecoinvent Daten v1.0

Für das Update der Sachbilanzdaten, haben uns eine Reihe von Autoren ihr Material und weitere Hintergrundinformationen zu ihren Studien zur Verfügung gestellt. Wir möchten hiermit Erik Alsema, Vasilis Fthenakis, J.R. Bohland, Marion Engeler, Hans Uwe Florstedt, Paolo Frankl, James M. Gee, Stephan Gnos, Dirk Gürzenich, Dirk Hartmann, Karl E. Knapp, Wolfgang Koch, Volker Lenz, A. Loipführer, Rick Mitchell, Martin Pehnt, Bent Sørensen, Eric Williams and Ken Zweibel für ihre Hilfestellung danken. Bedanken möchten wir uns ausserdem bei verschiedenen MitarbeiterInnen der Firmen Gebäude-Solarsysteme GmbH, Löbichau, Solon AG, Berlin and Wacker Silitronic AG, Werk Freiberg für ihre mündlich and schriftlich erteilten Auskünfte.

## Verdankungen 1994-1996

In dieser Arbeit waren wir sehr darauf angewiesen, Informationen von Produzenten photovoltaischer Komponenten and von Anlagenbauern zu sammeln. Wir danken an dieser Stelle folgenden Personen, die bereitwillig Auskunft gaben:

G. Hagedorn, Siemens AG, Erlangen; ehemals Forschungsstelle für Energiewirtschaft (FfE), München, Y. Tsuji, Kyocera Corp., Kyoto, Japan, M. G. Real, R. Moser, Alpha Real Ingenieurunternehmungen, Zurich, R. Hächler, *Ars solaris*, Chur, Herrn Von Bergen, Ingenieurschule Biel, Hermann Damann, ARBA Strom, Winterthur.

## Zusammenfassung

Ziel der erstellten Sachbilanzen ist die Erfassung der Stoff- and Energieströme für die Produktion von Elektrizität mit netzgebundenen Photovoltaikanlagen in der Schweiz und in vielen weiteren Ländern. Für die Aufdatierung der Ökobilanz wurden alle Prozessschritte von der Silizium Produktion bis zum Betrieb der Anlagen mit den zur Verfügung stehenden aktuellen Informationen überarbeitet. Dabei wird die Marktsituation im Jahr 2008 abgebildet. Für die MG-Silizium Produktion haben sich im Vergleich zur letzten Auflage nur geringe Änderungen bei der Bilanz ergeben.

Die Herstellung von gereinigtem Silizium (Halbleiterqualität oder solar-grade Silizium aus modifiziertem Siemensverfahren), CZ-sc-Silizium (Einkristall Silizium aus dem Czochralski-Tiegelziehverfahren), das Blockgiessen und die Waferfertigung wird in getrennten Teilschritten

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bilanziert. Für die Bereitstellung von gereinigtem Silicon werden die Marktverhältnisse im Jahr 2005 betrachtet. Dafür wurden für die Herstellung von SoG-Si (*solar-grade*) aktuelle Daten erhoben.

Für die Waferfertigung wird von einer teilweisen Recyclingmöglichkeit für Sägeabfälle ausgegangen. Berücksichtigt werden detailliertere Angaben zum Wasserverbrauch und zur Emission von Wasserschadstoffen bei der Waferfertigung. Erstmals wurden dabei auch Daten zu amorphem Silizium erhoben.

Für die *Solarzellen* Fertigung (und alle anderen Produktionsschritte) wird auch die Infrastruktur mit berücksichtigt. Neu werden in dieser Studie auch sogenannte Dünnschichtzellen bilanziert. Dabei werden sowohl CdTe als auch CIS Zellen betrachtet. Erstmals wird eine Bilanz für ribbon-Silizium Zellen erstellt. Dabei wird der multikristalline Silizium Wafer direkt aus der flüssigen Siliziumschmelze gezogen und so eine höhere Materialeffizienz erreicht. Alle sechs Typen von Solarzellen werden separat bilanziert. Durch die separate Bilanzierung der Zellen kann eine beliebige Kleinanlage aus den Grundlagendaten kombiniert werden.

In diesem Projekt werden die Paneel- und die Laminat-Bauweise bilanziert. Die Paneels haben eine eigene tragende Struktur und können an der Gebäudehülle aufgesetzt werden; Laminat-Konstruktionen müssen in das Gebäude integriert sein. In der Bilanz der Panelfertigung werden aktuelle Daten zur Effizienz von *Solarzellen* verwendet.

Im Bereich der Stromproduktion ab Photovoltaikanlage werden verschiedene gebäudeintegrierte Kleinanlagen (3 kW<sub>p</sub>) bilanziert. Modular aufgebaute Anlagen der mittleren Leistungsklasse können als Vielfaches der 3 kW<sub>p</sub>-Kleinanlage berechnet werden.

Für den Anlagenbetrieb wurden aktuelle Daten (Zeitreihen) zum Stromertrag von Schweizerischen Photovoltaikanlagen ausgewertet. Dabei wird ein durchschnittlicher Standort in der Schweiz mit einem Jahresertrag von 820 kWh pro Jahr und installiertem kW-Peak (kW<sub>p</sub>) zugrunde gelegt. Für alle Schräg- und Flachdachanlagen wird ein Wert von 920kWh/kW<sub>p</sub> verwendet. Der berechnete Ertrag für Fassadenanlagen liegt bei 620 kWh/kW<sub>p</sub>. Eine Durchschnittsbilanz für die Stromerzeugung mit PV Anlagen wird auch für eine Reihe weiterer Länder auf Grundlage veröffentlichter Ertragszahlen erstellt.

Die Resultate für die Bilanz einer gesamten Photovoltaik-Anlage zeigen, dass der Hauptteil des Ressourcenverbrauchs und viele Emissionen aus dem Stromverbrauch für die Fertigung der Solarzellen und der Paneels stammt. Damit kommt dem Standort der Produktionsanlagen eine besondere Bedeutung zu. Die Analyse zeigt auch, dass relevante Umweltbelastungen in allen Stufen der Produktion anfallen. Aufgrund der inzwischen verbesserten Produktion für Solarzellen, steigt die Bedeutung der übrigen Komponenten einer PV-Anlage weiter an. Zu diesen Komponenten gehört das Befestigungssystem, der Wechselrichter und die elektrische Installation. Insbesondere bei Solarzellen mit geringer Effizienz kommt dem Befestigungssystem inzwischen eine relevante Bedeutung zu.

Eine Reihe von Schadstoffen wird dabei unabhängig vom Energieverbrauch emittiert. Eine Energiebilanz alleine reicht somit zur Beurteilung dieses Energiesystems und zum Vergleich mit anderen Systemen nicht aus.

Für alle relevanten Produktionsschritte konnten die bisherigen Daten aktualisiert und ergänzt werden. Die Bilanzen wurden teilweise aus Einzelbetrachtungen verschiedener Hersteller kombiniert. Im Vergleich zu den ersten Schweizer Ökobilanzen für Photovoltaik ist der kumulierte Energiebedarf pro Stromertrag um den Faktor 3 zurückgegangen.

Auf Grund des raschen technologischen Fortschritts in der Produktion von PV-Anlagen stellt auch diese Ökobilanz keinen Endpunkt in der Betrachtung dar. Vielmehr ist eine Aufdatierung nach einiger Zeit wünschenswert. Hierfür wären insbesondere vollständige und aktuelle Angaben von Herstellern aus verschiedenen Stufen des Produktionszyklus sehr erwünscht.

Die aktualisierten und ergänzten Sachbilanzdaten können als Grundlage für die ökologische Beurteilung von Photovoltaikanlagen in der Schweiz und in vielen weiteren Ländern herangezogen werden. Die hier erhobenen Ökobilanzdaten ermöglichen auch den Vergleich der Umweltbelastungen mit anderen Technologien für die Bereitstellung von Elektrizität. Zu beachten ist dabei aber, dass für

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andere Elektrizitätssysteme die Herstellung der notwendigen Infrastruktur evtl. nicht in ähnlich grosser Detailtiefe wie für Photovoltaikanlagen erfolgte.



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## Contents

<b>1</b>	<b>INTRODUCTION</b>	<b>1</b>
1.1	Background of this study .....	1
1.2	Technologies.....	1
1.3	History.....	2
1.4	Characterisation of photovoltaics .....	3
1.5	Future developments .....	4
<b>2</b>	<b>TODAY'S USE AND PRODUCTION OF PHOTOVOLTAIC</b>	<b>5</b>
2.1	Worldwide PV production .....	5
2.2	European PV market.....	8
2.3	Use in Switzerland .....	8
<b>3</b>	<b>SYSTEM BOUNDARIES</b>	<b>11</b>
3.1	Introduction .....	11
3.2	Type of application .....	11
3.3	Type of solar cells .....	12
3.4	Panels and laminates.....	13
3.5	Type of installation .....	13
3.6	Balance of system components.....	14
3.7	Investigated systems.....	14
3.8	Investigated stages of the life cycle .....	15
<b>4</b>	<b>BASIC SILICON PRODUCTS</b>	<b>18</b>
4.1	Global silicon market.....	18
4.2	Metallurgical grade silicon (MG-silicon).....	18
4.3	Silicon carbide.....	24
4.4	Recycling of sawing slurry and production of silicon carbide and triethylene glycol .....	25
4.5	Meta information of basic silicon products .....	26
<b>5</b>	<b>PURIFIED SILICON AND CRYSTALLINE SILICON PRODUCTS</b>	<b>28</b>
5.1	Overview.....	28
5.2	Electronic grade silicon, off-grade silicon and silicon tetrachloride .....	31
5.3	Solar-grade silicon, modified Siemens process .....	37
5.4	New solar grade silicon processes (new SoG-silicon) .....	40
5.5	Production mix for purified silicon used in photovoltaics .....	41
5.6	Czochralski singlecrystalline silicon (CZ-sc-Silicon) .....	42
5.7	Casting mc-silicon .....	45
5.8	Meta information of crystalline silicon products .....	47
<b>6</b>	<b>SILICON WAFER PRODUCTION</b>	<b>49</b>
6.1	Production process .....	49
6.2	Wafer thickness and surface .....	50
6.3	Energy use and silicon consumption .....	51
6.4	Materials .....	52
6.5	Output, Emissions .....	52

6.6	Life cycle inventory of silicon wafer production .....	53
6.7	Infrastructure .....	55
6.8	Meta information of wafers .....	55
<b>7</b>	<b>SILICON SOLAR CELL PRODUCTION</b>	<b>57</b>
7.1	Introduction .....	57
7.2	Crystalline cells .....	59
7.3	Ribbon silicon solar cells .....	63
7.4	Life cycle inventory of solar cells .....	63
7.5	Infrastructure of solar cell manufacturing .....	66
7.6	Life cycle inventory of metallization paste .....	66
7.7	Meta information of silicon cells .....	67
<b>8</b>	<b>PV PANEL AND LAMINATE PRODUCTION</b>	<b>69</b>
8.1	Introduction .....	69
8.2	Process for production of PV panels .....	69
8.3	Materials .....	70
8.4	Energy use .....	70
8.5	Emissions .....	71
8.6	Recycling and disposal of PV panels .....	71
8.7	Life cycle inventory of PV panels and laminates .....	71
8.8	Infrastructure of panel and laminate production plant .....	72
8.9	Meta information of PV panel and laminate production .....	73
<b>9</b>	<b>THIN FILM CELLS, LAMINATES, AND PANELS</b>	<b>75</b>
9.1	Introduction .....	75
9.2	Cadmium telluride photovoltaic laminates (CdTe) .....	75
9.3	Copper indium selenide photovoltaic panels (CIS) .....	82
9.4	Amorphous silicon (a-Si) .....	88
1.2	Flexcell .....	93
<b>10</b>	<b>PHOTOVOLTAIC INDUSTRY IN CHINA</b>	<b>94</b>
<b>11</b>	<b>BALANCE OF SYSTEM (BOS)</b>	<b>95</b>
11.1	Overview for mounting systems .....	95
11.2	Slanted roof, mounted .....	96
11.3	Slanted roof, integrated .....	98
11.4	Flat roof .....	100
11.5	Façade, mounted .....	102
11.6	Façade, integrated .....	103
11.7	Open ground .....	104
11.8	Life cycle inventory of mounting systems .....	106
11.9	Inverters .....	110
11.10	..... Electric installation	121
11.11	..... Meta information of balance of system	125

<b>12 3 kW<sub>p</sub> PV POWER PLANTS</b>	<b>127</b>
12.1 Introduction .....	127
12.2 Efficiency of solar cells .....	128
12.3 Amount of panels for a 3 kW <sub>p</sub> PV plant .....	131
12.4 Dismantling of PV-power plants .....	132
12.5 Meta information of 3 kW <sub>p</sub> power plants .....	132
12.6 Life cycle inventory of 3 kW <sub>p</sub> PV plants .....	134
<b>13 LARGE PV POWER PLANTS</b>	<b>138</b>
13.1 Introduction .....	138
13.2 Meta information of large PV power plants .....	138
13.3 Life cycle inventory of large PV plants .....	140
<b>14 OPERATION OF PHOTOVOLTAIC POWER PLANTS</b>	<b>144</b>
14.1 Annual yield in different countries .....	144
14.2 Lifetime of PV plants .....	148
14.3 Emissions during operation .....	149
14.4 Waste heat .....	149
14.5 Land occupation .....	150
14.6 Accidents .....	150
14.7 Country specific PV electricity mixes .....	151
14.8 Life cycle inventories of PV-electricity production .....	157
14.9 Meta information of PV electricity production .....	163
<b>15 CHEMICALS AND PRE-PRODUCTS</b>	<b>166</b>
15.1 Fluorspar and hydrogen fluoride .....	166
15.2 Polyvinylfluoride films and pre-products (Tedlar® PVF Films) .....	168
15.3 Meta information of PV fluorine chemicals .....	172
15.4 Polytetrafluoroethylene, Trichloromethane, Chlorodifluoromethane and PTFE-Film ....	173
15.5 ETFE (Ethylen-Tetrafluorethylen) .....	183
15.6 Polyvinylalcohol (PVA) .....	187
15.7 Polyvinylbutyral foil .....	194
15.8 Silicon Tetrahydride .....	201
15.9 Nitrogen trifluoride (NF <sub>3</sub> ) .....	203
<b>16 SUMMARY OF KEY PARAMETERS REGARDING SILICON USE</b>	<b>208</b>
<b>17 CUMULATIVE RESULTS AND INTERPRETATION</b>	<b>210</b>
17.1 Environmental impact assessment of 1 kWh low voltage electricity .....	210
17.2 Chinese modules on the European market .....	214
17.3 Pay-back time .....	217
17.4 Cumulative energy demand and capacity of PV electricity in the past 20 years .....	219
<b>18 CONCLUSION AND OUTLOOK</b>	<b>221</b>
18.1 Conclusion .....	221
18.2 Recommendations for future updates .....	222

---

<b>GLOSSARY AND ABBREVIATIONS</b>	<b>223</b>
<b>REFERENCES &lt;UNTIL 1996&gt;</b>	<b>223</b>
<b>REFERENCES (2006 UNTIL 2011)</b>	<b>225</b>
<b>REFERENCES (SINCE 2012)</b>	<b>235</b>

# 1 Introduction

## 1.1 Background of this study

LCA studies for photovoltaic power plants have a long tradition of more than 20 years (e.g. Alsema 2000a; Dones & Frischknecht 1998; P. Frankl 1998; Frischknecht et al. 1996; Frischknecht et al. 2007a; Fthenakis et al. 1999; Hagedorn & Hellriegel 1992; Jungbluth & Frischknecht 2000; Jungbluth et al. 2004; Jungbluth 2005; Kato 1999; Knapp & Jester 2000a; Meijer et al. 2003; Palz & Zibetta 1991; Pehnt et al. 2002; Phylipsen & Alsema 1995; Tsuo et al. 1998). The published studies show a high variation in results and conclusions. This is partly due to the rapid development in this industrial sector, which leads to constant improvements in all parts of the production chain.

The cumulative energy demand, for example, has been investigated by different authors ranging from 3410 to 13'400 MJ-eq per square metre of a multicrystalline panel. The main reasons for the different LCA results have been evaluated in the late nineties (Alsema et al. 1998; Dones 2000; Jungbluth & Frischknecht 2000). Critical issues during modelling of a life cycle inventory (LCI) for photovoltaics are: modelling of silicon inputs and use of off-grade or solar-grade silicon, allocation between different silicon qualities in the silicon purification process, power mixes assumed for the production processes, and process specific emissions. The production technology for photovoltaic power plants has constantly been improved over the last decades, e.g. for the efficiency of cells, the required amount of silicon, and the capacity of production processes. The availability of data is a major problem for establishing a high quality inventory.

In the past years the PV sector developed rapidly. Projects such as *CrystalClear* have investigated the up-to-date life cycle inventory data of the multi- and singlecrystalline technologies (de Wild-Scholten & Alsema 2005). These data are based on questionnaires sent to different involved industries. Several producers have now provided reliable and verifiable data. The investigated data from 11 European and US photovoltaic companies for the reference year 2005 is implemented with this report in the ecoinvent database and documented according to the ecoinvent requirements (Frischknecht et al. 2007b).

Since 2000, the market of photovoltaic systems has grown by a factor of twenty. New technologies like thin film cells in CIS or CdTe are entering the market. In 2007 life cycle inventory data of thin film photovoltaics were added to the ecoinvent data, mainly based on literature information. We tried to use the most recent information for modelling the life cycle inventories of photovoltaics production technologies. Older data are just shown for informative purposes.

## 1.2 Technologies

Different solar cells are on the market and new technologies are investigated. Until now, the most dominant basic material is silicon. It is one of the most common elements on earth. Different types of technologies can be distinguished for silicon based solar cells.

- *Singlecrystalline silicon cells* (sc-Si) (or *monocrystalline*): The active material is made from a single crystal without grain boundaries. The sc-Si-cells have the highest efficiencies (for commercial cells between 13-18%).
- *Multicrystalline<sup>3</sup> silicon cells* (mc-Si): The cell material consists of different crystals with different orientation. The domain boundaries or grain boundaries lead to electron-hole-recombination losses. Thus, this type of cells has a lower efficiency, but it is cheaper in production. Commercial mc-Si-cells have an efficiency of about 11-16%.
- *Ribbon silicon* (ribbon-Si). Ribbon technologies use the available silicon more efficiently. The

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<sup>3</sup> In the last version of this report, this type of cells has been labelled as polycrystalline.

wafers are directly crystallized from the silicon melt. Thus no sawing losses occur. Ribbon cells have an efficiency of about 10-14%.

- *Thin films.* Thin film modules are constructed by depositing extremely thin layers of photovoltaic materials on a low cost backing such as glass, stainless steel or plastic. Individual 'cells' are formed by then scribing through the layers with a laser. Thin film cells offer the potential for cost reductions. Firstly, material costs are lower because much less semiconductor material is required and, secondly, labour costs are reduced because the films are produced as large, complete modules and not as individual cells that have to be mounted in frames and wired together. The types of thin films investigated in this study are cadmium telluride (CdTe) and copper indium gallium diselenide (CIGS).
- *Amorphous cells (a-Si):* A fully developed thin film technology is hydrogenated amorphous silicon. The active material is an unstructured glass-type mixture of silicon and hydrogen. The efficiency of amorphous cells decreases in the first hundred operation hours (so called Staebler-Wronski-effect). The final efficiency is about 6-9% while shortly after production the cell has efficiencies between 9% and 11%.

### 1.3 History

Photovoltaics have a rather short history – compared to conventional sources of electricity. Edmund Becquerel has first described the so-called photovoltaic effect in 1839 for the semi-conductor silicon. Until the nineteen thirties it was only known by experts. The first solar cell made from silicon was produced in 1954 and used in 1958. The early stages of development are listed below.

- 1839: Discovery of the photovoltaic effect with silicon by E. Becquerel
- 1923: Albert Einstein gets the Nobel-price for his theories dealing with the photovoltaic effect
- 1954: Single crystalline silicon solar cells (sc-Si) by Pearson, Chapin and Fuller (Bell Laboratories) have an efficiency of 6 %
- 1956: First solar cell made with gallium arsenide (GaAs)
- 1958: NASA-satellite „Vanguard“ with sc-Si-solar cells with less than 1 kilowatt capacity in space
- 1962: sc-Si-solar radio
- 1972: Silicon-solar cell made with multicrystalline wafer material (mc-Si).
- 1976: Silicon-solar cell made with amorphous silicon (a-Si)
- 1978: sc-Si-operated pocket calculator
- 1979: a-Si- operated pocket calculator
- 1981: 350 kW<sub>p</sub>-plant (kilowatt peak) Soleras/Hysolar for hydrogen production in Riad, Saudi Arabia
- 1983: 6400 kW<sub>p</sub>-plant Carissa Plains in California, US
- 1984: First race for solar mobiles (Tour de Sol) in Switzerland
- 1986: First large plant with amorphous cells, 75 kW<sub>p</sub> in Birmingham, Alabama
- 1988: Cell efficiencies of more than 30 % in laboratory conditions (GaAs / sc-Si - cell)
- 1989: 219 grid-connected plants in the USA have a capacity of 11 MW
- 1989: First large plant in Switzerland with 100 kW<sub>p</sub> at the national motorway No. 13, Domat/Ems GR
- 1990: Trans continental flight of a solar run air plane across the USA
- 1992: Swiss open ground plant with 500 kW<sub>p</sub> on Mont Soleil (PHALK 500)
- 2000: In Berne a 2000 m<sup>2</sup> photovoltaic plant with 200 kW<sub>p</sub> is installed.
- 2001: Construction of a 3.3 MW<sub>p</sub> plant in Serro, IT.

- 2001: An unmanned solar air plane reaches the record height of 29'000 metres
- 2001: Operation of a solar boat on lake Zurich<sup>4</sup>
- 2002: Solar park “Sonnen” in Germany with 1.8 MW<sub>p</sub> capacity on open space
- 2002: Roof-integrated plant with 2.3 MW<sub>p</sub> for the „Floriade“ in the Netherlands
- 2003: Solar park Hernau, Germany with 4 MW<sub>p</sub> capacity
- 2005: With 1537 kWh/kW<sub>p</sub> the alpine photovoltaic-plant on the Jungfrauoch achieved a new Swiss record
- 2005: Erection of the world's largest photovoltaic installation on a football stadium with 1.3 MW<sub>p</sub> (Stade de Suisse in Berne, Switzerland)
- 2006: First motorized crossing of the Atlantic with photovoltaic power, with the solar catamaran "sun21".
- 2008: Erection of the world's largest photovoltaic open ground installation with 60 MW<sub>p</sub> capacity in Spain (Parque Fotovoltaico Olmedilla de Alarcón)
- 2009: Erection of the 54 MW<sub>p</sub> open ground installation Solarpark Straßkirchen in Germany

### 1.4 Characterisation of photovoltaics

The different advantages and disadvantages of photovoltaics can be summarized as follows (<Kuwano 1992> and own additions).

#### 1.1.1 Major advantages of photovoltaics

- Solar energy is fully non-exploitable, because it is renewable. The total solar irradiation of the sun to the earth surface is about  $1.8 \cdot 10^{14}$  kW which is  $5.6 \cdot 10^{12}$  TJ per year.
- The conversion of solar energy has no emissions during operation. There are no moving part which might cause noise. Only optical disturbance is possible.
- Photovoltaics are flexible in terms of possible uses. The applications reach from single milliwatts, e.g. in clocks, to large plants with several megawatts. There is no other system of electricity generation that allows applications in such many orders of magnitude.
- Photovoltaic cells can be combined modular to different capacities. Thus they can be used quite easily for decentralized energy production.
- Also diffuse light and light indoors can be transformed to electricity.

#### 1.1.2 Further advantages of photovoltaics

- Silicon is the second most abundant element on earth.
- Silicon is not toxic.
- Integration in buildings is possible

#### 1.1.3 Major disadvantages of photovoltaics

- The convertible energy density is low.
- Electricity production depends on weather conditions and irradiation. Electricity production is only possible if light is available. There is no good storage facility developed yet.

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<sup>4</sup> <http://www.solarboats.net/pages/constr/zuriboot.html>

### 1.1.4 Further disadvantages of photovoltaics

- Silicon has to be purified in an energy intensive process and is thus expensive.
- New types of solar cells might need rare elements for production.
- The production needs specific technologies and highly purified input materials. Thus a global production chain has been developed with a separation between the different production stages. The whole production chain cannot be found at a local scale.
- Large land areas are necessary, if photovoltaic plants are installed on open-ground.

## 1.5 Future developments

Silicon for solar cells needs a high purification grade. The purification and the necessary production plants are a major economic factor and they are responsible for a large part of the energy consumption. Thus the major improvement strategies are:

- Reduction of the silicon consumption per kW<sub>p</sub> by thinner wafers, less kerf losses, recycling of silicon.
- Improvement of the cell efficiencies.
- Development of purification technologies specific for photovoltaic use (solar Grade Silicon - SoG).

Steadily new types of technologies are introduced to the market. Nowadays new types of semiconductor materials are used for solar thin film cells. The most important are copper-indium-diselenide (CuInSe<sub>2</sub> or short CIS) and cadmium-telluride (CdTe), which are investigated in this study. Others are indium-phosphid (InP), dye-sensitized with titanium dioxide (TiO<sub>2</sub>) and gallium-arsenide (GaAs).



## 2 Today's use and production of photovoltaic

### 2.1 Worldwide PV production

#### 2.1.1 Potential electricity production

A study of the IEA-PVPS investigated the potential of BIPV (building integrated photovoltaics) for several OECD countries (IEA-PVPS 2002). Tab. 2.1 shows the potential and a comparison with the actual electricity consumption in 1998.

Tab. 2.1 Solar electricity BIPV potential fulfilling the good solar yield (80% of the maximum local annual solar input, separately defined for slope roofs and façades and individually for each location / geographical unit), (IEA-PVPS 2002)

Solar electricity BIPV production potential	Potential production of solar electricity (TWh/y) on roofs	Potential production of solar electricity (TWh/y) on façades	Potential production of solar electricity (TWh/y) on building envelope	Actual electricity consumption (in TWh)	Ratio "solar electricity production potential / electricity consumption"
Australia	68.176	15.881	84.057	182.24	46.1%
Austria	15.197	3.528	18.725	53.93	34.7%
Canada	118.708	33.054	151.762	495.31	30.6%
Denmark	8.710	2.155	10.865	34.43	31.6%
Finland	11.763	3.063	14.827	76.51	19.4%
Germany	128.296	31.745	160.040	531.64	30.1%
Italy	103.077	23.827	126.904	282.01	45.0%
Japan	117.416	29.456	146.872	1 012.94	14.5%
Netherlands	25.677	6.210	31.887	99.06	32.2%
Spain	70.689	15.784	86.473	180.17	48.0%
Sweden	21.177	5.515	26.692	137.12	19.5%
Switzerland	15.044	3.367	18.410	53.17	34.6%
United Kingdom	83.235	22.160	105.395	343.58	30.7%
United States	1 662.349	418.312	2 080.661	3 602.63	57.8%

The photovoltaic energy technology roadmap of the International Energy Agency IEA (2010) estimates that by 2050, photovoltaic power plants will provide around 11 % of the global electricity production. This would be equivalent to 3'000 GW<sub>p</sub> of installed photovoltaic capacity generating 4'500 TWh electricity per year. Achieving this roadmap's vision will require an effective, long-term and balanced policy effort. They predict that photovoltaic electricity will achieve competitive parity with the power grid by 2020 in many regions (IEA 2010).

#### 2.1.2 Installed capacity until 2008

During the last years the global electricity production of photovoltaic plants has been increased considerably. The worldwide shipment of photovoltaic modules in 2008 was 5492 MW<sub>p</sub> and thus about 40 % more than in the year before. China is the largest producer of solar cells followed by Germany, Japan, USA and Spain (IEA-PVPS 2009; Mints 2009).

The installed capacity has been increasing rapidly. Since the first version of this report in 1994, the installed capacity has increased by more than a factor seventy. More than 13'400 MW<sub>p</sub> were installed at the end of the year 2008 (IEA-PVPS 2006; 2009).

## 2. Today's use and production of photovoltaic

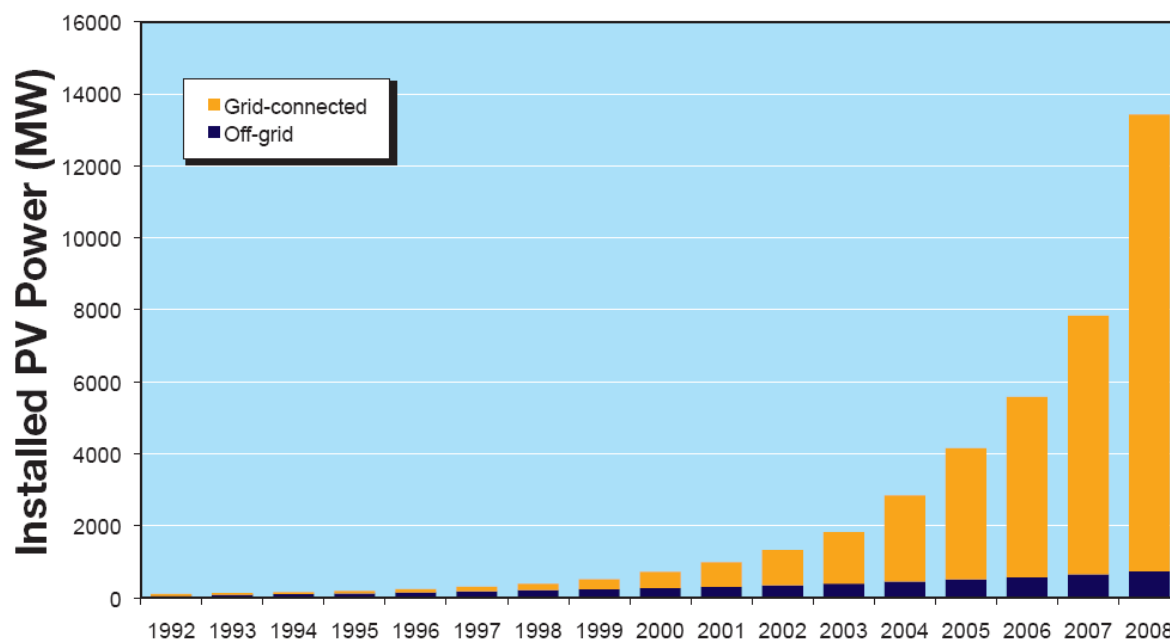


Fig. 2.1 Cumulative installed grid-connected and off-grid PV power in the IEA PVPS reporting countries (IEA-PVPS 2006; 2009)

Tab. 2.2 shows the different types of applications in different countries and a comparison of the installed capacity per capita.

## 2. Today's use and production of photovoltaic

Tab. 2.2 Installed PV power in reporting IEA PVPS countries as of the end of 2008 (IEA-PVPS 2006; 2009<sup>5</sup>)

Country	Cumulative off-grid PV capacity (kW)		Cumulative grid-connected PV capacity (kW)		Cumulative installed PV power (kW)	Cumulative installed per capita (W/Capita)	PV power installed in 2008 (kW)	Grid-connected PV power installed in 2008 (kW)
	domestic	non-domestic	distributed	centralized				
AUS	32 683	40 662	29 850	1 315	104 510	5,1	22 020	15 120
AUT	3 357		27 274	1 756	32 387	4,0	4 686	4 553
CAN	10 603	16 879	5 172	65	32 719	1,0	6 944	2 326
CHE	3 800		41 540	2 560	47 900	6,4	11 700	11 500
DEU	40 000		5 300 000		5 340 000	64,7	1 504 500	1 500 000
DNK	125	315	2 825	0	3 265	0,6	190	135
ESP	31 000		3 323 000		3 354 000	77,1	2 661 000	2 659 936
FRA	16 181	6 766	140 785	16 000	179 732	2,9	104 500	104 100
GBR	480	1 110	20 920	0	22 510	0,3	4 420	4 303
ISR	2 144	260	611	14	3 029	0,4	1 210	600
ITA	5 400	7 900	295 000	150 000	458 300	7,8	338 100	337 900
JPN	1 923	88 886	2 044 080	9 300	2 144 189	16,8	225 295	224 636
KOR	983	4 960	54 852	296 722	357 517	7,3	276 324	276 324
MEX	16 087	5163	500	0	21 750	0,2	1 000	200
MYS	8 000		776	0	8 776	0,4	1 760	135
NLD	5 200		48 500	3 500	57 200	3,5	4 400	4 200
NOR	7 780	430	132	0	8 342	1,8	350	0
PRT	2 941		2 908	62 103	67 952	6,7	50 082	49 982
SWE	4 130	701	3 079	0	7 910	0,9	1 678	1 403
TUR	3750		250		4 000	0,06	750	75
USA	154 000	216 000	735 000	63 500	1 168 500	3,9	338 000	293 000
Estimated total	310 589	430 010	8 220 204	4 463 685	13 424 488		5 558 909	5 490 428

Notes: Some countries are experiencing difficulties in estimating and/or apportioning off-grid domestic and non-domestic; in some markets the distinction between grid-connected distributed and centralized is no longer clear (eg MW scale plant in the urban environment), and mini-grids using PV are also emerging, with other problems of definition. Where definition has not been made in a national report this is shown in this table, however the totals have been estimated using the most recently available ratio from the national reports applied to the current national data. Australian off-grid domestic includes 2 000 kW of PV on diesel grids.

Most of the solar cells produced today are made from single- and multicrystalline silicon. Fig. 2.2 shows the share of different cell types sold (Mints 2009; Photon International 2006). The share of amorphous silicon cells increased in 2008 to 4.9 % while the share for CdTe cells increased to 7.9 %.

<sup>5</sup> <http://www.iea-pvps.org/> (access on 13. October 2010)

## 2. Today's use and production of photovoltaic

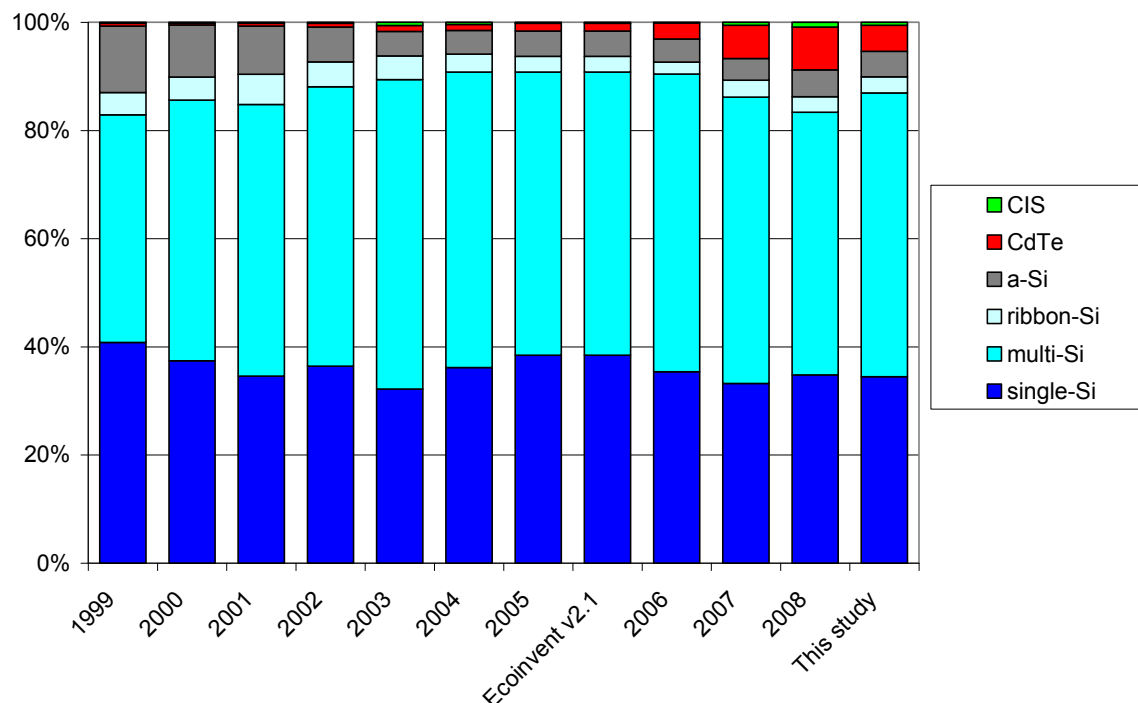


Fig. 2.2 Share of different types of photovoltaics worldwide in 1999 to 2008 (Mints 2009; Photon International 2006)

## 2.2 European PV market

The total overall installed capacity in the EU countries at the end of 2008 represented approximately 9'500 MW<sub>p</sub>, corresponding to the needs in electricity of 3 million households (based on an average annual household consumption of 3,000 kWh per year, excluding electric heating).<sup>6</sup> The largest installed capacity in Europe is Spain followed by Germany.

The European Photovoltaic Industry Association EPIA (2009) claims that within the European Union photovoltaic electricity could provide up to 12 % of the electricity demand by 2020 provided that appropriate political and legal conditions are created.

## 2.3 Use in Switzerland

### 2.3.1 Potential electricity generation

Based on current knowledge, the total amount of photovoltaic electricity that could be fed into the future Swiss electricity grid cannot be estimated reliably. Hence, the Swiss Academy of Engineering Sciences (SATW) differentiated between a 2'000 MW<sub>p</sub> scenario (can be absorbed with the available regulating electricity of the current electricity mix) and a 6'000 MW<sub>p</sub> scenario (with the assumption that new sources of regulating electricity will be available by 2050) for the year 2050. Such a photovoltaic capacity would result in an annual photovoltaic power generation of 1.9 TWh (scenario 1) and 5.7 TWh (scenario 2) in 2050, respectively. This is equivalent to an increase of Swiss photovoltaic electricity by a factor of 40 – 130 compared to the situation in 2008. (Berg & Real 2006)

<sup>6</sup> [www.epia.org](http://www.epia.org) (access on 13. October 2010)

## 2. Today's use and production of photovoltaic

It has to be kept in mind that the use of areas for photovoltaics is partly in competition with the use for solar collectors (thermal heat).

### 2.3.2 Situation in 2008

In the year 2008, 1'200 PV power plants were installed in Switzerland. The trend is to construct larger plants. In the year 2008, about 3'875 grid-connected PV plants with an installed capacity of 44.1 MW<sub>p</sub> were in operation. Since the last update of this study with the reference year 2005 the installed capacity has been almost doubled from 1'900 PV-plants and an installed capacity of 23.6 MW<sub>p</sub>. The total annual electricity production in 2008 was 33'400 MWh (Hostettler 2006; 2009b).

SWISSSOLAR publishes an annual statistic about the market for solar cells and solar collectors. The figures for 2008 are shown in Tab. 2.3 (Hostettler 2009a).

Tab. 2.3 Results of the market research about new solar cells installations in Switzerland in 2008 (Hostettler 2009a; Jauch & Tschärner 2006)

		<b>2008</b>
		<b>kW<sub>p</sub></b>
Installations sold	Sold capacity (85 % import)	18600
	Installed capacity	15500
Type	Grid-connected	15300
	Off-grid	200
Capacity (grid-connected)	to 4kW <sub>p</sub>	2363
	4 to 20kW <sub>p</sub>	6163
	20 to 50kW <sub>p</sub>	4040
	50 to 100kW <sub>p</sub>	2392
	More than 100kW <sub>p</sub>	342
Place (grid connected)	Dwelling	7372
	Industry	2543
	Agriculture	3430
	Public buildings	1697
	Traffic areas	1

Tab. 2.4 shows the development of installed PV capacities in Switzerland. Since 1989, the capacity has increased by more than a factor of 150.

## 2. Today's use and production of photovoltaic

Tab. 2.4 Development of installed PV capacity in Switzerland (Hostettler 2009a; Jauch & Tschärner 2006)<sup>7</sup>

Jahr	Anzahl neuer Anlagen pro Jahr	Anzahl Anlagen per Ende Jahr kumuliert	ca. Zuwachs Nennleistung pro Jahr [MWp DC]	ca. Nennleistung per Ende Jahr kumuliert [MWp DC]	Solarstromproduktion pro Jahr [MWh]
...1989	60	60	0.3	0.3	100
1990	110	170	0.4	0.7	400
1991	210	380	1.0	1.8	1'000
1992	110	490	1.7	3.5	2'100
1993	110	600	0.9	4.4	3'200
1994	80	680	1.0	5.4	4'000
1995	60	740	0.6	6.0	4'600
1996	80	820	0.7	6.7	5'200
1997	130	950	0.9	7.6	5'800
1998	150	1'100	1.9	9.5	6'900
1999	125	1'225	1.9	11.4	8'400
2000	100	1'325	1.6	13.0	9'800
2001	125	1'450	1.9	14.9	11'200
2002	75	1'525	1.6	16.5	12'600
2003	75 *	1'600	1.3	17.8	15'100
2004	100 *	1'700	1.6	19.4	15'200
2005	200 *	1'900	4.2	23.6	18'200
2006	250 *	2'150	2.5	26.1	21'000
2007	525 *	2'675	6.5	32.6	25'700
2008	1200 *	3'875	11.5	44.1	33'400
2009	1900 *	5'775	25.5	69.6	49'000

\* without installations smaller than 250 Wp

<sup>7</sup> [http://www.solarch.ch/main/Show\\$Id=313.html](http://www.solarch.ch/main/Show$Id=313.html) (access on 13. October 2010)

## 3 System boundaries

### 3.1 Introduction

The focus of this study is the use of photovoltaics in grid-connected applications in Switzerland. However, many manufacturing processes for these plants take place all over the world; they are herewith modelled for the European or North-American production. Only standard laminates and panels are investigated. Special applications, as e.g. plants integrated in newly constructed buildings explicitly designed to include this feature, are not considered. All investigated plants are assumed to be installed on existing buildings.

The market for photovoltaics is increasing considerably. All production processes are steadily improved and new technologies are developed.

Due to the rapid technological development it is not possible to keep the description of all technical processes fully up-to-date. Interested readers should refer to one of the available detailed books on the market (e.g. Archer & Hill 2001).

The chains for manufacturing the different options of photovoltaic power plants analyzed in this study are described dividing them into appropriate subsystems. These options have to be characterised according to different criteria, e.g. the type of cells, installation characteristics and capacity. Thus, a wide range of possible applications is possible. Here we focus the research on the most common ones. The different criteria and combinations are explained in the following sections.

### 3.2 Type of application

The flexibility feature of photovoltaics make it possible to use this technology in a range of different applications (Tab. 3.1).

### 3. System boundaries

Tab. 3.1 Some examples for the use of photovoltaics <Jäger *et al.* 1990>

Type of application	Examples
Solar power plants	Network supply hybrid systems hydrogen production
Supply for villages (developing countries)	Single houses health stations small enterprises
Single houses	Living houses weekend huts mountain huts caravans
Water supply plants	Pumps water treatment
Environmental technology	Control units air ventilation effluent treatment plants
Traffic engineering	Buoys lighthouses SOS-telephone
Aviation and space technology	Satellites space stations air planes
Communications engineering	Relay stations broadcast station mobile phones
Leisure time	Camping sailing entertainment technology

### 3.3 Type of solar cells

Today there are different types of solar cells that are used for the production of photovoltaic modules for grid-connected power plants. The following types of cells are investigated in this survey, describing production representative for European market:

#### 3.3.1.1 *Singlecrystalline silicon cells (sc-Si)*

This type of cells still dominates the market together with multicrystalline cells. The share of sc-Si cells is slightly decreasing (Fig. 2.2), but it will also in future remain an important type of solar cells. The name “monocrystalline cells” is also commonly used.

#### 3.3.1.2 *Multicrystalline silicon cells (mc-Si)*

In earlier publications these cells have been named as polycrystalline cells. The phrase “polycrystalline” is now only used for EG-silicon or SoG-silicon or for certain thin film materials.

#### 3.3.1.3 *Ribbon silicon cells (ribbon-Si)*

Ribbon-silicon cells are directly pulled (Evergreen Solar and Schott Solar) or cast (pilot plant at ECN) from the melted silicon. The wafer itself is a multicrystalline type.

#### 3.3.1.4 *CIS cells*

Different producers plan to erect large production plants for thin film CIS cells. So far the most important producers are Würth Solar (CIS with selenite) and Sulfurcell (CIS with sulphur) in Germany.



#### 3.3.1.5 *CdTe cells*

Also for CdTe cells there are different ongoing plans for the installation of new large production facilities. The most important producer is First Solar in the US and Germany.

#### 3.3.1.6 *Amorphous silicon cells (a-Si)*

Amorphous silicon (a-Si) cells have a relatively low efficiency, which decreases in the first time of use. This type of cell is investigated with data for one production plant in the United States.

### 3.4 Panels and laminates

Typically, a number of solar cells are assembled in a PV panel (also called module) with an area of 1.0-2 m<sup>2</sup>. A glass-plastic laminate encapsulates the solar cells and, in most cases, an aluminium frame is added around the outer edges. In this report we distinguish panels, which are framed, and laminates, which are unframed.

### 3.5 Type of installation

There are ranges of different possibilities for the installation of PV panels. Here we investigate the following basic possibilities (which may not apply to every type of cells):

#### 3.5.1.1 *Flat roof installation*

The installation of modules on flat roofs is a quite common type of installation. They are used for small plants on dwellings (3 kW<sub>p</sub>) as well as for larger plants on industrial roofs or sport arenas (50 kW<sub>p</sub>).

#### 3.5.1.2 *Slanted roof, mounted*

This is one of the most common types for small plants of about 3 kW<sub>p</sub>. In future this type of installation might occur less frequently because roof integrated plants allow a more aesthetic and simple installation.

#### 3.5.1.3 *Slanted roof, integrated*

The solar laminates are integrated in the roof construction and thus replace the normal roof cover. The installation is possible with a simple metal construction for the panels or as solar cells roof tiles.

#### 3.5.1.4 *Façade, mounted*

This type of installation is mainly used for industrial or business buildings. The non-optimum angle to the sun leads to a lower electricity production. But, the modules have a better visibility and thus they can be used as an architectural design element.

#### 3.5.1.5 *Façade, integrated*

Solar panels can also be integrated in the façade and thus replace other construction materials. Different design options exist for such laminates and thus make them attractive for architects.

The main differentiating criterion between integrated and mounted plants is the intact building. If the mounted structure is removed the building is still fully usable while the removal of an integrated laminate would leave a damaged building. PV shingles are not investigated in this report.

The following type of installation is not investigated in this research work:

#### 3.5.1.6 *Open ground*

Open-ground are all PV power plants, which are not erected on existing buildings. Thus, the whole mounting structure is only necessary for the PV plant. Without the plant the same area could be used for other purposes, e.g. agriculture.

#### 3.5.1.7 *Panel tracking, non-concentrating*

In order to optimise the yield of photovoltaic plants, the panels can be installed on a moving construction. Thus, an optimum angle to the sunlight can be maintained over the whole day. It is possible to increase thus the yield by about 20%. But, in Switzerland this type of installation is only used for single plants and mainly for research reasons. The increased expenditure for the necessary installation is not justified by the increased yield, at least not in Switzerland and other Middle-European countries. Therefore, this type of mounting system is excluded from further investigation.

### 3.6 Balance of system components

Several further appliances are necessary for the construction of a photovoltaic power plant. A mounting structure is necessary to fix the panels e.g. to the roof. A lightning protection is necessary for safety reasons. Batteries might be necessary for off-grid installations. The inverter is necessary for transforming the direct current to alternating current and for connection to the normal electricity grid. Inverters are investigated for plants with capacities of 0.5 kW<sub>p</sub>, 2.5 kW<sub>p</sub> and 500 kW<sub>p</sub>.

### 3.7 Investigated systems

Sixteen different, grid-connected photovoltaic systems are herewith studied. These are different small-scale plants of 3 kW<sub>p</sub> capacity and operational in the year 2005 in Switzerland (see Tab. 3.2).

The plants differ according to the cell type (single- and multicrystalline silicon, ribbon-silicon, thin film cells with CdTe and CIS), and the place of installation (slanted roof, flat roof and façade). Slanted roof and façade systems are further distinguished according to the kind of installation (building integrated i.e. frameless laminate or mounted i.e. framed panel).

Furthermore, life cycle inventories of ten real large scale photovoltaic power plants, in Switzerland, Germany, Spain and the US are established in this study.

The actual electricity mix produced in 2008 with different types of PV power plants in several countries is also modelled.

### 3. System boundaries

Tab. 3.2: Overview of the types of photovoltaic 3 kW<sub>p</sub> systems investigated

Installation	Cell type	Type
Slanted roof	sc-Si	Panel
	mc-Si	Panel
	a-Si	Panel
	ribbon-Si	Panel
	CIS	Panel
	sc-Si	Laminate
	mc-Si	Laminate
	a-Si	Laminate
	ribbon-Si	Laminate
	CdTe	Laminate
Flat roof	sc-Si	Panel
	mc-Si	Panel
Façade	sc-Si	Panel
	mc-Si	Panel
	sc-Si	Laminate
	mc-Si	Laminate

Cells: sc-Si = singlecrystalline silicon, mc-Si = multicrystalline silicon

Types: Panel = mounted; Laminate = integrated in the roof construction

## 3.8 Investigated stages of the life cycle

### 3.8.1 Silicon based PV plants

All subsystems shown in Fig. 3.1 are included as individual datasets within the system boundaries for silicon based PV power plants. The process data include quartz reduction, silicon purification, wafer, panel and laminate production, manufacturing of inverter, mounting, cabling, infrastructure and 30 years of operation. The basic assumptions for each of these unit processes are described in the following chapters. We considered for each production stages as far as data are available:

- energy consumption,
- air- and waterborne process-specific pollutants at all production stages,
- materials, auxiliary chemicals, etc.
- transport of materials, of energy carriers, of semi-finished products and of the complete power plant,
- waste treatment processes for production wastes,
- dismantling of all components,
- infrastructure for all production facilities with its land use.

### 3. System boundaries

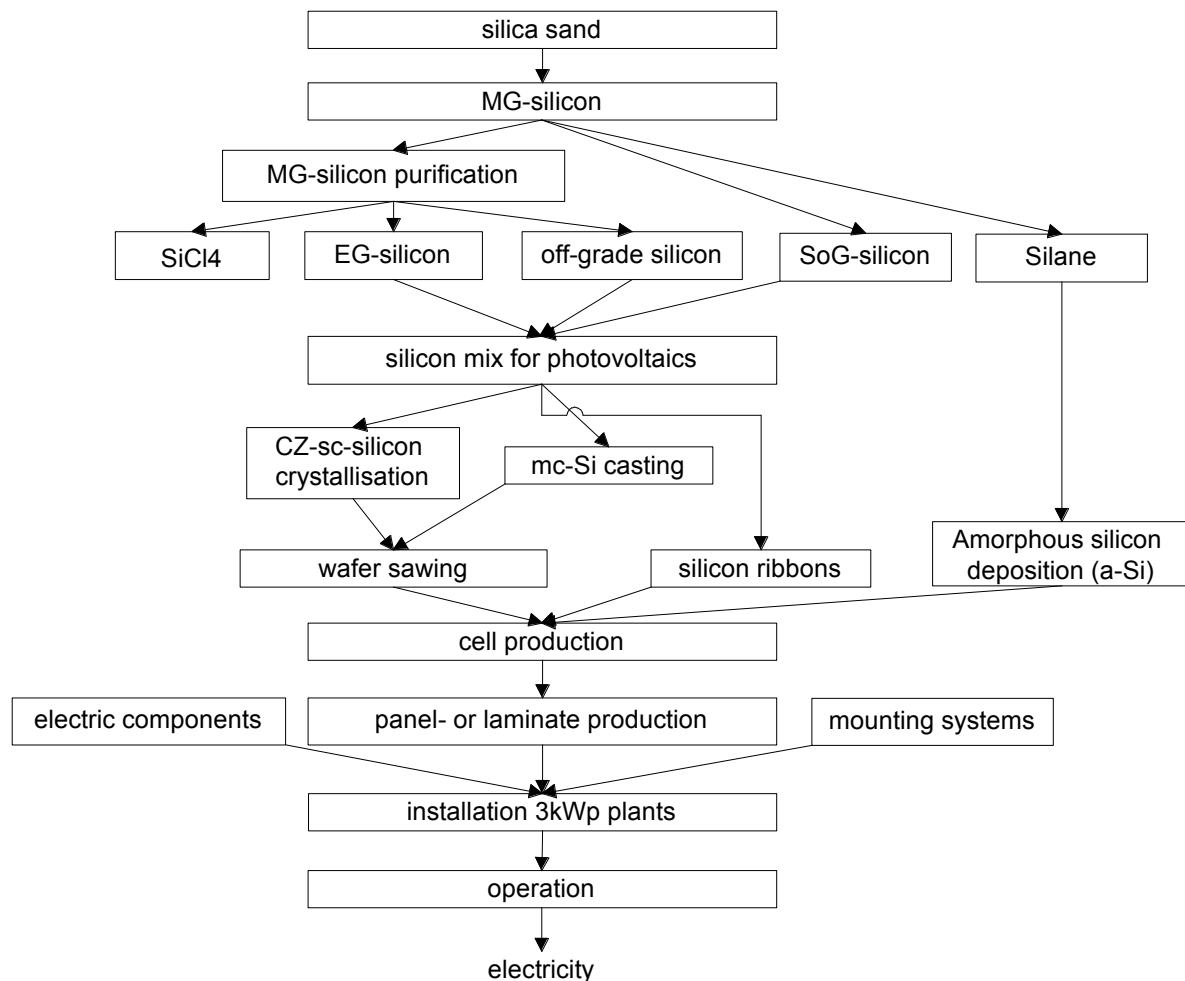


Fig. 3.1: Different sub systems investigated for the production chain of silicon cells based photovoltaic power plants installed in Switzerland. MG-silicon: metallurgical grade silicon, EG-silicon: electronic grade silicon, SoG-silicon: solar-grade silicon, a-Si: amorphous silicon

#### 3.8.2 Thin film cells and panels

All subsystems shown in Fig. 3.2 are included within the system boundaries for thin film PV power plants. All inputs (semiconductor metals, panel materials and auxiliary materials) for the production of thin film cells, laminates and panels are investigated in other reports of the ecoinvent project (Classen et al. 2007). Thus, here we only investigate the process stages starting from the laminate and panel production.

### 3. System boundaries

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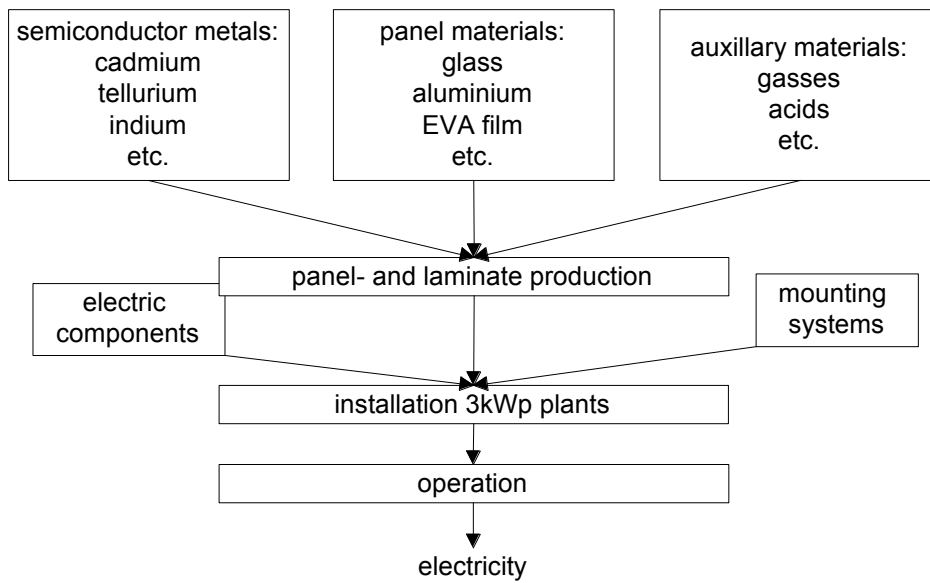


Fig. 3.2: Different sub systems investigated for thin film (CIS and CdTe) photovoltaic power plants installed in Switzerland

## 4 Basic silicon products

### 4.1 Global silicon market

The production of silicon wafers for photovoltaics is only a relatively small part of the global silicon market (Fig. 4.1). The basic product for this industry is metallurgical silicon (MG-Si), which is mainly used for aluminium and steel making. The MG-silicon is further purified for the production of electronic grade silicon (EG-silicon). By-products of this process are used for the photovoltaic industry. In 2005 there is also a new production line for solar grade silicon (SoG-Si; not included in Fig. 4.1), which is directly developed for the demand of the photovoltaic industry.

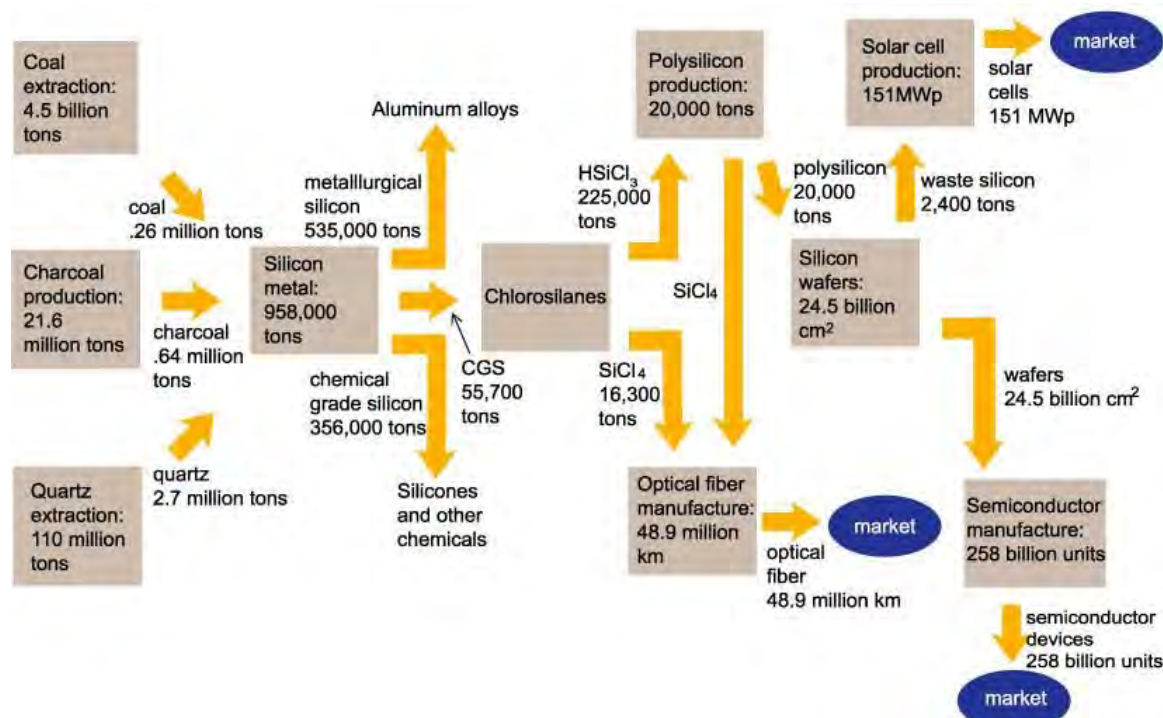


Fig. 4.1 Material flow analysis of the silicon chemistry in the year 1998 (Williams 2003)

### 4.2 Metallurgical grade silicon (MG-silicon)

#### 4.2.1 Overview

About one million tonnes of MG-silicon with a purity of 98.5-99.5% was produced in the year 2000. The silicon has been used for aluminium compounds (ca. 50%), silicones (plastics) (ca. 40%) and electronics (ca. 4%). Most of the MG-silicon is produced by carbothermic reduction. The electricity use is the most important economic factors. Thus, the production takes place in countries with low electricity prices and a secure supply. The most important producers are in Norway (ELKEM), the USA, South-Africa, Brazil, France (INVENSIL) and Australia. The price is about 1.5-2.5€/kg (Woditsch & Koch 2002). Here we assume a production in Norway (electricity supply is basically from hydropower), because most data are available for this country and it represents an important share of total production. Most of the production plants have a direct access to a harbour.

The composition of the MG-silicon and other products can be found in Tab. 4.1.

## 4. Basic silicon products

Tab. 4.1 Composition of the main product and by-product from the production process of MG-silicon (Hagedorn & Hellriegel 1992:181 ff.)

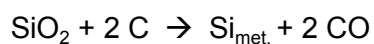
MG-silicon <sub>raff.</sub>		SiO <sub>2</sub> -dust	
Si	99.45 %	SiC	0.4 %
SiO <sub>2</sub>	-	SiO <sub>2</sub>	96.5 %
Fe	0.3 %	Fe <sub>2</sub> O <sub>3</sub>	0.05 %
Al	0.15 %	Al <sub>2</sub> O <sub>3</sub>	0.2 %
Ca	0.02 %	CaO	0.1 %
Cr	33 ppm	MnO	-
Mn	74 ppm	TiO <sub>2</sub>	-
Cu	33 ppm	Na <sub>2</sub> O	0.1 %
Ni	130 ppm	Pb	44 ppm
Pb	0.1 ppm	K <sub>2</sub> O	0.8 %
V	230 ppm	Cd	0.04 ppm
P	25 ppm	B	36 ppm
B	22 ppm	SO <sub>4</sub> <sup>2-</sup>	0.4 %
S	56 ppm	As	1.2 ppm
As	< 1 ppm	Cyanidion	< 0.1 %
CO	21 ppm	volatile C	1.2 %
		Chloride	0.001 %
		Fluoride	< 0.001 %
		Cr, Sb, Bi, Sn, Hg	1 ppm

ppm = parts per million = 0.0001 %)

### 4.2.2 Materials and energy carriers

Silicon is the second-most abundant element in the earth's crust after oxygen, and in natural form it is almost exclusively combined with oxygen as silicon dioxide and silicates. Silicon metal is produced in electric arc furnaces from quartz reacting at very high temperatures with reduction materials such as coal, coke, charcoal, wood chips and the furnace graphite electrodes.

The carbothermic reduction process and the basic equipment have more or less been unchanged since large-scale commercial production started in the 1930's. The following basic reaction takes place:



The products of the process are high silicon alloy, condensed silica fume and recoverable heat energy.

#### 4. Basic silicon products

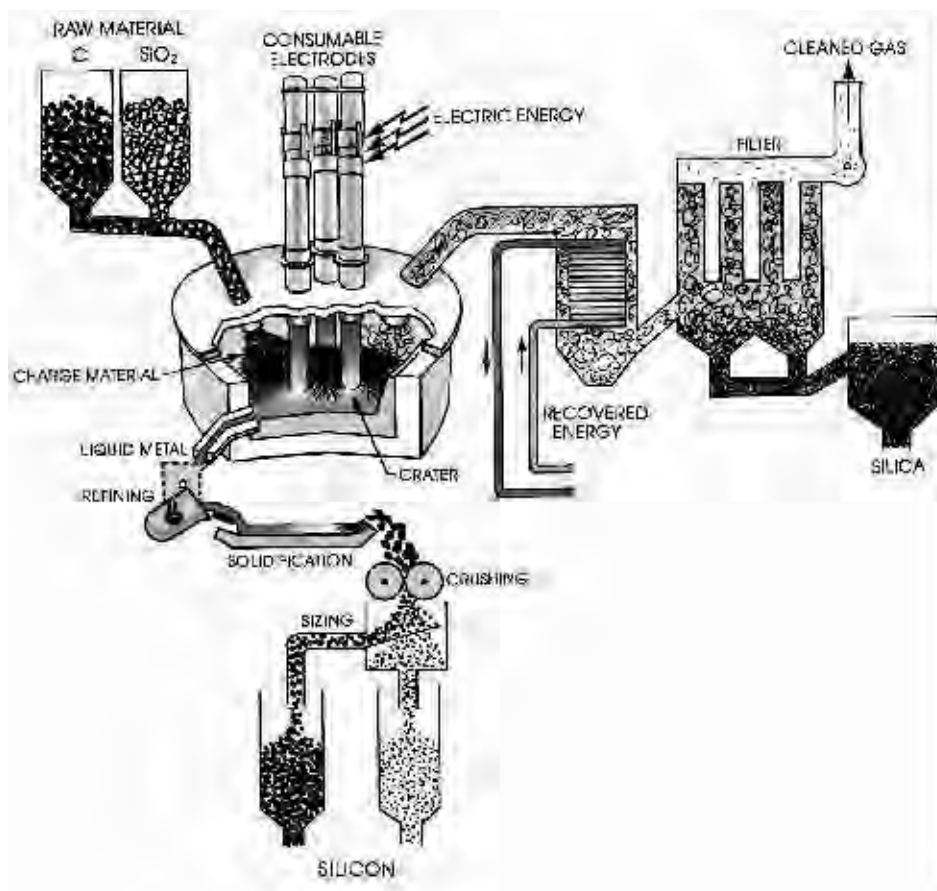


Fig. 4.2 Principle of Metallurgical Silicon Production ([www.elkem.no](http://www.elkem.no))

The basic elementary flows for this process are listed in Tab. 4.2. Burning a part of the wood gases provides a part of the process energy. In Norway only small amounts of charcoal are used (60'000 t per year). Most of the carbon is provided by coke and coal with a total amount of one million tonnes. Charcoal is imported from Asia and South-America (Eikeland et al. 2001). The used charcoal is often not produced in sustainable forestry and thus it cannot be assumed neutral for the carbon balance. Here we assume only a small share of charcoal, which is modelled with an input of wood from European forests, and thus the associated impacts do not play an important role.

In other countries, production processes and sources of electricity might differ from Norway. In Brazil and Australia an important share of carbon is provided with charcoal and wood chips. Also in the US wood chips are used increasingly.<sup>8</sup> Besides, electricity is mostly based on coal in Australia and USA, whereas hydro covers a large share of the Brazilian mix.

<sup>8</sup> Personal communication Eric Williams, 12.2002.



## 4. Basic silicon products

Tab. 4.2 Materials used for the carbothermic reduction to MG-silicon per tonne MG-Si

		<Häne et al. 1991>	(Hagedorn & Hellriegel 1992)	EU <sup>8</sup>	US <sup>8</sup>	(IPPC 2001)	(Zulehner et al. 2002)	<b>This study</b>
Quartz	kg	2700	2900	n.d.	n.d.	2600	2900-3100	<b>2700</b>
Coal	kg	1400 <sup>1)</sup>	600	560	370	1150-1500 <sup>1)</sup>	1200-1400 <sup>1)</sup>	<b>800</b>
Petroleum coke	kg	as coal	400	370	500	as coal	as coal	<b>500</b>
Wood chips	kg	n.d.	1500	1300	1750	1000-2000	1700-2500	<b>1350</b>
Charcoal	kg	n.d.	400	370	250	<sup>3)</sup>	<sup>3)</sup>	<b>170 <sup>4)</sup></b>
Total energy carriers	kg	1400	2900	2600	2870	2150-3500	2900-3900	<b>2820</b>
Graphite electrodes	kg	90	90			100	120-140	<b>100</b>
Oxygen for refinery	kg	n.d.	20					<b>20</b>

<sup>1)</sup>: incl. petroleum coke, <sup>3)</sup> included in the figure for wood chips, <sup>4)</sup> estimation for Norway

n.d. no data

Tab. 4.3 shows the total energy consumption of the process per tonne of MG-silicon.

The cumulative energy demand (CED), fuels, corresponds thereby to the total fuel consumption shown in Tab. 4.2. Different investigations show absolute figures in the same order of magnitude. The exhaust gas from the process contains about 50-60% of the energy input.

There are different options to reduce this energy use further. In a factory of Elkem the waste heat is recovered for electricity production and covers thereby 20% of the consumption (Elkem 2002). In other plants the waste heat is fed into a heating net. In order to account for these options, the electricity is estimated to be in the lower range of the shown figures with 11 kWh/kg. The Norwegian electricity mix is applied.

Tab. 4.3 Total energy consumption for the production of one tonne MG-silicon (kWh/t MG-Si)

	<Häne et al. 1991>	(Hagedorn & Hellriegel 1992)	(IPPC 2001)	(Zulehner et al. 2002)	This study
Process, electricity	13 490	13 000			
Auxiliary energy, electricity	890	890			
CED, electricity	132 <sup>1)</sup>	14 556	10'800-12'000	12'500-14'000	11'000
CED, fuels	n.d.	11 403	10'120-13'200		See Tab. 4.2

<sup>1)</sup> production of sand briquettes

The waste heat of the process does not correspond directly to the used energy carriers, because the reduction of quartz is endothermic. Thus, a part of the energy is contained in the reduced silicon. About 217.4 kcal/mol (7.08 kWh/kg or 25 MJ/kg) of energy is contained in the produced MG-silicon, and has therefore been subtracted from the theoretical waste heat production <CRC 1985>.

### 4.2.3 Emissions

The exhaust from the process passes through a bag filter, in order to recover SiO<sub>2</sub>-dust (also so-called amorphous silica acid). This is converted for the industry of building materials to mortar, heat-

#### 4. Basic silicon products

insulating materials etc.. Per ton of MG-silicon 300-750 kg SiO<sub>2</sub>-dust result as a by-product, which is not taken into account for the modelling.

Other emissions species to the atmosphere are combustion products of the reducing agents, which were oxidized in the furnace by ambient air. Per ton MG-silicon 200,000 kg of ambient air are used. The air emissions are shown in Tab. 4.4. The CO<sub>2</sub>-emissions are calculated according to the input of the different fuels. In (Eikeland et al. 2001) the CO<sub>2</sub> emissions, not containing biogenic carbon, are reported to be 4 kg/kg MG-Si. Besides CO<sub>2</sub>, SO<sub>2</sub> and NO<sub>x</sub>, further emission species are investigated in the environmental report (Elkem 2001). The emissions for the five silicone producing plants (Meraker, Bremanger, Fiskaa, Salten and Thamshavn) are derived with an extrapolation of the CO<sub>2</sub> emissions, because data per amount of product were not available. All plants are located in Norway in low-density populated areas at the coast (Elkem 2002).

Tab. 4.4 Air emissions and by-products during MG-silicon-Production (Hagedorn & Hellriegel 1992: p.185, IPPC 2001)

Emission	kg/tonne MG-Si	Remarks
<b>By-products</b>		
SiO <sub>2</sub> -dust	300-400	Used in the construction sector (IPPC 2001)
Slag	20-30	Disposal in landfill (IPPC 2001:544)
<b>Air emissions</b>		
SiO <sub>2</sub> -dust	7.8	Own calculation with (Elkem 2001), 0.4-2 kg according to (IPPC 2001:535), Estimation >10nm because process emissions
CO <sub>2</sub>	6'900 (not clear if including biogenic CO <sub>2</sub> )	Own calculation for fossil CO <sub>2</sub> in Tab. 4.6: 2.4kg CO <sub>2</sub> /kg-coke, 0.73×44/12×1000=2676kg CO <sub>2</sub> /t-hard coal and for biogenic CO <sub>2</sub> : 2.93kg CO <sub>2</sub> /kg-charcoal, 2.04 kg CO <sub>2</sub> /kg wood chips
SO <sub>2</sub>	12.2	Own calculation after (Elkem 2001)
H <sub>2</sub> S	<< 1	Assumed 0.5 kg/t
CO	2	
F	<< 1	Assumed as HF
NO <sub>x</sub>	9.8	Own calculation with (Elkem 2001)

Heavy metals are mostly bound in the main product MG-Si, and in the by-products slag and SiO<sub>2</sub> dust. The slag is disposed off in a inert material landfill (IPPC 2001:544). The composition of the slag can be found in Tab. 4.5.

Information about effluents and emissions to water are rare. Emissions of PAHs, VOCs, dioxins and heavy metals have been measured, but not recorded because they are regarded as non-relevant (Elkem 2002). Thus, it was not possible to consider them for the life cycle inventory.

Tab. 4.5 Composition of slag from MG-silicon production (IPPC 2001) and assumption for this study.

	Range	This study
Si or FeSi	20-30%	25%
SiO <sub>2</sub>	5-20%	10%
SiC	20-40%	30%
CaO	25-40%	25%
Al <sub>2</sub> O <sub>3</sub>	3-35%	10%

### 4.2.4 Life cycle inventory of MG-silicon

Tab. 4.6 shows the unit process raw data for the production of MG-silicon. The production of MG-silicon (metallurgical grade) with a purity of over 99% is based on carbothermal reduction of silica sand using petrol coke, charcoal and wood chips as reduction agents. The consumption of reduction agents, the electricity use, the quartz input (represented by silica sand), and the emission of air- and waterborne pollutants ( $\text{CO}_2$ ,  $\text{SO}_2$  and trace elements emitted with  $\text{SiO}_2$  dust) are included in the inventory. The major part of the production in Europe takes place in Norway, but the exact share is not known. The Norwegian electricity mix (with a high share of hydro power) was considered for the inventory. Other producers in France, which use mainly nuclear power, could not be considered because data were not available.

An issue of concern, which could not be investigated, is the use of charcoal in this process that originates from Asia or South America and might have been produced from clear cutting rainforest wood (Eikeland et al. 2001).

Different types of elements emitted as particles are estimated with the shares shown in Tab. 4.1 for  $\text{SiO}_2$ -dust. The emission of bismuth (Bi) with  $\text{SiO}_2$ -particle emissions is not considered. Transports are calculated with standard distances. Exceptions are the transport distance for silica sand and the transport of charcoal by ship from South-East Asia. The data can be considered as quite reliable because of the well-established technology and the good documentation, e.g. in environmental reports.

Improvement options for the process are mainly a further reduction of energy consumption by heat recovery, reduction of emissions with environmental technology and use of sustainable biogenic carbon sources instead of fossil carbon sources (Elkem 2002).

## 4. Basic silicon products

Tab. 4.6 Unit process raw data of MG-silicon production

product	Name	Location	Infrastructure	reProcess	Unit	MG-silicon, at plant	Uncertainty Standard Deviation	GeneralComment
	Location Infrastructure Unit					NO 0 kg	95	
technosphere	MG-silicon, at plant	NO	0	kg	1.00E+0			
	electricity, medium voltage, at grid	NO	0	kWh	1.10E+1	1	1.10	(2,2,2,1,1,3); Literature, lower range to account for heat recovery
	wood chips, mixed, u=120%, at forest	RER	0	m3	3.25E-3	1	1.10	(2,2,2,1,1,3); Literature, 1.35 kg
	hard coal coke, at plant	RER	0	MJ	2.31E+1	1	1.10	(2,2,2,1,1,3); Literature, coal
	graphite, at plant	RER	0	kg	1.00E-1	1	1.10	(2,2,2,1,1,3); Literature, graphite electrodes
	charcoal, at plant	GLO	0	kg	1.70E-1	1	1.10	(2,2,2,1,1,3); Literature
	petroleum coke, at refinery	RER	0	kg	5.00E-1	1	1.10	(2,2,2,1,1,3); Literature
	silica sand, at plant	DE	0	kg	2.70E+0	1	1.10	(2,2,2,1,1,3); Literature
	oxygen, liquid, at plant	RER	0	kg	2.00E-2	1	1.29	(3,4,3,3,1,5); Literature
	disposal, slag from MG silicon production, 0% water, to inert material landfill	CH	0	kg	2.50E-2	1	1.10	(2,2,2,1,1,3); Literature
	silicone plant	RER	1	unit	1.00E-11	1	3.05	(1,2,2,1,3,3); Estimation
	transport, transoceanic freight ship	OCE	0	tkm	2.55E+0	1	2.10	(4,5,na,na,na,na); Charcoal from Asia 15000km
	transport, lorry >16t, fleet average	RER	0	tkm	1.56E-1	1	2.10	(4,5,na,na,na,na); Standard distance 50km, 20km for sand
	transport, freight, rail	RER	0	tkm	6.90E-2	1	2.10	(4,5,na,na,na,na); Standard distance 100km
emission air, low population density	Heat, waste	-	-	MJ	7.13E+1	1	1.10	(2,2,2,1,1,3); Calculation based on fuel and electricity use minus 25 MJ/kg
	Arsenic	-	-	kg	9.42E-9	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Aluminum	-	-	kg	1.55E-6	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Antimony	-	-	kg	7.85E-9	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Boron	-	-	kg	2.79E-7	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Cadmium	-	-	kg	3.14E-10	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Calcium	-	-	kg	7.75E-7	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Carbon monoxide, biogenic	-	-	kg	6.20E-4	1	5.10	(3,4,3,3,1,5); Literature
	Carbon monoxide, fossil	-	-	kg	1.38E-3	1	5.10	(3,4,3,3,1,5); Literature
	Carbon dioxide, biogenic	-	-	kg	1.61E+0	1	1.10	(2,2,2,1,1,3); Calculation, biogenic fuels
	Carbon dioxide, fossil	-	-	kg	3.58E+0	1	1.10	(2,2,2,1,1,3); Calculation, fossil fuels
	Chromium	-	-	kg	7.85E-9	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Chlorine	-	-	kg	7.85E-8	1	1.61	(3,4,3,3,1,5); Literature
	Cyanide	-	-	kg	6.87E-6	1	1.61	(3,4,3,3,1,5); Estimation
	Fluorine	-	-	kg	3.88E-8	1	1.61	(3,4,3,3,1,5); Literature, in dust
	Hydrogen sulfide	-	-	kg	5.00E-4	1	1.61	(3,4,3,3,1,5); Estimation
	Hydrogen fluoride	-	-	kg	5.00E-4	1	1.61	(3,4,3,3,1,5); Estimation
	Iron	-	-	kg	3.88E-6	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Lead	-	-	kg	3.44E-7	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Mercury	-	-	kg	7.85E-9	1	5.10	(3,4,3,3,1,5); Literature, in dust
	NM VOC, non-methane volatile organic compounds, unspecified origin	-	-	kg	9.60E-5	1	1.61	(3,4,3,3,1,5); Literature
	Nitrogen oxides	-	-	kg	9.74E-3	1	1.52	(3,2,2,1,1,3); Calculation based on environmental report
	Particulates, > 10 um	-	-	kg	7.75E-3	1	1.52	(3,2,2,1,1,3); Calculation based on environmental report
	Potassium	-	-	kg	6.20E-5	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Silicon	-	-	kg	7.51E-3	1	5.10	(3,4,3,3,1,5); Literature, SiO2 in dust
	Sodium	-	-	kg	7.75E-7	1	5.10	(3,4,3,3,1,5); Literature, in dust
	Sulfur dioxide	-	-	kg	1.22E-2	1	1.13	(3,2,2,1,1,3); Calculation based on environmental report
	Tin	-	-	kg	7.85E-9	1	5.10	(3,4,3,3,1,5); Literature, in dust

### 4.3 Silicon carbide

Silicon carbide (SiC) is a ceramic compound of silicon and carbon that is manufactured on a large scale for use mainly as an abrasive but also occurs in nature as the extremely rare mineral moissanite. The simplest manufacturing process is to combine silica sand and carbon at a high temperature, between 1600 and 2500 °C.

Silicon carbide is used during wafer sawing. Other possible uses are:

- Abrasive for grinding, cutting and polishing

## 4. Basic silicon products

- Ceramic and refractory products
- Filler in metals, plastics and building material

The unit process raw data are based on literature data (de Wild-Scholten & Alsema 2007; Liethschmidt 2002). The emissions of CO<sub>2</sub> have been calculated from the amount of fuels used considering that a part of the carbon enters into the product. Other emission data for 2004 were available for the company Kollo Silicon Carbide b.v.<sup>9</sup> in the Netherlands (EEA 2007). These data have been used to extrapolate the amount of pollutants from the total CO<sub>2</sub> emissions. Tab. 4.7 shows the literature data (three right columns) and the estimated life cycle inventory. Each literature source is cited at the bottom of the right columns.

Tab. 4.7 Unit process raw data of silicon carbide

	Name	Location	Infrastructure	Unit	silicon carbide, at plant	Uncertain Standard Deviation	GeneralComment	silicon carbide, at plant	Kollo silicon carbide b.v.	silicon carbide, at plant
	Location InfrastructureProcess Unit				RER 0 kg			RER 0 kg	NL 2004 a	RER 0 kg
product	silicon carbide, at plant	RER	0	kg	1.00E+0					
technosphere	petroleum coke, at refinery	RER	0	kg	1.09E+0	1 1.09 (2,2,1,1,1,3); de Wild 2007, Internet		1.09E+0		1.50E+0
	silica sand, at plant	DE	0	kg	1.77E+0	1 1.09 (2,2,1,1,1,3); de Wild 2007, Internet		1.77E+0		1.55E+0
	sodium chloride, powder, at plant	RER	0	kg	7.00E-3	1 1.09 (2,2,1,1,1,3); de Wild 2007, Internet		7.00E-3		
	wood chips, mixed, u=120%, at forest	RER	0	m3	1.90E-4	1 1.09 (2,2,1,1,1,3); de Wild 2007, Internet		1.90E-4		
	silicone plant	RER	1	unit	1.00E-11	1 3.05 (1,2,1,1,3,3); Estimation				1.00E-11
energy	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	8.60E+0	1 1.22 (1,2,1,1,3,3); Liethschmidt 2002				8.60E+0
transport	transport, lorry >16t, fleet average	RER	0	tkm	1.04E-1	1 2.09 (4,5,na,na,na,na); Standard distance 50km, 20km for sand				1.06E-1
	transport, freight, rail	RER	0	tkm	1.18E-1	1 2.09 (4,5,na,na,na,na); Standard distance 100km				1.50E-1
waste	disposal, waste, Si waferprod., inorg, 9.4% water, to residual material landfill	CH	0	kg	2.00E-1	1 1.24 (3,2,1,1,3,3); Rough estimation				2.00E-1
emission air, high population density	Heat, waste	-	-	MJ	7.10E+1	1 1.09 (2,2,1,1,1,3); Calculation for petroleum coke, wood chips and electricity		4.01E+1		8.51E+1
	Carbon dioxide, fossil	-	-	kg	1.90E+0	1 1.09 (2,2,1,1,1,3); Calculation for burning of petroleum coke not including carbon in the product		1.90E+0		2.88E+0
	Carbon dioxide, biogenic	-	-	kg	6.49E-2	1 1.09 (2,2,1,1,1,3); Calculation for wood chips		6.49E-2	136000000	0
	Ammonia	-	-	kg	2.02E-4	1 1.22 (2,2,1,1,1,3); Kollo silicon 2004, environmental report			14500	
	Nitrogen oxides	-	-	kg	1.44E-3	1 1.51 (2,2,1,1,1,3); Kollo silicon 2004, environmental report			103000	
	Sulfur dioxide	-	-	kg	7.10E-3	1 1.09 (2,2,1,1,1,3); Kollo silicon 2004, environmental report			509000	
	Carbon monoxide, fossil	-	-	kg	8.43E-3	1 5.01 (2,2,1,1,1,3); Kollo silicon 2004, environmental report			605000	
source								de Wild 2007	<a href="http://eper.ec.eu.int">http://eper.ec.eu.int</a>	Liethschmidt 2002

### 4.4 Recycling of sawing slurry and production of silicon carbide and triethylene glycol

Silicon carbide and triethylene glycol are used for wafer sawing. They can be partly recycled and reused in the photovoltaic industry. Only silicon carbide, but no purified silicon is recycled from the slurry.

The unit process raw data for the recycling of sawing slurry and production of silicon carbide and triethylene glycol are shown in Tab. 4.8. All data are provided by the CrystalClear project (de Wild-Scholten & Alsema 2007).

The gate to gate inventory for recycling of slurry produced during wire sawing of silicon wafers (specific density of the input 1.75 kg/l) includes the transport of the slurry to recycling facility, electricity use and waste treatment.

The simple inventory for the production process is based on the raw material inputs. It recycles used sawing slurry from the wafer cutting process, to recover SiC and PEG (poly ethylene glycol). This recycling is usually done off-site by the slurry supplier and therefore modelled separately. Purified silicon is generally not recycled. Allocation among the products is based on the mass of all outputs.

<sup>9</sup> Company homepage <http://www.kollosic.nl>.

## 4. Basic silicon products

Tab. 4.8 Unit process raw data of recycling of sawing slurry and production of silicon carbide and triethylene glycol. Basic data published per litre of recycled slurry (right columns, de Wild-Scholten & Alsema 2007)

	Name	Location	Infrastructure	Process	Unit	silicon carbide, recycling, at plant	triethylene glycol, recycling, at plant	Uncertain Standard Deviation q%	GeneralComment	sawing slurry, to recycling
	Location InfrastructureProcess Unit					RER 0 kg	RER 0 kg			RER 0 l
product	silicon carbide, recycling, at plant	RER	0	kg	1.00E+0	0				0.62
	triethylene glycol, recycling, at plant	RER	0	kg	0	1.00E+0				0.64
technosphere	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	7.86E-1	7.86E-1	1	1.07	(2,2,1,1,1,na); Company data	1.10E+0
	transport, lorry >16t, fleet average	RER	0	tkm	2.63E-1	2.63E-1	1	2.09	(4,5,na,na,na,na); distances to recycling facility 200km + 50 km for disposals	
	silicone plant	RER	1	unit	1.00E-11	1.00E-11	1	3.05	(1,2,1,1,3,3); Estimation	
	disposal, waste, Si waferprod., inorg. 9.4% water, to residual material landfill	CH	0	kg	4.29E-2	4.29E-2	1	2.02	(3,1,1,1,5,3); treatment, silicon carbide	6.00E-2
	disposal, antifreezer liquid, 51.8% water, to hazardous waste incineration	CH	0	kg	7.14E-2	7.14E-2	1	2.02	(3,1,1,1,5,3); treatment, polyethylene glycol	1.00E-1
	disposal, sludge, pig iron production, 8.6% water, to residual material landfill	CH	0	kg	1.36E-1	1.36E-1	1	2.02	(3,1,1,1,5,3); final disposal, Si + Fe sludge	1.90E-1
emission air	Heat, waste	-	-	MJ	2.83E+0	2.83E+0	1	1.25	(3,3,2,3,1,5); Calculation	
source										de Wild 2007
	produced silicon carbide, silicon and iron mix			kg						0.14
	total products			kg						1.4
	slurry input to recycling			kg						1.75

## 4.5 Meta information of basic silicon products

Tab. 4.9 show the EcoSpold meta information of basic silicon products investigated in this chapter.

## 4. Basic silicon products

Tab. 4.9 EcoSpold meta information of basic silicon products

ReferenceFunction	Name	silicon carbide, at plant	silicon carbide, recycling, at plant	triethylene glycol, recycling, at plant	MG-silicon, at plant
Geography	Location	RER	RER	RER	NO
ReferenceFunction	InfrastructureProcess	0	0	0	0
ReferenceFunction	Unit	kg	kg	kg	kg
TimePeriod	IncludedProcesses	Gate to gate inventory for production of silicon carbide from silica sand. Including materials and electricity use. Some emissions to air from the process.	Gate to gate inventory for recycling of slurry produced during wire sawing of silicon (Spec. Weight of input 1.75kg/l). Includes transport to recycling facility, electricity use and waste treatment.	Gate to gate inventory for recycling of slurry produced during wire sawing of silicon (Spec. Weight of input 1.75kg/l). Includes transport to recycling facility, electricity use and waste treatment.	Gate to gate inventory for production of MG-silicon from silica sand including materials, energy use, wastes and air emissions. Emissions to water are not available.
	LocalName	Siliziumkarbid, ab Werk	Siliziumkarbid, Recycling, ab Werk	Triethylenglykol, Recycling, ab Werk	MG-Silizium, ab Werk
	Synonyms	silicon monocarbide // carborundum // carbolon	silicon monocarbide // carborundum // carbolon	PEG//polyethylene glycol	metal grade silicon
	GeneralComment	Life cycle inventory for the production process based on raw material inputs and data for energy use and emissions.	The simple inventory for the production process is based on the raw material inputs. It recycles used sawing slurry from the wafer cutting process, to recover SiC and PEG (poly ethylene glycol). This re-cycling is usually done off-site by the slurry supplier and therefore modelled separately. Silicon is generally not recycled. Allocation among the products is based on the weight of all outputs.	The simple inventory for the production process is based on the raw material inputs. It recycles used sawing slurry from the wafer cutting process, to recover SiC and PEG (poly ethylene glycol). This re-cycling is usually done off-site by the slurry supplier and therefore modelled separately. Silicon is generally not recycled. Allocation among the products is based on the weight of all outputs.	MG-silicon with a purity of 99%. Used for the production of aluminium compounds, silicones and semiconductors. For the use in semiconductors further purification is necessary.
	Category	chemicals	chemicals	chemicals	metals
	SubCategory	inorganics	inorganics	organics	extraction
	Formula	SiC	SiC	C2H6O2	Si
	StatisticalClassification				
	CASNumber	409-21-2	409-21-2	112-27-6	7440-21-3
	StartDate	2000	2005	2005	2000
	EndDate	2006	2006	2006	2002
Geography	OtherPeriodText	Time of publication.	Time of publication and data investigation.	Time of publication and data investigation.	Time of publication.
	Text	Estimation for Europe. Emissions data for NL plant.	Data for European companies.	Data for European companies.	Production plants in NO.
Technology	Text	Average technology data for 4 companies.	Average technology.	Average technology.	Modern technology, waste heat is partly recovered and used for electricity generation and/or district heating.
Representativen	Percent	10	10	10	50
	ProductionVolume	Not known.	Not known.	Not known.	1'000'000t in 2000. Most of European plants are located in NO.
	SamplingProcedure	Literature and internet. Average data from 4 companies.	Literature and internet. Average data from 3 companies.	Literature and internet. Average data from 3 companies.	Publication of plant specific data in a European survey.
	Extrapolations	Emission data extrapolated with total CO2 emissions.	none	worldwide data	Air emissions of different pollutants are extrapolated from environmental reports.

## 5 Purified silicon and crystalline silicon products

### 5.1 Overview

Before silicon can be used for various semiconductor applications, including solar cells, it needs to be further purified, to impurity levels of 0.01 to 0.0001 ppmw (parts per million by weight). Depending on the impurity concentrations this material is classified as solar grade (SoG, 0.01 ppmw) silicon or electronic grade (EG, 0.0001 ppmw) silicon. Because this purified silicon material is usually produced in polycrystalline form, a commonly used name within the industry for both EG- and SoG-silicon is “poly-silicon”. This poly-silicon is the starting material for production of crystalline silicon wafers, either for electronic or for photovoltaic applications.

Historically the poly-silicon production was largely supplied to the manufacturers of integrated circuits and other electronic components. Because the impurity requirements for photovoltaic applications are less stringent than for integrated circuits, in the past the PV industry mostly relied on the “off-grade” poly-silicon that was not suitable for the electronics industry. Also rejects from the subsequent crystallisation process and other silicon “scrap” was re-used for photovoltaic wafer production.

Due to the strong growth in demand from PV industry over the past few years several alternative methods have been developed to produce poly-silicon specifically for photovoltaic applications. For example EG-silicon producers have started to produce specifically for the PV industry, with the same equipment as used for EG silicon, but with slightly adapted production conditions. We will call this process “modified Siemens” process, after the name of the deposition reactor. The resulting material is usually called “solar grade silicon”, but this name is rather ambiguous as also material produced by other purification processes is called solar grade. For this reason we name it here “solar-grade, modified Siemens process”.

Apart from the conventional route for solar grade silicon production by way of the Siemens process a number of novel processes for solar grade silicon have been developed over time, for example by using a Fluidized Bed Reactor for the deposition process. Another alternative is to use a metallurgical process to upgrade MG-silicon or silica to a solar grade silicon material. The expectation is that these new solar-grade silicon processes will be able to deliver silicon material with a quality that is suitable for PV production and at lower costs. Fig. 5.1 shows a number of possibilities for the provision of poly-silicon for photovoltaic wafers.

In summary we can say that there are two ways to distinguish purified silicon production:

- 1) by material quality, i.e. electronic-grade or solar-grade. Typical impurity levels for electronic grade material are around 0.001 ppmw, while for solar silicon they are around 0.01 ppmw (Hesse, 2004).
- 2) by process route: i.e. standard Siemens, modified Siemens, Fluidized Bed Reactor, etc.

Of course each process will be most suitable for a specific material quality, for example the standard Siemens process will be used to produce electronic grade material, while the modified Siemens and Fluidized Bed Reactor processes are primarily suitable to deliver solar-grade material.



## 5. Purified silicon and crystalline silicon products

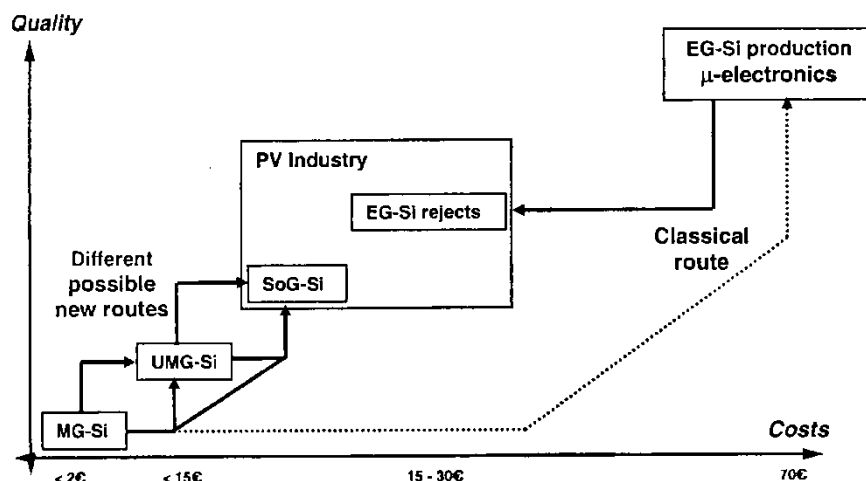


Fig. 5.1 Different supply routes for mc-Silicon used in photovoltaic applications and prices in euro/kg (Sarti & Einhaus 2002), UMG-Si – upgraded metallurgical grade silicon

Fig. 5.2 shows the amount of EG-silicon and “Solar” silicon<sup>10</sup> produced in 2005. The total production amounted to 32000 t. About 45% (Solar-Si) is specifically produced for the photovoltaics industry. The most important producers are Hemlock, Wacker and Tokuyama (Aulich 2006). A number of manufacturers have started with alternative solar-grade processes (i.e. not based on the Siemens technology) at a pilot-scale in 2005-2006 and it is expected that the first commercial-scale deliveries of these materials will start in 2007.

Of the 32'000 tonnes of purified silicon supplied in 2005 about 13'300 tonnes (40%) was used by the PV industry. From the latter share only 700 tonnes (5%) was off-grade material, the rest was newly produced silicon (Aulich 2006; Rogol 2005). The newly produced “solar” silicon is probably produced to a large extent by means of modified Siemens process because this is cheaper. However producers of monocrystalline wafers and cells may also choose for standard EG-material with its higher quality. Reliable data in this area are very difficult to obtain.

<sup>10</sup> Note that in this figure “solar” material only refers to a material quality; probably more than 90% of this material has actually been produced by means of a modified Siemens type of process. New solar grade processes have still a very small production capacity.

## 5. Purified silicon and crystalline silicon products

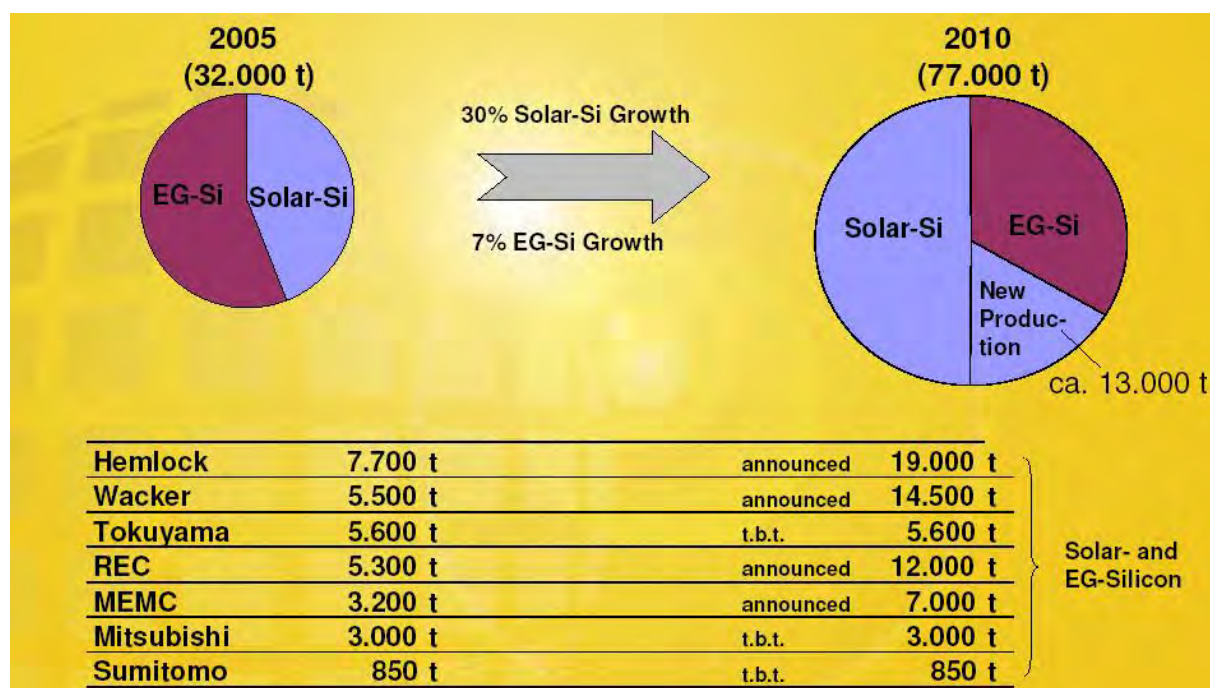


Fig. 5.2 EG- and Solar-Silicon production in 2005 and expected growth until 2010 (Aulich 2006)

Seven producers have a market share of about 90%. The most important process for silicon purification is the trichlorosilane process. The most important producers and their production process are shown in Tab. 5.1.

Tab. 5.1 EG-silicon producers and the used production processes (Bernreuter 2001; 2005; Wacker 2002; Woditsch & Koch 2002)

Company	Process
ASiMi, US	TCS equilibrium reaction in fluidised bed reactor to silane and SiCl <sub>4</sub> , reaction with hydrogen to TCS, by-product SiH <sub>4</sub> .
Chisso, JP	Reduction of trichlorosilane with zinc
Elkem, NO	Slagging, etching, refining of MG-silicon
Hemlock, US	Complex chlorosilane-chemical facility. MG-Si reaction with HCl to trichlorosilane (HSiCl <sub>3</sub> , TCS), purification of TCS, reaction with hydrogen, by-products are chlorosilane and silica acid.
Invensil, FR	Plasma purification of MG-silicon
JSSi, DE	tube reactor with feed material silane
MEMC, IT/US	Silane production with hexafluorosilicic acid (H <sub>2</sub> SiF <sub>6</sub> ) Reaction with sodiumaluminiumhydride (NaAlH <sub>4</sub> ), Reaction with silane (SiH <sub>4</sub> ) to silicon and hydrogen, dehydrogenation des silicon granulate
Mitsubishi, JP/US	Not known
Sumitomo, JP	Not known
SGS, US	Fluidised bed reactor with silane
REC, US	Deposition of silane gas in fluidised bed reactor.
Tokuyama, JP	Vapour to liquid deposition of trichlorosilane
Wacker, DE	Complex chlorosilane-chemical facility. MG-Si reaction with HCl to trichlorosilane (HSiCl <sub>3</sub> , TCS), purification of TCS, reaction with hydrogen, by-products are chlorosilane and silica acid.

Below we will discuss subsequently the production of EG-silicon, as produced with the standard Siemens process, then solar grade silicon, produced with a modified Siemens process, and finally a number of new solar-grade processes that are near commercial application or still under development.



## 5. Purified silicon and crystalline silicon products

The off-grade silicon for photovoltaics is a by-product in several stages of the production chain for electronic products (Fig. 5.4). Also low quality wafers from the semiconductor production might be used for the production of PV cells.

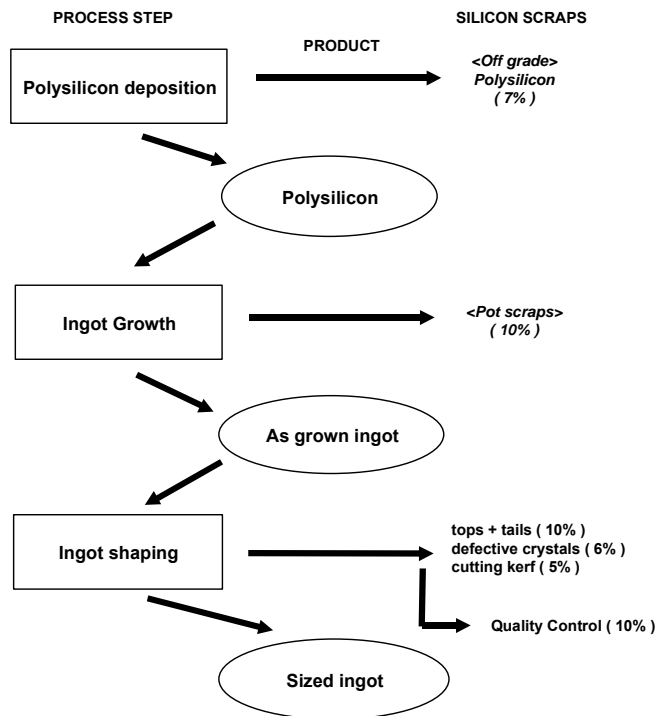
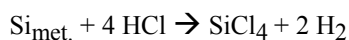
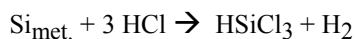


Fig. 5.4 Supply of off-grade silicon in different process stages of wafer production for electronics (Woditsch & Koch 2002). Percentage share of silicon scraps

### 5.2.1.1 Production of trichlorosilane

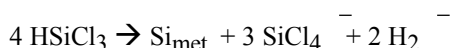
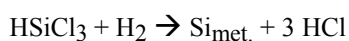
The MG-silicon is grinded to a grain size of < 0.5 mm. The powder is reacted in a fluidized bed by hydrochlorination into gaseous chlorosilane. The products trichlorosilane (TCS,  $\text{HSiCl}_3$ ) and silicon tetrachloride (STC,  $\text{SiCl}_4$ ) are produced according to two reactions:



A by-product is dichlorosilane (DCS,  $\text{H}_2\text{SiCl}_2$ ) and dichloromethylsilane ( $\text{CH}_3\text{SiHCl}_2$ ). This can be used for other production processes at (Wacker 2002). Polluting metals react to chlorides, e.g.  $\text{FeCl}_2$ ,  $\text{AlCl}_3$ ,  $\text{CaCl}_2$ ,  $\text{BCl}_3$ ,  $\text{AsCl}_3$ ,  $\text{PCl}_3$  and  $\text{POCl}_3$  etc. The whole process takes place in reactor made from stainless steel and fitted with PTFE because TCS is not stable with air humidity. Hydrogen is separated in a gas cleaning unit. The silane phase is condensed and purified by distillation. TCS is separated in this stage from the metal chlorides.

### 5.2.1.2 Silicon-deposition

The purified TCS is mixed with hydrogen and then introduced into the deposition reactors. The gas is decomposed onto the surface of heated silicon rods, electrically heated to about 1100°C. The main reactions are:



Again STC is produced in this process. Fig. 5.3 shows the integrated silicon-based production system that is used to reuse the STC. Trichlorosilane (TCS) and high disperse fluosilicic acid (HDK) are produced from the STC.

### 5.2.2 System boundaries and allocation

The purification process provides three different products, which are used in three different economic sectors (see Fig. 5.5). The environmental impacts of the purification process have to be shared between these three coupled products. In LCA the problem how to assign the environmental impacts between different coupled products is termed as allocation problem. Different approaches how to solve this problem are possible according to the ISO-standards. One approach consists of dividing all elementary flows according to the revenue formed by the coupled products, thus the product with the highest price gets the highest environmental impacts. Another possibility is dividing the elementary flows according to mass flows or physical relationships in the system. In this case, the input of hydrogen chloride is allocated to the production of silicon tetrachloride as far as the chloride can be found in this product.

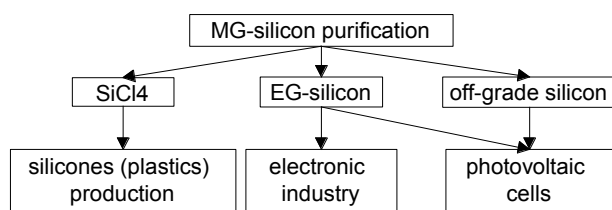


Fig. 5.5: Purification of MG-silicon delivering three different co-products

In several LCA studies of photovoltaics all inputs and outputs for the purification process of MG-silicon have been allocated to the EG-silicon (required for wafer production), because this is the main product from an economic point of view, and no flows have been allocated to the silicon tetrachloride. However, in an LCA study of vacuum insulation (based on silicic acid) inputs and outputs of the purification process have been allocated on the basis of the revenues of EG-silicon and SiCl<sub>4</sub> (Wohler & Schonhardt 2001). ISO 14041 states that, "the sum of the allocated inputs and outputs of a unit process shall equal the unallocated inputs and outputs of the unit process" (International Organization for Standardization (ISO) 1998). This rule has been followed for the ecoinvent database. The inputs and outputs of the silicon purification process are shared between all three products.

The process is modelled as a multi-output process. The modelling choices are explained in Tab. 5.2. The allocation of inputs and elementary flows is based on different flow specific principles. For material inputs of MG-silicon and hydrogen chloride an allocation based on the mass of chemical elements (Si, H, Cl) in the final products has been chosen. Losses of these inputs are attributed to the main product EG-silicon because this is the economic main product. The energy input and emissions from the process are allocated only to the two purified silicon products based on economic revenues because it is assumed that these inputs would not be necessary for the sole production of SiCl<sub>4</sub>. The use of some chemicals and the infrastructure, which is generally necessary for the production process, is shared between all three products based on the respective economic revenue.

This approach is a simplification, because it is herewith assumed that all off-grade silicon comes directly from the EG-silicon purification. In reality a part is formed from scraps for CZ-Si production (Czochralski grade sc-Silicon, see Chapter 5.5) and wafer sawing. These scraps are sold and used directly in the casting process. Thus, a more correct modelling would be to assume also multi-output processes for these process stages. Off-grade silicon from these stages would bear a higher burden, because it already went through more production stages.

In view of the decreasing share of off-grade silicon in the supply of the PV industry (5% in 2005) the influence of this allocation problem is becoming less important for the LCA of photovoltaics.

## 5. Purified silicon and crystalline silicon products

Tab. 5.2 System boundaries and main allocation criteria for the modelling of unit process raw data of MG-silicon purification

Problem	Modelling approach in this study
Different producers use different production processes.	The unit process is modelled for the production process of Wacker in Germany, because for this process most of the data were available. The other important producer in Europe is MEMC in Italy, which uses a different production process (Tab. 5.1).
The process of MG-silicon purification provides different by-products (off-grade Si, TCS, STC, H <sub>2</sub> , MG-silicon).	The price of different products is the main allocation criteria. It is assumed with 20€/kg for off-grade and 75€/kg for EG-silicon. The price for SiCl <sub>4</sub> is estimated with 15€/kg. <sup>11</sup>
The used MG-silicon is used for all by-products. Thus, the input must be allocated to all by-products.	The allocation is based on a mass balance and not on the price of the outputs.
Off-grade silicon, used for casting, is also a by-product of further production stages for singlecrystalline silicon (see Fig. 5.4). A difference in price or quality for these sources is not known.	It is assumed in a simplified approach that all off-grade silicon stems from the first purification stage. This means all inputs and outputs from the CZ-Si process (described in section 5.6) are allocated to the main product sc-Si and not to the off-grade silicon from these process stages.
The source of electricity supply is quite important for the assessment of the environmental impacts.	The electricity consumption is modelled with the electricity used by the German producer (Wacker 2002). No specific assumptions are taken into account for silicon produced at other plants and imported for the production of electronic products.

### 5.2.3 Material inputs

Not much is known about the materials used in the process stage. Nijs et al. (1997) published some data for a Japanese and a US production site based on TCS. Data by Hagedorn (1992) were aggregated including the wafer production. Later they have been disaggregated (Frischknecht et al. 1996; Hartmann 2001). These data are shown in Tab. 5.3.

The most important inputs to this process are MG-silicon, hydrochloric acid and hydrogen. Today a much higher yield from the MG-silicon than investigated by Hartmann can be expected (Hartmann 2001). The product yield from MG-silicon is estimated with 95% based on general assumptions for chemical processes. Out of this about 20% is provided as SiCl<sub>4</sub>. The allocation of the inputs (incl. transport processes) is based on the silicon content in the products. MG-silicon is assumed to be transported by truck over 2000 km from Thamshavn, Norway to Germany (Wacker 2002).

Hydrochloric acid is used in large amounts and it can be partly recovered. The amount coming together with SiCl<sub>4</sub> is about 1kg HCl per 200 g of silicon. Double the amount is considered here as input in order to account for losses and regeneration efforts. High amounts of deionised water are necessary for purification processes (Wacker 2002). The amount is estimated with the average for this production site, which is 17 l/kg product. Further inputs can be seen in Tab. 5.3. For the allocation of the HCl input, the amount of chlorine in SiCl<sub>4</sub> is calculated. The rest is allocated to all products according to the prices. The purpose of high nitrogen use as reported by Nijs et al. (1997) is not clearly described and thus not taken into account here. At least it can be assumed that nitrogen is produced on-site and thus production is included in the electricity use figures.

<sup>11</sup> Personal communication E. Williams, UN University, JP (12.2002): He assumes a price range of 1 – 25\$/kg for this type of product. There is no real market price as most of the production is used internally.

## 5. Purified silicon and crystalline silicon products

All other inputs are allocated according to the product prices. Hydrochloric acid and hydrogen are produced in the same chemical facility. Thus no transports to the production plant are necessary.

Tab. 5.3 Inputs for the production of EG-silicon per kg

		EG-Si	EG-Si	<b>EG-Si</b>	Remarks
		kg	kg	<b>kg</b>	
MG-silicon	kg	1.25 <sup>1)</sup>	1.15	<b>1.05</b>	By-products of MG-silicon removal are subtracted
HCl	kg	3.93 <sup>1)</sup>	-	<b>2.5</b>	For TCS-production
Silicon Tetrachloride	kg	-	0.3	<b>-1.6</b>	Calculation for product output from the process
Sodium hydroxide	kg	-	0.5	<b>0.5</b>	For neutralization of wastes
Hydrogen	kg	0.62	0.07	<b>0.07</b>	Deposition
Nitrogen	kg		3.75	-	Purpose of use not clear.
PTFE	g	0.6	-	<b>0.6</b>	Fittings
PE	g	3.5	-	<b>3.5</b>	Different plastic parts
Graphite	g	0.83	-	<b>0.83</b>	Type of use not known
Cooling water	m <sup>3</sup>	-	50	<b>50</b>	
Source		(Hartmann 2001) derived from (Hagedorn & Hellriegel 1992:141, 123)	(Nijs et al. 1997)	<b>This study</b>	

<sup>1)</sup> Based on information from one producer (1997).

### 5.2.4 Energy use

Tab. 5.4 shows different estimations for the energy use in this process. Methodological decisions influence the outcome of such an energy analysis as already discussed in Tab. 5.2. The publications use quite different system boundaries and different reference units. Sometimes important information is missing. Thus, a full comparability is not given and differences are not always easy to explain. Many studies are not based on first hand data, but on older publications. Here we tried to show only independent calculations based on first hand data and not recalculations from older studies.

Here we use the recent figures 150 kWh electricity and 160 MJ heat (Hartmann 2001). They are based on anonymous European information. It can be assumed that they refer to the production of Wacker for the production site in Burghausen (Wacker 2002) and thus the most relevant information for a European production (see Tab. 5.1). The order of magnitude is similar to other recent publication. Nevertheless the uncertainty is quite high as no first hand information was available. For the calculation of waste heat, 180 MJ/kg EG-Si is subtracted for the bound energy.

In 2001 the German producer Wacker produced 24% of the electricity with a run-of-river hydro power plant and 76% with a cogeneration gas power plant (Wacker 2002). Also all heat requirements were provided by the latter. Most of the energy is used for distillation and electro deposition. Thus, no energy use is allocated to SiCl<sub>4</sub> production.

## 5. Purified silicon and crystalline silicon products

Tab. 5.4 Energy uses for EG-Si production from MG-Si purification

Efficiency MG-Si to Si-Output	Electricity	Heat	Source
%	kWh/kg EG-Si	MJ/kg EG-Si	
n.d.	114.3	-108	(Hagedorn & Hellriegel 1992)
n.d.	58	158	<Häne et al. 1991>
n.d.	129	-	<Linton 1993>
22%	120-150	-	(Kato et al. 1997a)
n.d.	250-470	-	(Alsema et al. 1998, range of literature values)
n.d.	83	-	(Alsema 2000b) estimation for Off-grade silicon.
6-20%	300	-	(Strebkov 1999)
6-20%	250	-	(Tsuo et al. 1998)
37.8%	370	-	(Williams et al. 2002), based on literature in the 1990s
n.d.	200-250	-	(Anderson et al. 2002) production of Czochralski rods from MG-Si bei AsiMi in the USA
86.9%	150	162	(Nijs et al. 1997) TCS and STC Production from MG-Si with HCl and hydrogen in fluidized bed reactor, distillation from gas phase.
23%	101	-	(P. Frankl 1998)
80%	147	155	(Hartmann 2001), based on information provided in 1997, Germany, Recycling of TCS and STC in the process
<b>95% <sup>1)</sup></b>	<b>150</b>	<b>160</b>	<b>This study, small part allocated to the by-product SiCl<sub>4</sub></b>

<sup>1)</sup> See chapter on material inputs

### 5.2.5 Emissions

Not much is known about the direct process emissions. The metal chlorides from silicon purification are treated in the central waste water treatment plant. Emissions to water are estimated based on the average from one production site (Wacker 2002) and they are shown in Tab. 5.5. The allocation is based on economic criteria as a physical relationship is not known.

### 5.2.6 Life cycle inventory of MG-silicon purification

The life cycle inventory data are based on information available for the most important producer in Europe, located in Germany. Thus it cannot be regarded as representative for other technologies or production sites. The electricity consumption is calculated with the in-house mix of the production that uses a natural gas co-generation power plant and hydropower.

Tab. 5.5 shows the inputs, outputs and the allocation factors of the MG-silicon purification process. The meta information for this unit process is shown in Tab. 5.15. The first three lines show the co-products and their respective amounts, EG-silicon (0.68 kg), off-grade electronic grade silicon (0.084 kg) and silicon tetrachloride (1.2 kg). The next lines show the inputs required for the purification of 1 kg of MG-silicon. The three columns to the right show the allocation factors: For instance, 71.1 % of the input "MG-silicon, at plant" is allocated to the 0.68 kg of EG-silicon, 8.9 % to 0.084 kg off-grade silicon and 20 % to 1.2 kg SiCl<sub>4</sub>.

The inputs and outputs described before per kg of EG-silicon are now calculated per kg of MG-silicon input. For electricity this means e.g. 150 kWh/kg EG-Si (including off-grade silicon) / 0.76 kg EG-Si/kg MG-Si = 114 kWh/kg MG-silicon input.



## 5. Purified silicon and crystalline silicon products

Tab. 5.5 Unit process raw data of MG-silicon purification. Allocation factors for the coupled products EG-silicon, off-grade silicon and silicon tetrachloride

	Name	Location	Infrastructure	refProcess	Unit	MG-silicon, to purification	Uncertainty Standard Deviation 95	GeneralComment	silicon, electronic grade, at plant	silicon, electronic grade, off-grade, at plant	silicon tetrachloride, at plant
	Location InfrastructureProcess Unit					DE 0 kg			DE 0 kg	DE 0 kg	DE 0 kg
allocated products	silicon, electronic grade, at plant	DE	0	kg	6.76E-1				100	0	0
	silicon, electronic grade, off-grade, at plant	DE	0	kg	8.44E-2				0	100	0
	silicon tetrachloride, at plant	DE	0	kg	1.20E+0				0	0	100
resource, in water technosphere	Water, cooling, unspecified natural origin	-	-	m3	4.35E+1	1	1.34	(4,4,3,3,1,5); Literature 1997	96.8	3.2	-
	MG-silicon, at plant	NO	0	kg	1.00E+0	1	1.26	(3,1,3,1,1,5); Literature 1997	71.1	8.9	20.0
	polyethylene, HDPE, granulate, at plant	RER	0	kg	6.37E-4	1	1.69	(4,4,3,3,4,5); Literature, Hagedorn, different plastics	72.0	2.4	25.6
	hydrochloric acid, 30% in H2O, at plant	RER	0	kg	2.00E+0	1	1.11	(3,na,1,1,1,na); Estimation, produced on site	48.4	1.6	50.0
	hydrogen, liquid, at plant	RER	0	kg	6.26E-2	1	1.34	(4,4,3,3,1,5); Literature 1997, produced on site	96.8	3.2	-
	tetrafluoroethylene, at plant	RER	0	kg	6.00E-4	1	1.69	(4,4,3,3,4,5); Hagedorn 1992, fittings	72.0	2.4	25.6
	sodium hydroxide, 50% in H2O, production mix, at plant	RER	0	kg	4.35E-1	1	1.34	(4,4,3,3,1,5); Literature 1997, neutralization of wastes	72.0	2.4	25.6
	graphite, at plant	RER	0	kg	6.66E-4	1	1.69	(4,4,3,3,4,5); Hagedorn 1992, graphite	72.0	2.4	25.6
	transport, lorry >16t, fleet average	RER	0	tkm	2.04E+0	1	2.09	(4,5,na,na,na,na); Standard distances 100km, MG-Si 2000km	71.1	8.9	20.0
	transport, freight, rail	RER	0	tkm	8.73E-2	1	2.09	(4,5,na,na,na,na); Standard distances 200km	72.0	2.4	25.6
energy	water, completely softened, at plant	RER	0	kg	1.29E+1	1	1.22	(2,2,1,1,3,3); Environmental report 2002	96.8	3.2	-
	heat, at cogen 1MWle lean burn, allocation exergy	RER	0	MJ	1.22E+2	1	1.59	(3,1,3,1,1,5); Literature 1997, basic uncertainty = 1.5	96.8	3.2	-
	electricity, at cogen 1MWle lean burn, allocation exergy	RER	0	kWh	8.66E+1	1	1.59	(3,1,3,1,1,5); Literature 1997, basic uncertainty = 1.5	96.8	3.2	-
	electricity, hydropower, at run-of-river power plant	RER	0	kWh	2.74E+1	1	1.59	(3,1,3,1,1,5); Literature 1997, basic uncertainty = 1.5	96.8	3.2	-
waste	disposal, plastics, mixture, 15.3% water, to municipal incineration	CH	0	kg	1.24E-3	1	1.69	(4,4,3,3,4,5); Hagedorn 1992	72.0	2.4	25.6
	silicone plant	RER	1	unit	1.00E-11	1	3.05	(1,1,1,1,3,3); Estimation	72.0	2.4	25.6
emission air, high population density	Heat, waste	-	-	MJ	2.74E+2	1	3.05	(1,2,1,1,3,3); Calculation with electricity use minus 180 MJ per kg produced silicon	96.8	3.2	-
emission water, river	AOX, Adsorbable Organic Halogen as Cl	-	-	kg	8.81E-6	1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	BOD5, Biological Oxygen Demand	-	-	kg	1.43E-4	1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	COD, Chemical Oxygen Demand	-	-	kg	1.41E-3	1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	Chloride	-	-	kg	2.51E-2	1	3.05	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	Copper, ion	-	-	kg	7.15E-8	1	5.06	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	Nitrogen	-	-	kg	1.45E-4	1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	Phosphate	-	-	kg	1.96E-6	1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	Sodium, ion	-	-	kg	2.36E-2	1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	Zinc, ion	-	-	kg	1.37E-6	1	5.06	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	Iron, ion	-	-	kg	3.92E-6	1	5.06	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
	DOC, Dissolved Organic Carbon	-	-	kg	6.35E-4	1	1.58	(3,na,na,3,1,5); Extrapolation for sum parameter	96.8	3.2	-
	TOC, Total Organic Carbon	-	-	kg	6.35E-4	1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product	96.8	3.2	-
price		GLO	€		70.36				75.00	20.00	15.00
revenue		GLO	€		70.36				50.67	1.69	18.00

The unit process raw data of a unit process can be calculated as follows. Multiply the figure in the column „MG-silicon, to ...“ with the allocation factor, divided by 100, divide by the output of product in the three green rows (“allocated products”).

### 5.3 Solar-grade silicon, modified Siemens process

The production of electronic grade silicon was discussed in the previous section. Most of this material is supplied to the semiconductor industry, and only a small fraction is used for PV wafer production.

To fill the shortage in production capacity for “solar silicon” that has occurred since 2004, a number of EG-silicon producers have started to produce silicon for the solar industry, employing a slightly modified version of the (trichloro)silane/Siemens route which was described above (“modified Siemens”). The most important difference from our perspective is that the energy consumption of the modified Siemens is somewhat lower than in the standard Siemens process, because of the relaxed purity requirements.

Between 12650 and 14400 tonnes of SoG-silicon have been produced in 2005 (Aulich 2006; Rogol 2005). The price of SoG-silicon is about 30 US\$ per kg (Hesse & Schindlbeck 2004).

## 5. Purified silicon and crystalline silicon products

Most of the silicon for photovoltaic applications is presently produced with a modified version of this same process (“modified Siemens” process). The modifications are found in the deposition step and the subsequent crushing and etching processes (see Fig. 5.6).

### PRODUCTION OF SOLAR POLYSILICON: SIEMENS TYPE DEPOSITION WITH TRICHLOROSILANE

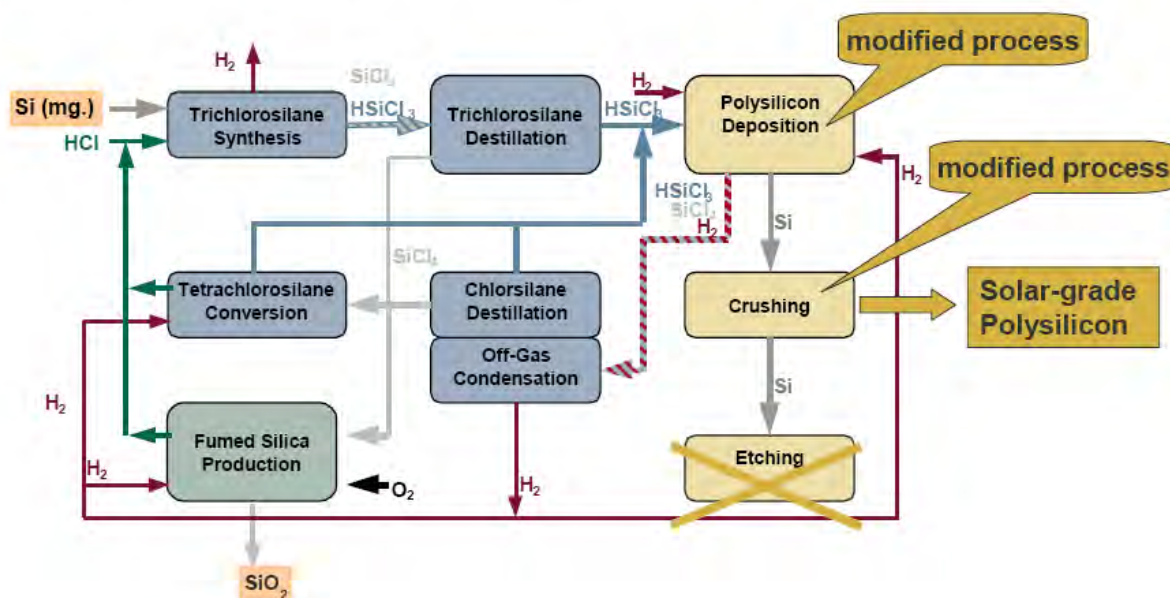


Fig. 5.6 Process scheme for the modified Siemens process for solar-grade polysilicon

The inventory for this process is based on confidential data from one producer that uses a modified Siemens process. For this facility material inputs, thermal input and electricity use are known. In order to protect confidentiality an average of these data with the data given in (Jungbluth 2003, see also Chapter 5.2) (50% EG and 50% off-grade) has been made. The electricity consumption of this producer is a bit lower than the figure for Wacker EG-silicon (see Section 5.2.4). The electricity for this production process is supplied by a nearby hydro power plant and by natural gas cogeneration unit.

The total amount of inorganic chemicals is known with 2 kg of inorganic chemicals per kg of product (de Wild-Scholten & Alsema 2007). The share of different types of specific chemicals has been estimated based on the consumption figures for EG-silicon.

The heat consumption of the process is comparable with the Wacker EG value. For the heat supply a natural gas cogeneration unit, the same as for Wacker, has been assumed.

Direct process emissions to air are not expected. Direct emissions to water are not known. They are estimated with the figures used in the inventory for MG-silicon purification after allocation to the product EG-silicon (see Tab. 5.5, Wacker 2002).

According to the authors of this study, the quality of data for poly-silicon production is not ideal, especially in view of the importance of this process. But, at least reliable data from one manufacturer could be used. It is extremely difficult to get data from this industry type. On the other hand the most important values for this process are those for energy consumption and these matched fairly well between Wacker and the second company. Also the input of MG-silicon matched reasonably. These two producers together have about 30% of the world market for multicrystalline silicon, so that seems fairly representative.<sup>12</sup>

<sup>12</sup> Personal communication, Erik Alsema, 24.11.2006.

## 5. Purified silicon and crystalline silicon products

The most important manufacturer of polysilicon for the European photovoltaic wafer market and their electricity mix are shown in Tab. 5.7. For the situation in China a separate dataset is established using Chinese electricity. Since REC produce their polysilicon in the United States, a transportation of the polysilicon with a transoceanic freight ship is included in the inventory.

Tab. 5.6 Most important producers of polysilicon for the European photovoltaic wafer market (Wacker 2010; 2011)

Polysilicon producer	Production capacity	Electricity mix in 2010
Wacker Burghausen Germany	30'500 tons/year	57 % cogeneration on site
		43 % hydropower on site
REC	16'500 tons/year	Hydro power US
Elkem	6'000 tons/year	Norwegian grid

Tab. 5.7 shows the unit process raw data of this process. The meta information for this unit process is shown in Tab. 5.15.

Tab. 5.7 Unit process raw data for solar-grade silicon from the modified Siemens process, feedstock material for "solar wafers" (de Wild-Scholten & Alsema 2007)

	Name	Location	Infrastructure	Process	Unit	silicon, solar grade, modified Siemens process, at plant	silicon, solar grade, modified Siemens process, at plant	Uncertainty	Type	Standard deviation 95%	GeneralComment
	Location					CN	RER				
	InfrastructureProcess					0	0				
	Unit					kg	kg				
product	silicon, solar grade, modified Siemens process, at plant	RER	0	kg	0	1.00E+0					
product	silicon, solar grade, modified Siemens process, at plant	CN	0	kg	1.00E+0	0					
technosphere	MG-silicon, at plant	NO	0	kg	0	1.13E+0			1	1.15	(2,3,1,2,1,3); Literature
technosphere	MG-silicon, at plant	CN	0	kg	1.13E+0						
	hydrochloric acid, 30% in H <sub>2</sub> O, at plant	RER	0	kg	1.60E+0	1.60E+0			1	1.20	(3,3,1,2,1,3); de Wild 2007, share of NaOH, HCl and H <sub>2</sub> estimated with EG-Si data
	hydrogen, liquid, at plant	RER	0	kg	5.01E-2	5.01E-2			1	1.20	(3,3,1,2,1,3); de Wild 2007, share of NaOH, HCl and H <sub>2</sub> estimated with EG-Si data
	sodium hydroxide, 50% in H <sub>2</sub> O, production mix, at plant	RER	0	kg	3.48E-1	3.48E-1			1	1.20	(3,3,1,2,1,3); de Wild 2007, share of NaOH, HCl and H <sub>2</sub> estimated with EG-Si data
	transport, lorry >16t, fleet average	RER	0	tkm	2.66E+0	2.66E+0			1	2.85	(4,5,na,na,na,na); Distance 2000km plus 100 km for chemicals
	transport, freight, rail	RER	0	tkm	2.40E+0	2.40E+0			1	2.85	(4,5,na,na,na,na); 600km for chemicals including solvent
	transport, transoceanic freight ship	OCE	0	tkm	0	5.30E+0			1	2.77	(2,3,2,2,3,2); Transport of REC silicon from US to European market
	electricity, at cogen 1MW lean burn, allocation exergy	RER	0	kWh	0	3.58E+1			1	1.15	(2,3,1,2,1,3); on-site plant of Wacker in Germany
	electricity, hydropower, at run-of-river power plant	RER	0	kWh	0	6.17E+1			1	1.15	(2,3,1,2,1,3); production of REC and of Wacker's hydropower plant
	electricity, medium voltage, at grid	FI	0	kWh	0	1.25E+1			1	1.15	(2,3,1,2,1,3); production of Elkem in Finland
	electricity, medium voltage, at grid	CN	0	kWh	1.10E+2	-					
	heat, at cogen 1MW lean burn, allocation exergy	RER	0	MJ	1.85E+2	1.85E+2			1	1.15	(2,3,1,2,1,3); literature, for process heat
	silicone plant	RER	1	unit	1.00E-11	1.00E-11			1	4.85	(1,3,1,2,3,3); Estimation
	Heat, waste	-	-	MJ	3.51E+2	3.51E+2			1	1.15	(2,3,1,2,1,3); Calculation
emission air	AOX, Adsorbable Organic Halogen as Cl	-	-	kg	1.26E-5	1.26E-5			1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product
emission water, river	BOD5, Biological Oxygen Demand	-	-	kg	2.05E-4	2.05E-4			1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product
	COD, Chemical Oxygen Demand	-	-	kg	2.02E-3	2.02E-3			1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product
	Chloride	-	-	kg	3.60E-2	3.60E-2			1	3.05	(1,2,1,1,3,3); Environmental report 2002, average Si product
	Copper, ion	-	-	kg	1.02E-7	1.02E-7			1	5.06	(1,2,1,1,3,3); Environmental report 2002, average Si product
	Nitrogen	-	-	kg	2.08E-4	2.08E-4			1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product
	Phosphate	-	-	kg	2.80E-6	2.80E-6			1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product
	Sodium, ion	-	-	kg	3.38E-2	3.38E-2			1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product
	Zinc, ion	-	-	kg	1.96E-6	1.96E-6			1	5.06	(1,2,1,1,3,3); Environmental report 2002, average Si product
	Iron, ion	-	-	kg	5.61E-6	5.61E-6			1	5.06	(1,2,1,1,3,3); Environmental report 2002, average Si product
	DOC, Dissolved Organic Carbon	-	-	kg	9.10E-4	9.10E-4			1	1.58	(3,na,na,3,1,5); Extrapolation for sum parameter
	TOC, Total Organic Carbon	-	-	kg	9.10E-4	9.10E-4			1	1.56	(1,2,1,1,3,3); Environmental report 2002, average Si product

### 5.4 New solar grade silicon processes (new SoG-silicon)

Since more than 20 years there are research works for the production of so called solar-grade silicon (SoG, *solar grade*,  $< 10^{-3}$  Atom-% active impurities). This is tailored for the quality demand of the photovoltaic industry (Pizzini 1982).

The possible production routes for SoG-silicon have been discussed in several literature sources. The direct electricity consumption reported in literature for different types of planned process routes ranged from 15 to 90 kWh/kg.

Tsuo *et al.* (1998) described a chlorine-free process. Ethanol is used instead of trichlorosilane. The electricity use is estimated with 15-30 kWh/kg mc-silicon. But, the yield is estimated with only 6%-20% of the used MG-Si.

Kawasaki Steel Corp. in Japan had first experiences with a process using water vapour. The energy use is estimated with 25 kWh/kg without further information about the type of energy carriers used.<sup>13</sup>

A direct process route for the production of SoG-Si directly from silica sand is described by (Strebkov 1999). He estimated an electricity use of 90 kWh per kg SoG-mc-Si and a yield of 80-90%.

The process planned by Bayer Solar is described by (Pehnt et al. 2002). The electricity use is estimated to be 17 kWh per kg SoG-Si. The silicon losses are high and the yield MG-Si to wafers is estimated with 34%. The company decided to stop further development on this process in 2002 (Woditsch & Koch 2002).

Another process route is developed by Elkem in Norway. The process involves pyro- and hydrometallurgical processes. The metallurgical refining of MG-Si to SoG-Si is estimated to use 25-30 kWh/kg product (Friestad et al. 2006). The production plant is presently under construction and should achieve a production capacity of about 5000 tonnes per year in 2008.

The most successful new process appears to be the application of Fluidized Bed Reactor (FBR) technology for the deposition of silicon from chlorosilane or silane (see Fig. 5.7). At least two manufacturers have set up pilot-scale plants and announced to go to commercial-scale operation in 2007 with FBR technology. It is expected that the electricity consumption of the FBR deposition process will be significantly lower than for Siemens process. de Wild-Scholten & Alsema estimate that the electricity consumption will be 70% lower than for Siemens, in the order of 30 kWh/kg (de Wild-Scholten & Alsema 2005), but no data is given for possible other energy sources and/or for auxiliary supplies.

We will call this material “solar-grade silicon, FBR” to distinguish it from other solar-grade materials. However, as the production for the reference year 2006 was negligible, no unit process raw data are investigated for the type of material.

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<sup>13</sup> Personal communication, Dr. Fukuo Aratani, Solar Energy Dept., NEDO, JP, 11.2002.

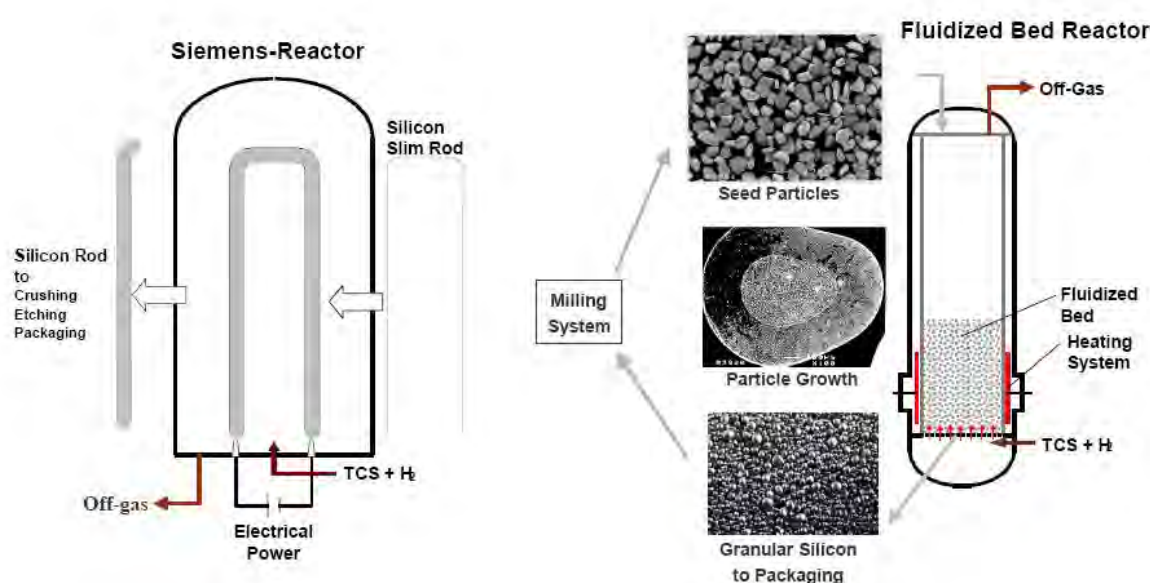


Fig. 5.7 Production of new solar grade silicon processes in fluidized bed reactor

## 5.5 Production mix for purified silicon used in photovoltaics

The recent years showed a rapid change of silicon qualities used for the production of photovoltaic wafers. In 2005 about 80% of purified silicon feedstock for photovoltaics were produced in processes specifically designed for the purpose of photovoltaic feedstock production. The rest of inputs are based on off-grade silicon and EG-silicon raw materials and wafers (Rogol 2005).

The majority of silicon used in the PV industry nowadays is made specifically for this industry with a modified Siemens process. Off-grade silicon has a decreasing share in PV silicon supply, for 2006 it is estimated at only 5% of total PV supply (Bernreuter 2006). In the future it will decrease further. Solar-grade silicon that is produced with alternative deposition processes like fluidised bed reactor does not have a significant market share yet. This will change in the next few years.<sup>14</sup>

The unit process raw data of the used silicon mix in 2005 are shown in Tab. 5.8. The meta information for this unit process is shown in Tab. 5.15. The global production mix is only represented partly as it was not possible to include all existing production routes and production location in the assessment.

Tab. 5.8 Unit process raw data of the silicon mix used for photovoltaics (Rogol 2005)

	Name	Location	Infrastructure	reProcess	Unit	silicon, production mix, photovoltaics, at plant	Uncertainty	Standard deviation	% GeneralComment
	Location Infrastructure Process Unit					GLO 0 kg			
product	silicon, production mix, photovoltaics, at plant	GLO	0	kg	1.00E+0				
	silicon, electronic grade, at plant	DE	0	kg	14.6%	1	1.11	(3,1,1,1,1,1); Literature	
	silicon, electronic grade, off-grade, at plant	DE	0	kg	5.2%	1	1.11	(3,1,1,1,1,1); Literature	
	silicon, solar grade, modified Siemens process, at plant	RER	0	kg	80.2%	1	1.11	(3,1,1,1,1,1); Literature	

<sup>14</sup> Personal communication with Erik Alsema, 24.11.2006.



### 5.6 Czochralski singlecrystalline silicon (CZ-sc-Silicon)

Czochralski (CZ) crystals, as shown in Fig. 5.8, can be grown from a wide variety of differently shaped and doped feedstock material. Here we investigate the production for the use in electronics and in photovoltaics. The EG-silicon is molten and a growing crystal is slowly extracted from the melting-pot. The inventory data is based on literature information and environmental reports of one producer in Germany, because other primary information was not available. The product is Czochralski singlecrystalline silicon (CZ-sc-Silicon). Information about some German producers of CZ-silicon is shown in Tab. 5.9.

Fig. 5.8 Czochralski monocrystalline silicon crystal. Source: Kayex, U.S.A.



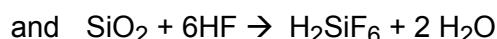
Tab. 5.9 CZ-sc-Silicon producers in the year 2000

Company	Production t	Process
Wacker Siltronic AG, Werk Freiberg, DE	290	Production from EG-silicon, mainly for electronics industry (Wacker 2000)
PV-Silicon, Erfurt, DE	200	Use of Off-Grade silicon, specialized for the demand of the PV-industry (PV Silicon 2002)

#### 5.6.1 Overview

The following description of the production process is based on an older literature reference (Hagedorn & Hellriegel 1992) and has not been updated for this study. The life cycle inventory is based as far as possible on more recent information.

The purified silicon and recycled silicon parts are broken down to a size of 0.1 to 7.5 cm. In an acid bath with nitric acid, hydrogen fluoride and acetic acid the surface is purified and SiO<sub>2</sub> is removed. The following reactions take place:



The waste gases of the process (e.g. NO<sub>x</sub>, HF, acetic acid- and nitric acid) are treated in a gas cleaner before they are released. Information about possible releases is not available. Effluents are discharged directly and have been assessed with older literature data. Deionised water is used for cleaning and acetone is used for final drying.

The cleaned silicon parts are melted in a crucible and a seed crystal is first dipped into the melt. Then the seed is slowly withdrawn vertically to the melt surface whereby the liquid crystallises at the seed. The pulling is done under argon inert gas stream. In order to reduce the argon consumption a pressure of 5 to 50 mbar is required.

### 5.6.2 Energy use

Different figures for the energy use during CZ-Si production from mc-Si are shown in Tab. 5.10 from the literature.

Data for electricity consumption range between 48 and 670 kWh/kg. For this study about 85.6 and 200 kWh/kg have been assumed for CZ-Si used in photovoltaics and electronics, respectively. The assumption is based on information provided by the company Wacker Siltronic in Germany and literature data (de Wild-Scholten & Alsema 2007; Wacker 2006). The data for photovoltaic CZ-ingots are considerably lower than for electronic ingots because the former require less processing and probably because they allow a higher throughput. Further details about reasons for possible differences are not available. The UCTE production mix has been used to model the electricity supply, because this process takes place in different European countries and detailed data for the electricity supply for different producers were not available.

Also the data for the process yield (CZ-silicon output in relation to silicon input) are quite different (see Tab. 5.10). Part of the silicon wastes can be used again as off-grade silicon (see Fig. 5.4). This amount is not considered as a loss as far as it can be directly used as an input to the process. The material efficiency is estimated with the latest literature figures as shown in Tab. 5.10.

Tab. 5.10 Electricity- and silicon use for the production of CZ-Si from mc-Si

Electricity	Efficiency	Electricity	Heat	Source
kWh/kg mc-Si	%	kWh/kg CZ-sc-Si	MJ/ kg CZ-sc-Si	
		100		(Alsema et al. 1998), only second crystallization stage
		390		(Hagedorn & Hellriegel 1992), incl. wafer production
	50%	250		(Williams et al. 2002), older literature from 1996. 20% of wastes can be used for PV.
	80-85%	240-320		(Kato et al. 1997a)
	60%	48.1		(Nijs et al. 1997)
	100%	106.8		Scenario for reduced energy use (P. Frankl 1998)
117				(Knapp & Jester 2000b)
		140-670		(Alsema et al. 1998)
		50		(Anderson et al. 2002) Only growing from CZ-rods
	70%	127	230	Personal communication for Wacker, electricity use incl. wafer production in 2000 (Wacker 2000)
		200	270	(Wacker 2006) for electronics
	93.5%	(100)	68	(de Wild-Scholten & Alsema 2007) for PV including wafer sawing
	93.5% (70%)	85.6 (200)	68 (270)	<b>This study: photovoltaics (electronics)</b>

\* own calculation subtracting use for wafer sawing

### 5.6.3 Material use

The use of different materials is calculated with information from literature (de Wild-Scholten & Alsema 2007; Hagedorn & Hellriegel 1992; Wacker 2006). Tab. 5.11 shows the amounts.

## 5. Purified silicon and crystalline silicon products

Tab. 5.11 Material use for CZ-sc-Silicon production. Disaggregated figures from (Hagedorn & Hellriegel 1992:p. 141, de Wild-Scholten & Alsema 2007; Wacker 2006)

Materials	CZ-Silicon	Remarks
	g/kg	
Tap water	94	Wacker 2006
Surface water	2050	Wacker 2006
Cooling water	2330	Wacker 2006
Nitric acid, HNO <sub>3</sub>	94.7	Hagedorn & Hellriegel 1992 <sup>*)</sup>
Hydrogen fluoride, HF	50.7	Hagedorn & Hellriegel 1992 <sup>*)</sup>
Acetic acid	108	Hagedorn & Hellriegel 1992 <sup>*)</sup>
Acetone	49	Cleaning and etching after crystal growth (Hagedorn & Hellriegel 1992)
Argon	5790	Protection gas (de Wild-Scholten & Alsema 2007)
Quartz crucible	336	CZ-crystal growing (de Wild-Scholten & Alsema 2007)
NaOH	41.5	Neutralization for gas washing Hagedorn & Hellriegel 1992
Lime, Ca(OH) <sub>2</sub>	191	Waste water treatment Hagedorn & Hellriegel 1992

Data for the wafer provided by Hagedorn are multiplied with a factor of 0.56 in order to account for reduced thickness and sawing gap. A consumption of 12.04g EG-Si/Wafer is used for the recalculation.

<sup>\*)</sup> It is possible that data for the use of acids are outdated. Recent information was not available

### 5.6.4 Emissions

Water emissions from the process are estimated with literature data for the use of chemicals (Hagedorn & Hellriegel 1992). This amount is considered to be discharged to water. It is estimated that these emissions are reduced by a factor of 50% based on the information found on the summarized amount provided in an environmental report (Wacker 2000). Nitrogen emissions are taken as 50% of the total amount reported in an environmental report for CZ-production and wafer production. The second half is considered as an emission in the inventory for wafer production (Wacker 2006). Tab. 5.12 shows this estimation.

The amount of possible process emission is not known. Due to the type of process it is not considered to relevant.

### 5.6.5 Infrastructure

The mass of one crystal grower for CZ-silicon production is provided by (Knapp & Jester 2000b) with 4536 kg steel for the production of 40 kg CZ-sc-Silicon per day over 10 years. Further information was not available.

Data for the infrastructure in the chemical facilities for silicon production are available (Wacker 2002). They are documented in the report on “silicones” (Althaus et al. 2007). The relevant unit process raw data are applied here to describe the infrastructure for CZ-sc-Silicon production in the same facility.

### 5.6.6 Life cycle inventory of CZ-sc-Silicon production

Tab. 5.12 shows the unit process raw data for the production of CZ-sc-Silicon. Recycled silicon goes through the same crystallisation process again. That is incorporated in the energy and material data. The system boundary of this process is at the factory fence, and all internal recycling is part of the account. Transports of the silicon input are estimated with 1000 km by truck because there are only two producers in Europe. The meta information for this unit process is shown in Tab. 5.15.



## 5. Purified silicon and crystalline silicon products

Tab. 5.12 Unit process raw data of CZ-sc-Silicon

	Name	Location	Infrastructure	Process	Unit	CZ single crystalline silicon, electronics, at plant			GeneralComment	CZ single crystalline silicon, photovoltaics, at plant			GeneralComment
						RER	0	kg		RER	0	kg	
product	CZ single crystalline silicon, electronics, at plant					RER	0	kg	1.00E+0			0	
	CZ single crystalline silicon, photovoltaics, at plant					RER	0	kg	0			1.00E+0	
resource, in water	Water, cooling, unspecified natural origin	-	-	-	m3			2.33E+0	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006			2.33E+0	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006
	Water, river	-	-	-	m3			2.05E+0	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006			2.05E+0	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006
technosphere	electricity, medium voltage, production UCTE, at grid	UCTE	0		kWh			2.00E+2	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006			8.56E+1	1 1.24 (1,4,1,2,1,5); de Wild 2007
	natural gas, burned in industrial furnace low-NOx >100kW	RER	0		MJ			2.70E+2	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006			6.82E+1	1 1.24 (1,4,1,2,1,5); de Wild 2007
water	tap water, at user	RER	0		kg			9.41E+1	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006			9.41E+1	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006
	silicon, electronic grade, at plant	DE	0		kg			1.43E+0	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006			-	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2000
	silicon, production mix, photovoltaics, at plant	GLO	0		kg			-	1 1.24 (1,4,1,2,1,5); Environmental report Wacker 2006			1.07E+0	1 1.24 (1,4,1,2,1,5); de Wild 2007
materials	argon, liquid, at plant	RER	0		kg			5.79E+0	1 1.24 (1,4,1,2,1,5); de Wild 2007, protection gas for crystal growing			5.79E+0	1 1.24 (1,4,1,2,1,5); de Wild 2007, protection gas for crystal growing
	hydrogen fluoride, at plant	GLO	0		kg			5.07E-2	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992			5.07E-2	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992
	nitric acid, 50% in H2O, at plant	RER	0		kg			9.47E-2	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992			9.47E-2	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992
	acetic acid, 98% in H2O, at plant	RER	0		kg			1.08E-1	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992			1.08E-1	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992
	acetone, liquid, at plant	RER	0		kg			4.90E-2	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992			4.90E-2	1 1.36 (3,4,3,3,3,5); For etching, Hagedorn 1992
	sodium hydroxide, 50% in H2O, production mix, at plant	RER	0		kg			4.15E-2	1 1.36 (3,4,3,3,3,5); waste gas neutralization, Hagedorn 1992			4.15E-2	1 1.36 (3,4,3,3,3,5); waste gas neutralization, Hagedorn 1992
	ceramic tiles, at regional storage	CH	0		kg			3.36E-1	1 1.24 (1,4,1,2,1,5); de Wild 2007, quartz crucible for melting the silicon			3.36E-1	1 1.24 (1,4,1,2,1,5); de Wild 2007, quartz crucible for melting the silicon
	lime, hydrated, packed, at plant	CH	0		kg			1.91E-1	1 1.36 (3,4,3,3,3,5); waste water treatment, Hagedorn 1992			1.91E-1	1 1.36 (3,4,3,3,3,5); waste water treatment, Hagedorn 1992
transport	transport, lorry >16t, fleet average	RER	0		tkm			2.10E+0	1 2.09 (4,5,na,na,na,na); Standard distance 100km, sand 50km, silicon 1000km			1.74E+0	1 2.09 (4,5,na,na,na,na); Standard distance 100km, sand 50km, silicon 1000km
	transport, freight, rail	RER	0		tkm			4.00E+0	1 2.09 (4,5,na,na,na,na); Standard distance 600km			4.00E+0	1 2.09 (4,5,na,na,na,na); Standard distance 600km
infrastructure	silicon plant	RER	1		unit			1.00E-11	1 3.05 (1,2,1,1,3,3); Estimation			1.00E-11	1 3.05 (1,2,1,1,3,3); Estimation
	disposal, waste, Si waferprod., inorg. 9.4% water, to residual material landfill	CH	0		kg			3.64E+0	1 1.24 (1,4,1,2,1,5); Environmental report Wacker			3.64E+0	1 1.24 (1,4,1,2,1,5); Environmental report Wacker
emission air, high population density	Heat, waste	-	-		MJ			7.20E+2	1 1.25 (3,3,2,3,1,5); Calculation			7.20E+2	1 1.25 (3,3,2,3,1,5); Calculation
emission water, river	Fluoride	-	-		kg			2.37E-3	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% reduction, basic uncertainty = 3			2.37E-3	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% reduction, basic uncertainty = 3
	Hydrocarbons, unspecified	-	-		kg			2.28E-2	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% reduction, basic uncertainty = 3			2.28E-2	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% reduction, basic uncertainty = 3
	Hydroxide	-	-		kg			7.42E-3	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% reduction, basic uncertainty = 3			7.42E-3	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% reduction, basic uncertainty = 3
	Acetic acid	-	-		kg			5.40E-2	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% emission, basic uncertainty = 3			5.40E-2	1 3.08 (3,4,3,3,1,5); Hagedorn 1992, 50% emission, basic uncertainty = 3
	BOD5, Biological Oxygen Demand	-	-		kg			1.30E-1	1 3.08 (5,na,1,1,1,na); Extrapolation for sum parameter			1.30E-1	1 3.23 (5,na,1,1,1,na); Extrapolation for sum parameter
	COD, Chemical Oxygen Demand	-	-		kg			1.30E-1	1 3.08 (5,na,1,1,1,na); Extrapolation for sum parameter			1.30E-1	1 3.23 (5,na,1,1,1,na); Extrapolation for sum parameter
	DOC, Dissolved Organic Carbon	-	-		kg			4.05E-2	1 3.08 (5,na,1,1,1,na); Extrapolation for sum parameter			4.05E-2	1 3.23 (5,na,1,1,1,na); Extrapolation for sum parameter
	TOC, Total Organic Carbon	-	-		kg			4.05E-2	1 3.08 (5,na,1,1,1,na); Extrapolation for sum parameter			4.05E-2	1 3.23 (5,na,1,1,1,na); Extrapolation for sum parameter
	Nitrogen	-	-		kg			9.10E-3	1 1.61 (3,4,3,3,1,5); Environmental report Wacker 2006, 50% of total emissions			9.10E-3	1 1.61 (3,4,3,3,1,5); Environmental report Wacker 2006, 50% of total emissions

## 5.7 Casting mc-silicon

EG-silicon, off-grade silicon and SoG-silicon are molten and casted or melted in(to) crucibles (Fig. 5.9). Fig. 5.10 shows the production process. The purified silicon is casted into a quartz crucible. The crucibles are afterwards reused in road construction. The large round mc-Si blocks are cut with saws to square blocks. The cuttings can be partly reused. Wafers can be directly produced from these multicrystalline blocks.

Data for this production stage are estimated using published information (de Wild-Scholten & Alsema 2007; Nijs et al. 1997). Energy data are reported in Tab. 5.13. Further information about the type of process behind these figures are not available.

Fig. 5.9 400 kg ingot produced in Integrated Project Crystal-Clear.  
Source: Deutsche Solar, Germany



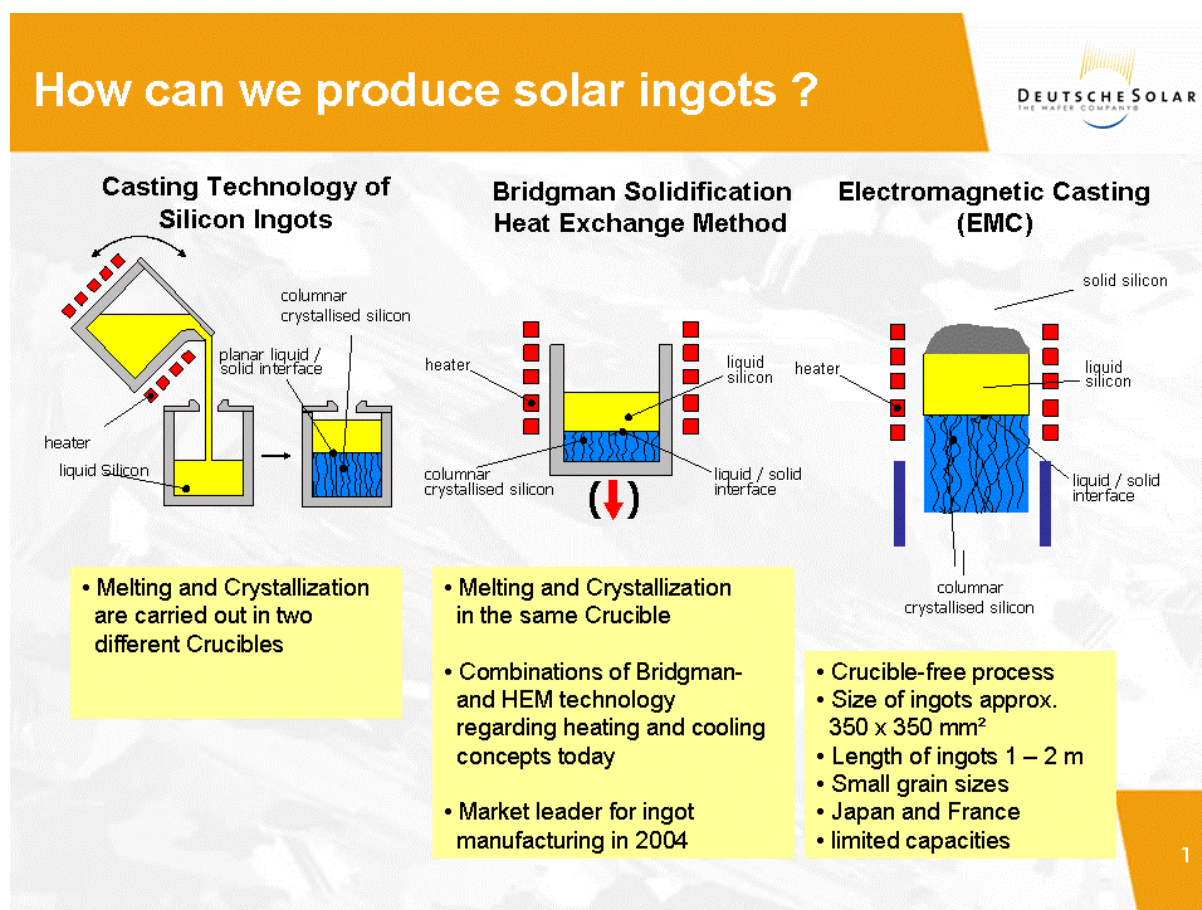


Fig. 5.10 Ingot growing methods. Source: Deutsche Solar, Germany

Tab. 5.13 Energy use for casting of multicrystalline silicon

Efficiency	Electricity	Source
%	kWh/kg mc-Si	
	48	<Strese <i>et al.</i> 1988>
64%	20.9	(Nijs <i>et al.</i> 1997)
70%		(Sarti & Einhaus 2002)
88%	(23)	including wafer sawing (de Wild-Scholten & Alsema 2007)
<b>88%</b>	<b>19.3</b>	<b>This study</b> , calculation by (de Wild-Scholten & Alsema 2007) minus electricity use for wafer sawing

Tab. 5.14 shows the unit process raw data of silicon casting. The inventory considers the energy use for melting and some material inputs, but no direct emissions to air and water, because information was not available (de Wild-Scholten & Alsema 2007; Nijs *et al.* 1997). The transport of purified silicon to this production stage is assumed with 1000 km by truck because there are only 2 producers in Europe. The meta information for this unit process is shown in Tab. 5.15.

## 5. Purified silicon and crystalline silicon products

Tab. 5.14 Unit process raw data of casting for multicrystalline silicon

	Name	Location	Infrastructure	Unit	silicon, multi-Si, casted, at plant	Uncertainty	GeneralComment
	Location				RER	Standard	
	InfrastructureProcess				0	Deviation	
	Unit				kg		
product	silicon, multi-Si, casted, at plant	RER	0	kg	1.00E+0		
resource, in water	Water, cooling, unspecified natural origin	-	-	m3	5.00E+0	1	1.26 (3,4,2,3,1,5); Nijls 1997
technosphere	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	1.93E+1	1	1.07 (1,2,1,1,1,3); Estimation with de Wild 2007
	argon, liquid, at plant	RER	0	kg	2.67E-1	1	1.07 (1,2,1,1,1,3); de Wild 2007, for ingot growing
	helium, gaseous, at plant	RER	0	kg	1.19E-4	1	1.07 (1,2,1,1,1,3); de Wild 2007, for ingot growing
	nitrogen, liquid, at plant	RER	0	kg	4.67E-2	1	1.07 (1,2,1,1,1,3); de Wild 2007, for ingot growing
	ceramic tiles, at regional storage	CH	0	kg	3.42E-1	1	1.07 (1,2,1,1,1,3); de Wild 2007, quartz for ingot growing
	silicon, production mix, photovoltaics, at plant	GLO	0	kg	1.14E+0	1	1.07 (1,2,1,1,1,3); de Wild 2007, total silicon needed minus internally recycled silicon from ingot cut-offs and broken wafers.
	transport, lorry >16t, fleet average	RER	0	tkm	1.17E+0	1	2.09 (4,5,na,na,na,na); Standard distances 50km, silicon 1000km
	transport, freight, rail	RER	0	tkm	6.56E-2	1	2.09 (4,5,na,na,na,na); Standard distances 100km
	silicone plant	RER	1	unit	1.00E-11	1	3.05 (1,2,1,1,3,3); Estimation
emission air	Heat, waste	-	-	MJ	6.95E+1	1	1.25 (3,3,2,3,1,5); Calculation

## 5.8 Meta information of crystalline silicon products

Tab. 5.15 shows the EcoSpold meta information of different silicon products investigated in this chapter.

## 5. Purified silicon and crystalline silicon products

Tab. 5.15 EcoSpold meta information of different silicon products

ReferenceFunction	Name	MG-silicon, to purification	silicon, solar grade, modified Siemens process, at plant	silicon, production mix, photovoltaics, at plant	silicon, multi-Si, casted, at plant	CZ single crystalline silicon, electronics, at plant	CZ single crystalline silicon, photovoltaics, at plant
Geography	Location	DE	RER	GLO	RER	RER	RER
ReferenceFunction	Infrastructure	Process	Process	Process	Process	Process	Process
ReferenceFunction	Unit	kg	kg	kg	kg	kg	kg
TimePeriod	IncludedProcesses	Purification of MG-silicon including materials, energy use, wastes and air emissions.	Gate to gate inventory for the production of high purity polycrystalline silicon from MG-silicon in actual processes. Only energy use, chemicals and yield are known. Emissions to water are roughly estimated.	Production mix for the purified silicon feedstock used for so-and mc-Si cell in photovoltaics. The global production mix is represented partly as it was not possible to include all existing silicon.	Gate to gate inventory for the casting of EG-Si and off-grade Si.	Gate to gate inventory for the Czochralski process. Crushing of Si, etching with HNO <sub>3</sub> , HF and acetic acid. Melting in a silica pot and crystallisation to produce a monocrystalline material. Water emissions roughly estimated. Process emissions roughly estimated.	Gate to gate inventory for an improved Czochralski process. Crushing of Si, etching with HNO <sub>3</sub> , HF and acetic acid. Melting in a silica pot and crystallisation to produce a monocrystalline material. Water emissions roughly estimated. Process emissions roughly estimated.
	LocalName	MG-Silizium, in Reinigung	Silizium, Solaranwendung, modifizierter Siemens Prozess, ab Werk	Silizium, Produktionsmix, Photovoltaik, ab Werk	Silizium, multi-Si, im Block, ab Werk	CZ single-Silizium, Elektronik, ab Werk	CZ single-Silizium, Photovoltaik, ab Werk
	Synonyms	EG-Si	SoG-Silicon/polycrystalline		polycrystalline	Czochralski process	Czochralski process
	GeneralComment	The multi-output-process "MG-silicon, to purification" delivers the co-products "silicon, electronic grade", "silicon, electronic grade, off-grade", "silicon tetrachloride". The allocation is based on mass balance and economic criteria. World production of EG-Si was 18'000t in 2000, 2'000t were sold as off-grade Si to the photovoltaic industry. Wacker produced 3'000t EG-Si. Total production SiCl <sub>4</sub> 1.6 million tonnes from different processes.	Process for silicon used in photovoltaic industry. Purity >98% sufficient for use in photovoltaic industry.	Production mix of different feedstock for silicon used in photovoltaic industry. Purity >98% sufficient for use in photovoltaic industry	Production of a polycrystalline block with a weight of about 250kg.	Production of a monocrystalline block with a diameter of 130mm and a length of 150cm. Losses of non-recycled material due to block cutting are included.	Production of a monocrystalline block with a diameter of 130mm and a length of 150cm. Losses of non-recycled material due to block cutting are included.
	Category	metals	metals	metals	metals	photovoltaic	photovoltaic
	SubCategory	refinement	refinement	refinement	refinement	production of components	production of components
	Formula	Si	Si	Si	Si	Si	Si
	StatisticalClassification						
	CASNumber	7440-21-3	7440-21-3	7440-21-3	7440-21-3	7440-21-3	7440-21-3
	StartDate	1992	2004	2005	1997	1992	1992
	EndDate	2005	2005	2005	2005	2006	2006
Geography	OtherPeriodText	Time of publications.	Time of investigation	Time of investigation	Time of data collection. Data refer to 2005.	Most data are published in 2006. Some older data published in 1992.	Most data are published in 2006. Some older data published in 1992.
	Text	The inventory is modelled for the largest European production plant. For the second plant in IT data were not available.	Data for different types of processes in Europe and North America.	Data for the worldwide consumption.	Estimation for RER.	Data for a plant in DE and estimation for RER.	Data for RER.
Technology	Text	Production of HSiCl <sub>3</sub> with HCl, cleaning, vacuum distillation and production of the three products.	Production with Siemens process either from SiHCl <sub>3</sub> or SiH <sub>4</sub> . Partly with standard Siemens process and partly with modified Siemens ("solar grade") at reduced electricity consumption. Mix of electricity supply in accordance with actual conditions at considered production locations.	Market mix of different technologies.	Purified silicon is melted in cast in a graphite box. Than edges are sliced and blocks are sawn.	Czochralski process for production of monocrystalline silicon blocks. Than edges are sliced and blocks are sawn.	Czochralski process for production of monocrystalline silicon blocks. Than edges are sliced and blocks are sawn.
Representativen	Percent	75	75	90	0	10	10
	ProductionVolume	World production of EG-Si was 17'000t in 2005.	12600 t in 2005	15000 t in 2005	Not known.	16000 tonnes in 2005.	not known
	SamplingProcedure	Literature data.	Average of data from one company and estimated data from another company based on literature data	Literature.	Literature data.	Publication of plant specific (partly aggregated) data.	Publication of plant specific (partly aggregated) data and literature information.
	Extrapolations	Some data are derived from other or unknown plants.	Emissions to water are estimated with figures investigated for MG-silicon purification to EG-silicon with a similar type of process.	none	Extrapolation with cumulative data including wafer sawing for electricity use.	none	none

## 6 Silicon wafer production

### 6.1 Production process

#### 6.1.1 sc-Si and mc-Si wafers

The wafer sawing is investigated together for sc-Si and mc-Si wafer as the differences in the production process are considered to be minor. Most of the producers use today a multi-wire slicing technology. This has the advantage of high wafer throughputs per day compared to inner diameter saws.

The monocrystalline silicon ingots are cut in a first step by band saws or wire sawing into columns with a cross section determined by the final wafer size. Based on geometrical considerations, it is assumed that 36 % of the silicon is lost in this step, it is recycled and fed in to the silicon supply again though<sup>15</sup>.

The polycrystalline silicon is already casted in columns and no cutting is necessary<sup>16</sup>. The columns are placed in a multi-wire saw that slices them into wafers (see Fig. 6.1). A single wire might be several kilometres long. The wires are tightened parallel. Cutting is achieved by abrasive slurry with silicon carbide. The sludge from the sawing is recycled (see Chapter 4.4 for the unit process raw data of this process).

New technologies are developed in order to reduce the kerf losses and thus increase the silicon efficiency (Nasch et al. 2006).



Fig. 6.1 Multi-wire saw ([www.tocera.co.kr/en/research/slicej.html](http://www.tocera.co.kr/en/research/slicej.html))

The wafers are cleaned after the process. Different chemicals might be used for this purpose, e.g. KOH or NaOH, hydrochloric acid, acetic acid, and tenside.

The wafers are then packaged in polystyrene and plastic foil. The amount of these materials was estimated in <Strese *et al.* 1988, p. 24>.

The most important producers of wafers for photovoltaics are shown in Tab. 6.1.

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<sup>15</sup> <http://www.norsuncorp.no/>, October 2011

<sup>16</sup> <http://www.yinglisolar.com/de/>, <http://www.recgroup.com/>, <http://www.ldksolar.com/>, <http://www.memc.com/>; October 2011



## 6. Silicon wafer production

Tab. 6.1 Wafer producers in 2005 (Brand 2006; Ilken 2006; Schmela 2005)

Company	Country	Si-Type	Production (million dm <sup>2</sup> )
Amex	RU	sc-Si	3.6
Asi Industries	DE		11.3
BP Solar	US	mc-Si	55.6
Deutsche Solar	DE	mc-Si/sc-Si	107
Elma-Phytol	RU	sc-Si	4
Evergreen	US	mc-Si	10.8
Green Energy Technology	TW	mc-Si	15.2
JFE	Asia	mc-Si	13
PCMP	RU	sc-Si	14.5
PV Crystalox, Erfurt	DE	mc-Si	80
ScanWafer	NO	mc-Si	149
Swiss Wafer	CH	sc-Si	12
Schott Solar	DE	mc-Si	27.9
Shunda	Asia	sc-Si	23
M. Setek	JP	sc-Si	71.9

### 6.1.2 Ribbon silicon wafers

As a third type of wafers we investigate here ribbon silicon wafers. These wafers are also made of multicrystalline silicon. The silicon wafers are not sawn from blocks, but they are directly pulled or casted from liquid silicon. Thus a much higher material efficiency can be achieved because sawing losses are avoided.

A 100-300 µm thick silicon film is produced directly. This is cut to square pieces e.g. with a laser. Important processes are the edge-defined film-fed growth (EFG), string ribbon and ribbon growth on substrate (RGS).

SCHOTT Solar commercially uses the EFG process. The silicon ribbon is pulled to heights of up to 7 m from the top of a graphite die (Hahn & Schönecker 2004). Evergreen Solar Inc uses the string ribbon technology. It uses high temperature resistant strings, which are drawn at a distance of 8 cm between each other through a crucible with liquid silicon. They pull up a meniscus of about 7 mm height, which crystallizes to become the ribbon (Hahn & Schönecker 2004). For the production of RGS a series of graphite based substrates move at high velocity under a casting frame, which contains liquid silicon and defines the size of the wafers and the solidification front (Hahn & Schönecker 2004).

## 6.2 Wafer thickness and surface

The material efficiency in the use of silicon is important. Tab. 6.2 to Tab. 6.3 show the development and literature information about wafer thickness and sawing losses. Technically it is possible to produce wafers with a thickness down to 100 µm. But, most of the production plants have a higher thickness in order to ensure a good handling of the wafers with lower losses due to breakages.

The reference flow for the life cycle inventory is one square metre of wafer surface. The sc-silicon columns are sawn into square wafers with a size 156x156 mm<sup>2</sup> (0.0243 m<sup>2</sup>) and an assumed thickness of 190 µm. The final wafer weight is 466 g/m<sup>2</sup>. The mc-silicon columns are sawn into wafers with a square size 156x156 mm<sup>2</sup> (0.0243 m<sup>2</sup>) and an assumed thickness of 190 µm. The weight is 443 g/m<sup>2</sup>. The ribbon silicon wafers have a wafer thickness of 200-300 µm. The wafer area is 120 to 156 cm<sup>2</sup>, thickness 250 µm. The weight is 583 g/m<sup>2</sup>. An efficient recycling technology for the the silicon kerf loss is under development (Kao et al. 2010; Lin et al. 2010; Wang et al. 2009).

## 6. Silicon wafer production

Tab. 6.2 Recent (2011) literature data about wafer thickness

Wafer thickness sc-Si	Wafer thickness mc-Si	Source
$\mu\text{m}$	$\mu\text{m}$	
	200	<a href="http://www.sumcousa.com/">http://www.sumcousa.com/</a> , October 2011
170	180	<a href="http://www.ldksolar.com/">http://www.ldksolar.com/</a> , October 2011
193	195	<a href="http://www.memc.com/">http://www.memc.com/</a> , October 2011
200	200	<a href="http://www.chnland.com/en/">http://www.chnland.com/en/</a> , October 2011
160	-	<a href="http://www.bosch-solarenergy.de/">http://www.bosch-solarenergy.de/</a> , October 2011
200	200	<a href="http://www.nexolon.com/">http://www.nexolon.com/</a> , October 2011
190	190	<a href="http://www.renesola.com/">http://www.renesola.com/</a> , October 2011
	200	<a href="http://www.getinc.com.tw/En/">http://www.getinc.com.tw/En/</a> , October 2011
200	200	<a href="http://www.cxr solar.cn/">http://www.cxr solar.cn/</a> , October 2011
	190	<a href="http://www.schellas.gr/">http://www.schellas.gr/</a> , October 2011
200	200	<a href="http://www.swisswafers.ch/">http://www.swisswafers.ch/</a>
<b>190</b>	<b>200</b>	<b>Average used in this study</b>

Tab. 6.3 Recent (2009 to 2011) literature data about kerf loss

Kerf loss	Type of sawing	Source
$\mu\text{m}$		
130-400	wire	<a href="http://www.southbaytech.com/appnotes/89%20Kerf%20Loss%20Comparisons.pdf">http://www.southbaytech.com/appnotes/89%20Kerf%20Loss%20Comparisons.pdf</a>
40 %	unspecified	Wang et al. 2009
50 %	mulit-wire	Friedmann et al. 2011
20-40 %	mulit-wire	Lin et al. 2010
200-250	multi-wire	Lee et al. 2009
180-210	wire	Kao et al. 2010
<b>50 %</b>		<b>Average used in this study</b>

### 6.3 Energy use and silicon consumption

Tab. 6.4 shows the information about the electricity use of wafer sawing as reported in different studies. Some studies collected data for different stages lumped together. For this study we assume an electricity use of 8 kWh/m<sup>2</sup> for photovoltaics wafer and 30 kWh/m<sup>2</sup> for electronics wafer. The most recent data have been used for photovoltaic wafers. The reliable information for today's production, which includes wafering and casting, is used as the basis for the assumption. It has been disaggregated between the two process stages (see also Tab. 5.13). A part of the variation of the data on electricity use might also be explained by different wafer thickness and sawing gaps. But, it was not possible to include such differences to account for differences in wafer thickness between single- and multi-silicon wafers.

The difference between figures for wafers used in electronics and photovoltaics cannot be explained with the available information, but partly with the different age of data and possible variations between different factories. No further investigations have been made because of the low importance in the overall inventory. Differences between sc-Si and mc-Si wafers could not be investigated. They are assumed to be less relevant than differences between different production facilities.

The consumption of natural gas for removing adhesive after sawing is 4 MJ/m<sup>2</sup> (de Wild-Scholten & Alsema 2007).

## 6. Silicon wafer production

The material efficiency calculation is also based on a recent survey for different producers (de Wild-Scholten & Alsema 2007).

Tab. 6.4 Electricity use of the production of wafers from silicon. Figures in brackets summarize more than one process stage. Recalculated for a wafer size of 100 cm<sup>2</sup>.

Electricity kWh/kg	Electricity kWh/Wafer	Efficiency %	Source
	(2.2)		(Hagedorn & Hellriegel 1992) , incl. CZ-Silicon production
	(1.7)		(Hagedorn & Hellriegel 1992), incl. mc-casting
(210)	(1.47)		(Kato et al. 1997b), incl. CZ-Si production
	0.125	60.5%	(Nijs et al. 1997)
	0.2-0.7		(P. Frankl & Gamberale 1998)
	0.24		(Alsema 2000a)
-	-	66%	(Sarti & Einhaus 2002)
240	1.68	56%	(Williams et al. 2002) for sc-Si wafer
	(9)		Wacker 2000, Total incl. CZ-Si production
	0.3	66%	(Jungbluth 2003) calculated with Wacker data for electronics
	(0.3)	59%/47%	Including casting (de Wild-Scholten & Alsema 2007) for sc-Si/mc-Si
	0.06-0.1		Estimation <sup>17</sup>
	<b>0.08 (0.3)</b>	<b>59%/47%</b>	<b>This study</b> , efficiency for sc-Si/mc-Si (estimation electronics wafer). Considered also for the disaggregation of the data used for casting (see Tab. 5.13).

## 6.4 Materials

Tab. 6.5 shows the inputs and auxiliary materials used for the wafer sawing. The data investigated in the CrystalClear project have been used as far as available (de Wild-Scholten & Alsema 2007). The estimation for argon in the process for electronics wafer is based on Philipsen & Alsema (1995). Further information were available for the company Wacker (Wacker 2000; 2006; personal communication<sup>18</sup>). The assumption for the use of glass is based on literature data (Nijs et al. 1997).

## 6.5 Output, Emissions

Wafers are cleaned after sawing. Therefore acids are applied, e.g. HF, HCl or acetic acid. Emissions from this process are feed to a gas-cleaning unit and they are neutralized with sodium hydroxide. The amount of other air emissions is not known.

The effluent contains e.g. sodium nitrate, sodium fluoride or sodium acetate. The effluents are feed to an internal wastewater treatment plant. Most of the data have been investigated for different production plants in 2005 (de Wild-Scholten & Alsema 2007). Some data are derived from an environmental report of the company Siltronic AG (Wacker 2000; 2006).

The wafers produced for the electronic industry receive a surface-polishing step to make nice shiny wafers. The quality standards for micro-electronic wafers are much higher and more post-sawing processing is applied. Polishing is done in the electronics industry with nitric acid. Because, the PV

<sup>17</sup> Personal communication with Erik Alsema, 9.3.2007.

<sup>18</sup> Personal communication D. Rössler, Wacker Siltronic AG, Werk Freiberg, 12.2002



industry needs rough wafers, this polishing step is not done here<sup>19</sup>. Therefore no NO<sub>x</sub> emission will occur in the PV wafer production from the use of nitric acid.

### 6.6 Life cycle inventory of silicon wafer production

Tab. 6.5 shows the unit process raw data for silicon wafers. Recent literature data have been used to elaborate this life cycle inventory (de Wild-Scholten & Alsema 2007; Kato et al. 1998; Nijs et al. 1997; Philipsen & Alsema 1995; Wacker 2000; 2006). The process data include electricity use, water and working material consumption (e.g. stainless steel for saw-blades, argon gas, hydrofluoric and hydrochloric acid). Production wastes to be treated and process-specific NO<sub>x</sub>- and waterborne pollutants are considered based on information from literature and environmental reports. Emissions of NO<sub>x</sub> due to surface etching with HNO<sub>3</sub> are important for the electronics wafers where these etching agents are used. Producers for PV-wafers apply normally technologies with etching agents like NaOH or KOH, or dry etching. The latter is included in solar cell processing data). The same data have been used for sc-Si and mc-Si wafer production, because the full information for sc-Si wafer was not available.

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<sup>19</sup> Use of nitric acid for texturing wafers is included in the solar cell processing data, the NO<sub>x</sub> emissions occurring here are generally abated at the plant level (Personal communication with Erik Alsema and Mariska de Wild-Scholten, 24.11.2006)

## 6. Silicon wafer production

Tab. 6.5 Unit process raw data of wafer production including wafer sawing

	Name	Location	Infrastructure	Unit	single-Si wafer, photovoltaics, at plant	single-Si wafer, electronics, at plant	multi-Si wafer, at plant	multi-Si wafer, ribbon, at plant	Standard evaluations	GeneralComment
	Location				RER	RER	RER	RER		
	Infrastructure				0	0	0	0		
	Unit				m2	m2	m2	m2		
technosphere	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	8.00E+0	3.00E+1	8.00E+0	4.23E+1	2.07	(3,4,1,3,1,5); Estimation based on literature data, high range of literature values
water	natural gas, burned in industrial furnace low-NOx >100kW	RER	0	MJ	4.00E+0	4.00E+0	4.00E+0	-	1.07	(1,2,1,1,1,3); de Wild 2007, for removing adhesive after sawing
	tap water, at user	RER	0	kg	6.00E-3	6.85E+2	6.00E-3	-	1.07	(1,2,1,1,1,3); de Wild 2007
	water, completely softened, at plant	RER	0	kg	6.50E+1	-	6.50E+1	-	1.07	(1,2,1,1,1,3); de Wild 2007, for wafer cleaning
material	CZ single crystalline silicon, electronics, at plant	RER	0	kg	-	8.85E-1	-	-	1.07	(1,2,1,1,1,3);
	CZ single crystalline silicon, photovoltaics, at plant	RER	0	kg	8.85E-1	-	-	-	1.07	(1,2,1,1,1,3); Own calculation with de Wild 2007 data
	silicon, multi-Si, casted, at plant	RER	0	kg	-	-	9.32E-1	-	1.07	(1,2,1,1,1,3); polycrystalline silicon of semiconductor or solar grade quality. This value is the total silicon needed minus internally recycled silicon from ingot cut-offs and broken wafers.
	silicon, production mix, photovoltaics, at plant	GLO	0	kg	-	-	-	7.40E-1	1.07	(1,2,1,1,1,3); polycrystalline silicon of semiconductor or solar grade quality. This value is the total silicon needed minus internally recycled silicon broken
	silicon carbide, at plant	RER	0	kg	4.90E-1	4.90E-1	4.90E-1	-	1.07	(1,2,1,1,1,3); de Wild 2007, SiC use for sawing
	silicon carbide, recycling, at plant	RER	0	kg	2.14E+0	2.14E+0	2.14E+0	-	1.07	(1,2,1,1,1,3); de Wild 2007, SiC use for sawing
auxiliary material	graphite, at plant	RER	0	kg	-	-	-	6.60E-3	1.07	(1,2,1,1,1,3); de Wild 2007, graphite
	argon, liquid, at plant	RER	0	kg	-	5.75E-1	-	5.21E+0	1.26	(3,4,2,3,1,5); Protection gas sawing, de Wild 2007
	sodium hydroxide, 50% in H2O, production mix, at plant	RER	0	kg	1.50E-2	1.50E-2	1.50E-2	-	1.07	(1,2,1,1,1,3); de Wild 2007, for wafer cleaning
	hydrochloric acid, 30% in H2O, at plant	RER	0	kg	2.70E-3	2.70E-3	2.70E-3	-	1.07	(1,2,1,1,1,3); de Wild 2007, for wafer cleaning
	acetic acid, 98% in H2O, at plant	RER	0	kg	3.90E-2	3.90E-2	3.90E-2	-	1.07	(1,2,1,1,1,3); de Wild 2007, for wafer cleaning
	nitric acid, 50% in H2O, at plant	RER	0	kg	-	3.70E-1	-	-	1.58	(5,4,1,3,1,5); calculated with NOx emissions, Wacker 2006
	triethylene glycol, at plant	RER	0	kg	1.10E-1	1.10E-1	1.10E-1	-	1.07	(1,2,1,1,1,3); For sawing slurry, de Wild 2007
	triethylene glycol, recycling, at plant	RER	0	kg	2.60E+0	2.60E+0	2.60E+0	-	1.07	(1,2,1,1,1,3); For sawing slurry, de Wild 2007
	dipropylene glycol monomethyl ether, at plant	RER	0	kg	3.00E-1	3.00E-1	3.00E-1	-	1.07	(1,2,1,1,1,3); de Wild 2007, for wafer cleaning
	alkylbenzene sulfonate, linear, petrochemical, at plant	RER	0	kg	2.40E-1	2.40E-1	2.40E-1	-	1.07	(1,2,1,1,1,3); de Wild 2007, for wafer cleaning
	acrylic binder, 34% in H2O, at plant	RER	0	kg	2.00E-3	2.00E-3	2.00E-3	-	1.07	(1,2,1,1,1,3); de Wild 2007, adhesive for temporarily attachment of bricks to wire-sawing equipment
	glass wool mat, at plant	CH	0	kg	1.00E-2	1.00E-2	1.00E-2	-	1.07	(2,2,1,1,1,na); de Wild 2007, for temporarily attachment of bricks to wire sawing equipment
	paper, woodfree, coated, at integrated mill	RER	0	kg	1.90E-1	1.90E-1	1.90E-1	1.90E-1	1.29	(3,4,3,3,1,5); Hagedorn 1992
	polystyrene, high impact, HIPS, at plant	RER	0	kg	2.00E-1	2.00E-1	2.00E-1	2.00E-1	1.34	(4,4,3,3,1,5); estimation packaging
	packaging film, LDPE, at plant	RER	0	kg	1.00E-1	1.00E-1	1.00E-1	1.00E-1	1.34	(4,4,3,3,1,5); estimation packaging
	brass, at plant	CH	0	kg	7.45E-3	7.45E-3	7.45E-3	-	1.07	(1,2,1,1,1,3); de Wild 2007, wire saws, high resistance brass-coated steel with carbon content in the range 0.7%-0.9%, 5g/kg brass
	steel, low-alloyed, at plant	RER	0	kg	1.48E+0	1.48E+0	1.48E+0	-	1.07	(1,2,1,1,1,3); de Wild 2007, wire saws, high resistance brass-coated steel with carbon content in the range 0.7%-0.9%, 5g/kg brass
	wire drawing, steel	RER	0	kg	1.49E+0	1.49E+0	1.49E+0	-	1.07	(1,2,1,1,1,3); de Wild 2007, wire saws
wastes	disposal, waste, silicon wafer production, 0% water, to underground deposit	DE	0	kg	1.10E-1	6.17E-2	1.70E-1	7.00E-3	1.07	(1,2,1,1,1,3); de Wild 2007, estimate for unused parts of crystal
	disposal, municipal solid waste, 22.9% water, to sanitary landfill	CH	0	kg	-	1.71E+0	-	-	1.24	(2,4,1,3,1,5); Environmental report Wacker
	disposal, waste, Si waferprod., inorg, 9.4% water, to residual material landfill	CH	0	kg	-	7.26E+0	-	-	1.24	(2,4,1,3,1,5); Environmental report Wacker
transport	transport, lorry >16t, fleet average	RER	0	tkm	1.02E+0	1.51E+0	1.06E+0	3.14E-1	2.09	(4,5,na,na,na,na); Standard distance, 200km for silicon input
infrastructure	transport, freight, rail	RER	0	tkm	4.13E+0	4.41E+0	4.13E+0	8.19E-1	2.09	(4,5,na,na,na,na); Standard distance
	wafer factory	DE	1	unit	4.00E-6	4.00E-6	4.00E-6	4.00E-6	3.00	(1,2,1,1,1,3); Literature
	Heat, waste	-	-	MJ	2.88E+1	1.08E+2	2.88E+1	1.52E+2	1.26	(3,4,1,3,1,5); Calculation
emission air	Nitrogen oxides	-	-	kg	-	3.70E-1	-	-	1.58	(2,4,1,3,1,5); Environmental report Wacker 2006
	AOX, Adsorbable Organic Halogen as Cl	-	-	kg	5.01E-4	5.01E-4	5.01E-4	5.01E-4	1.58	(2,4,1,3,1,5); Environmental report Wacker 2006, formed by nitric acid use
	Cadmium, ion	-	-	kg	6.05E-6	6.05E-6	6.05E-6	6.05E-6	3.06	(2,4,2,3,1,5); Environmental report Wacker 2000
	Chromium, ion	-	-	kg	3.03E-5	3.03E-5	3.03E-5	3.03E-5	3.06	(2,4,2,3,1,5); Environmental report Wacker 2000
	COD, Chemical Oxygen Demand	-	-	kg	2.96E-2	2.96E-2	2.96E-2	2.96E-2	1.58	(2,4,1,3,1,5); Environmental report Wacker 2000
	Copper, ion	-	-	kg	6.05E-5	6.05E-5	6.05E-5	6.05E-5	3.06	(2,4,2,3,1,5); Environmental report Wacker 2000
	Lead	-	-	kg	3.03E-5	3.03E-5	3.03E-5	3.03E-5	5.07	(2,4,2,3,1,5); Environmental report Wacker 2000
	Mercury	-	-	kg	6.05E-6	6.05E-6	6.05E-6	6.05E-6	5.07	(2,4,2,3,1,5); Environmental report Wacker 2000
	Nickel, ion	-	-	kg	6.05E-5	6.05E-5	6.05E-5	6.05E-5	5.07	(2,4,2,3,1,5); Environmental report Wacker 2000
	Nitrogen	-	-	kg	9.94E-3	9.94E-3	9.94E-3	9.94E-3	1.58	(2,4,1,3,1,5); Environmental report Wacker 2006, 50% of total emissions
	Phosphate	-	-	kg	5.01E-4	5.01E-4	5.01E-4	5.01E-4	1.58	(2,4,1,3,1,5); Environmental report Wacker 2006
	BOD5, Biological Oxygen Demand	-	-	kg	2.96E-2	2.96E-2	2.96E-2	2.96E-2	1.59	(3,4,2,3,1,5); Extrapolation for sum parameter
emission water, river	DOC, Dissolved Organic Carbon	-	-	kg	1.11E-2	1.11E-2	1.11E-2	1.11E-2	1.59	(3,4,2,3,1,5); Extrapolation for sum parameter
	TOC, Total Organic Carbon	-	-	kg	1.11E-2	1.11E-2	1.11E-2	1.11E-2	1.59	(3,4,2,3,1,5); Extrapolation for sum parameter

### 6.7 Infrastructure

The infrastructure for the production of wafers has been investigated with data from different companies (de Wild-Scholten & Alsema 2007; Wacker 2002). Data of Wacker were available for two production places. But, for the Wasserburg plant the data of the produced amount had to be assessed roughly. Data for Freiberg have been divided by two to account for the parallel production of CZ-silicon. Tab. 6.6 shows the unit process raw data.

Tab. 6.6 Unit process raw data of the infrastructure for wafer manufacturing with a capacity of 1 Mio. wafer per year, lifetime 25 years

	Name	Location	Unit	wafer factory	uncertainty	standard deviation	GeneralComment	Wacker Wasserburg	Wacker Freiberg	de Wild 2007
	Location	DE	1	unit				DE	DE	RER
	InfrastructureProcess							0	0	
	Unit			unit				a	a	a
product	wafer factory	DE	1	unit	1.00E+0					
technosphere	building, hall	CH	1	m2	1.10E+2	1	3.00 (1,2,1,1,1,3); Environmental report	1.00E+4	-	2.40E+3
	water supply network	CH	1	km	2.19E-2	1	3.00 (1,2,1,1,1,3); Environmental report, pipelines for drinking water	2.00E+0		
	metal working machine, unspecified, at plant	RER	1	kg	1.00E+4	1	3.91 (5,3,1,1,5,3); Rough estimation for equipment			
resource, land	Occupation, industrial area	-	-	m2a	2.74E+3	1	2.00 (1,2,1,1,1,3); 25a occupation		-	
	Transformation, from unknown	-	-	m2	7.68E+2	1	2.00 (1,2,1,1,1,3); Environmental report	7.00E+4	8.25E+4	2.40E+3
	Transformation, to industrial area, built up	-	-	m2	1.10E+2	1	2.00 (1,2,1,1,1,3); Environmental report	1.00E+4		2.40E+3
	Transformation, to industrial area, vegetation	-	-	m2	3.29E+2	1	2.00 (1,2,1,1,1,3); share of area according to environmental report	3.00E+4		
	Transformation, to traffic area, road network	-	-	m2	3.29E+2	1	2.00 (1,2,1,1,1,3); share of area according to environmental report	3.00E+4		
production	wafer area produced			dm2	1.00E+6			2.00E+6	3.89E+6	2.19E+7
lifetime				a	25		Estimation for rapidly changing production facilities, shorter than standard assumption in ecoinvent			

### 6.8 Meta information of wafers

Tab. 6.7 shows the EcoSpold meta information of different wafers investigated in this chapter.

## 6. Silicon wafer production

Tab. 6.7 EcoSpold meta information of different wafers

ReferenceFunction	Name	single-Si wafer, photovoltaics, at plant	single-Si wafer, electronics, at plant	multi-Si wafer, at plant	multi-Si wafer, ribbon, at plant	wafer factory
Geography	Location	RER 0	RER 0	RER 0	RER 0	DE 1
ReferenceFunction	InfrastructureProcess	Unit	Unit	Unit	Unit	Unit
TimePeriod	IncludedProcesses	Sawing and cleaning of wafers. The process data include electricity use, water and working material consumption (e.g. stainless steel for saw-blades, argon gas, hydrofluoric and hydrochloric acid). Production wastes to be treated and process-specific	Sawing and cleaning of wafers. The process data include electricity use, water and working material consumption (e.g. stainless steel for saw-blades, argon gas, hydrofluoric and hydrochloric acid). Production wastes to be treated and process-specific	Sawing and cleaning of wafers. The process data include electricity use, water and working material consumption (e.g. stainless steel for saw-blades, argon gas, hydrofluoric and hydrochloric acid). Production wastes to be treated and process-specific	Sawing and cleaning of wafers. The process data include electricity use, water and working material consumption (e.g. stainless steel for saw-blades, argon gas, hydrofluoric and hydrochloric acid). Production wastes to be treated and process-specific	Materials and land use for a new production plant.
	LocalName	Wafer, single-Si, Photovoltaik, ab Werk	Wafer, single-Si, Elektronik, ab Werk	Wafer, multi-Si, ab Werk	Wafer, multi-Si, Ribbon, ab Werk	Waferfabrik
	Synonyms	monocrystalline//single crystalline//silicon	monocrystalline//single crystalline//silicon	polycrystalline//multi-crystalline//silicon	polycrystalline//multi-crystalline//silicon	
	GeneralComment	The reference flow for the life cycle inventory is 1 square metre of wafer surface. The sc-Silicon columns are sawn into square wafers with a size 156x156 mm <sup>2</sup> (0.0243 m <sup>2</sup> ) and a thickness of 270 µm. The weight is 629 g/m <sup>2</sup> .	The reference flow for the life cycle inventory is 1 square metre of wafer surface. The sc-Silicon columns are sawn into square wafers with a size 156x156 mm <sup>2</sup> (0.0243 m <sup>2</sup> ) and a thickness of 270 µm. The weight is 629 g/m <sup>2</sup> .	The reference flow for the life cycle inventory is 1 square metre of wafer surface. The mc-Silicon columns are sawn into square wafers with a size 156x156 mm <sup>2</sup> (0.0243 m <sup>2</sup> ) and a thickness 240 µm. The weight is 559 g/m <sup>2</sup> .	The reference flow for the life cycle inventory is 1 square metre of wafer surface. The ribbon silicon wafers have a wafer thickness of 200-300 µm. The wafer area is 120-156 cm <sup>2</sup> , thickness 250 µm. The weight is 583 g/m <sup>2</sup> .	Plants of Wacker, DE in Wasserburg and Freiberg. Capacity of 1 million wafers per year. Life time assumed to be 25 years.
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	production of components	production of components	production of components	production of components	production of components
	Formula					
	StatisticalClassification					
	CASNumber					
	StartDate	1992	1992	1992	2005	2000
Geography	EndDate	2006	2006	2006	2006	2005
	OtherPeriodText	Collection of data in 2005. Use of data from an environmental report for a production plant (ca. 3 million wafers per year) and some data from older publications.	Collection of data in 2005. Use of data from an environmental report for a production plant (ca. 3 million wafers per year) and some data from older publications.	Collection of data in 2005. Use of data from an environmental report for a production plant (ca. 3 million wafers per year) and some data from older publications.	Use of data from an environmental report for a production plant (ca. 3 million wafers per year) and some data from older publications.	Date of publication.
	Text	Europe, Western + North America	Europe, Western + North America	Europe, Western + North America	Europe, Western + North America	Two plants in DE and literature data.
	Text	Use of multi wire saws.	Use of multi wire saws.	Use of multi wire saws.	Average from 3 specific processes of which one in pilot phase.	Wafer manufacturing plant for electronic and photovoltaics industry.
	Percent	20	20	20	20	20
	ProductionVolume	7.6E5 m <sup>2</sup> in 2005	3.6E4 m <sup>2</sup> in 2005	2.6E6 m <sup>2</sup> in 2005	Not known.	1 million wafers per year in the factories. 25 years life time.
	SamplingProcedure	Data collection by factory representatives. Environmental report and LCA studies.	Data collection by factory representatives. Environmental report and LCA studies.	Data collection by factory representatives. Environmental report and LCA studies.	Data collection by factory representatives. Environmental report and LCA studies.	Environmental report
	Extrapolations	Rough assumption for electricity use.	own estimation with data for PV wafer	Rough assumption for electricity use.	none	DE data used for Europe.

## 7 Silicon solar cell production

### 7.1 Introduction

A solar cell is a kind of semiconductor device that takes advantage of the photovoltaic effect, in which electricity is produced when the semiconductor's pn junction is irradiated (Fig. 7.1). When light strikes a solar cell, part of it is reflected, part of it is absorbed, and part of it passes through the cell. The absorbed light excites the bound electrons into a higher energy state, making them free electrons. These free electrons move about in all directions within the crystal, leaving holes where the electrons used to be, and the holes also shift around the crystal. The electrons (-) collect in the n-layer, the holes (+) in the p-layer. When the outside circuit is closed, electricity flows.<sup>20</sup>

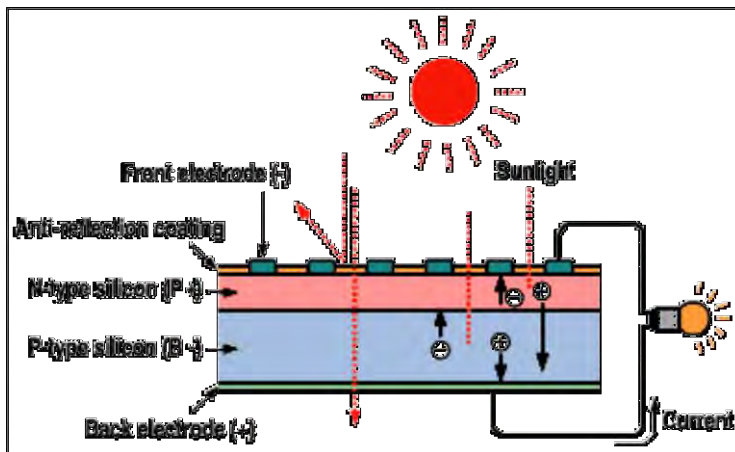


Fig. 7.1 Silicon-solar cell ([www.nasolar.com/info.html](http://www.nasolar.com/info.html))

Solar cells are produced in different countries. The following Fig. 7.2 shows the most important producers of solar cells (IEA-PVPS 2006).

<sup>20</sup> [www.nasolar.com/info.html](http://www.nasolar.com/info.html)

## 7. Silicon solar cell production

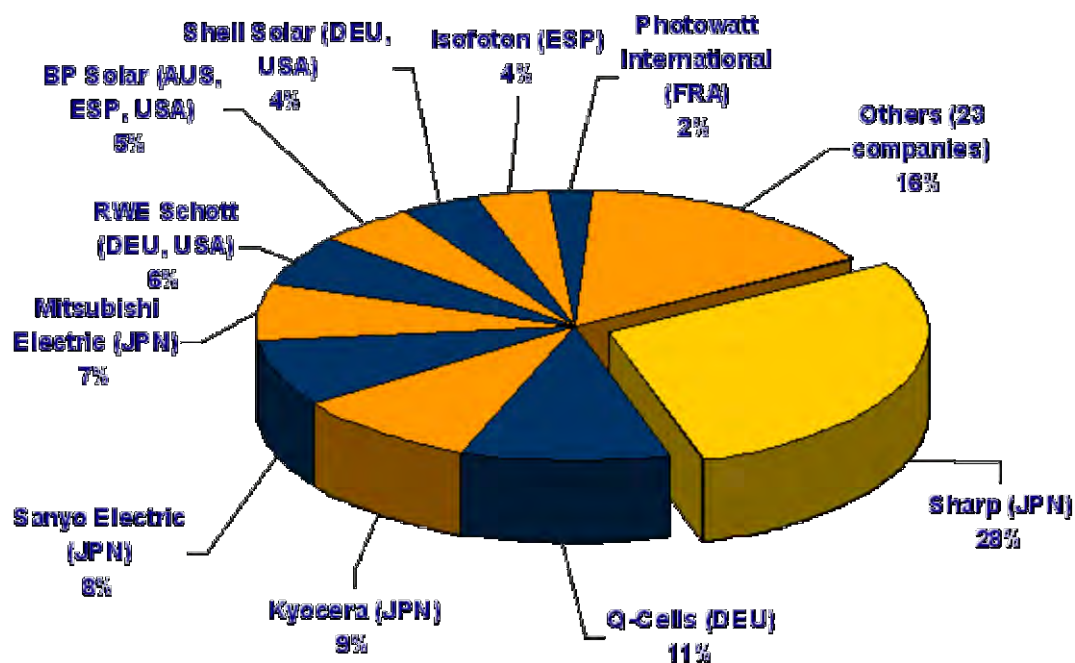


Fig. 7.2 Share of PV cell production in the reporting countries by company in 2005 (%) (IEA-PVPS 2006)

Tab. 7.1 shows the top 12 worldwide manufacturers of PV cells in 2005. The annual survey made by the American review, PV News, reports that 1,727 MW<sub>p</sub> of photovoltaic cells were produced in 2005, i.e. 44.5% growth with respect to 2004 (1,195 MW<sub>p</sub> produced). The 2005 ranking of the main industrial producers of photovoltaic cells is representative of the principal cell production zones in the world (Japan, Europe, USA and China).

## 7. Silicon solar cell production

Tab. 7.1 Top 12 Worldwide manufacturers of PV cells (in MW<sub>p</sub>)

Companies	2004	2005	Growth in %	Market share 2005
Sharp	324	428	32.1%	24.8%
Q-Cells	75	160	113.3%	9.3%
Kyocera	105	142	35.2%	8.2%
Sanyo	65	125	92.3%	7.2%
Mitsubishi	75	100	33.3%	5.8%
Schott Solar	63	95	50.8%	5.5%
BP Solar	85	90	5.9%	5.2%
Suntech	28	80	185.7%	4.6%
Motech	35	60	71.4%	3.5%
Shell Solar	72	59	-18.1%	3.4%
Isofotón	53	53	0.0%	3.1%
Deutsche Cell	28	38	35.7%	2.2%
Other companies	187	297	58.8%	17.2%
<b>Total</b>	<b>1195</b>	<b>1727</b>	<b>44.5%</b>	<b>100.0%</b>

Source: PV News, March 2006 shown on [www.epia.org](http://www.epia.org) and [www.energies-renouvelables.org](http://www.energies-renouvelables.org)

The life cycle inventory data for this process are mainly based on a recent publication with average data for 5 companies (de Wild-Scholten & Alsema 2007). All these companies produced solar cells by means of the screen printing technology, which is also the most widely used technology in the solar cell industry. Production of buried contact sc-Si cells (as done by BP Solar) has not been considered because no adequate data on this were available.

Further literature has been used to assess missing data (Cherubini 2001; Hagedorn & Hellriegel 1992; Nijs et al. 1997). The differences in the production process for sc-Si, mc-Si and ribbon-Si cells are quite small. The unit process raw data are assumed to be the same for all three types of cells.

## 7.2 Crystalline cells

### 7.2.1 Process

The following description shows the main process stages:

## 7. Silicon solar cell production

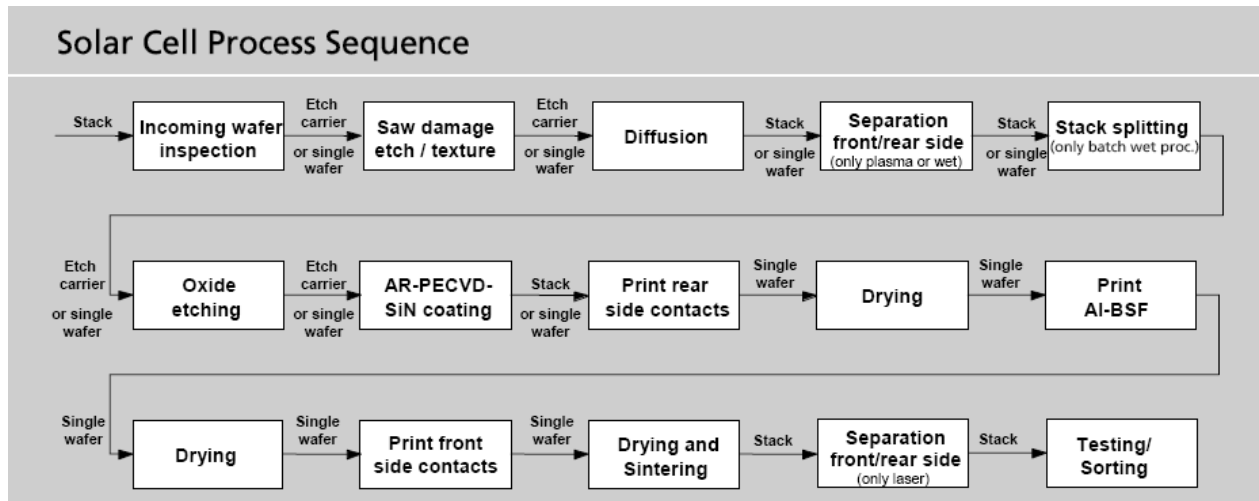
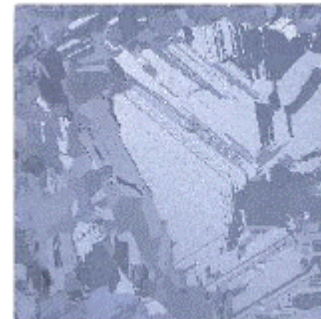


Fig. 7.3 Solar cell production process (Information provided by Centrotherm)

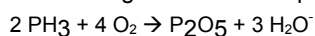
1. The basic input for the process are silicon wafers. Different sizes and thickness are on the market.



2. Etching: The wafers are first subjected to several chemical baths to remove microscopic damage to their surface. The wafers are etched with alkali in order to remove sawing parts.



3. The single side polished or mirror-etched wafers that are used for photovoltaic application have to undergo a doping process first in order to create the photoactive p/n junction. This is in most cases a n+ doping with phosphorous. The doping is either done by the deposition of a doping glass and following diffusion in a conveyor furnace or in a tube furnace, using phosphorousoxychloride (POCl<sub>3</sub>). The doping method, using doping glass is simple and can be done in a continuous process in a conveyor furnace. However this method requires two process steps more compared to the POCl<sub>3</sub>-doping process, because the doping glass has to be deposited and removed. In case that the POCl<sub>3</sub>-doping is used, in the past horizontal furnaces have been selected in most cases for cost reasons and because of the low demands to this process. The following reaction takes place:

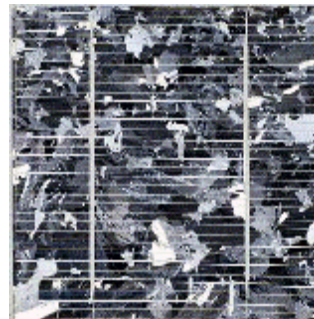


Then the wafers are coated in order to obtain a negative-conducting film on the surface.

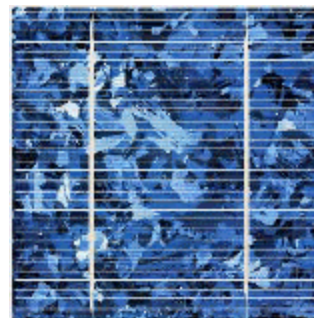




4. A print metallization on the front and backside is made in order to allow the electricity connection. Finally, the printed-on contact material is burnt into the wafer in the furnace.



5. Coating: Anti-reflection coating on the front size in order to improve the efficiency. The finished cell is checked for its efficiency and other electrical as well as visual characteristics and are classified accordingly.



### 7.2.2 Material inputs

All data for material inputs in Tab. 7.4 are based on a recent survey for 5 companies in the year 2004 (de Wild-Scholten & Alsema 2007). Some inputs and emissions have been aggregated in order to protect sensitive data.

### 7.2.3 Energy use

Data for the electricity use have been derived from literature (de Wild-Scholten & Alsema 2007). Older data show a large variation for the energy use, but partly they might include also additional process stages (Phylipsen & Alsema 1995). Tab. 7.2 shows an overview for available literature data. Some companies use own photovoltaic plants in order to provide the electricity for the production process (Shell Solar 2000). This has not been taken into account for this study.

## 7. Silicon solar cell production

Tab. 7.2 Process electricity for solar cells

sc-Si cell kWh/ dm <sup>2</sup>	mc-Si cell kWh/ dm <sup>2</sup>	Remark
1.3	1.5	(Hagedorn & Hellriegel 1992:116) incl. auxiliary energy
	0.24-3.44	Range for mc-Si in literature (Phylipsen & Alsema 1995)
0.27		(Kato et al. 1997a)
	0.15	(Nijs et al. 1997)
0.6		(P. Frankl & Gamberale 1998)
0.6	0.6	(Alsema et al. 1998)
1.46		sc-Si (Knapp & Jester 2000b) <sup>1)</sup>
	0.11	mc-Si (Cherubini 2001) for Eurosolare, IT
0.2	0.2	(Jungbluth 2003) for a 100 dm <sup>2</sup> cell.
	0.13 – 0.4	Calculation based on equipment data <sup>21</sup>
0.302	0.302	(de Wild-Scholten & Alsema 2007)
<b>0.302</b>	<b>0.302</b>	<b>This study</b>

<sup>1)</sup> The description is quite short. The figure might include also silicon purification (Personal communication Dirk Gürzenich, 12.2002).

### 7.2.4 Output and emissions

All data for emissions are based on recent literature data (de Wild-Scholten & Alsema 2007). In cell production nitric acid is used for texturing multi-crystalline silicon wafers (alkaline etching for singlecrystalline silicon). Specific emission data from a multi-Si cell line were not available; however other authors believe that the NO<sub>x</sub> emissions –if any– will be low because abatement is easy.<sup>22</sup> They have been estimated here with 50 mg/m<sup>2</sup> (Hagedorn & Hellriegel 1992:92).

In general effluents to water will be quite small. The used acids are neutralized, no heavy metals are expected in the water effluent. In comparison with micro-electronics industry, cell processing is much less material requirement intensive and only small amounts of organic solvents are used.

The concentration of pollutants in the effluents has been calculated with the amount of chemicals used in the process (see Tab. 7.4) and the amount of waste water discharged (217 litre per m<sup>2</sup>, de Wild-Scholten & Alsema 2007). The calculated data for the concentration of different substances in the effluents in Tab. 7.3 have than been used to estimate the unit process raw data for the treatment of PV cell production effluents with the model used in ecoinvent (Doka 2003). This dataset is named “treatment, PV cell production effluent, to wastewater treatment, class 3”.

<sup>21</sup> Personal communication with Mariska J. de Wild-Scholten, 12.4.2007

<sup>22</sup> Personal communication with Erik Alsema and Mariska J. de Wild-Scholten, 24.11.2006.

## 7. Silicon solar cell production

Tab. 7.3 Calculated concentration of water pollutants in effluents from PV cell production used for the modelling of the unit process raw data for "treatment, PV cell production effluent, to wastewater treatment, class 3"

Name for wastewater:		PV cell production effluent mean amount
Total organic carbon <b>TOC</b> as C	[kg/m3]	2.70E-01
Ammonia <b>NH4</b> as N	[kg/m3]	3.10E-02
Nitrate <b>NO3</b> as N	[kg/m3]	1.23E-01
Phosphate <b>PO4</b> as P	[kg/m3]	3.53E-02
Chlorine Cl	[kg/m3]	2.73E-01
Fluorine F	[kg/m3]	1.74E-01
Titanium Ti	[kg/m3]	3.91E-06
Silicon Si	[kg/m3]	3.50E-01
Calcium Ca	[kg/m3]	3.61E-02
Potassium K	[kg/m3]	7.34E-03
Sodium Na	[kg/m3]	4.15E-01
Capacity class of WWTP	–	3

### 7.3 Ribbon silicon solar cells

Ribbon Si cells are produced in a similar way as the other Si-cells. There are small differences, but quantitative data specific for ribbon cells were not available. The main differences are as follows:<sup>23</sup>

- Because the surface of the produced ribbons has no roughness, they are very difficult to texture and different (highly confidential) mixtures are used compared to multi- and singlecrystalline silicon.
- Because the surface of the ribbons is not flat and because the crystal quality is less, they break more easily. The yield data have not been corrected accordingly in the ribbon wafer record. Thus, the higher loss is not taken into account in the stage cell processing, but in the stage wafer production (Tab. 6.5).

### 7.4 Life cycle inventory of solar cells

The unit process raw data in Tab. 7.4 are investigated per m<sup>2</sup>. The production of solar cells with a size of 156x156 mm<sup>2</sup> includes cleaning and etching of the wafers. Afterwards wafers are doped with phosphorus and after further etching processes to remove the phosphorus silicate glass, SiN (or TiO<sub>2</sub>) deposition, front and rear contacts are printed and fired. Process data include working material consumption (acids, oxygen, nitrogen and highly purified water), electricity consumption and production wastes.

Furthermore process-specific air- and waterborne pollutants are considered, mainly hydrocarbons and acids. A part of the solar cells used in Europe is imported from overseas. Thus, additional transport by ship for 2000 km is assumed. This equals a share of 20% for imports with a total distance of 10'000 km. Other possible differences for the production in Europe and Overseas have not been considered.

Cell efficiencies are estimated with data provided by several different producers for their actual products. They are used in the inventory for the electricity production.

<sup>23</sup> Personal communication with Erik Alsema and Mariska J. de Wild-Scholten, 24.11.2006.

## 7. Silicon solar cell production

Tab. 7.4 Unit process raw data of solar cells in this study

	Name	Location	Infrastructure	Unit	photovoltaic cell, single-Si, at plant	photovoltaic cell, multi-Si, at plant	photovoltaic cell, ribbon-Si, at plant	Standard deviation	GeneralComment
					RER 0 m2	RER 0 m2	RER 0 m2		
	Location InfrastructureProcess Unit								
resource, in water technosphere	Water, cooling, unspecified natural origin	-	-	m3	9.99E-1	9.99E-1	9.99E-1	1.07	(1,2,1,1,1,3); de Wild 2007, company data
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	3.02E+1	3.02E+1	3.02E+1	1.07	(1,2,1,1,1,3); de Wild 2007, company data
	natural gas, burned in industrial furnace low-NOx >100kW	RER	0	MJ	4.77E+0	4.77E+0	4.77E+0	1.07	(1,2,1,1,1,3); de Wild 2007, company data
	light fuel oil, burned in industrial furnace 1MW, non-modulating	RER	0	MJ	1.16E+0	1.16E+0	1.16E+0	1.07	(1,2,1,1,1,3); de Wild 2007, company data
	photovoltaic cell factory	DE	1	unit	4.00E-7	4.00E-7	4.00E-7	3.00	(1,2,1,1,1,3); estimation with company data
infrastructure wafers	single-Si wafer, photovoltaics, at plant	RER	0	m2	1.06E+0	-	-	1.07	(1,2,1,1,1,3); de Wild 2007, 6% losses
	multi-Si wafer, at plant	RER	0	m2	-	1.06E+0	-	1.07	(1,2,1,1,1,3); de Wild 2007, 6% losses
	multi-Si wafer, ribbon, at plant	RER	0	m2	-	-	1.08E+0	1.07	(1,2,1,1,1,3); de Wild 2007, 7% losses
materials	metallization paste, front side, at plant	RER	0	kg	7.40E-3	7.40E-3	7.40E-3	1.07	(1,2,1,1,1,3); de Wild 2007, for electric contacts
	metallization paste, back side, at plant	RER	0	kg	4.93E-3	4.93E-3	4.93E-3	1.07	(1,2,1,1,1,3); de Wild 2007, for electric contacts
	metallization paste, back side, aluminium, at plant	RER	0	kg	7.19E-2	7.19E-2	7.19E-2	1.07	(1,2,1,1,1,3); de Wild 2007, for electric contacts
chemicals	ammonia, liquid, at regional storehouse	RER	0	kg	6.74E-3	6.74E-3	6.74E-3	1.07	(1,2,1,1,1,3); de Wild 2007, for de-oxidation
	phosphoric acid, fertiliser grade, 70% in H2O, at plant	GLO	0	kg	7.67E-3	7.67E-3	7.67E-3	1.07	(1,2,1,1,1,3); de Wild 2007, for emitter formation. I.e. Ferro FX99-014: hazardous components 1-5% P2O5, 40-90% organic chemicals.
	phosphoryl chloride, at plant	RER	0	kg	1.59E-3	1.59E-3	1.59E-3	1.07	(1,2,1,1,1,3); de Wild 2007, POC13 for emitter formation
	titanium dioxide, production mix, at plant	RER	0	kg	1.42E-6	1.42E-6	1.42E-6	1.07	(1,2,1,1,1,3); de Wild 2007, tetraisopropyltitanate (TPT, a
	ethanol from ethylene, at plant	RER	0	kg	6.41E-4	6.41E-4	6.41E-4	1.07	(1,2,1,1,1,3); de Wild 2007, for cleaning
	isopropanol, at plant	RER	0	kg	7.89E-2	7.89E-2	7.89E-2	1.07	(1,2,1,1,1,3); de Wild 2007, for cleaning
	solvents, organic, unspecified, at plant	GLO	0	kg	1.43E-3	1.43E-3	1.43E-3	1.07	(1,2,1,1,1,3); de Wild 2007, for cleaning
	silicone product, at plant	RER	0	kg	1.21E-3	1.21E-3	1.21E-3	1.07	(1,2,1,1,1,3); de Wild 2007, silane (SiH4) for silicon nitride deposition
	sodium silicate, spray powder 80%, at plant	RER	0	kg	7.48E-2	7.48E-2	7.48E-2	1.07	(1,2,1,1,1,3); de Wild 2007
	calcium chloride, CaCl2, at regional storage	CH	0	kg	2.16E-2	2.16E-2	2.16E-2	1.07	(1,2,1,1,1,3); de Wild 2007
	acetic acid, 98% in H2O, at plant	RER	0	kg	2.83E-3	2.83E-3	2.83E-3	1.07	(1,2,1,1,1,3); de Wild 2007, for cleaning
	hydrochloric acid, 30% in H2O, at plant	RER	0	kg	4.56E-2	4.56E-2	4.56E-2	1.07	(1,2,1,1,1,3); de Wild 2007, for surface etching
	hydrogen fluoride, at plant	GLO	0	kg	3.77E-2	3.77E-2	3.77E-2	1.07	(1,2,1,1,1,3); de Wild 2007, for etching phosphor glass
	nitric acid, 50% in H2O, at plant	RER	0	kg	2.67E-2	2.67E-2	2.67E-2	1.07	(1,2,1,1,1,3); de Wild 2007, for etching phosphor glass
	sodium hydroxide, 50% in H2O, production mix, at plant	RER	0	kg	1.57E-1	1.57E-1	1.57E-1	1.07	(1,2,1,1,1,3); de Wild 2007, for etching and cleaning
gases	argon, liquid, at plant	RER	0	kg	2.57E-2	2.57E-2	2.57E-2	1.07	(1,2,1,1,1,3); de Wild 2007, company data
	oxygen, liquid, at plant	RER	0	kg	1.02E-1	1.02E-1	1.02E-1	1.07	(1,2,1,1,1,3); de Wild 2007, diffusion
	nitrogen, liquid, at plant	RER	0	kg	1.85E+0	1.85E+0	1.85E+0	1.07	(1,2,1,1,1,3); de Wild 2007, diffusion and damage etching
	tetrafluoroethylene, at plant	RER	0	kg	3.16E-3	3.16E-3	3.16E-3	1.07	(1,2,1,1,1,3); de Wild 2007, aggregate value for different fluorinated source gases
	polystyrene, expandable, at plant	RER	0	kg	4.07E-4	4.07E-4	4.07E-4	1.07	(1,2,1,1,1,3); de Wild 2007, packaging
	transport, transoceanic freight ship	OCE	0	tkm	8.85E-1	8.85E-1	8.85E-1	2.09	(4,5,na,na,na,na); 20% of wafer production from overseas, 10000km
	transport, lorry >16t, fleet average	RER	0	tkm	4.88E-1	5.00E-1	5.58E-1	2.09	(4,5,na,na,na,na); Standard distance 100km, 500km for wafers
	transport, freight, rail	RER	0	tkm	1.52E+0	1.52E+0	1.52E+0	2.09	(4,5,na,na,na,na); Standard distance 600km
	water, completely softened, at plant	RER	0	kg	1.37E+2	1.37E+2	1.37E+2	1.07	(1,2,1,1,1,3); de Wild 2007, company data
	treatment, PV cell production effluent, to wastewater treatment, class 3	CH	0	m3	2.17E-1	2.17E-1	2.17E-1	1.07	(1,2,1,1,1,3); de Wild 2007, company data, mix of neutral, alkaline and acid solution and organic waste
	disposal, waste, Si waferprod., inorg. 9.4% water, to residual material landfill	CH	0	kg	2.76E-1	2.76E-1	2.76E-1	1.07	(1,2,1,1,1,3); de Wild 2007, company data
emission air, high population density	Heat, waste	-	-	MJ	1.09E+2	1.09E+2	1.09E+2	1.07	(1,2,1,1,1,3); Calculation
	Aluminium	-	-	kg	7.73E-4	7.73E-4	7.73E-4	5.00	(1,2,1,1,1,3); de Wild 2007, company data
	Ethane, hexafluoro-, HFC-116	-	-	kg	1.19E-4	1.19E-4	1.19E-4	1.51	(1,2,1,1,1,3); de Wild 2007, calculated as 50% of CO2-eq for FC-gases
	Hydrogen chloride	-	-	kg	2.66E-4	2.66E-4	2.66E-4	1.51	(1,2,1,1,1,3); de Wild 2007, company data
	Hydrogen fluoride	-	-	kg	4.85E-6	4.85E-6	4.85E-6	1.51	(1,2,1,1,1,3); de Wild 2007, company data
	Lead	-	-	kg	7.73E-4	7.73E-4	7.73E-4	5.00	(1,2,1,1,1,3); de Wild 2007, company data
	NMVOG, non-methane volatile organic compounds, unspecified origin	-	-	kg	1.94E-1	1.94E-1	1.94E-1	1.51	(1,2,1,1,1,3); de Wild 2007, company data
	Nitrogen oxides	-	-	kg	5.00E-5	5.00E-5	5.00E-5	1.61	(3,4,3,3,1,5); Hagedorn 1992, due to nitric acid use
	Methane, tetrafluoro-, R-14	-	-	kg	2.48E-4	2.48E-4	2.48E-4	1.51	(1,2,1,1,1,3); de Wild 2007, calculated as 50% of CO2-eq for FC-gases
	Particulates, < 2.5 um	-	-	kg	2.66E-3	2.66E-3	2.66E-3	3.00	(1,2,1,1,1,3); de Wild 2007, company data
	Silicon	-	-	kg	7.27E-5	7.27E-5	7.27E-5	5.00	(1,2,1,1,1,3); de Wild 2007, company data
	Silver	-	-	kg	7.73E-4	7.73E-4	7.73E-4	5.00	(1,2,1,1,1,3); de Wild 2007, company data
	Sodium	-	-	kg	4.85E-5	4.85E-5	4.85E-5	5.00	(1,2,1,1,1,3); de Wild 2007, company data
	Tin	-	-	kg	7.73E-4	7.73E-4	7.73E-4	5.00	(1,2,1,1,1,3); de Wild 2007, company data

In Tab. 7.5 the actual used and older literature data are shown for the production of solar cells. Older figures for materials and inputs, which are not used anymore, are not considered for the unit process data shown in Tab. 7.4. Parts of these inputs are included in newly investigated materials like the metallization paste.

## 7. Silicon solar cell production

Tab. 7.5

Older literature data of solar cell production (Cherubini 2001; Jungbluth 2003; Nijs et al. 1997 and data used in this study (de Wild-Scholten & Alsema 2007)

	Name	Location	Infra-structure	Pro-cess	Unit	photovoltaic cell, single-Si, at plant	mc- or pc-Si cell	cell, sc-Si	cell, pc-Si	pc-Si cell	pc-Si cell
							RER	RER	RER	IT	GLO
							0	0	0	0	0
							m2	m2	m2	m2	m2
resource, in technosphere	Water, cooling, unspecified natural origin	-	-	-	m3	9.99E-1	9.99E-1				
	electricity, medium voltage, production UCTE, at grid	UCTE	0		kWh	3.02E+1	3.02E+1	2.00E+1	2.00E+1	1.07E+1	-
infrastructure	natural gas, burned in industrial furnace low-NOx	RER	0		MJ	4.77E+0	4.77E+0			-	-
	>100kW light fuel oil, burned in industrial furnace 1MW, non-modulating	RER	0		MJ	1.16E+0	1.16E+0			-	-
wafers	photovoltaic cell factory	DE	1		unit	4.00E-7	-	4.00E-7	4.00E-7	-	-
	single-Si wafer, photovoltaics, at plant	RER	0		m2	1.06E+0	1.06E+0	1.06E+2	-	-	-
materials	multi-Si wafer, at plant	RER	0		m2	-	1.06E+0	-	-	-	-
	multi-Si wafer, ribbon, at plant	RER	0		m2	-	1.06E+0	-	1.06E+2	-	-
chemicals	metallization paste, front side, at plant	RER	0		kg	7.40E-3	7.40E-3			-	-
	metallization paste, back side, at plant	RER	0		kg	4.93E-3	4.93E-3			-	-
	metallization paste, back side, aluminium, at plant	RER	0		kg	7.19E-2	7.19E-2			-	-
	ammonia, liquid, at regional storehouse	RER	0		kg	6.74E-3	6.74E-3	1.30E-2	2.40E-2	2.36E-2	-
	phosphoric acid, fertiliser grade, 70% in H2O, at plant	GLO	0		kg	7.67E-3	7.67E-3			-	-
	phosphoryl chloride, at plant	RER	0		kg	1.59E-3	1.59E-3	5.00E-2	1.30E-2	1.56E-1	-
	titanium dioxide, production mix, at plant	RER	0		kg	1.42E-6	1.42E-6			-	-
	ethanol from ethylene, at plant	RER	0		kg	6.41E-4	6.41E-4			-	-
	isopropanol, at plant	RER	0		kg	7.89E-2	7.89E-2			-	-
	solvents, organic, unspecified, at plant	GLO	0		kg	1.43E-3	1.43E-3			-	-
	silicone product, at plant	RER	0		kg	1.21E-3	1.21E-3			-	-
	sodium silicate, spray powder 80%, at plant	RER	0		kg	7.48E-2	7.48E-2			-	-
	calcium chloride, CaCl2, at regional storage	CH	0		kg	2.16E-2	2.16E-2			-	-
	acetic acid, 98% in H2O, at plant	RER	0		kg	2.83E-3	2.83E-3			-	-
	hydrochloric acid, 30% in H2O, at plant	RER	0		kg	4.56E-2	4.56E-2	-	1.50E-1	1.48E-1	6.00E-1
	hydrogen fluoride, at plant	GLO	0		kg	3.77E-2	3.77E-2	1.80E-1	1.80E-1	1.83E-1	3.00E-1
	nitric acid, 50% in H2O, at plant	RER	0		kg	2.67E-2	2.67E-2			-	-
	sodium hydroxide, 50% in H2O, production mix, at plant	RER	0		kg	1.57E-1	1.57E-1	2.90E-1	2.90E-1	2.88E-1	3.40E-1
gases	argon, liquid, at plant	RER	0		kg	2.57E-2	2.57E-2			-	-
	oxygen, liquid, at plant	RER	0		kg	1.02E-1	1.02E-1	4.00E-3	4.60E+0	-	-
	nitrogen, liquid, at plant	RER	0		kg	1.85E+0	1.85E+0	1.55E-1	1.55E-1	-	4.25E+0
	tetrafluoroethylene, at plant	RER	0		kg	3.16E-3	3.16E-3			-	-
packaging	polystyrene, expandable, at plant	RER	0		kg	4.07E-4	4.07E-4			-	-
transport	transport, transoceanic freight ship	OCE	0		tkm	8.85E-1		2.15E+0	2.27E+0	-	-
	transport, lorry >16t, fleet average	RER	0		tkm	4.88E-1		8.87E-2	5.65E-1	-	-
	transport, freight, rail	RER	0		tkm	1.52E+0		4.15E-1	3.25E+0	-	-
	water, completely softened, at plant	RER	0		kg	1.37E+2	1.37E+2	3.20E+2	3.20E+2	-	3.20E+2
	treatment, PV cell production effluent, to wastewater treatment, class 3	CH	0		m3	2.17E-1	2.17E-1			-	-
	disposal, waste, Si waferprod., inorg. 9.4% water, to residual material landfill	CH	0		kg	2.76E-1	2.76E-1	3.90E-1	4.76E-1	-	-
emission air, high population	Heat, waste	-	-		MJ	1.09E+2	-	7.20E+1	7.20E+1	-	-
	Aluminium	-	-		kg	7.73E-4	7.73E-4			-	-
	Ethane, hexafluoro-, HFC-116	-	-		kg	1.19E-4	1.19E-4			-	-
	Hydrogen chloride	-	-		kg	2.66E-4	2.66E-4			-	-
	Hydrogen fluoride	-	-		kg	4.85E-6	4.85E-6	9.00E-3	-	-	-
	Lead	-	-		kg	7.73E-4	7.73E-4			-	-
	NM VOC, non-methane volatile organic compounds, unspecified origin	-	-		kg	1.94E-1	1.94E-1	4.00E-2	3.40E-2	-	-
	Nitrogen oxides	-	-		kg	5.00E-5	2.67E-2	-	5.00E-5	-	-
	Methane, tetrafluoro-, R-14	-	-		kg	2.48E-4	2.48E-4			-	-
	Particulates, < 2.5 µm	-	-		kg	2.66E-3	2.66E-3			-	-
	Silicon	-	-		kg	7.27E-5	7.27E-5			-	-
	Silver	-	-		kg	7.73E-4	7.73E-4			-	-
	Sodium	-	-		kg	4.85E-5	4.85E-5			-	-
	Tin	-	-		kg	7.73E-4	7.73E-4			-	-
	weight, materials				kg	2.53E+0	2.53E+0	6.92E-1	5.41E+0	7.99E-1	5.49E+0
resource, in ground technosphere	Silver, 0.01% in crude ore, in ground	-	-		kg	-	-	3.80E-2	4.50E-2	9.86E-3	1.50E-2
	ethylene glycol, at plant	RER	0		kg	-	-	5.60E-1	-	-	-
	lime, hydrated, packed, at plant	CH	0		kg	-	-	3.40E-1	4.20E-1	-	-
	lubricating oil, at plant	RER	0		kg	-	-	-	1.00E-4	-	-
	hydrogen, liquid, at plant	RER	0		kg	-	-	-	3.00E-4	-	-
	chemicals organic, at plant	GLO	0		kg	-	-	1.00E-1	7.00E-2	7.55E-2	-
emission	Carbon dioxide, fossil	-	-		kg	-	-	-	3.00E-3	-	-

## 7.5 Infrastructure of solar cell manufacturing

The life cycle inventory for the solar cell manufacturing plant includes the land use and buildings. The data are based on information in literature (de Wild-Scholten & Alsema 2007; Shell Solar 2000). Tab. 7.6 shows the unit process raw data of a solar cell factory.

Tab. 7.6 Unit process raw data of the infrastructure for solar cell production, lifetime 25 years, annual production 10 Million solar cells of 10 dm<sup>2</sup>

	Name	Location	Infrastruct	Unit	photovoltaic cell factory	Uncertal Standard Deviation	GeneralComment	Production plant Gelsenkirchen	Crystal Clear
								DE 1 unit	RER 1 unit
	Location InfrastructureProcess Unit								
product	photovoltaic cell factory	DE	1	unit	1.00E+0			Total	
technosphere	reinforcing steel, at plant	RER	0	kg	1.90E+5	1 1.51 (1,2,1,1,1,3); Company information		1.90E+5	
	steel, low-alloyed, at plant	RER	0	kg	1.10E+5	1 1.51 (1,2,1,1,1,3); Company information		1.10E+5	
	brick, at plant	RER	0	kg	5.06E+2	1 1.51 (1,2,1,1,1,3); Company information		5.06E+2	
	concrete, normal, at plant	CH	0	m3	1.80E+3	1 1.51 (1,2,1,1,1,3); Company information		1.80E+3	
	metal working machine, unspecified, at plant	RER	1	kg	1.00E+4	1 1.78 (5,3,1,1,1,3); Rough estimation			
	transport, lorry >16t, fleet average	RER	0	tkm	4.27E+5	1 1.51 (1,2,1,1,1,3); Standard distances			
	transport, freight, rail	RER	0	tkm	4.58E+5	1 1.51 (1,2,1,1,1,3); Standard distances			
	disposal, building, brick, to sorting plant	CH	0	kg	5.06E+2	1 1.51 (1,2,1,1,1,3); Estimation			
	disposal, building, reinforced concrete, to sorting plant	CH	0	kg	3.96E+6	1 1.51 (1,2,1,1,1,3); Estimation			
	disposal, building, reinforcement steel, to sorting plant	CH	0	kg	3.00E+5	1 1.51 (1,2,1,1,1,3); Estimation			
resource, land	Occupation, industrial area, built up	-	-	m2a	4.31E+4	1 1.51 (1,2,1,1,1,3); 25a occupation, estimation for rapid changing technology			
	Occupation, industrial area, vegetation	-	-	m2a	2.50E+4	1 2.00 (1,2,1,1,1,3); 25a occupation, estimation for rapid changing technology			
	Transformation, from unknown	-	-	m2	2.73E+3	1 2.00 (1,2,1,1,1,3); Company information			
	Transformation, to industrial area, built up	-	-	m2	1.73E+3	1 2.00 (1,2,1,1,1,3); averaged company information		3.90E+3	1.60E+3
	Transformation, to industrial area, vegetation	-	-	m2	1.00E+3	1 2.00 (1,2,1,1,1,3); Company information		1.00E+3	
	annual production, cell area			dm2	1.00E+7			1.00E+7	2.19E+7

## 7.6 Life cycle inventory of metallization paste

The unit process raw data for the production of metallization pastes are shown in Tab. 7.7. The main data for the amount of used materials are provided by the CrystalClear project (de Wild-Scholten & Alsema 2007). The silver content of pastes is very confidential information, because the silver is a main cost component of the paste. The estimates are based on material safety data sheet (MSDS) info, but these give fairly wide ranges. So there is some uncertainty about this, but actually the total weight of the materials used is fixed to about one kilogram. The uncertainty of shares cannot be shown in ecoinvent data. Data for the energy use and infrastructure have been estimated with data for the production of solders (Classen et al. 2007).

## 7. Silicon solar cell production

Tab. 7.7 Unit process raw data of metallization pastes

	Name	Location	Infrastructure	Process	Unit	metallization	metallization	metallization	StandardDeviation95%	GeneralComment
						paste, front	paste, back	paste, back side,		
						side, at plant	side, at plant	aluminium, at plant		
	Location					RER	RER	RER		
product	InfrastructureProcess					0	0	0		
	Unit					kg	kg	kg		
	metallization paste, front side, at plant	RER	0	kg		1.00E+0	0	0		
	metallization paste, back side, at plant	RER	0	kg		0	1.00E+0	0		
	metallization paste, back side, aluminium, at plant	RER	0	kg		0	0	1.00E+0		
technosphere	silver, at regional storage	RER	0	kg		8.38E-1	6.77E-1	-	1.13	(3,2,1,1,1,3); de Wild 2007, paste composition, 1% loss
	lead, at regional storage	RER	0	kg		5.05E-2	8.08E-2	-	1.13	(3,2,1,1,1,3); de Wild 2007, paste composition, 1% loss, bismuth inventoried as lead.
	aluminium, primary, at plant	RER	0	kg		-	-	8.08E-1	1.13	(3,2,1,1,1,3); de Wild 2007, paste composition, 1% loss
	silica sand, at plant	DE	0	kg		-	-	3.03E-2	1.13	(3,2,1,1,1,3); de Wild 2007, paste composition, 1% loss
	chemicals organic, at plant	GLO	0	kg		1.21E-1	2.53E-1	1.72E-1	1.13	(3,2,1,1,1,3); de Wild 2007, paste composition, 1% loss
energy	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh		2.50E-1	2.50E-1	2.50E-1	1.52	(3,na,2,1,4,na); Estimation with data for solder production
	natural gas, burned in industrial furnace low-NOx >100kW	RER	0	MJ		8.28E-1	8.28E-1	8.28E-1	1.52	(3,na,2,1,4,na); Estimation with data for solder production
transport	transport, lorry >16t, fleet average	RER	0	tkm		1.01E-1	1.01E-1	1.01E-1	2.09	(4,5,na,na,na,na); Standard distance 100km
	transport, freight, rail	RER	0	tkm		6.06E-1	6.06E-1	6.06E-1	2.09	(4,5,na,na,na,na); Standard distance 600km
	solder production plant	RER	1	unit		2.00E-10	2.00E-10	2.00E-10	3.09	(4,5,na,na,na,na); Esimation
emission air	Heat, waste	-	-	MJ		9.00E-1	9.00E-1	9.00E-1	1.29	(3,4,3,3,1,5); Calculation
	total material weight			kg		1.01	1.01	1.01		

## 7.7 Meta information of silicon cells

Tab. 7.8 show the EcoSpold meta information of silicon cells investigated in this chapter.

## 7. Silicon solar cell production

Tab. 7.8 EcoSpold meta information of silicon cell production

ReferenceFunction	Name	photovoltaic cell, single-Si, at plant	photovoltaic cell, multi-Si, at plant	photovoltaic cell, ribbon-Si, at plant	metallization paste, front side, at plant	metallization paste, back side, at plant	metallization paste, back side, aluminium, at plant	photovoltaic cell factory
Geography	Location	RER	RER	RER	RER	RER	RER	DE
ReferenceFunction	InfrastructureProcess	0	0	0	0	0	0	1
ReferenceFunction	Unit	m2	m2	m2	kg	kg	kg	unit
TimePeriod	IncludedProcesses	Cleaning, damage etching, texture etching, covering of backside, phosphor dotation, phosphor glass etching, printing of contacts, cleaning and quality testing.	Cleaning, damage etching, texture etching, covering of backside, phosphor dotation, phosphor glass etching, printing of contacts, cleaning and quality testing.	Cleaning, damage etching, texture etching, covering of backside, phosphor dotation, phosphor glass etching, printing of contacts, cleaning and quality testing.	Production of paste used in production of photovoltaic cells.	Production of paste used in production of photovoltaic cells.	Production of paste used in production of photovoltaic cells.	Materials and land use for a new production plant.
	LocalName	Solarzelle, single-Si, ab Werk	Solarzelle, multi-Si, ab Werk	Solarzelle, ribbon-Si, ab Werk	Metallisierungspaste, Vorderseite, ab Werk	Metallisierungspaste, Rückseite, ab Werk	Metallisierungspaste, Rückseite, Aluminium, ab Werk	PV-Zellenfabrik
	Synonyms	monocrystalline/single crystalline/silicon	polycrystalline/multi-crystalline/silicon	polycrystalline/multi-crystalline/silicon				
	GeneralComment	Production of photovoltaic cells (156*156 mm2). Some inputs and emissions aggregated to protect sensitive data. Wafer thickness 270-300 um. With an efficiency of 15.4% and 1.5Wp	Production of photovoltaic cells (156*156 mm2). Some inputs and emissions aggregated to protect sensitive data. Wafer thickness 270-300 um. With an efficiency of 13.5% and 1.3Wp.	Production of photovoltaic cells (156*156 mm2). Some inputs and emissions aggregated to protect sensitive data. With an efficiency of 15%. Wafer thickness 270-300 um.	Chemical composition of typical pastes taken from Material Safety Data Sheets. Energy use and infrastructure estimated with data for solder production.	Chemical composition of typical pastes taken from Material Safety Data Sheets. Energy use and infrastructure estimated with data for solder production.	Chemical composition of typical pastes taken from Material Safety Data Sheets. Energy use and infrastructure estimated with data for solder production.	New plant of Shell Solar in Gelsenkirchen. Capacity of 10 million solar cells per year. Life time assumed to be 25 years.
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	production of components	production of components	production of components	production of components	production of components	production of components	production of components
	Formula							
	StatisticalClassification							
	CASNumber							
	StartDate	2004	2004	2004	2006	2006	2006	2000
Geography	EndDate	2005	2005	2005	2006	2006	2006	2005
	OtherPeriodText	Data investigated in 2004 and recalculated for the cell size in 2005.	Data investigated in 2004 and recalculated for the cell size in 2005.	Data investigated in 2004 and recalculated for the cell size in 2005.	Data investigated in 2006.	Data investigated in 2006.	Data investigated in 2006.	Date of publication.
	Text	Data for production in Europe.	Data for production in Europe.	Data for production in Europe.	Data for production in Europe.	Data for production in Europe.	Data for production in Europe.	Gelsenkirchen, DE.
Technology	Text	Average production technology of photovoltaic cells from wafers.	Average production technology of photovoltaic cells from wafers.	Average production technology of photovoltaic cells from wafers.	Assumption that production technology is similar as for solders.	Assumption that production technology is similar as for solders.	Assumption that production technology is similar as for solders.	New plant on old industrial site.
Representativen	Percent	6	6	6				6
Extrapolations	ProductionVolume	Total worldwide production 243MW in 2000. Europe 37MW.	Total worldwide production 243MW in 2000. Europe 37MW.	Total worldwide production 243MW in 2000. Europe 37MW.	not known	not known	not known	10 million cells/a in the factory. Total worldwide production ca 170 million
	SamplingProcedure	Data collected from 5 specific processes and companies (4 multi-Si + 1 single-Si processing company).	Data collected from 5 specific processes and companies (4 multi-Si + 1 single-Si processing company).	Data collected from 5 specific processes and companies (4 multi-Si + 1 single-Si processing company).	Chemical composition of typical pastes taken from Material Safety Data Sheets.	Chemical composition of typical pastes taken from Material Safety Data Sheets.	Chemical composition of typical pastes taken from Material Safety Data Sheets.	Information on webpage.
	Extrapolations	Data 2005 calculated from data 2004 by multiplying amounts of materials by solar cell area factor of 156*156/(125*125) = 1.56; energy scaled linearly with	Data 2005 calculated from data 2004 by multiplying amounts of materials by solar cell area factor of 156*156/(125*125) = 1.56; energy scaled linearly with	Data 2005 calculated from data 2004 by multiplying amounts of materials by solar cell area factor of 156*156/(125*125) = 1.56; energy scaled linearly with	Other data investigated with information from solder production.	Other data investigated with information from solder production.	Other data investigated with information from solder production.	none



## 8 PV panel and laminate production

### 8.1 Introduction

Here we investigate the production of solar panels and laminates. Another expression for panels is PV-modules, that is not used here in order to avoid confusion with the meaning of module in the context of LCA.

The trend is to increase the size of panels and modules in order to facilitate the installation. Most of the panels found on the market have 60-72 mc-cells. Here we investigate a panel with 60 cells of 156 by 156 mm<sup>2</sup> because the main literature sources investigated this size. The panel has a width of 98.6 cm and a length of 162 cm (de Wild-Scholten & Alsema 2007). The production of panels and laminates with sc-Si, mc-Si or ribbon-Si cells is quite similar. Thus, all products are investigated with the same data.

Fig. 8.1 shows the share of different PV-module producers for the total worldwide production. The total production in 2005 was 1500 MW<sub>p</sub>. The production capacity is about 2500 MW<sub>p</sub> (IEA-PVPS 2006). About 50% of all of the panels used in Switzerland are produced in the country (Jauch & Tschärner 2006). All solar cells used for production in Switzerland are imported to the country. Thus, an average production in Europe is investigated for the life cycle inventory.

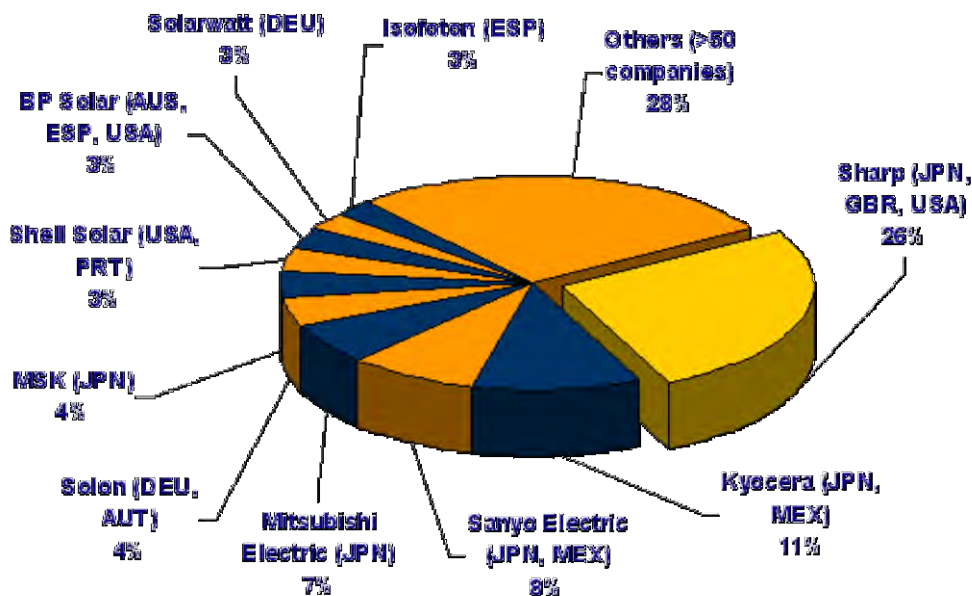


Fig. 8.1 Share of PV module production in the reporting countries by company in 2005 (%) (IEA-PVPS 2006)

### 8.2 Process for production of PV panels

The process is described according to literature information (Hagedorn 1992; Shell Solar 2000; Solar-Fabrik 2002).

First a cell string is produced connecting the cells with copper connections. The solar cells are embedded in layers of ethyl-vinylacetate (one each on the front and the back). The rear cover consists of a polyester and polyvinylfluoride (Tedlar) film. A 4 mm low-iron glass sheet is used for the front cover. The sandwich is joined under pressure and heat, the edges are purified and the connections are insulated. Small amounts of gases might be emitted to air. Overlaying parts of the foil are cut-off. The panel gets additionally an aluminium frame (AlMg3). A connection box is installed. Silicones might

## 8. PV panel and laminate production

be used for fitting. Laminates are modules without a frame that can directly be integrated into the building. Finally, panels and laminates are tested and packed.

The process data include materials and energy consumption as well as the treatment of production wastes.

### 8.3 Materials

The use of materials has been investigated in different publications. As a basic assumption we use the data investigated in 2005 for 2 companies and an additional literature research (de Wild-Scholten & Alsema 2007). Some assumptions are based on environmental reports and older literature data (GSS 2001).

Data for GSS (2001) in Germany were available from an environmental report. It is assumed that the total production was about 18'000 panels.

Data are also available for other manufacturers, but they have not been used, because more recent data were available (Hagedorn 1992; Solon AG 2001). Changes show the improvements achieved in the last time.

Tab. 8.2 shows the unit process raw data used for the life cycle inventory and the literature data.

### 8.4 Energy use

The energy use for the panel manufacturing is not very important in comparison to energy uses in other stages of the total PV production process. The main energy use is heat for the lamination process. Auxiliary energy for light and air-condition or heating might account for about 50% of the total use. Tab. 8.1 shows the energy use investigated in different studies. The available figures are quite different. Reasons might be different necessity for the use of air-conditioning or heating. Some producer use own PV-plants for producing a part of the necessary electricity (Shell Solar 2000; Solar-Fabrik 2002), others (GSS 2001; Solon AG 2001) use only electricity from the grid. One company uses only renewable energy source including photovoltaics and bioenergy (Solar-Fabrik 2002).

Here we use for the heat and electricity use average figures from different environmental reports (de Wild-Scholten & Alsema 2007; GSS 2001; Shell Solar 2000; Solar-Fabrik 2002; 2007; Solon AG 2001). The replacement of standard cure EVA by fast cure EVA may reduce the energy consumption in the future.<sup>24</sup>

Tab. 8.1 Energy consumption for the production of PV panels. Own recalculation per m<sup>2</sup>. Cursive figures are assumed to be outdated.

Electricity	Heat	
kWh	MJ	
27	0	(Solon AG 2001), many special products, natural gas will be used for heating in future.
4.1	4.2	(Solar-Fabrik 2002) heat produced from rapeseed oil, electricity ca. 50% from rapeseed oil, 15% from PV, rest eco-electricity from the grid.
0.77	4.7	Solar-Fabrik 2007)
6.5	11.5	(GSS 2001)
6.8	0	(de Wild-Scholten & Alsema 2007) for one company in Portugal
4.7	5.4	<b>This study (average of 3 most recent figures not cursive)</b>

<sup>24</sup> Personal communication Mariska de Wild-Scholten, 10.3.2007.

### 8.5 Emissions

Data about direct air and water emissions were not available. It can be expected that small amount of NMVOC will be emitted from the lamination process.

The amount of effluents in Tab. 8.2 has been calculated with the same figure as water use.

For production wastes the amount has been estimated with 1kg/panel based on data provided in an environmental report (GSS 2001). Auxiliary materials from the process are treated in waste incineration.

### 8.6 Recycling and disposal of PV panels

As the panel is an infrastructure module, the whole disposal after use is also taken into account. At the moment there are different initiatives for establishing a recycling scheme for used PV panels, but so far the amount of disposed panels is quite small (Wambach 2002). Thus real experiences are not available. So far the small amounts of damaged panel are treated e.g. by incineration or in land fills.

It can be expected that glass, aluminium frame and the silicon cells will be recycled in future. Also electronic parts should be treated in existing recycling facilities for electric devices. Different possibilities for recycling are discussed in (Fthenakis 2000; Müller et al. 2004; Wambach 2002; Warmbach et al. 2004).

In this study (Tab. 8.2) we assume a recycling for the glass, metal and silicon materials. According to the ecoinvent guidelines no input or output shows up. Other materials as e.g. the EVA foil are treated by waste incineration.

### 8.7 Life cycle inventory of PV panels and laminates

Tab. 8.2 shows the unit process raw data of PV panels and laminates with sc-Si cells as an example. Similar data are used for ribbon silicon and mc-Si cells. The variability for the panel size and the amounts of cells per panel between different producers is high. But, possible small differences e.g. due to different amount of cells per m<sup>2</sup> of panel are not taken into account. The reference flow is one panel or laminate with a size of 162×98.6 cm<sup>2</sup>. The data are calculated per m<sup>2</sup> of panel area. The panel capacity is considered in the inventory for the electricity production (see Tab. 12.3). For laminates the same flows are recorded except the use of aluminium for the frame.

The data quality in general is quite good because recent data from producers and environmental reports could be used. But, for the energy use quite varying figures have been found, which need further verification in future studies. No data are available for possible process specific NMVOC emissions.

## 8. PV panel and laminate production

Tab. 8.2 Unit process raw data of solar panels and laminates produced with silicon solar cells and literature data

	Name	Location	infrastructure	Unit	photovoltaic laminate, single-Si, at plant	photovoltaic panel, single-Si, at plant	Uncertainty Standard evaluation	GeneralComment	de Wild 2007, 210 Wp	Solar-Fabrik	GSS Ostthüringen, 160 Wp	Solon AG	Solar-Fabrik
									RER	DE	DE	DE	DE
									2005	2006	2001	2000	2001
									m2	m2	m2	m2	m2
technosphere	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	4.71E+0	4.71E+0	1	1.14 (3,3,1,1,1,3); calculated mean figure of 3 con	6.83E+0	7.66E-1	6.54E+0	2.81E+1	4.09E+0
	natural gas, burned in industrial furnace low-NOx >100kW	RER	0	MJ	5.41E+0	5.41E+0	1	1.14 (3,3,1,1,1,3); calculated mean figure of 3 con	-	4.72E+0	1.15E+1		4.25E+0
infrastructure	photovoltaic panel factory	GLO	1	unit	4.00E-6	4.00E-6	1	3.02 (1,4,1,3,1,3); Literature			-		
	tap water, at user	RER	0	kg	2.13E+1	2.13E+1	1	1.13 (1,4,1,3,1,3); de Wild 2007, glass rinsing and	2.13E+1		2.19E+1		
	tempering, flat glass	RER	0	kg	1.01E+1	1.01E+1	1	1.13 (1,4,1,3,1,3); de Wild 2007					
	wire drawing, copper	RER	0	kg	1.13E-1	1.13E-1	1	1.13 (1,4,1,3,1,3); estimation for use of copper wires					
cells	photovoltaic cell, single-Si, at plant	RER	0	m2	9.32E-1	9.32E-1	1	1.13 (1,4,1,3,1,3); de Wild 2007, Estimation 60 ce	9.32E-1		6.85E+1		
materials	aluminium alloy, AlMg3, at plant	RER	0	kg	-	2.63E+0	1	1.13 (1,4,1,3,1,3); de Wild 2007, profile for frame	2.63E+0		2.40E+0		
	nickel, 99.5%, at plant	GLO	0	kg	1.63E-4	1.63E-4	1	1.13 (1,4,1,3,1,3); de Wild 2007, plating on interco	1.63E-4				
	brazing solder, cadmium free, at plant	RER	0	kg	8.76E-3	8.76E-3	1	1.13 (1,4,1,3,1,3); de Wild 2007, Sn60Pb40 platin	8.76E-3		-		
	solar glass, low-iron, at regional storage	RER	0	kg	1.01E+1	1.01E+1	1	1.24 (1,4,1,3,3,3); de Wild 2007, 4mm thickness (	1.01E+1		1.15E+1		
	copper, at regional storage	RER	0	kg	1.13E-1	1.13E-1	1	1.13 (1,4,1,3,1,3); de Wild 2007, copper ribbons fr	1.13E-1		5.48E-2		
	glass fibre reinforced plastic, polyamide, injection moulding, at plant	RER	0	kg	1.88E-1	1.88E-1	1	1.13 (1,4,1,3,1,3); de Wild 2007, polyphenylenoxi	1.88E-1		-		
	ethylvinylacetate, foil, at plant	RER	0	kg	1.00E+0	1.00E+0	1	1.13 (1,4,1,3,1,3); de Wild 2007, EVA consumption	1.00E+0		9.13E-1		
	polyvinylfluoride film, at plant	US	0	kg	1.10E-1	1.10E-1	1	1.13 (1,4,1,3,1,3); de Wild 2007, back foil, for sola	1.10E-1		4.56E-2		
	polyethylene terephthalate, granulate, amorphous, at plant	RER	0	kg	3.73E-1	3.73E-1	1	1.13 (1,4,1,3,1,3); de Wild 2007, back foil, for sola	3.73E-1		1.64E+0		
	silicone product, at plant	RER	0	kg	1.22E-1	1.22E-1	1	1.13 (1,4,1,3,1,3); de Wild 2007, kit to attach frame	1.22E-1		3.42E-3		
auxiliary materials	acetone, liquid, at plant	RER	0	kg	1.30E-2	1.30E-2	1	1.13 (1,4,1,3,1,3); de Wild 2007, cleaning fluid	1.30E-2		3.61E-5		
	methanol, at regional storage	CH	0	kg	2.16E-3	2.16E-3	1	1.13 (1,4,1,3,1,3); GSS 2001, auxiliary material			2.16E-3		
	vinyl acetate, at plant	RER	0	kg	1.64E-3	1.64E-3	1	1.13 (1,4,1,3,1,3); GSS 2001, ethylacetat, auxiliary material			1.64E-3		
	lubricating oil, at plant	RER	0	kg	1.61E-3	1.61E-3	1	1.13 (1,4,1,3,1,3); GSS 2001, auxiliary material			1.61E-3		
	corrugated board, mixed fibre, single wall, at plant	RER	0	kg	1.10E+0	1.10E+0	1	1.13 (1,4,1,3,1,3); de Wild 2007, packaging estim	1.10E+0		-		
	1-propanol, at plant	RER	0	kg	8.14E-3	8.14E-3	1	1.13 (1,4,1,3,1,3); de Wild 2007, soldering flux 95	8.14E-3		1.10E-2		
transport	transport, lorry >16t, fleet average	RER	0	tkm	1.55E+0	1.81E+0	1	2.09 (4,5,na,na,na,na); Standard distance 100km, cells 500km			-		
	transport, freight, rail	RER	0	tkm	7.87E+0	9.45E+0	1	2.09 (4,5,na,na,na,na); Standard distance 600km			-		
disposal	disposal, municipal solid waste, 22.9% water, to municipal incineration	CH	0	kg	3.00E-2	3.00E-2	1	1.13 (1,4,1,3,1,3); Alsema (personal communicat	3.00E-2		8.22E-1		
	disposal, polyvinylfluoride, 0.2% water, to municipal incineration	CH	0	kg	1.10E-1	1.10E-1	1	1.13 (1,4,1,3,1,3); Calculation, including disposal of the panel after life			-		
	disposal, plastics, mixture, 15.3% water, to municipal incineration	CH	0	kg	1.69E+0	1.69E+0	1	1.13 (1,4,1,3,1,3); Calculation, including disposal	7.51E-2		-		
	disposal, used mineral oil, 10% water, to hazardous waste incineration	CH	0	kg	1.61E-3	1.61E-3	1	1.13 (1,4,1,3,1,3); Calculation, oil used during production			5.84E-3		
	treatment, sewage, from residence, to wastewater treatment, class 2	CH	0	m3	2.13E-2	2.13E-2	1	1.13 (1,4,1,3,1,3); Calculation, water use			-		
emission air	Heat, waste	-	-	MJ	1.70E+1	1.70E+1	1	1.29 (3,4,3,3,1,5); Calculation, electricity use			-		
	total weight			kg	12.4	15.0			15.9		16.6		
	Disposal			kg	1.8	1.8			0.1		0.8		

### 8.8 Infrastructure of panel and laminate production plant

The inventory includes the transformation and occupation of land as well as the buildings. Data were available for different production places (de Wild-Scholten & Alsema 2007; GSS 2001; Solar-Fabrik 2002). Tab. 8.3 shows the unit process raw data of the infrastructure of module production. The lifetime of the factory is assumed with 25 years. It has an annual production capacity of 10'000 solar modules of 16 kg each.

## 8. PV panel and laminate production

Tab. 8.3

Unit process raw data of the infrastructure of module production. Lifetime 25 years, annual production capacity 10'000 solar modules of 16 kg each (de Wild-Scholten & Alsema 2007; GSS 2001; Solar-Fabrik 2002, www.wuerth-solar.de)

	Name	Location	Infrastructure	Unit	photovoltaic panel factory	GeneralComment	GSS Ostthüringen	Solar- Fabrik	de Wild 2007	First Solar	Würth Solar, CIS
	Location	Infrastructure	Unit		GLO		DE	DE	RER	US	DE
	Unit				1		a	a	a	a	a
product	photovoltaic panel factory	GLO	1	unit	1.00E+0						
technosphere	building, hall	CH	1	m2	7.99E+2	1 3.00 (1,2,1,1,1,3); Environmental report	9.80E+2	4.26E+3	4.20E+3	1.86E+4	2.26E+4
resource, land	metal working machine, unspecified, at plant	RER	1	kg	4.00E+3	1 3.32 (5,5,1,1,1,5); rough assumption, 4t weight per laminator					
	Occupation, industrial area, built up	-	-	m2a	1.65E+4	1 1.51 (1,2,1,1,1,3); 25a occupation					
	Occupation, industrial area, vegetation	-	-	m2a	2.33E+4	1 1.51 (1,2,1,1,1,3); 25a occupation					
	Occupation, traffic area, road network	-	-	m2a	2.87E+3	1 1.51 (1,2,1,1,1,3); 25a occupation					
	Transformation, from unknown	-	-	m2	1.71E+3	1 2.00 (1,2,1,1,1,3); Environmental report, calculated	7.19E+3	6.88E+3			3.00E+4
	Transformation, to industrial area, built up	-	-	m2	6.59E+2	1 2.00 (1,2,1,1,1,3); Environmental report, weighted average for 5 companies	9.80E+2	4.26E+3	4.20E+3	1.86E+4	1.37E+4
	Transformation, to industrial area, vegetation	-	-	m2	9.33E+2	1 2.00 (1,2,1,1,1,3); Environmental report, weighted average for 3 companies	4.70E+3	2.62E+3			1.63E+4
	Transformation, to traffic area, road network	-	-	m2	1.15E+2	1 2.00 (1,2,1,1,1,3); Environmental report, weighted average for 2 companies	1.51E+3	-			
production	PV-panels			m2	1.00E+4		2.19E+4	1.10E+5	2.28E+5	1.52E+5	1.22E+5

## 8.9 Meta information of PV panel and laminate production

Tab. 8.4 show the EcoSpold meta information of PV panel and laminate production investigated in this chapter.

## 8. PV panel and laminate production

Tab. 8.4 EcoSpold meta information of PV panel and laminate production

ReferenceFunction	Name	photovoltaic laminate, single-Si, at plant	photovoltaic laminate, multi-Si, at plant	photovoltaic laminate, ribbon-Si, at plant	photovoltaic panel, single-Si, at plant	photovoltaic panel, multi-Si, at plant	photovoltaic panel, ribbon-Si, at plant
Geography	Location	RER	RER	RER	RER	RER	RER
ReferenceFunction	Infrastructure	1	1	1	1	1	1
ReferenceFunction	Unit	m2	m2	m2	m2	m2	m2
TimePeriod	IncludedProcesses	Production of the cell matrix, cutting of foils and washing of glass, production of laminate, isolation. Disposal after end of life. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC will be emitted from the	Production of the cell matrix, cutting of foils and washing of glass, production of laminate, isolation. Disposal after end of life. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC will be emitted from the	Production of the cell matrix, cutting of foils and washing of glass, production of laminate, isolation. Disposal after end of life. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC will be emitted from the	Production of the cell matrix, cutting of foils and washing of glass, production of laminate, isolation. Aluminium frame of the panel. Disposal after end of life. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC	Production of the cell matrix, cutting of foils and washing of glass, production of laminate, isolation. Aluminium frame of the panel. Disposal after end of life. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC	Production of the cell matrix, cutting of foils and washing of glass, production of laminate, isolation. Aluminium frame of the panel. Disposal after end of life. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC
	LocalName	Solarlaminat, single-Si, ab Werk	Solarlaminat, multi-Si, ab Werk	Solarlaminat, ribbon-Si, ab Werk	Solarpaneel, single-Si, ab Werk	Solarpaneel, multi-Si, ab Werk	Solarpaneel, ribbon-Si, ab Werk
	Synonyms	monocrystalline/single crystalline/silicon	polycrystalline/multi-crystalline/silicon	polycrystalline/multi-crystalline/silicon	Solarmodul/PV-module/monocrystalline/silicon	Solarmodul/PV-module/polycrystalline/multi-crystalline/silicon	Solarmodul/PV-module/polycrystalline/multi-crystalline/silicon
	GeneralComment	Unit process raw data for 1 m2 of PV panel. Investigated for the production of solar panels and laminates with 60 solar cells a 156*156cm2 with a capacity of 224 Wp. Cell size and amount and capacity might differ between different producers.	Unit process raw data for 1 m2 of PV panel. Investigated for the production of solar panels and laminates with 60 solar cells a 156*156cm2 with a capacity of 210Wp. Cell size and amount and capacity might differ between different producers.	Unit process raw data for 1 m2 of PV panel. Investigated for the production of solar panels and laminates with 60 solar cells a 156*156cm2 with a capacity of 192 Wp. Cell size and amount and capacity might differ between different producers.	Unit process raw data for 1 m2 of PV panel. Investigated for the production of solar panels and laminates with 60 solar cells a 156*156cm2 with a capacity of 224 Wp. Cell size and amount and capacity might differ between different producers.	Unit process raw data for 1 m2 of PV panel. Investigated for the production of solar panels and laminates with 60 solar cells a 156*156cm2 with a capacity of 210Wp. Cell size and amount and capacity might differ between different producers.	Unit process raw data for 1 m2 of PV panel. Investigated for the production of solar panels and laminates with 60 solar cells a 156*156cm2 with a capacity of 192 Wp. Cell size and amount and capacity might differ between different producers.
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	production of components	production of components	production of components	production of components	production of components	production of components
	Formula						
	StatisticalClassification						
	CASNumber						
	StartDate	2005	2005	2005	2005	2005	2005
	EndDate	2005	2005	2005	2005	2005	2005
Geography	OtherPeriodText	Date of data investigation. Some older data from 2001.	Date of data investigation. Some older data from 2001.	Date of data investigation. Some older data from 2001.	Date of data investigation. Some older data from 2001.	Date of data investigation. Some older data from 2001.	Date of data investigation. Some older data from 2001.
	Text	Production plants in Western Europe.	Production plants in Western Europe.	Production plants in Western Europe.	Production plants in Western Europe.	Production plants in Western Europe.	Production plants in Western Europe.
Technology	Text	Modern production plant.	Modern production plant.	Modern production plant.	Modern production plant.	Modern production plant.	Modern production plant.
Representativen	Percent	5	5	5	5	5	5
	ProductionVolume	Worldwide module production in 2005, 1500MWp (60%mc-Si, 40% sc-Si).	Worldwide module production in 2005, 1500MWp (60%mc-Si, 40% sc-Si).	Worldwide module production in 2005, 1500MWp (60%mc-Si, 40% sc-Si).	Worldwide module production in 2005, 1500MWp (60%mc-Si, 40% sc-Si).	Worldwide module production in 2005, 1500MWp (60%mc-Si, 40% sc-Si).	Worldwide module production in 2005, 1500MWp (60%mc-Si, 40% sc-Si).
	SamplingProcedure	Environmental reports, direct contacts with factory representatives and publication of plant data.	Environmental reports, direct contacts with factory representatives and publication of plant data.	Environmental reports, direct contacts with factory representatives and publication of plant data.	Environmental reports, direct contacts with factory representatives and publication of plant data.	Environmental reports, direct contacts with factory representatives and publication of plant data.	Environmental reports, direct contacts with factory representatives and publication of plant data.
	Extrapolations	Assumption for laminate production with data for panels. Materials for frames neglected.	Assumption for laminate production with data for panels. Materials for frames neglected.	Assumption for laminate production with data for panels. Materials for frames neglected.	Rough assumption for the use of heat in the process.	Rough assumption for the use of heat in the process.	Rough assumption for the use of heat in the process.

## 9 Thin film cells, laminates, and panels

### 9.1 Introduction

Thin film photovoltaic modules are so far only produced by a limited number of companies. Tab. 9.1 shows an overview of companies and production projects (Fawer 2006; Mints 2008). It is expected that the production capacities are increasing considerably in the next years.

Tab. 9.1 Thin film companies and production projects (Fawer 2006; Mints 2008)

Company	Technology	Efficiency	Shipments (MW <sub>p</sub> ) 2007	(Planned) Capacity (MW <sub>p</sub> ) 2007
Antec (DE)	CdTe		2.5	25
Arendi (IT)	CdTe			15
Ascent Solar (USA)	CIGS			1.5
Avancis (GB/FR)	CIS	13.5%		20
CSG Solar (DE)	aSi		2.7	
DayStarTechnologies (USA)	CIGS	10.0%		20
ErSol Thin Film (DE)	aSi	10.0%		40
First Solar (USA/DE)	CdTe		186.0	240
Honda (JP)	CIGS		6.9	27
Johanna Solar (DE)	CIGSSe	16.0%		30
Kaneka (JP)	aSi		35.0	47
Mitsubishi Heavy Industries (JP)	aSi	11.5%	14.0	40
Nanosolar (USA)	CIGS	10.0%		430
Odersun (DE)	CIS	10.0%		4.5
Sanyo (JP)	aSi		5.0	
Schott Solar (DE)	aSi		4.0	30
Sharp (JP)	aSi/Tandem		10.0	
Shenzen Topray Solar (CN)	aSi			15
Sinonar (CN)	aSi		3.0	
Solar Cells	aSi		2.0	
Sulfurcell (DE)	CIS with sulphur			50
United Solar Systems (USA)	aSi		48.0	60
Würth Solar (DE)	CIS	11.0%	10.0	15
others			7.1	
Total			330	>1130

### 9.2 Cadmium telluride photovoltaic laminates (CdTe)

#### 9.2.1 Introduction

The theoretical benefits of CdTe and other thin film technologies have long been recognized. The unique physical properties of CdTe make it useful for converting solar energy into useful electricity. First Solar describes the specific advantages as follows:<sup>25</sup>

- CdTe is a direct bandgap semiconductor. The energy bandgap of CdTe, at 1.45eV, enables it to

<sup>25</sup> Company information provided on [www.firstsolar.com](http://www.firstsolar.com) (2006).

convert more energy from the solar spectrum (i.e., more watts per kg of material) than the lower energy bandgap materials (1.20eV) used historically. As a result, CdTe is capable of converting solar energy into electricity at an efficiency rate comparable to historical technologies with about 1% of the semiconductor material requirement.

- Solar cells become less efficient at converting solar energy into electricity as their cell temperatures increase. However, the efficiency of CdTe is less susceptible to cell temperature increases, enabling CdTe solar modules to generate relatively more electricity under high ambient (and therefore high cell) temperatures. CdTe also absorbs low and diffuse light and more efficiently converts it to electricity under cloudy weather and dawn and dusk conditions where conventional cells operate less efficiently.
- The robustness of CdTe enables relatively simple device structures and production processes. High performance modules are achieved with single junction, multicrystalline devices. Automated high throughput production processes have been employed successfully with CdTe, without the need for expensive clean rooms or other expensive specialty equipment.
- Transforming cadmium and tellurium into a stable, inert semiconductor makes CdTe. Both elemental materials are produced as by-products of mining processes (primarily zinc mining and copper refining) and available in abundant quantities to support annual production of several GWp.

We investigate CdTe technology with the available data from the United States (US) and Germany (DE). Data for the necessary coating materials are investigated in a separate report (Classen et al. 2007).

### 9.2.2 Characterisation of the product

Cadmium telluride photovoltaic modules are so far only produced by a limited number of companies (see Tab. 9.1).

#### 9.2.2.1 *First Solar*<sup>26</sup>

The laminates produced by First solar have a size of 1.2 m by 0.6 m. The weight is 16.7 kg per m<sup>2</sup>. The average efficiency over the life time increased from 10.9 %<sup>27</sup> in 2009 to 11.7 % in 2011 (First Solar 2011).

The First Solar laminate is comprised of the materials shown in Fig. 9.1. The semiconductor materials (CdTe and CdS) originate from by-products of mining operations. First Solar laminates incorporate only small amounts of semiconductor material.

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<sup>26</sup> Company information provided on [www.firstsolar.com](http://www.firstsolar.com) (2008).

<sup>27</sup> Personal communication with L. Krueger, First Solar, 27.10.09.



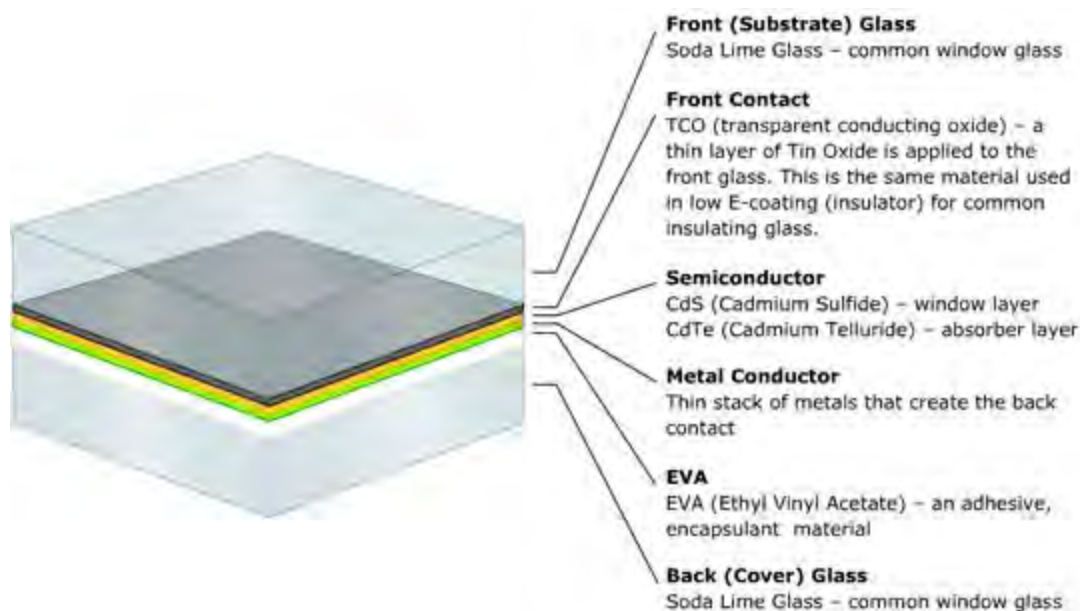


Fig. 9.1 Materials used for the First Solar laminates ([www.firstsolar.com](http://www.firstsolar.com))

### 9.2.3 Production process

The technology used to deposit the semiconductors on the First Solar laminates is vapour transport deposition (VTD). It relies on the sublimation of the powders and condensation of the vapours on a glass substrate (Fthenakis & Kim 2005). The module processing includes film deposition, etching, cleaning, and module assembly.

### 9.2.4 Life cycle inventories of cadmium telluride solar laminates

The life cycle inventory data of this technology are based on up to date manufacturing data of the three First Solar production facilities in Germany, Malaysia, and the United States, as well as on the following publication:

- Detailed and most recent investigation by Fthenakis and colleagues for the production process at **First Solar, US** (Fthenakis 2004; Fthenakis & Kim 2005). The authors of these articles provided further detailed information, which is the main basis for this life cycle inventory. Technical data for single modules were available on the homepage ([www.firstsolar.de](http://www.firstsolar.de)). Some earlier data for the Cd emissions and wastes by this producer are provided by Bohland & Smigielski (2000).

In previous reports, data from an investigation of Rauegi et al. (2006) were applied. However, since the corresponding facility stopped its production, these data are no longer considered any more and the inventory data are based only on informations from First Solar.

#### 9.2.4.1 Production in the United States, Germany, and Malaysia

The unit process raw data of the production in Germany, Malaysia, and the United States are presented in Tab. 9.2. Most inventory data for the production at First Solar are based on the information provided in a detailed EXCEL files provided to the authors by First Solar<sup>28</sup> and Prof. Fthenakis to the authors. Data for the use of most coating materials were available, except detailed data for the

<sup>28</sup> Personal communication with Parikhith Sinha, First Solar, on 08.11.2011.

## 9. Thin film cells, laminates, and panels

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composition of some cadmium compounds. According to the authors the following items are included in the analysis (Fthenakis & Kim 2005; Fthenakis & Alsema 2006):

- Electricity - Electricity demand is the most significant energy usage during the module manufacturing. Module processing, overhead operations and office use are the main contributors to electricity demand. Module processing includes film deposition, etching, cleaning, and module assembly while overhead operations include environmental control, lightening, health, and safety controls. Country specific information of the electricity consumption in the three manufacturing facilities is available.
- Chemicals – Chemicals are used during the manufacturing process for cleaning, etching, and waste treatment during operation and maintenance; these include sulphuric acid, nitric acid, isopropyl alcohol, sodium hydroxide, and glass cleaners.
- Consumables – Consumables used in the CdTe manufacturing facility include production supplies, repair and maintenance supplies, and safety supplies. Major production supplies include wires, welding rods, and filters while repair and maintenance supplies include cables, cable ties, bolts, nuts, screws, and washers. Safety supplies include goggles, protection gears, and gloves. Around 400 consumable items are included in this analysis in a summarized form based on background data from the US input-output table.
- Water Use – Water use during the manufacturing process is associated with glass/substrate and module cleaning, chemical solutions, and laboratory uses.

The disposal of production wastes is not known. It has been assessed with data from a module producer (GSS 2001), which have also been used for other types of panels (Tab. 8.2). All used water is treated in an internal wastewater plant. Afterwards, the effluent is release to the sewer grid in Germany and the US, and to surface water in Malaysia. Data for the treatment of unpolluted wastewater are used, since the wastewater is treated in an internal wastewater plant first..

The emission of cadmium to air and water has been estimated with recent measurements by First Solar. Municipal waste water plants do not filter dissolved cadmium emissions (Doka 2007). Therefore, the cadmium ion emissions in Malaysia, Germany, and the US are the same. The amount of other emissions from the process is not known.

The infrastructure of the production facilities is modelled on the generic data used in this study. Actual transport distcances for supplying glass have been used for calculating transport.

Tab. 9.2 Unit process raw data of cadmium telluride solar laminates production in Germany, Malaysia, and the United States

#### 9.2.4.2 Production mix

Life Cycle Inventories of Photovoltaics

## 9. Thin film cells, laminates, and panels

For imported laminates a transport distance from the US of 6'300 km and from Malaysia of 15'100 km by transoceanic freight ship is considered. In addition for all modules a transport distance to a regional storage of 200 km by rail and 50 km by lorry is adopted.

Tab. 9.3 CdTe module production and installation in 2010 (First Solar 2011).

	First Solar module production 2010	Installed photovoltaic modules in 2010	Origin of CdTe modules installed in Europe in 2010
	m <sup>2</sup>	%	%
Asia	9'027'360	9 %	65%
Europe	2'304'505	77 %	22%
North America	1'963'979	5 %	12%
Rest of the World	0	8 %	0 %
Total	13'295'844	100%	100%

Tab. 9.4 Unit process raw data of cadmium telluride solar laminates production mix in Europe (RER)

	Name	Location	Infrastructure	Process	Unit	photovoltaic laminate, CdTe, mix, at regional storage RER 1 m2	Uncertainty Ty Standard Deviation	95%	GeneralComment
modules	photovoltaic laminate, CdTe, mix, at regional storage	RER	1	m2	1.00E+0				
	photovoltaic laminate, CdTe, at plant	US	1	m2	1.22E-1	1	3.03	(3,4,1,1,1,3); CdTe module import from US	
	photovoltaic laminate, CdTe, at plant	DE	1	m2	2.25E-1	1	3.03	(3,4,1,1,1,3); CdTe module production in Germany	
	photovoltaic laminate, CdTe, at plant	MY	1	m2	6.54E-1	1	3.03	(3,4,1,1,1,3); CdTe module import from Malaysia	
transport	transport, transoceanic freight ship	OCE	0	tkm	2.16E+2	1	2.09	(4,5,na,na,na,na); Import of modules from the US 6300km, from Malaysia 15100km	
	transport, freight, rail	RER	0	tkm	4.14E+0	1	2.09	(4,5,na,na,na,na); Standard distance 200km	
	transport, lorry >16t, fleet average	RER	0	tkm	1.04E+0	1	2.09	(4,5,na,na,na,na); Standard distance 50km	

## 9. Thin film cells, laminates, and panels

### 9.2.4.3 Meta information of CdTe solar laminates

Tab. 9.5 EcoSpold meta information of cadmium telluride solar modules

Name	photovoltaic laminate, CdTe, at plant	photovoltaic laminate, CdTe, at plant	photovoltaic laminate, CdTe, at plant	photovoltaic laminate, CdTe, mix, at regional storage
Location	US	DE	MY	RER
Infrastructure	1	1	1	1
Process	1	1	1	1
Unit	m2	m2	m2	m2
IncludedProcesses	Electricity including overhead operations and office use, materials, transport of materials, infrastructure. Module processing includes film deposition, etching, cleaning and module assembly. Process emissions not known (except Cd).	Electricity including overhead operations and office use, materials, transport of materials, infrastructure. Module processing includes film deposition, etching, cleaning and module assembly. Process emissions not known (except Cd).	Electricity including overhead operations and office use, materials, transport of materials, infrastructure. Module processing includes film deposition, etching, cleaning and module assembly. Process emissions not known (except Cd).	Production mix for use in Europe. Transport of modules from overseas.
LocalName	Solarlaminat, CdTe, ab Werk	Solarlaminat, CdTe, ab Werk	Solarlaminat, CdTe, ab Werk	Solarlaminat, CdTe, Mix, ab Regionallager
Synonyms	Solarmodul//PV-	Solarmodul//PV-	Solarmodul//PV-	Solarmodul//PV-
GeneralComment	Production of photovoltaic thin film modules by vapour deposition. The modules produced at First Solar have a size of 1.2m by 0.6 m. The weight is 16.7kg/m2. The average efficiency in 2011 is 11.7%. The rated nominal power is about 84Wp per module.	Production of photovoltaic thin film modules by vapour deposition. The modules produced at First Solar have a size of 1.2m by 0.6 m. The weight is 16.7kg/m2. The average efficiency in 2011 is 11.7%. The rated nominal power is about 84Wp per module.	Production of photovoltaic thin film modules by vapour deposition. The modules produced at First Solar have a size of 1.2m by 0.6 m. The weight is 16.7kg/m2. The average efficiency in 2011 is 11.7%. The rated nominal power is about 84Wp per module.	Estimation for photovoltaic thin film modules used in Europe based on 22% German production, 65% Malaysian production and 12% US production. Average efficiency is 11.7%.
Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic
SubCategory	production of components	production of components	production of components	production of components
Formula				
StatisticalClassification				
CASNumber				
StartDate	2010	2010	2010	2010
EndDate	2010	2010	2010	2010
OtherPeriodText	Data refer to production in 2010	Data refer to production in 2010	Data refer to production in 2010	Data refer to 2010.
Text	Data from First Solar US.	Data from First Solar Germany.	Data from First Solar Malaysia.	Production sites in DE, MY, and US. Estimation for share of products on European market.
Text	Production technology of thin film cells. Sublimation of the powders and condensation of the vapours on a glass substrate by vapour transport deposition (VTD).	Production technology of thin film cells. Sublimation of the powders and condensation of the vapours on a glass substrate by vapour transport deposition (VTD).	Production technology of thin film cells. Sublimation of the powders and condensation of the vapours on a glass substrate by vapour transport deposition (VTD).	none
Percent	100	100	100	100
ProductionVolume	1'963'079 m2 in 2010	2'304'505 m2 in 2010	9'027'360 m2 in 2010	10'264'296 m2 in 2010
SamplingProcedure	Producer information	Producer information	Producer information	Literature data on worldwide CdTe module production.
Extrapolations	Waste disposal from factory approximated with data for crystalline modules. The quantity of several small material uses (about 700 items) has been summarized for some main materials.	Waste disposal from factory approximated with data for crystalline modules. The quantity of several small material uses (about 700 items) has been summarized for some main materials.	Waste disposal from factory approximated with data for crystalline modules. The quantity of several small material uses (about 700 items) has been summarized for some main materials.	Market share calculation based on literature data.

## **9.3 Copper indium selenide photovoltaic panels (CIS)**

### **9.3.1 Introduction**

The term CIS is an abbreviation for a chemical compound. This comprises the starting letters of the elements forming this material compound, e.g. copper indium selenide: C – Cu (copper), I – indium, S – selenium. Another expression that is used sometimes for this type of technology is CIGS where the G stands for gallium. The thin film CIS technology is investigated here with data from Würth Solar in Germany and with published articles. The following descriptions in this chapter have mainly been found on the homepage of Würth Solar ([www.wuerth-solar.de](http://www.wuerth-solar.de)).

### **9.3.2 Reserves and resources of material**

Materials with a structure and composition, in which two or three metals (e.g. copper, zinc or iron) are combined with selenium, sulphur or tellurium, occur abundantly in nature as ore minerals. The elements selenium, sulphur and tellurium are therefore also known as chalcogens and the compounds with the metals are termed chalcogenides. As a result of its crystalline structure, CIS, with the chemical formula  $\text{CuInSe}_2$ , belongs to the family of chalcopyrites.

Because the CIS compounds readily absorb sunlight (this is apparent from their deep black appearance), wafer thin layers are sufficient to completely absorb incident sunlight and to partially convert light into electrical current.

One speaks of a CIS (thin film) solar cell based on the CIS compound semiconductor, if this photovoltaic active layer of 1 to 2  $\mu\text{m}$  thickness is embedded between layers of partially transparent, but conductive and similarly thin electrode layers.

The companies shown in Tab. 9.1 are active in producing CIS-modules.

### **9.3.3 Characterisation of the product**

Fig. 9.2 shows the different layers of a CIS thin film cell.<sup>29</sup> The active layer consists of a specific copper-indium-selenium ( $\text{CuInSe}_2$ ) configuration and is deposited with a vaporization process directly over a large area of the substrate material (window glass). This layer is just a few micrometers (1/1000 mm) thick.

Large-area deposition techniques, such as thermal vaporization in vacuum, are suitable for production. These and other techniques (sputtering in the technical jargon) for the production of thin layers are similar to those used in the modern glass industry for the manufacture of heat reflecting surfaces.

As in the glass industry, cheap window glass produced in large quantities can be used as a substrate for CIS solar modules. The required sequence of layers is deposited in the various subsequent production steps.

The cells are then separated and electrically connected together with the respective structuring steps. The result is a module with a higher operating voltage corresponding to that of the constituent cells. In the case of thin layer solar cells, this serial connection – also known as integration – can be carried out during the production process. This configuration is normally hermetically sealed with a second glass plate, the cover glass plate.

The modules produced at Würth solar have a size of 1.2m by 0.6m. The weight is 12.6kg. The efficiency is 10%. The rated nominal power is about 75-80Wp per module.

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<sup>29</sup> Information provided on [www.wuerth-solar.de](http://www.wuerth-solar.de) (2006).



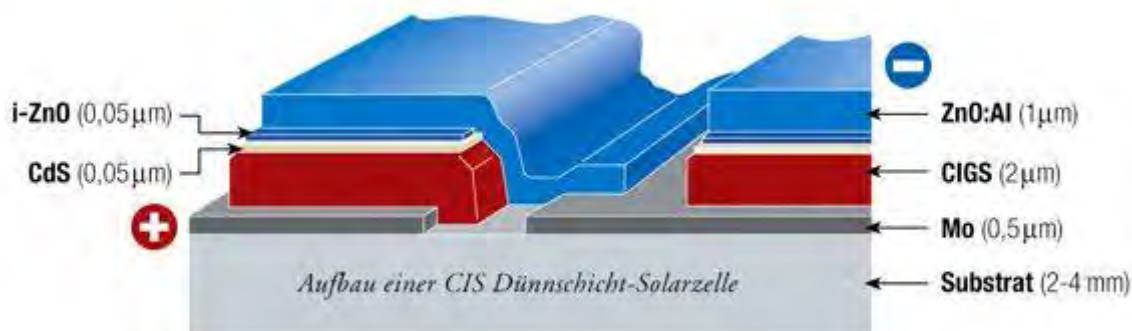


Fig. 9.2 Principal materials of a CIS thin film solar cell ([www.wuerth-solar.de](http://www.wuerth-solar.de)).

### 9.3.4 Production process

Fig. 9.3 shows the production process at Würth Solar.

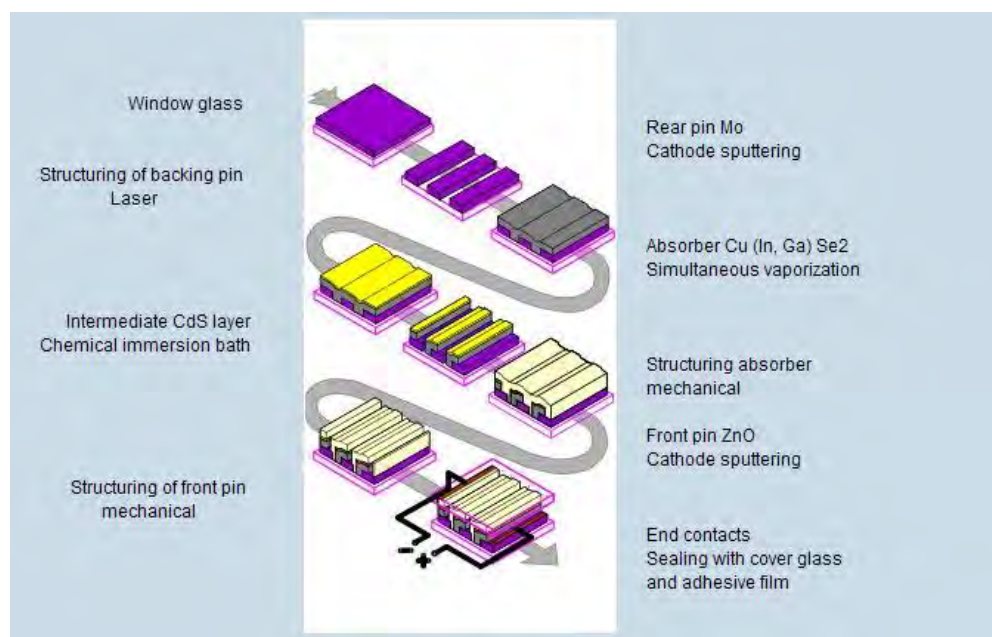


Fig. 9.3 Production process at Würth solar for CIS thin film solar cell ([www.wuerth-solar.de](http://www.wuerth-solar.de)).

### 9.3.5 Life cycle inventories of CIS laminates and panels

The following information and publications have been used to elaborate the life cycle inventory analysis of copper-indium-diselenide (CIS) PV modules:

- Data are mainly available for the production process of Würth Solar in Germany. The company provided key figures on energy and material inputs as well as wastes for the production in 2007 in a personal communication.<sup>30</sup> Some data are shown for the production process at Würth Solar directly on the company's homepage ([www.wuerth-solar.de](http://www.wuerth-solar.de)).
- An updated life cycle assessment of modules produced at **Würth Solar** has been investigated in

<sup>30</sup> Personal communication with Bernhard Dimmler, Würth Solar, 27.2.2007 and Tobias Brosi, Würth Solar, 13.3.2007.

## 9. Thin film cells, laminates, and panels

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the SENSE project<sup>31</sup>. The data are so far confidential and were not available for this report.

- Older data have been published in an article by Raugei et al. (2006) based on a former work (Raugei 2005). However, that work investigated the production of very specific designer modules and is thus not representative for the production of average modules today.
- An earlier work investigated data for the producer Siemens (now Shell Solar) (Ampenberger et al. 1998). These data have also been evaluated.
- Further information was available from literature (Naujoks 2000) for the producer Sulfurcell. This has been used to verify some results, but it was not possible to derive life cycle inventory data from this publication.
- The production process of Shell Solar Europe has been described in a publication (Briem et al. 2004). No inventory data are published for this process. The cumulative energy demand for the module is calculated as 32.7 GJ for 3.12 kW<sub>p</sub> or 1253 MJ/m<sup>2</sup> of panel. The new producers name is Avancis.
- A publication from the US investigated the pilot plant production at Siemens Solar Industries (now Shell Solar) (Knapp & Jester 2000a; b).

The available literature data are shown in Tab. 9.6. The life cycle inventory for CIS-modules is shown in Tab. 9.7. A summary description of the investigated process and the quality of data can be found in Tab. 9.8.

The life cycle inventory is based mainly on the information directly provided by the company. Recent literature data (Raugei 2005; Raugei et al. 2006) have been used for verification. The information provided in an older study for the amount of different coating materials has been used to estimate the share of different materials (Ampenberger et al. 1998).

Electricity is not only used for the operation of production machines, but also air-conditioning, water-purification, etc. The data for the electricity use range between 17 and 236<sup>32</sup> kWh/m<sup>2</sup> of laminated glass (Ampenberger et al. 1998; Knapp & Jester 2000a; b; Raugei 2005; Raugei et al. 2006). Here we use information provided by the company and estimate the total electricity use for coating, air-conditioning, water purification, etc. with 122 kWh/m<sup>2</sup>.

According to the company information, the amount of tap water is slightly higher than the discharge of water. Data for the treatment of glass production effluents are used as a proxy as the main process is similar to other processes used in glass coating.

Further data for auxiliary materials used in the production process have been investigated by Ampenberger et al (1998) because more recent data were not available.

Emission data for this specific process were not available. The possible emission of cadmium to air has been estimated using (Fthenakis & Kim 2005) as a worst-case assumption, but it has to be noted that these data refer to another type of process. The amount of other emissions from the process is not known.

The modules are packed in returnable boxes. The related material is not considered in the unit process raw data.

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<sup>31</sup> [www.sense-eu.net](http://www.sense-eu.net) (2006).

<sup>32</sup> Personal communication with M. Raugei, 15.12.06: We are aware that the data for the electricity use per module may have been wrongly calculated as the gross electricity use of the factory divided by the number of modules produced. This would result in an overestimate, of course, especially considering that at the time of the investigation the production facility was still in a pilot production stage (as noted in our paper) and there was still a fair amount of inevitable wasteful energy consumption going on. As regards the issues of glass and water use, I cannot be very precise at the moment, but I recall that glass was calculated based on an assumption consistent with the currently (2004) available literature rather than on direct input by the manufacturer.



## 9. Thin film cells, laminates, and panels

Tab. 9.6 Literature data of CIS laminates and modules (Source in the first row). Life cycle inventory data can be found in Tab. 9.7

	3702		3703 ## 3706		Würth Solar 2007	Raugei 2006	Raugei 2006	Knapp 2000	Ampenberger 1998	Ampenberger 1998	Ampenberger 1998	Ampenberger 1998	own assumption
	Name	Location	Infrastructure	Unit	CIS, cells, Würth Solar	CIS, cells, Würth Solar	CIS, BOS module	CIS module	CIS Module, large, 50 bzw. 56 Wpeak	CIS Module, large, 50 bzw. 56 Wpeak	CIS Module, Pilot, 50 bzw. 56 Wpeak	CIS Module, 50 bzw. 56 Wpeak	share of coating materials
					DE	DE	DE	US	DE	DE	DE	DE	
					Location	Infrastructure	Process	Unit	DE	DE	DE	DE	
product	photovoltaic laminate, CIS, at plant	DE	1	m2									
	photovoltaic panel, CIS, at plant	DE	1	m2									
technosphere	electricity, medium voltage, at grid	DE	0	kWh	1.22E+2	2.36E+2		1.61E+1	3.93E+1	2.00E+1			
infrastructure	light fuel oil, burned in industrial furnace 1MW, non-modulating	RER	0	MJ	-		1.08E+1	to	4.09E+1	2.09E+1			
	photovoltaic panel factory	GLO	1	unit	-			1.41E+2					
	tap water, at user	RER	0	kg	2.67E+0	1.25E+0			1.67E+2	8.52E+1	9.83E+1		
materials	tempering, flat glass	RER	0	kg	-								
	photovoltaic laminate, CIS, at plant	DE	1	m2	-								
	aluminium alloy, AlMg3, at plant	RER	0	kg	1.57E+0		1.90E+0	7.28E+0					
coating	copper, at regional storage	RER	0	kg	4.50E-2		4.00E-2		6.67E-3	3.40E-3	4.90E-3	10%	
	molybdenum, at regional storage	RER	0	kg	9.55E-2	7.00E-2			7.25E-3	3.70E-3	7.60E-3	11%	11%
	indium, at regional storage	RER	0	kg	x	x			3.53E-3	1.80E-3	3.70E-3	5%	6%
	gallium, semiconductor-grade, at regional storage	RER	0	kg	x	x			-			0%	11%
	selenium, at plant	RER	0	kg	x	x			9.22E-3	4.70E-3	6.00E-3	14%	11%
	cadmium sulphide, semiconductor-grade, at plant	US	0	kg	x	x			3.92E-2	2.00E-2	3.12E-2	58%	36%
	zinc, primary, at regional storage	RER	0	kg	x	x			8.04E-3	4.10E-3	8.30E-3	12%	13%
	tin, at regional storage	RER	0	kg	x	x			-			0%	11%
	solar glass, low-iron, at regional storage	RER	0	kg	1.50E+1	2.50E+1			1.04E+1	5.30E+0	5.60E+0		
	glass fibre reinforced plastic, polyamide, injection moulding, at plant	RER	0	kg	-		4.00E-2		-				
	ethylvinylacetate, foil, at plant	RER	0	kg	8.68E-1	8.77E-1		5.49E-1	8.82E-1	4.50E-1	5.80E-1		
	acetone, liquid, at plant	RER	0	kg	-				1.18E-2	6.00E-3	9.00E-3		
auxiliaries	argon, liquid, at plant	RER	0	kg	7.20E-3				1.71E-2	8.70E-3	6.17E-2		
	nitrogen, liquid, at plant	RER	0	kg	2.78E+0				6.67E-2	3.40E-2			
	ammonia, liquid, at regional storehouse	RER	0	kg	2.93E-1				5.69E-1	2.90E-1	5.00E-1		
	urea, as N, at regional storehouse	RER	0	kg	-				1.25E-1	6.39E-2	9.85E-2		
	transport, lorry >16t, fleet average	RER	0	tkm	-								
	transport, freight, rail	RER	0	tkm	-								
disposal	disposal, waste, Si waferprod., inorg. 9.4% water, to residual material landfill	CH	0	kg	3.44E-2								
	disposal, plastics, mixture, 15.3% water, to municipal incineration	CH	0	kg	-								
	treatment, glass production effluent, to wastewater treatment, class 2	CH	0	m3	2.63E-3								
emission air	Heat, waste	-	-	MJ	-								
	Cadmium	-	-	kg	-								
	module materials			kg	20.7	25.9	2.0	7.8	12.1	6.2	6.9		17.6
	disposal			kg	0.0	0.0	0.0						1.0

x Materials are known to be used, but only sum of masses provided in the publication. Frames used to highlight the amounts partly disaggregated or to highlight to which materials a summarized sum refers to

## 9. Thin film cells, laminates, and panels

Tab. 9.7 Unit process raw data for CIS laminates and modules

	Name	Location	Infrastructure	Unit	photovoltaic laminate, CIS, at plant	photovoltaic panel, CIS, at plant	Uncertainty	StandardDeviation95%	GeneralComment
					DE 1 m2	DE 1 m2			
product	photovoltaic laminate, CIS, at plant	DE	1	m2	1.00E+0	0			
	photovoltaic panel, CIS, at plant	DE	1	m2	0	1.00E+0			
technosphere	electricity, medium voltage, at grid	DE	0	kWh	1.22E+2	-	1	1.07	(1,1,1,1,1,3); company information, coating, air-conditioning, water purification, etc.
infrastructure	light fuel oil, burned in industrial furnace 1MW, non-modulating	RER	0	MJ	-	1.08E+1	1	1.07	(1,1,1,1,1,3); Raugei, literature
	photovoltaic panel factory	GLO	1	unit	4.00E-6	-	1	3.02	(1,4,1,3,1,3); Assumption
materials	tap water, at user	RER	0	kg	2.67E+0	-	1	1.07	(1,1,1,1,1,3); company information
	tempering, flat glass	RER	0	kg	1.50E+1	-	1	1.07	(1,1,1,1,1,3); Assumption
materials	photovoltaic laminate, CIS, at plant	DE	1	m2	-	1.00E+0	1	1.07	(1,1,1,1,1,3); Assumption
	aluminium alloy, AlMg3, at plant	RER	0	kg	-	1.57E+0	1	1.07	(1,1,1,1,1,3); company information
coating	copper, at regional storage	RER	0	kg	4.50E-2	-	1	1.07	(1,1,1,1,1,3); company information
	molybdenum, at regional storage	RER	0	kg	1.10E-2	-	1	1.13	(3,2,2,1,1,3); company information and assumption for share of metals
	indium, at regional storage	RER	0	kg	5.49E-3	-	1	1.13	(3,2,2,1,1,3); company information and assumption for share of metals
	gallium, semiconductor-grade, at regional storage	RER	0	kg	1.10E-2	-	1	1.13	(3,2,2,1,1,3); company information and assumption for share of metals
	selenium, at plant	RER	0	kg	1.10E-2	-	1	1.13	(3,2,2,1,1,3); company information and assumption for share of metals
	cadmium sulphide, semiconductor-grade, at plant	US	0	kg	3.40E-2	-	1	1.13	(3,2,2,1,1,3); company information and assumption for share of metals
	zinc, primary, at regional storage	RER	0	kg	1.21E-2	-	1	1.13	(3,2,2,1,1,3); company information and assumption for share of metals
	tin, at regional storage	RER	0	kg	1.10E-2	-	1	1.13	(3,2,2,1,1,3); company information and assumption for share of metals
	solar glass, low-iron, at regional storage	RER	0	kg	1.50E+1	-	1	1.07	(1,1,1,1,1,3); company information
	glass fibre reinforced plastic, polyamide, injection moulding, at plant	RER	0	kg	-	4.00E-2	1	1.07	(1,1,1,1,1,3); Raugei, literature
auxiliaries	ethylvinylacetate, foil, at plant	RER	0	kg	8.68E-1	-	1	1.07	(1,1,1,1,1,3); company information
	acetone, liquid, at plant	RER	0	kg	1.18E-2	-	1	1.16	(3,1,3,1,1,3); Cleaning agent, Ampenberg 1998
	argon, liquid, at plant	RER	0	kg	7.20E-3	-	1	1.07	(1,1,1,1,1,3); protection gas, company information
	nitrogen, liquid, at plant	RER	0	kg	2.78E+0	-	1	1.07	(1,1,1,1,1,3); protection gas, company information
	ammonia, liquid, at regional storehouse	RER	0	kg	2.93E-1	-	1	1.07	(1,1,1,1,1,3); dip coating for CdS, company information
	urea, as N, at regional storehouse	RER	0	kg	1.25E-1	-	1	1.16	(3,1,3,1,1,3); dip coating for CdS, Ampenberg 1998
transport	transport, lorry >16t, fleet average	RER	0	tkm	1.94E+0	1.62E-1	1	2.09	(4,5,na,na,na,na); Standard distance 100km
	transport, freight, rail	RER	0	tkm	1.15E+1	9.66E-1	1	2.09	(4,5,na,na,na,na); Standard distance 600km
disposal	disposal, waste, Si waferprod., inorg, 9.4% water, to residual material landfill	CH	0	kg	3.44E-2	-	1	1.24	(3,1,1,1,3,3); company information, amount of deposited waste, own estimation for type
	disposal, plastics, mixture, 15.3% water, to municipal incineration	CH	0	kg	8.68E-1	4.00E-2	1	1.07	(1,1,1,1,1,3); Calculation for plastic parts burned after recycling
emission air	treatment, glass production effluent, to wastewater treatment, class 2	CH	0	m3	2.63E-3	-	1	1.07	(1,1,1,1,1,3); company information
	Heat, waste	-	-	MJ	4.41E+2	-	1	1.07	(1,1,1,1,1,3); Calculation
	Cadmium	-	-	kg	2.10E-8	-	1	5.09	(3,4,3,3,1,5); Rough estimation
	module materials			kg	16.0	17.6			including losses
	disposal			kg	0.9	0.0			

## 9. Thin film cells, laminates, and panels

Tab. 9.8 EcoSpold meta information of CIS photovoltaic laminates and modules

ReferenceFunction	Name	photovoltaic laminate, CIS, at plant	photovoltaic panel, CIS, at plant
Geography	Location	DE	DE
ReferenceFunction	InfrastructureProcess	1	1
ReferenceFunction	Unit	m2	m2
TimePeriod	IncludedProcesses	Electricity use, materials, transport of materials, treatment of production wastes. Disposal after end of life. Process emissions not known (except Cd).	Electricity use, materials, transport of materials, treatment of production wastes. Disposal after end of life. Process emissions not known (except Cd).
	LocalName	Solarlaminat, CIS, ab Werk	Solarpaneel, CIS, ab Werk
	Synonyms	copper indium selenide//thin film//CIGS	Solarmodul//PV-module//copper indium selenide//thin film//CIGS
	GeneralComment	Production of photovoltaic thin film laminates by thermal vaporization in vacuum. The modules produced at Würth Solar have a size of 1.2m by 0.6m. The weight is 12.6kg. The efficiency is 10%. The rated nominal power is about 75-80Wp per module.	Production of photovoltaic thin film modules by thermal vaporization in vacuum. The modules produced at Würth Solar have a size of 1.2m by 0.6m. The weight is 12.6kg. The efficiency is 10%. The rated nominal power is about 75-80Wp per module.
	Category	photovoltaic	photovoltaic
	SubCategory	production of components	production of components
	Formula		
	StatisticalClassification		
	CASNumber		
	StartDate	1998	1998
Geography	EndDate	2007	2007
	OtherPeriodText	Data refer to 2007. Production in 2006 was ramped up.	Data refer to 2007. Production in 2006 was ramped up.
	Text	Data for Würth Solar in Germany.	Data for Würth Solar in Germany.
Technology	Text	Production technology of thin film CIS cells with thermal vaporization in vacuum.	Production technology of thin film CIS cells with thermal vaporization in vacuum.
Representativen	Percent	50	50
	ProductionVolume	14.8 MW planned for 2007	14.8 MW planned for 2007
	SamplingProcedure	Literature data based on producer information.	Literature data based on producer information.
	Extrapolations	Data for coating materials derived from own assumptions.	none

## 9.4 Amorphous silicon (a-Si)

### 9.4.1 Introduction

The data availability with regard to amorphous silicon PV is very limited. Tab. 9.9 shows a summary of LCA results for the energy use for manufacturing a-Si panels in different studies, which are not relevant for the present study. The older studies rely on data published in 1994.<sup>33</sup> The study of Lewis & Keoleian (1997) only provides the sum of material and processing uses. An inventory based on this publication can be found in (Briem et al. 2004). The differences for the total energy use between different studies are small, while details might vary considerably. The most detailed inventory has been published for production of United Solar in the United States (Pacca et al. 2006).

Results for a-Si modules are published by the SENSE project (Shibasaki 2006). It is unclear whether or not the background and assumptions for these results will be published. The data have been investigated for Free Energy Europe.

The unit process raw data for this process are investigated for the production process at United Solar in the United States and are based on the available information.

Tab. 9.9 Cumulative energy use for the production of a-Si PV panels investigated in different studies (MJ-eq/m<sup>2</sup> panel). Further details can be found in the cited publications

Process stage	Estimation for Europe	USA	USA	Japan 10MW	Japan 30MW	Japan 100MW
cell material	50	871	834-861	n.d.	n.d.	n.d.
substrate and encapsulation	350	n.d.	n.d.	n.d.	n.d.	n.d.
cell production	400	491	n.d.	958	1078	746
overhead	250	0	n.d.	76	60	22
balance of system	150	0	ca. 119	609	449	410
<b>Total for the laminate</b>	<b>1200</b>	<b>491</b>	<b>ca. 969</b>	<b>1643</b>	<b>1587</b>	<b>1178</b>
<i>Source</i>	(Alsema 2000a)	(Lewis & Keoleian 1997)	(Pacca et al. 2006)	(Kato et al. 1997b)	(Kato et al. 1997b)	(Kato et al. 1997b)

### 9.4.2 Product

Amorphous silicon (a-Si) alloy thin film technology offers an interesting opportunity to reduce materials cost of the solar cells. Because a-Si alloy absorbs light more efficiently than its crystalline counterpart, the a-Si solar cell thickness can be 100 times less than that of conventional cells. By utilizing a flexible, stainless steel substrate and polymer-based encapsulates, PV products utilizing this technology can be lightweight, flexible and durable.

<sup>33</sup> Personal communication by Erik Alsema, 9.10.2006. "Unfortunately there are almost no inventory data on a-Si production. The major source is Paolo Frankl's Eclipse project, but for a-Si they used my own estimates from 1994 and let those check by one small producer (Free Energy Europe). A problem is also that there are only a few small producers in Europe, 1 or 2 in the USA. Most is produced in Japan. So it is difficult to organize an average data set, like I did in Crystal-Clear project"

### 9.4.3 Production process

The production process can be distinguished between single vs. multiple junction technologies. These have different thickness and different efficiencies. In particular, efficiency for triple junction is higher than for single junction. Besides, triple junction may work better with covered sky.

Here we describe the process used by United Solar in the United States.<sup>34</sup> The cell is deposited using a vapour-deposition process at low temperatures.

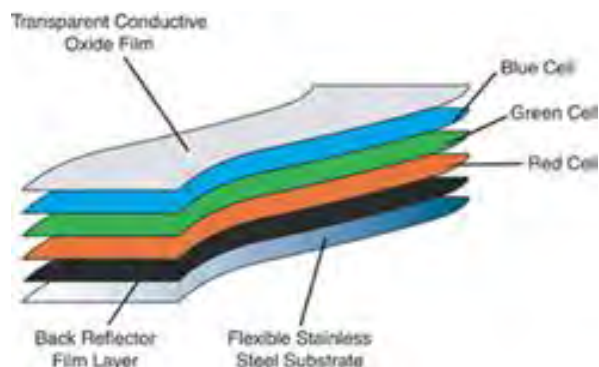


Fig. 9.4 Structure of United Solar's triple junction thin film cell

Amorphous materials with different light absorption properties can be deposited continuously, one on top of another, to capture the broad solar spectrum more effectively. This increases the energy conversion efficiency of the multi-cell device and improves the performance stability. The multi-junction approach of United Solar, as shown in Fig. 9.4, has resulted in higher efficiencies for the a-Si technology than for single junction cells.

For the manufacturing of PV modules a continuous roll-to-roll solar cell deposition process is used. In the manufacturing plant in Auburn Hills, Michigan, solar cells are deposited on rolls of stainless steel that are a mile-and-a half long using automated manufacturing machines. The a-Si alloy processor deposits the nine thin-film layers of the triple-junction cell on six rolls of stainless steel at a time.

The rolls of solar cell material can be processed further for use in a variety of photovoltaic products for different applications ranging from battery charging to large-scale grid-connected systems. Fig. 9.5 shows the manufacturing process for an a-Si module.

<sup>34</sup> Descriptions found on [www.uni-solar.com](http://www.uni-solar.com).

## 9. Thin film cells, laminates, and panels

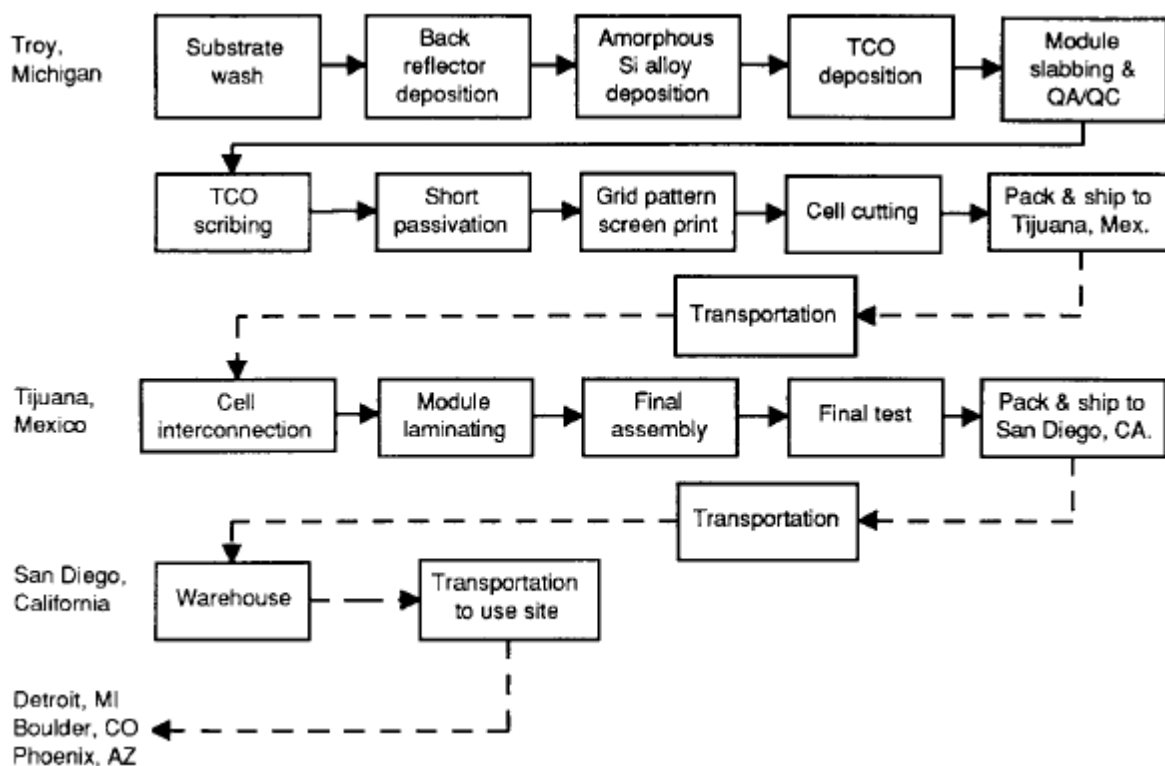


Fig. 9.5 UPM-880 manufacturing process steps of tandem junction module. Solid lines denote in-plant material movement; dashed lines denote movement between plants (Keoleian & Lewis 1997)

### 9.4.4 Life cycle inventories of a-Si laminates and panels

The unit process raw data of a-Si triple junction laminates and panels are shown in Tab. 9.10. Most of the data including data for transports are directly taken from the recent publication (Pacca et al. 2006). The amount of aluminium and steel necessary for the production of panels has been roughly estimated by (Pacca et al. 2006) with an older less detailed publication (Keoleian & Lewis 1997). It is assumed that silicon tetrahydride ( $\text{SiH}_4$ ) is purchased from a chemical factory.

Standard assumptions for the disposal of this infrastructure item after its lifetime have been used. It is assumed that the major metal materials and plastics can be recycled while smaller plastic parts will be incinerated. The amount of production wastes is assumed with the same amount as used for crystalline panels (GSS 2001), because specific data for production wastes were not available.

The laminates ASR128 produced at United Solar have a size of  $2.3 \text{ m}^2$ . The weight of used materials is  $2.7 \text{ kg per m}^2$ . The rated nominal power is about  $128 \text{ Wp per laminate}$ . The efficiency is estimated here for newer products with  $6.45\%$  (Tab. 12.3). A decreasing efficiency over the lifetime has no effect on the life cycle assessments as long as average  $\text{kWh per kW}_p$  figures can be used to calculate the electricity production.

## 9. Thin film cells, laminates, and panels

Tab. 9.10 Unit process raw data for a-Si laminates and modules. Literature data

	3702	3703	##	3706	3707	3707	#	3709	3792	Pacca 2006	Keoleian 1997	Briem 2004
	Name	Location	Infrastructure	Unit	photovoltaic laminate, a-Si, at plant	photovoltaic panel, a-Si, at plant	Uncertainty Standard Deviation 95%	GeneralComment		ASR128	UPM 880	a-Si Module
	Location Infrastructure Unit				US 1 m2	US 1 m2				US 0 m2	US 0 m2	US 0 m2
product	photovoltaic laminate, a-Si, at plant	US	1	m2	1.00E+0	0				1.00	1.00	-
technosphere	photovoltaic panel, a-Si, at plant	US	1	m2	0	1.00E+0				-	-	1.00
	electricity, medium voltage, at grid	US	0	kWh	4.82E+1	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		4.82E+1	-	4.37E+1
	light fuel oil, burned in industrial furnace 1MW, non-modulating	RER	0	MJ	5.89E+0	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		5.89E+0	-	-
infrastructure	photovoltaic panel factory	GLO	1	unit	4.00E-6	-	1	3.02 (1,4,1,3,1,3); Assumption		-	-	-
water	tap water, at user	RER	0	kg	3.97E+1	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		3.97E+1	-	-
manufacturing	wire drawing, copper	RER	0	kg	6.68E-2	-	1	1.22 (4,3,2,1,1,na); Assumption		6.68E-2	-	-
	sheet rolling, steel	RER	0	kg	9.64E-1	2.18E+0	1	1.22 (4,3,2,1,1,na); Assumption		9.64E-1	2.18E+0	-
materials	photovoltaic laminate, a-Si, at plant	US	1	m2	-	1.00E+0	1	1.22 (4,3,2,1,1,na); Assumption		-	-	-
	aluminium alloy, AlMg3, at plant	RER	0	kg	1.43E-2	3.34E+0	1	1.13 (3,3,2,1,1,na); Pacca 2006		1.43E-2	3.34E+0	3.34E+0
	copper, at regional storage	RER	0	kg	6.68E-2	-	1	1.13 (3,3,2,1,1,na); Busbar and wire		6.68E-2	-	-
	steel, low-alloyed, at plant	RER	0	kg	9.64E-1	2.18E+0	1	1.13 (3,3,2,1,1,na); Pacca 2006		9.64E-1	3.18E+0	3.18E+0
	brazing solder, cadmium free, at plant	RER	0	kg	2.62E-3	-	1	1.13 (3,3,2,1,1,na); Solder lead		2.62E-3	-	-
	soft solder, Sn97Cu3, at plant	RER	0	kg	9.71E-3	-	1	1.13 (3,3,2,1,1,na); Solder tin		9.71E-3	-	-
	polyethylene, HDPE, granulate, at plant	RER	0	kg	1.10E+0	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		1.10E+0	-	-
	packaging film, LDPE, at plant	RER	0	kg	3.10E-1	-	1	1.13 (3,3,2,1,1,na); Madico, window film		3.10E-1	-	-
	polyvinylfluoride film, at plant	US	0	kg	1.23E-1	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		1.23E-1	2.22E+0	2.22E+0
	glass fibre reinforced plastic, polyamide, injection moulding, at plant	RER	0	kg	3.58E-2	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		3.58E-2	-	-
	synthetic rubber, at plant	RER	0	kg	6.76E-2	-	1	1.13 (3,3,2,1,1,na); Duraseal, coating of cables and rubber wire insulation		6.76E-2	-	-
coating	silicon tetrahydride, at plant	RER	0	kg	3.58E-3	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		3.58E-3	-	-
	indium, at regional storage	RER	0	kg	8.94E-4	-	1	1.26 (3,4,2,1,3,na); Indium tin oxide, amount less than 0.05%		8.94E-4	-	-
	cadmium telluride, semiconductor-grade, at plant	US	0	kg	8.94E-4	-	1	1.26 (3,4,2,1,3,na); Cadmium stannate (Cd2SnO4), amount less than 0.05%		8.94E-4	-	-
	phosphoric acid, fertiliser grade, 70% in H2O, at plant	US	0	kg	7.50E-5	-	1	1.13 (3,3,2,1,1,na); Phosphine (H3P)		7.50E-5	-	-
auxiliaries	oxygen, liquid, at plant	RER	0	kg	4.85E-4	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		4.85E-4	-	-
	hydrogen, liquid, at plant	RER	0	kg	2.18E-2	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		2.18E-2	-	-
packaging	polyethylene, LDPE, granulate, at plant	RER	0	kg	1.84E-2	-	1	1.13 (3,3,2,1,1,na); Pacca 2006		1.84E-2	-	-
transport	transport, lorry >16t, fleet average	RER	0	tkm	8.49E-3	-	1	2.09 (4,5,na,na,na,na); Standard distance 15km disposal		-	-	-
	transport, transoceanic freight ship	OCE	0	tkm	9.07E+0	6.98E+0	1	2.02 (3,3,2,1,1,na); Pacca 2006, specific investigation of supplies		9.07E+0	-	-
	transport, freight, rail	RER	0	tkm	1.50E+0	4.16E+0	1	2.02 (3,3,2,1,1,na); Pacca 2006, specific investigation of supplies		1.50E+0	-	-
disposal	disposal, municipal solid waste, 22.9% water, to municipal incineration	CH	0	kg	3.00E-2	-	1	1.13 (1,4,1,3,1,3); Alsema (personal communication) 2007, production waste		-	-	-
	disposal, rubber, unspecified, 0% water, to municipal incineration	CH	0	kg	6.76E-2	-	1	1.22 (4,3,2,1,1,na); Calculation for end of life disposal		-	-	-
	disposal, polyvinylfluoride, 0.2% water, to municipal incineration	CH	0	kg	1.23E-1	-	1	1.22 (4,3,2,1,1,na); Calculation for end of life disposal		-	-	-
	disposal, plastics, mixture, 15.3% water, to municipal incineration	CH	0	kg	3.46E-1	-	1	1.22 (4,3,2,1,1,na); Calculation for end of life disposal		-	-	-
	treatment, glass production effluent, to wastewater treatment, class 2	CH	0	m3	3.97E-2	-	1	1.22 (4,3,2,1,1,na); Calculation with water use		-	-	-
emission air	Heat, waste	-	-	MJ	1.74E+2	-	1	1.29 (3,4,3,3,1,5); Calculation		-	-	-
information	total weight of used materials			kg	2.7	8.2				2.7	8.7	8.7
	disposal			kg	0.6	0.0				0.0	0.0	0.0
	Capacity			Wp	64.5	64.5				56.5	53.7	53.7
	Efficiency			%	6.5%	6.5%				5.6%	5.4%	5.4%

## 9. Thin film cells, laminates, and panels

Tab. 9.11 EcoSpold meta information of a-Si photovoltaic laminates and modules

ReferenceFunction	Name	photovoltaic laminate, a-Si, at plant	photovoltaic panel, a-Si, at plant
Geography	Location	US	US
ReferenceFunction	InfrastructureProcess	1	1
ReferenceFunction	Unit	m2	m2
TimePeriod	IncludedProcesses	Electricity and heat use, materials, transport of materials, disposal of wastes and the product. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC will be emitted from the lamination process.	Electricity and heat use, materials, transport of materials, disposal of wastes and the product. Data for direct air and water emissions were not available. It can be expected that small amount of NMVOC will be emitted from the lamination process.
	LocalName	Solarlaminat, a-Si, ab Werk	Solarpaneel, a-Si, ab Werk
	Synonyms	Solarmodul//PV-module//amorphous silicon	Solarmodul//PV-module//amorphous silicon
	GeneralComment	Production of photovoltaic thin film laminates. Deposition of nine thin-film layers on the triple-junction cell. The laminates ASR128 produced at United Solar have a size of 2.3 m2. The weight is 2.7 kg per m2. The rated nominal power is about 128Wp per laminate. The efficiency is estimated here for newer products with 6.45% at the beginning of the life time. Degradation has to be taken into account with achieved yields.	Production of photovoltaic thin film modules. Deposition of nine thin-film layers on the triple-junction cell. The modules produced at United Solar have a size of 2.3 m2. The weight is 8.2 kg per m2. The efficiency is 6.45% at the beginning of the life time. Degradation has to be taken into account with achieved yields. The rated nominal power is about 128Wp per module.
	Category	photovoltaic	photovoltaic
	SubCategory	production of components	production of components
	Formula		
	StatisticalClassification		
	CASNumber		
	StartDate	1997	1997
Geography	EndDate	2005	2005
	OtherPeriodText	Data refer to 2005. Some are extrapolated from older information.	Data refer to 2005. Some are extrapolated from older information.
Technology	Text	Production technology of thin film a-Si cells. The modules contain triple junction cells, which are made in a continuous roll-to-roll deposition on stainless steel. The cell is deposited using a vapour-deposition process at low temperatures.	Production technology of thin film a-Si cells. The modules contain triple junction cells, which are made in a continuous roll-to-roll deposition on stainless steel. The cell is deposited using a vapour-deposition process at low temperatures.
Representativen	Percent	100	100
Representativen	ProductionVolume	8.1 MW in 2005	8.1 MW in 2005
	SamplingProcedure	Literature data based on producer information.	Literature data based on producer information.
	Extrapolations	Data for disposal derived from own assumptions.	Packaging estimated with data for crystalline modules.



### 1.2 Flexcell

Life cycle inventories of thin film silicon modules produced by Flexcell are documented in a separate publication (Stucki et al. 2012).

## 10 Photovoltaic Industry in China

China is the world's largest manufacturer of photovoltaic wafers, cells, and modules, and the world's second largest producer of polysilicon behind the United States (de Wild-Scholten 2011). China is also the largest exporter of photovoltaic modules to Europe. However, despite the importance of the Chinese production, detailed Life Cycle Inventory of the Chinese photovoltaic industry is not available. In order to account for the photovoltaic modules exported from China to Europe, we extrapolate the LCI inventory data of silicon production and crystalline silicon ingots, wafers, cells and modules by changing the consumed electricity to the Chinese electricity mix and by taking into account transport of the manufactured modules to Europe by transoceanic freight ships. Electricity in the photovoltaic production chain is the most important factor influencing the environmental performance of photovoltaic electricity. Some manufacturer of polysilicon in Europe, United States, and Japan use hydropower or electricity from combined heat and power generation (de Wild-Scholten 2011). In contrast, GCL Solar Energy and LDK Solar, the two largest producer of polysilicon in China, do not indicate that their consumed electricity differs from the average grid mix in China.

For the market of multi-Si and single-Si modules in Europe, we estimate that 66 % are produced within Europe and 34 % are imported from China. These shares are based on statistical production and installation data from IEA-PVPS (2010) and the assumption that all modules produced in Europe are installed within Europe and the remaining module demand is covered by imports from China (see Tab. 10.1).

Tab. 10.1 Unit process raw data of multi-Si and single-Si modules in Europe

	Name	Location	Infrastructure	Process	Unit	photovoltaic	photovoltaic	photovoltaic	photovoltaic	Uncertainty	Standard	Deviation	General Comment
						laminate, multi-Si, at regional storage	laminate, single-Si, at regional storage	panel, multi-Si, at regional storage	panel, single-Si, at regional storage				
						RER	RER	RER	RER				
						1	1	1	1				
	Location					m2	m2	m2	m2				
	photovoltaic laminate, multi-Si, at regional storage	RER	1	m2	1.00E+0	0	0	0	0				
	photovoltaic laminate, single-Si, at regional storage	RER	1	m2	0	1.00E+0	0	0	0				
	photovoltaic panel, multi-Si, at regional storage	RER	1	m2	0	0	1.00E+0	0	0				
	photovoltaic panel, single-Si, at regional storage	RER	1	m2	0	0	0	1.00E+0	0				
modules	photovoltaic panel, multi-Si, at plant	CN	1	m2	-	-	3.36E-1	-	-	1	3.27	(5,1,1,1,1,5); module import from China	
	photovoltaic panel, single-Si, at plant	CN	1	m2	-	-	-	3.36E-1	-	1	3.27	(5,1,1,1,1,5); module import from China	
	photovoltaic laminate, multi-Si, at plant	CN	1	m2	3.36E-1	-	-	-	-	1	3.27	(5,1,1,1,1,5); module import from China	
	photovoltaic laminate, single-Si, at plant	CN	1	m2	-	3.36E-1	-	-	-	1	3.27	(5,1,1,1,1,5); module import from China	
	photovoltaic laminate, single-Si, at plant	RER	1	m2	-	6.64E-1	-	-	-	1	3.27	(5,1,1,1,1,5); modules produced in Europe	
	photovoltaic panel, single-Si, at plant	RER	1	m2	-	-	-	6.64E-1	-	1	3.27	(5,1,1,1,1,5); modules produced in Europe	
	photovoltaic laminate, multi-Si, at plant	RER	1	m2	6.64E-1	-	-	-	-	1	3.27	(5,1,1,1,1,5); modules produced in Europe	
	photovoltaic panel, multi-Si, at plant	RER	1	m2	-	-	6.64E-1	-	-	1	3.27	(5,1,1,1,1,5); modules produced in Europe	
transport	transport, transoceanic freight ship	OCE	0	tkm	8.09E+1	8.13E+1	9.78E+1	9.83E+1	9.83E+1	1	2.09	(4,5,na,na,na,na); Import of modules from the China: 19250 km	
	transport, freight, rail	RER	0	tkm	2.50E+0	2.52E+0	3.03E+0	3.04E+0	3.04E+0	1	2.09	(4,5,na,na,na,na); Standard distance 200km	
	transport, lorry >16t, fleet average	RER	0	tkm	6.26E-1	6.29E-1	7.57E-1	7.61E-1	7.61E-1	1	2.09	(4,5,na,na,na,na); Standard distance 50km	
	Module weight			kg/m2	12.52	12.58	15.15	15.21	15.21				

# 11 Balance of System (BOS)

## 11.1 Overview for mounting systems

Panels are mounted on top of houses and laminates are integrated into slanted roofs and façades. Flat roof systems are mounted on the roof. Process data include construction materials (e.g. aluminium, plastics, steel, etc.) and process energy. Transports of the photovoltaic system from the manufacturing site to the place of operation include personnel transports for mounting.

The description for different mounting systems in this chapter covers photovoltaic plants with a capacity of 3 kW<sub>p</sub>. The unit process raw data are recorded per m<sup>2</sup> of total panel or laminate surface.

For each type of mounting system we describe only one possible example. A recent market survey for mounting systems has been published by Siemer (2003; 2006; 2007; 2008). In this survey the total weight of several dozen of different mounting systems is reported without providing more detailed information on the type of materials used. In order to achieve an average weight for each type of mounting system, the weights of the different mounting system models were weighted by their installed capacity in Europe. Recent information from literature (de Wild-Scholten & Alsema 2007) and producers has been used to estimate the unit process raw data for the weight of used materials. Data from manufacturers were available for the following products (Tab. 11.1).

Some of the producers do not use aluminium any more in their mounting system for economic and energetic reasons. Other materials, e.g. plastics or wood are used instead (Völlmecke 2000). The trend towards larger panels should decrease the specific material consumption.

Tab. 11.1 Products investigated in view of the update of the unit process raw data

Type	Product	Company
flat roof	AluStand	<a href="http://www.solarmarkt.com">www.solarmarkt.com</a>
flat roof	Brühler	<a href="http://www.buehler-energy.ch">www.buehler-energy.ch</a> <sup>35</sup>
flat roof	Schletter	<a href="http://www.solar.schletter.de">www.solar.schletter.de</a> <sup>36</sup>
façade	Brühler	<a href="http://www.buehler-energy.ch">www.buehler-energy.ch</a>
slanted roof, integrated	SOLRIF	<a href="http://www.solrif.ch">www.solrif.ch</a>
slanted roof, integrated	Schletter	(de Wild-Scholten & Alsema 2007), <sup>37</sup>
slanted roof, mounted	AluStand	<a href="http://www.solarmarkt.com">www.solarmarkt.com</a> , <a href="http://www.alustand.ch">www.alustand.ch</a>
slanted roof, mounted	Brühler	<a href="http://www.buehler-energy.ch">www.buehler-energy.ch</a>
slanted roof, mounted	TectoSun	<a href="http://www.SonnenStromAG.de">www.SonnenStromAG.de</a>
slanted roof, mounted	Schletter	(de Wild-Scholten & Alsema 2007)

An earlier data collection was based on telephone calls and two student theses at the ETH Zurich (Schwarz & Keller 1992) and <Degen et al. 1991>. These reports describe the ecological and energetic pay back time of photovoltaics (3 kW<sub>p</sub> and 9 kW<sub>p</sub>, respectively). The different types of mounting systems were described in a handbook for tilers <Prinz et al. 1992>. The examples for slanted roof and façade plants have been investigated for singlecrystalline cells. The flat roof example was investigated for multicrystalline cells. The average consumption of packaging material for the finished PV plants is calculated with a correction factor from this old information, which accounts for the average weight of today installations. The correction factor is discussed in Chapter 12.3.

<sup>35</sup> Personal communication with Urs Bühler, Energy Systems and Engineering, 24.1.2007

<sup>36</sup> Personal communication with C. Heller, Schletter Solar-Montagetechnik, CH, 17.1.2007

<sup>37</sup> Personal communication with C. Heller, Schletter Solar-Montagetechnik, CH, 17.1.2007

### 11.2 Slanted roof, mounted

#### 11.2.1 Overview

This is a common and simple type of mounting system. It is necessary to have a faultless roof. The mounting system uses wood, aluminium or steel that is directly attached to the rafter of the roof. Good ventilation is ensured with a distance of about 10 cm from the roof surface. Thus efficiency losses due to higher temperatures are minimised.

The estimation is mainly based on (Schwarz & Keller 1992). The original data have been adapted based on information in <Prinz et al. 1992> and <Sutter 1993>. Own assumptions are used for missing items such as treatment of wastes.

#### 11.2.2 Construction process

The tiler mounts the panels on the roof. The roof surface might be temporally removed at certain parts in order to directly fix the mounting system on the rafter (Fig. 11.1). The solar panels are fixed to this system.



Fig. 11.1 Mounting of solar panels on a slanted roof. The figure shows the view on a mounting in the roof construction, [www.conergy-systems.de](http://www.conergy-systems.de)

### 11.2.3 Material use

Data for the material use were available from different producers (Tab. 11.2). Data for 2006 are reported by de Wild-Scholten & Alsema (2007). These figures are lower because a large module and a light version of mounting structure have been chosen.<sup>38</sup> The amount of aluminium used for the system is estimated based on recent information from producers and the actual average weight of such systems according to Siemer (2008) with 2.8 kg/m<sup>2</sup>. The amount of steel is estimated with 1.5 kg/m<sup>2</sup>.<sup>39</sup>

Tab. 11.2 Data of the material use of a mounted slanted roof system for one m<sup>2</sup>

	this study 2008 kg/m <sup>2</sup>	TectoSun 2007 kg/m <sup>2</sup>	TectoSun 2006 kg/m <sup>2</sup>	Brühler 2007 kg/m <sup>2</sup>	Schletter 2007 kg/m <sup>2</sup>	Schletter 2006 kg/m <sup>2</sup>	AluStand 2007 kg/m <sup>2</sup>	Briem 2004 kg/m <sup>2</sup>
aluminium	2.8	1.6	0.54	2.1	1.9	0.97	3.0	2.7
steel	1.5	1.6	0.49	1.1	0.7	0.7	1.0	1.2
rest	0.1	-	-	-	-	-	-	-
total weight	4.5	3.2	1.0	3.2	2.6	1.7	4.0	3.9

The use of packaging materials is shown in Tab. 11.3 according to a now outdated study. Packaging materials are mainly used for small parts. For the use of packages a correction factor of 1.54 based on Siemer (2008) is used to calculate the amount with the older data shown in Tab. 11.3 and the actual average weight of such systems. The calculation of the conversion factor is described in Chapter 11.8.

Tab. 11.3 Old data of the material use of a mounted slanted roof system for a 3 kW<sub>p</sub>-plant with 22 m<sup>2</sup> (Schwarz & Keller 1992), which are used for the estimation on packaging

	Mass kg	Source	Considered with correction factor
<i>Packaging</i>			
Cardboard	1.9	(Schwarz & Keller 1992)	x
Polystyrene XPS	0.1	(Schwarz & Keller 1992)	x
Plastics (sticky tape, rope)	0.02	(Schwarz & Keller 1992)	x
<b>Total</b>	<b>2.02</b>		

### 11.2.4 Energy use for mounting

Most of the energy use for mounting is due to the electricity used for drilling and screwing (Tab. 11.4). The data have been investigated by Schwarz (1992, annexe p. 14). Additionally the use of a lift for materials is taken into account. The electricity use seems to be quite small, but could not be verified for the present study. The electricity use for erection of the mounting system is not allocated to the mounting system dataset but to the 3kW<sub>p</sub> PV plant datasets (see Chapter 12.6).

<sup>38</sup> A profile for a large module has a smaller amount of Al per m<sup>2</sup> than for a small module. Here a module size of 6 x 10 cells of 156 mm x 156 mm has been used (personal communication M. de Wild, 6.2007).

<sup>39</sup> Data provided in personal communication for the products TectoSun, AluStand and (de Wild-Scholten & Alsema 2007)

## 11. Balance of System (BOS)

Tab. 11.4 Energy use for mounting of a 3 kW<sub>p</sub>-slanted roof plant

energy for mounting	electricity	Source
	kWh	
screws	0.1	
steel bracket mounting	0.07	(Schwarz & Keller 1992)
aluminium-U-Profile mounting	0.02	(Schwarz & Keller 1992)
Material lift	0.04	<Wiest 1993>
<b>Total energy for mounting</b>	<b>0.23</b>	

### 11.2.5 Disassembly and disposal

It is assumed that all recyclable parts of the mounting system will be reused. Thus processes for the disposal of building materials are taken into account. The wood is incinerated in a municipal waste incineration plant.

### 11.2.6 Stade de Suisse installation

In addition to the slanted roof mounting system modelled above, the inventory of a plant specific mounting system at the 1.3 MW<sub>p</sub> PV installation at the Stade de Suisse football stadium is considered by adjusting the materials weights to the figures shown in Tab. 11.5.

Tab. 11.5 Data of the material use of one m<sup>2</sup> mounted slanted roof system at the Stade de Suisse installation<sup>40</sup>

	Mass kg/m <sup>2</sup>
Aluminium	2.295
Stainless steel	0.009
Rest (screws, neopren underlay)	0.056
<b>Total</b>	<b>2.36</b>

The amount of packaging per m<sup>2</sup> and the energy use for mounting per kW<sub>p</sub> is assumed to be the same as described for the slanted-roof system described in Section 11.2.3 and 11.2.4.

Compared to the generic mounting system modelled above, the amount of used materials for the Stade de Suisse installation is considerably lower, because no continuous profiles are used.

## 11.3 Slanted roof, integrated

### 11.3.1 Overview

The search for material efficient and aesthetic mounting of solar laminates has lead to the idea of integrating the laminates directly in the roof construction instead of mounting them above it. Thus, the PV-plants do not only produce electricity, but also replace roof tiles. This allows to use frameless laminates instead of framed panels, which further reduces the amount of necessary materials.

<sup>40</sup> Data provided in personal communication by Mr. Thomas Hostettler from Hostettler Engineering (01.03.2010)

There are different possibilities for the assembly. Besides using laminates, there is also a possibility of using solar tiles. Here we investigate a system produced by the company Schweizer AG, CH-Hedingen.

The data have been investigated by <Prinz et al. 1992> and <Wiest 1993>. Data for smaller items (e.g. screws, energy for mounting) are based on older literature (Schwarz & Keller 1992) and are modified with own assumptions.

### 11.3.2 Construction process

The roof tiles are removed in the area foreseen for the solar laminates. Then steel profiles are screwed to the tile slats (Fig. 11.2). Different aluminium profiles are used to make a frame for the laminate. A rubber is attached to these profiles. The laminates are placed within these frames and connected to the electric system. All edges are closed with rubber or silicones. Steel sheets are mounted at the gap between roof tiles and solar laminates.

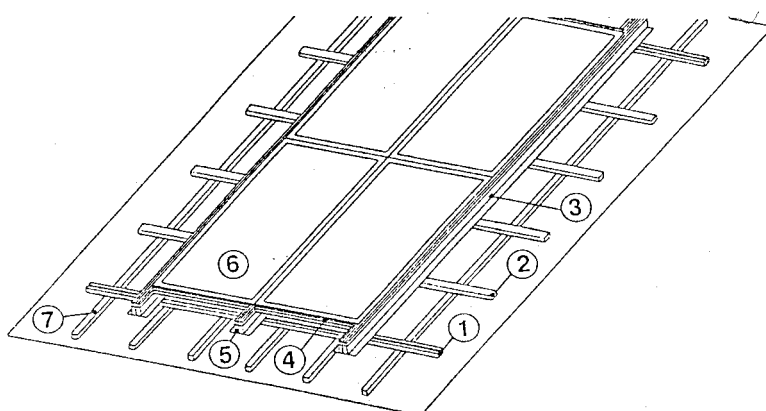


Fig. 11.2 Old example for a construction for the integrated mounting on a slanted roof Legend: 1. C-Profile (steel) 2. tiles slats (Wood) 3. side profile (aluminium) 4. cross section (aluminium) 5. Longitudinal profile (aluminium) 6. PV-Laminate. Source: <Prinz et al. 1992>

### 11.3.3 Material use

Data for the actual material use were available from some producers (Tab. 11.6). Data for 2006 are reported by de Wild-Scholten & Alsema (2007). The amount of aluminium is estimated based on recent information from producers and the actual average weight of the installation according to Siemer (2008) with  $2.2 \text{ kg/m}^2$ . The amount of steel is estimated with  $0.2 \text{ kg/m}^2$ .<sup>41</sup>

The possible allocation between the two functions of providing a mounting system and replacing the normal roof tiles has been discussed in a previous version of this life cycle inventory (Frischknecht et al. 1996). It was concluded that only a minor part of the total expenses should be allocated to the replacement of normal building materials. This share is neglected here.

<sup>41</sup> Data provided in personal communication for the products SOLRIF and (de Wild-Scholten & Alsema 2007)

## 11. Balance of System (BOS)

Tab. 11.6 List of materials for the mounting structure integrated in a slanted roof with one m<sup>2</sup> panels

	this study 2008 kg/m <sup>2</sup>	SOLRIF 2007 kg/m <sup>2</sup>	SOLRIF 2006 kg/m <sup>2</sup>	Schletter 2006 kg/m <sup>2</sup>
aluminium	2.2	2.2	1.7	1.2
HDPE	0.028	0.032	0.032	-
polyurethane	0.018	0.042	-	-
rubber	1.2	-	1.4	1.4
steel	0.200	0.094	0.080	0.280
total weight	3.7	2.3	3.2	2.9

For all packages a correction factor of 1.32 is used to calculate the amount with the data shown in Tab. 11.7, based on Schwarz & Keller (1992, annexe p. 20) and the actual average weight of the installation according to Siemer (2008).

Tab. 11.7 List of packaging materials for 3 kW<sub>p</sub>-plant integrated in a slanted roof with 22 m<sup>2</sup> panels

		Mass	Source
		kg	
Packaging	Cardboard	1.9	(Schwarz & Keller 1992)
	Polystyrene XPS	0.1	(Schwarz & Keller 1992)
	Total	2	

### 11.3.4 Energy use for mounting

The mounting structure is similar to the mounted slanted roof structure. Therefore, the same figures for the energy use as shown in Tab. 11.4 are applied here.

### 11.3.5 Disassembly and disposal

The disassembly of the mounting structure is taken into account. All larger parts will be recycled. Smaller parts (listed in Tab. 11.7) are disposed of.

## 11.4 Flat roof

### 11.4.1 Overview

The main challenge for the installation of flat roof plants is the bracing to the roof. Any damage due to weather conditions, e.g. wind, should be avoided, but on the other side the roof itself should not be damaged e.g. due to the weight of the system or screws.



## 11. Balance of System (BOS)

### 11.4.2 Construction process

The different parts of the mounting system are delivered to the construction site. Most of the mass is the gravel for the foundation. The gravel would also be necessary for flat roof without a PV plant. Insulating mats, aluminium profiles and smaller parts are the main parts of the mounting system. First the flat roof is cleaned from sand and gravel. A mat made from recycled plastic is attached for the protection of the roof. Then a foundation is made and fixed with loose gravel placed on this plastic sheet. Aluminium profiles are mounted and the panels are fixed to this foundation.

### 11.4.3 Material use

Data for mounting systems on flat roofs were available from some producers (Tab. 11.8). The amount of aluminium is estimated based on recent information from producers and the average weight according to Siemer (2008) with  $2.5 \text{ kg/m}^2$ . Recycled polyethylene mats (SOLREC) are used to fix the mounting structure. The amount of Solrec recycling plastic is estimated with  $1.9 \text{ kg polyethylene HDPE/m}^2$ .<sup>42</sup> Gravel is used as a weight on the Solrec plastics. An amount of  $115 \text{ kg/m}^2$  is necessary, but not considered here because it would be used also on a normal flat roof.

Tab. 11.8 List of materials used for mounting systems on flat roof per  $\text{m}^2$  of panels

	this study 2008 $\text{kg/m}^2$	AluStand 2007 $\text{kg/m}^2$	Brühler 2007 $\text{kg/m}^2$	Schletter 2007 $\text{kg/m}^2$
aluminium	2.5	5.0	5.9	7.8
HDPE	1.9	8.0	6.3	-
steel	0.3	-	0.1	1.9
total weight	4.7	13.0	12.3	9.7

Packaging materials are estimated based on literature (Schwarz & Keller 1992) (Tab. 11.9) are applied and corrected with a factor of 0.40 to consider the actual average weight according to Siemer (2008).

Tab. 11.9 List of packaging materials for mounting of a universal heavy duty bracing for  $24.3 \text{ m}^2$  of panels

	Mass kg	Source
Packaging	0	
Cardboard	1.1	(Schwarz & Keller 1992)
Polystyrene XPS	0.1	(Schwarz & Keller 1992)
Total	1.2	

### 11.4.4 Energy use for mounting

The energy use for mounting has been investigated by Schwarz & Keller (1992, annexe p. 14) (Tab. 11.10). All parts have to be lifted to the roof with a crane. For single family houses this can be done with the crane attached to a truck. For higher buildings an extra crane must be used. The necessary transport of this crane is considered. Distances have been increased a little bit compared to the ones reported in order to account for the operation of the crane ( $2 \times 40 \text{ km}$ ). The electricity use for erection

<sup>42</sup> Data provided in personal communication for the products AluStand

## 11. Balance of System (BOS)

of the mounting system is not allocated to the mounting system dataset but to the  $3\text{kW}_p$  PV plant datasets (see Chapter 12.6).

Tab. 11.10 Energy use for mounting universal heavy duty bracing (Schwarz & Keller 1992)

energy for mounting	Electricity
	kWh
steel consoles mounting	0.02
drilling	1.0

### 11.4.5 Disassembly and disposal

As for other systems we assume a disassembly and recycling for the larger metal parts of the mounting structure.

## 11.5 Façade, mounted

### 11.5.1 Overview

The mounting of PV-panels to façades is mainly used for industrial buildings. There are different mounting structures.

### 11.5.2 Construction process

Five panels are fixed together on an aluminium profile. This is attached to the façade. If available the modules are fixed to the construction steel in the wall.

### 11.5.3 Material use

The use of materials according to older studies is shown in Tab. 11.11. New data from one company can be found in Tab. 11.12.

A correction factor of 0.81 is used to calculate the amount with the data shown in the table and the actual average weight according to Siemer (2008).

Tab. 11.11 List of materials for the mounting structure of a  $3\text{kW}_p$ -plant mounted on a façade with  $22\text{ m}^2$

		Mass	Source
		kg	
<i>fixing Module</i>	armature barn steel	38	<Brunschweiler 1993>
	aluminium - profile	72	<Brunschweiler 1993>
	steel plate	3	(Schwarz & Keller 1992)
	mounting system steel	8.1	(Schwarz & Keller 1992)
<i>packaging</i>	cardboard	1.1	(Schwarz & Keller 1992)
	polystyrene XPS	0.05	(Schwarz & Keller 1992)
	plastics (sticky tape, rope)	0.01	(Schwarz & Keller 1992)
	Total	122.26	

## 11. Balance of System (BOS)

Tab. 11.12 List of materials for the mounting structure of a 3 kW<sub>p</sub>-plant mounted on a façade per m<sup>2</sup>

	this study	Bühler
	kg/m <sup>2</sup>	kg/m <sup>2</sup>
aluminium	2.6	2.9
steel	1.8	1.1
total weight	4.4	4.0

### 11.5.4 Energy use for mounting

The energy for mounting is mainly used by a screwdriver. Literature data have been used for the assessment (Schwarz & Keller 1992). The electricity use for erection of the mounting system is not allocated to the mounting system dataset but to the 3kW<sub>p</sub> PV plant datasets (see Chapter 12.6).

Tab. 11.13 Energy use for construction of a 3 kW<sub>p</sub>-plant mounted on a façade

energy for mounting	electricity kWh	Source
screws	0.02	(Schwarz & Keller 1992)
aluminium profile mounting	0.02	(Schwarz & Keller 1992)

### 11.5.5 Disassembly and disposal

It is assumed that the plant will be disassembled after use. Larger parts are recycled and smaller parts (listed in Tab. 11.11) are incinerated.

## 11.6 Façade, integrated

### 11.6.1 Overview

The integration of solar laminates in a façade is mainly useful for new buildings or as a part of renovation activities. It is more frequently used for industrial buildings. Conventional façade elements can be replaced by solar panels. Thus, quite a range of different possibilities exists for the mounting structure. The following data are based on literature <RusterWood 1993>, <Prinz et al. 1992> and <Degen et al. 1991> and own assumptions.

### 11.6.2 Construction process

The assembly process is dependent on the type of façade. Here we assume a commonly used construction with aluminium profiles („Aluhit“).

### 11.6.3 Material use

About 75 kg of aluminium are used for the basic construction structure for 22m<sup>2</sup> of panels <Gabriel 1993>. A correction factor of 0.96 calculated with the actual average weight according to Siemer (2008) is used to calculate the amount. The surplus material use compared to a conventional façade is

mainly due to the use of laminates with less own stability than panels. As already discussed for the PV-plant integrated in a slanted roof it must be discussed which part of the necessary mounting structure should be allocated to the PV-plant and which part should be allocated to the normal construction process of the façade.

An earlier assessment showed that a part of the necessary mounting structure should be allocated to the function of the building (Frischknecht et al. 1996). Here we allocated the full structure to the PV-plant. It is recommended to make a sensitivity analysis in detailed case studies. Therefore it is suggested that 70% to 100% of the mounting structure should be allocated to the PV-plant and 30% to 0% to the construction of the façade.

### 11.6.4 Energy use for mounting

The figures shown in Tab. 11.13 represent the energy use for screwing and mounting of aluminium profiles.

### 11.6.5 Disassembly and disposal

It is assumed that the plant will be disassembled after use. Larger parts of the support structure are recycled and smaller parts are incinerated.

## 11.7 Open ground

### 11.7.1 Overview

The market of photovoltaic power plants on open ground is becoming more and more important. A substantial share of small photovoltaic power plants in Germany as well as the world's largest photovoltaic power plants in Spain is based on open ground. For the selection of the most appropriate mounting system, ground stability and wind flows are often analysed.

Most open ground systems have a foundation of profiles that are piled into the ground. However, in some cases, where piled profiles cannot be used, such as for photovoltaic power plants on sanitary landfills, a concrete foundation is installed.

### 11.7.2 Construction process

First, the area is measured with a laser, potential test piling is carried out and the foundation profiles are positioned. Then the foundation profiles are piled or screwed into the ground and the heights are levelled. Finally, the rest of the system is mounted and the panels are fixed.

### 11.7.3 Material use

The material use for the open ground mounting system is based on confidential data of two manufacturers and of a power plant of the Phönix Sonnenstrom AG in Germany. From one manufacturer, we received data of a mounting system unit with a module area of 40 m<sup>2</sup>. From the other manufacturer, we received data of the mounting systems of a 3.1 MW and a 31.2 MW power plant. In Tab. 11.14 the material use of these mounting systems per square meter panel area is presented. We use the arithmetic mean of the specific values available.

## 11. Balance of System (BOS)

Tab. 11.14 List of materials used for mounting systems on open ground per m<sup>2</sup> of panels

	this study	manufacturer I	manufacturer II	manufacturer II	Phönix Sonnenstrom AG (in de Wild-Scholten et al. 2006)
		average open ground system	open ground PV plant in Eastern Europe I	open ground PV plant in Eastern Europe II	open ground PV plant in Germany
	2009	2009	2009	2009	2005
	kg/m <sup>2</sup>	kg/m <sup>2</sup>	kg/m <sup>2</sup>	kg/m <sup>2</sup>	kg/m <sup>2</sup>
steel, zinc coated	<b>6.15</b>	5.0	4.5	3.6	11.5
stainless steel	<b>0.25</b>	0.1	0.4	0.4	0.2
aluminium	<b>3.98</b>	3.8	4.5	6.4	1.3
Total weight	<b>10.37</b>	8.8	9.4	10.3	12.9

Packaging materials are assumed to be the same as reported by Schwarz & Keller (1992) for a mounted slanted roof system in Tab. 11.7.

According to Daniel Fraile Montoro<sup>43</sup> from EPIA all ground-mounted PV systems have a fence because of the insurance and the risk of high voltage access. He states that there are many different types of fences, but as a normal one a two meters meshed fence with some wire on the top could be considered. For the inventory of such a fence, we consider the steel, zinc, and concrete input as shown in Tab. 11.15. The steel is drawn to wire and the zinc is used for a coils coating.

Tab. 11.15 List of materials per m<sup>2</sup> of panels used for the fence of an on open ground PV system

	this study	Mason et al. (2006) cited in de Wild-Scholten et al. (2006)	Frischknecht et al. (1996).
	2009	2006	1996
steel	<b>1.1 kg/m<sup>2</sup></b>	0.52 kg/m <sup>2</sup>	1.6 kg/m <sup>2</sup>
zinc coating	<b>0.11 m<sup>2</sup>/m<sup>2</sup></b> <b>(0.074 kg/m<sup>2</sup>)</b>	-	0.11 kg/m <sup>2</sup>
concrete	<b>1.3 kg/m<sup>2</sup></b>	1.3 kg/m <sup>2</sup>	-

### 11.7.4 Energy use for mounting

The electricity use for erection of the mounting system is not allocated to the mounting system dataset but to the PV plant datasets (see Chapter 12.6). The figures shown in Tab. 11.13 represent the energy use for screwing and mounting of aluminium profiles. The figures shown in Tab. 11.16 represent the diesel use for constructing an open ground power plant (piling).

<sup>43</sup> Personal communication with Daniel Fraile Montoro from the European Photovoltaic Industry Association, 17.11.2009

## 11. Balance of System (BOS)

Tab. 11.16 Diesel use for the erection of a 1 MW<sub>p</sub> plant mounted on open ground

	Diesel l
<b>PV with piled foundation: Total<sup>44</sup></b>	<b>375</b>
Thereof for piling profiles	275
Thereof for Wheel loader	100
<b>PV with concrete foundation: Total<sup>45</sup></b>	<b>1472</b>

### 11.7.5 Land use

The land use related to an open ground mounting system is 4.7 m<sup>2</sup> per m<sup>2</sup> of installed modules, based on information about a 3.5 MW<sub>p</sub> open ground power plant described by Mason et al. (2006) and a 560 kW<sub>p</sub> open ground power plant described by Frischknecht et al. (1996). Thereof, 1.5 m<sup>2</sup> are considered as built up industrial area and 3.2 m<sup>2</sup> are considered as industrial area with vegetation.

### 11.7.6 Disassembly and disposal

It is assumed that the plant will be disassembled after use. The metal parts of the support structure are recycled and the plastic parts and the cardboard packaging are incinerated.

### 11.7.7 Open ground mounting system at the Mont Soleil installation

In addition to the open ground mounting system modelled above, the inventory of a plant specific mounting system at the 560 kW<sub>p</sub> open ground PV installation at the Mont Soleil is considered based on data from Frischknecht et al. (1996). In contrast to the mounting system above, this system has a concrete foundation and the inventory includes materials and energy consumption for construction of an access route and a container building. Since the building is used for both, researchers and protection of the inverters and control electronics, 50 % of the building inventory is allocated to the ground mounted BOS.

## 11.8 Life cycle inventory of mounting systems

Tab. 11.17 shows the unit process raw data of mounting systems for solar panels and laminates. Tab. 11.19 shows the plant-specific mounting system of the Mont Soleil installation. Tab. 11.32 shows the EcoSpold meta information of these systems. The data are related to 1 m<sup>2</sup> of installed panel surface. It has to be noted that the amount of materials per m<sup>2</sup> is quite variable. It depends on factors like actual panel size and location of installation. Thus, for example in Switzerland the mounting structure must be stable also with a certain snow load on the panel while this might not be necessary in Southern Europe. Also the expected maximum wind velocities influence the amount of materials used in the mounting structure. Moreover, larger panels need less amount of mounting materials per square metre.

Siemer (2008) has investigated the actual weight for a range of different mounting system products. In Tab. 11.17 the maximum and minimum weight of today mounting systems products are calculated.

<sup>44</sup> Personal communication with Philipp Graf von Koenigsmarck from Leit-Ramm, De, 21.09.2009: The piler uses 50-60 l diesel per day and the wheel loader about 20 liter per day. 300-400 profiles are piled per day and 1'500-2'000 profiles are needed for a 1 MW plant.

<sup>45</sup> Based on data for a 3.5 MW power plant, reported by Mason et al. (2006)

## 11. Balance of System (BOS)

The overview shows a large variation. Therefore, the weights of the different mounting system models were weighted by their installed capacity in Europe in order to achieve an average weight for each type of mounting system. Fig. 11.3 displays the weight and the installed capacity of the mounting system products available in 2007. Products with high installed capacity contribute substantially to the calculated average weight of the specific mounting system, whereas sparsely sold products do not contribute significantly. Some uncertainty exists because the type of used materials might differ considerably.

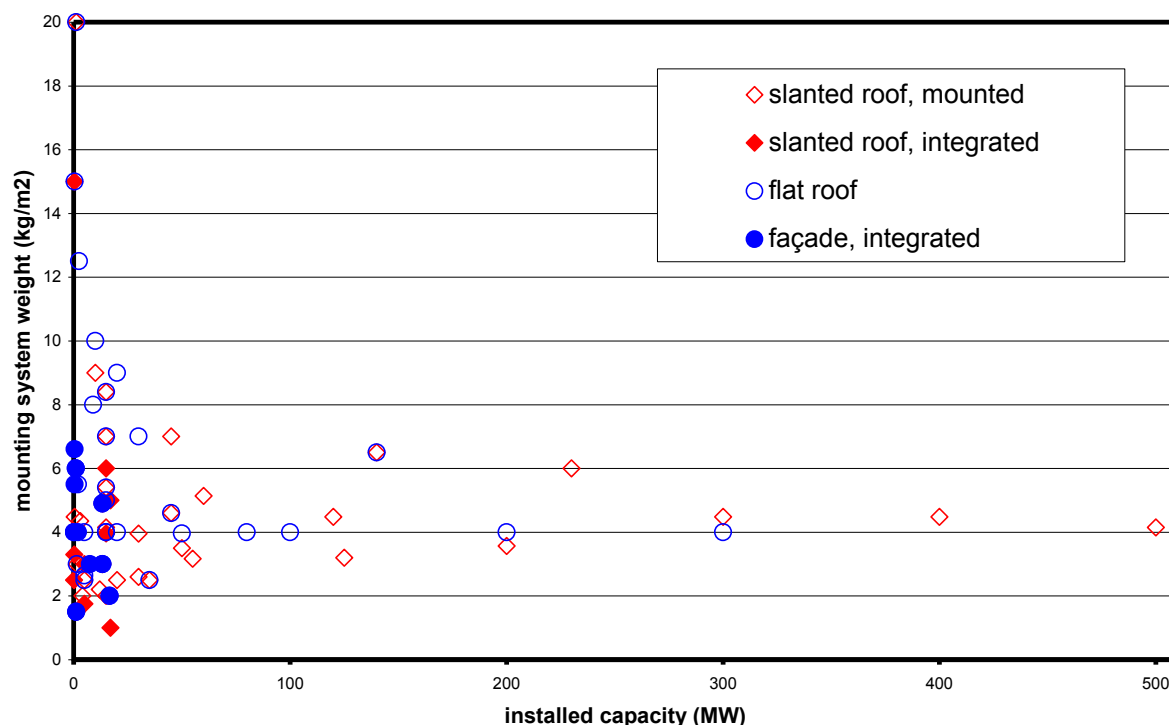


Fig. 11.3 Weight of mounting systems. Data source: Siemer 2008

The data quality for the construction process should be improved in future studies. Due to the improved production chains for the PV panels the mounting structure contributes more to the total environmental burden caused by photovoltaic electricity.

The comparison of actual mean weights and the weight of the investigated systems has been used for calculating a correction factor reported at the bottom of Tab. 11.17. The specific weight of mounting systems for façade systems has decreased slightly and for slanted-roof systems it decreased considerably compared to the data investigated in the early nineties. The correction factors are considered in the unit process raw data describing the mounting structure of 3 kW<sub>p</sub> plants shown in Tab. 12.5 and Tab. 12.6.

The life cycle inventory of the production of mounting systems does not take into account process emissions such as dust, because information is not available. Standard distances for the transport of materials to the production plant are taken into account. The transport to the final mounting place and the energy use for the construction process is considered in the assembly of the photovoltaic power plant (see Chapter 12) because it includes also the energy e.g. for lifting the laminates and panels.

The high variability concerning the material weight per m<sup>2</sup> has been considered with a basic uncertainty of 2 for all material inputs and waste treatment services.

## 11. Balance of System (BOS)

Tab. 11.17 Unit process raw data of different mounting systems and correction factor used in this study

	Name	Location	Infrastructure	Unit	facade construction, mounted, at building	facade construction, integrated, at building	flat roof construction, on roof	slanted-roof construction, mounted, on roof	slanted-roof construction, integrated, on roof	open ground construction, on ground	slanted-roof construction, mounted, on roof, Stade de Suisse	Uncertainty Standard Deviation 95%	GeneralComment
	Location InfrastructureProcess Unit				RER 1 m2	RER 1 m2	RER 1 m2	RER 1 m2	RER 1 m2	RER 1 m2	CH 1 m2		
technosphere	aluminium, production mix, wrought alloy, at plant	RER	0	kg	2.64E+0	3.27E+0	2.52E+0	2.84E+0	2.25E+0	3.98E+0	2.30E+0	1	2.05 (1,2,1,1,1,na); Literature and own estimations
	corrugated board, mixed fibre, single wall, at plant	RER	0	kg	4.03E-2	-	1.83E-2	1.33E-1	1.14E-1	8.64E-2	1.33E-1	1	2.18 (3,4,3,1,3,5); Schwarz et al. 1992
	polyethylene, HDPE, granulate, at plant	RER	0	kg	7.32E-4	-	1.92E+0	1.40E-3	2.82E-2	9.09E-4	1.40E-3	1	2.05 (1,2,1,1,1,na); Literature and own estimations, recycled PE
	polystyrene, high impact, HIPS, at plant	RER	0	kg	3.66E-3	-	8.30E-3	7.02E-3	6.02E-3	4.55E-3	7.02E-3	1	2.18 (3,4,3,1,3,5); Schwarz et al. 1992
	polyurethane, flexible foam, at plant	RER	0	kg	-	-	-	-	1.84E-2	-	-	1	2.05 (1,2,1,1,1,na); Literature and own estimations
	synthetic rubber, at plant	RER	0	kg	-	-	-	-	1.24E+0	-	-	1	2.05 (1,2,1,1,1,na); Literature and own estimations
	steel, low-alloyed, at plant	RER	0	kg	1.80E+0	-	2.67E-1	1.50E+0	2.00E-1	-	-	1	2.05 (1,2,1,1,1,na); Literature and own estimations
	chromium steel 18/8, at plant	RER	0	kg	-	-	-	-	-	2.47E-1	6.50E-2	1	2.10 (2,3,1,1,1,5); Literature and own estimations
	reinforcing steel, at plant	RER	0	kg	-	-	-	-	-	7.21E+0	-	1	2.10 (2,3,1,1,1,5); Literature and own estimations
	concrete, normal, at plant	CH	0	m3	-	-	-	-	-	5.37E-4	-	1	2.18 (3,4,3,1,3,5); Fence foundation
	section bar extrusion, aluminium	RER	0	kg	2.64E+0	3.27E+0	2.52E+0	2.84E+0	2.25E+0	3.98E+0	2.84E+0	1	2.18 (3,4,3,1,3,5); Estimation
	sheet rolling, steel	RER	0	kg	1.10E-1	-	2.67E-1	1.50E+0	-	-	1.50E+0	1	2.18 (3,4,3,1,3,5); Estimation
	section bar rolling, steel	RER	0	kg	1.69E+0	-	-	-	2.00E-1	6.15E+0	-	1	2.18 (3,4,3,1,3,5); Brunschweiler 1993
	wire drawing, steel	RER	0	kg	-	-	-	-	-	1.06E+0	-	1	2.18 (3,4,3,1,3,5); Mesh wire fence
transport	zinc coating, pieces	RER	0	m2	-	-	-	-	-	1.56E-1	-	1	2.18 (3,4,3,1,3,5); Estimation
	zinc coating, coils	RER	0	m2	-	-	-	-	-	1.09E-1	-	1	2.18 (3,4,3,1,3,5); Fence
	transport, lorry >16t, fleet average	RER	0	tkm	2.24E-1	1.64E-1	2.56E-1	2.25E-1	2.07E-1	2.17E-1	2.25E-1	1	2.14 (4,5,na,na,na,na); Standard distance 50km
	transport, freight, rail	RER	0	tkm	1.61E+0	6.54E-1	1.05E+0	1.50E+0	8.52E-1	5.14E+0	1.50E+0	1	2.14 (4,5,na,na,na,na); Standard distances 200km, 600km
	transport, van <3.5t	RER	0	tkm	4.44E-1	3.27E-1	4.72E-1	4.34E-1	3.75E-1	1.14E+0	4.34E-1	1	2.18 (3,4,3,1,3,5); 100km to construction place
	disposal, packaging cardboard, 19.6% water, to municipal incineration	CH	0	kg	4.03E-2	-	1.83E-2	1.33E-1	1.14E-1	8.64E-2	1.33E-1	1	2.18 (3,4,3,1,3,5); Calculated with use
	disposal, building, polyethylene/polypropylene products, to final disposal	CH	0	kg	7.32E-4	-	1.92E+0	1.40E-3	1.29E+0	9.09E-4	1.40E-3	1	2.18 (3,4,3,1,3,5); Disposal of plastics parts at end of life
	disposal, building, polystyrene isolation, flame-retardant, to final disposal	CH	0	kg	3.66E-3	-	8.30E-3	7.02E-3	6.02E-3	4.55E-3	7.02E-3	1	2.18 (3,4,3,1,3,5); Disposal of plastics parts at end of life
	Transformation, from pasture and meadow	-	-	m2	-	-	-	-	-	4.72E+0	-	1	2.18 (3,4,3,1,3,5); Tucson Electric Power
	Transformation, to industrial area, built up	-	-	m2	-	-	-	-	-	1.50E+0	-	1	2.15 (1,3,2,3,3,5); Literature and own estimations
	Transformation, to industrial area, vegetation	-	-	m2	-	-	-	-	-	3.22E+0	-	1	2.16 (3,3,2,3,3,5); Literature and own estimations
	Occupation, industrial area, built up	-	-	m2a	-	-	-	-	-	4.50E+1	-	1	2.16 (3,3,2,3,3,5); Assumed life time: 30 a
	Occupation, industrial area, vegetation	-	-	m2a	-	-	-	-	-	9.66E+1	-	1	2.16 (3,3,2,3,3,5); Assumed life time: 30 a
product	facade construction, mounted, at building	RER	1	m2	1.00E+0	0	0	0	0	0	0		
	facade construction, integrated, at building	RER	1	m2	-	1.00E+0	0	0	0	0	0		
	flat roof construction, on roof	RER	1	m2	-	-	1.00E+0	0	0	0	0		
	slanted-roof construction, mounted, on roof	RER	1	m2	-	-	-	1.00E+0	0	0	0		
	slanted-roof construction, integrated, on roof	RER	1	m2	-	-	-	-	1.00E+0	0	0		
	open ground construction, on ground	RER	1	m2	-	-	-	-	0	1.00E+0	0		
	slanted-roof construction, mounted, on roof, Stade de Suisse	CH	1	m2	-	-	-	-	0	0	1.00E+0		
5 1	total weight, materials			kg	4.5	3.3	4.7	4.5	3.9	11.5	2.5		Sum from the inventory
	total weight, structure			kg	4.4	3.3	4.7	4.3	3.7	11.4	2.4		Sum from the inventory
	panel area			m2	1.0	1.0	1.0	1.0	1.0	1.0	1.0		
	minimum weight, construction			kg		1.5	1.5	1.0	1.0	-	-		Siemer 2008
	maximum, construction			kg		12.5	20.0	20.0	15.0	-	-		Siemer 2008
	number, examples			1		10	34	35	10	-	-		Siemer 2008
	mean, construction, 2008, weighted with the installed capacity			kg	4.5	3.3	4.7	4.5	3.7	-	-		Siemer 2008
	standard deviation			kg		1.2	3.1	1.2	2.0	-	-		Siemer 2008
	correction factor			%	0.81	0.96	0.40	1.54	1.32	-	-		Calculated for this study
	mean, construction, 2007, ecoinvent v2.0			kg	4.0	3.5	6.3	4.0	3.5	-	-		Siemer 2007
	mean, construction, 2003, ecoinvent v1.0			kg	4.9		6.2	4.4		-	-		Siemer 2003



## 11. Balance of System (BOS)

Tab. 11.18 Unit process raw data of plant specific open ground mounting systems at the Mont Soleil installation

	Name	Location	Infrastructure	Unit	open ground construction, on ground, Mont Soleil	Uncertainty	StandardDeviation95%	GeneralComment	
					CH 1 m2				
technosphere	gravel, round, at mine	CH	0	kg	3.50E+2	1	1.89	(2,1,5,1,1,5); gravel for access route	
	excavation, hydraulic digger	RER	0	m3	1.75E-1	1	1.89	(2,1,5,1,1,5); for access route	
	zinc, primary, at regional storage	RER	0	kg	2.62E+0	1	1.89	(2,1,5,1,1,5);	
	concrete, normal, at plant	CH	0	m3	2.05E-2	1	1.89	(2,1,5,1,1,5); foundation and building	
	reinforcing steel, at plant	RER	0	kg	3.95E+1	1	1.89	(2,1,5,1,1,5); for foundation	
	steel, low-alloyed, at plant	RER	0	kg	2.51E+0	1	1.89	(2,1,5,1,1,5); for fence and building	
	particle board, indoor use, at plant	RER	0	m3	9.98E-4	1	1.89	(2,1,5,1,1,5); for building	
	roof tile, at plant	RER	0	kg	5.41E-1	1	1.89	(2,1,5,1,1,5); for building	
	polyurethane, flexible foam, at plant	RER	0	kg	9.94E-2	1	1.89	(2,1,5,1,1,5); for building insulation	
	zinc coating, coils	RER	0	m2	1.83E-1	1	1.89	(2,1,5,1,1,5); coating of fence and building steel	
	polyethylene, HDPE, granulate, at plant	RER	0	kg	4.17E-2	1	1.89	(2,1,5,1,1,5); for building	
	acetone, liquid, at plant	RER	0	kg	4.57E-2	1	1.89	(2,1,5,1,1,5); for cleaning of profiles	
	polyvinylchloride, at regional storage	RER	0	kg	1.11E-2	1	1.89	(2,1,5,1,1,5); for building	
	bitumen, at refinery	CH	0	kg	2.03E-2	1	1.89	(2,1,5,1,1,5); for building	
	rock wool, packed, at plant	CH	0	kg	1.92E-2	1	1.89	(2,1,5,1,1,5); for building	
	flat glass, coated, at plant	RER	0	kg	7.21E-3	1	1.89	(2,1,5,1,1,5); for building	
	acrylic binder, 34% in H2O, at plant	RER	0	kg	5.20E-3	1	1.89	(2,1,5,1,1,5); assumed for acryl tape	
	silicone product, at plant	RER	0	kg	4.79E-2	1	1.89	(2,1,5,1,1,5); silicone glue	
	transport	transport, lorry 3.5-20t, fleet average	CH	0	tkm	9.45E+0	1	2.85	(4,5,na,na,na,na); Literature
		transport, lorry 20-28t, fleet average	CH	0	tkm	2.95E+0	1	2.85	(4,5,na,na,na,na); Literature
disposal	disposal, concrete, 5% water, to inert material landfill	CH	0	kg	4.87E+1	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	disposal, building, reinforcement steel, to sorting plant	CH	0	kg	3.95E+1	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	disposal, building, fibre board, to final disposal	CH	0	kg	6.79E-1	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	disposal, building, polyurethane foam, to final disposal	CH	0	kg	9.94E-2	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	disposal, building, polyethylene/polypropylene products, to final disposal	CH	0	kg	4.17E-2	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	disposal, building, polyethylene/polypropylene products, to final disposal	CH	0	kg	1.11E-2	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	disposal, building, polyvinylchloride products, to final disposal	CH	0	kg	1.11E-2	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	disposal, building, mineral wool, to sorting plant	CH	0	kg	1.92E-2	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
land use	disposal, building, glass pane (in burnable frame), to sorting plant	CH	0	kg	7.21E-3	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	Transformation, from pasture and meadow	-	-	m2	4.72E+0	1	1.89	(2,1,5,1,1,5); Literature and own estimations	
	Transformation, to industrial area, built up	-	-	m2	1.50E+0	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
	Transformation, to industrial area, vegetation	-	-	m2	3.22E+0	1	1.91	(3,1,5,1,1,5); Literature and own estimations	
emission	Occupation, industrial area, built up	-	-	m2a	4.50E+1	1	5.37	(3,1,5,1,1,5); Assumed life time: 30 a	
	Occupation, industrial area, vegetation	-	-	m2a	9.67E+1	1	5.37	(3,1,5,1,1,5); Assumed life time: 30 a	
	Acetone	-	-	kg	4.57E-2	1	1.89	(2,1,5,1,1,5); Assumed life time: 30 a	
product	open ground construction, on ground, Mont Soleiil	CH	1	m2	1.00E+0				

## 11.9 Inverters

### 11.9.1 Introduction

The primary task of inverters is to transform the direct current (e.g. produced by solar cells) into alternating current with a frequency of 50 cycles per second in Europe. After a transformation to low-voltage-level (normally to 230V), the electric current can be fed into the grid.

#### 11.9.1.1 Characterisation

An inverter consists in general of a few parts: transformers, electronic components as control units, a case and some connectors. This part has to fulfil the following tasks: Transform the electricity from direct current (DC) to alternate current (AC), transform into appropriate voltage (e.g. 230V), and additionally synchronize the voltage with the grid. The inverter fulfils also different electronic tasks like the maximum-power-point-tracking<sup>46</sup> as well as the automatic switch on/off. However, it is not the subject of this work to give a detailed description about inverters, further information is given in (Häberlin 1991) and other authors in the literature.

As a matter of principle, the mass of the inverter in general decreases with the nominal AC power (see Fig. 11.5). Between 2 and 200 kW the mass per kW depends on the inverter and ranges between 5 and 15 kg/kW. Above 400 kW the weight tends to be between 4 kg/kW and 7 kg/kW.

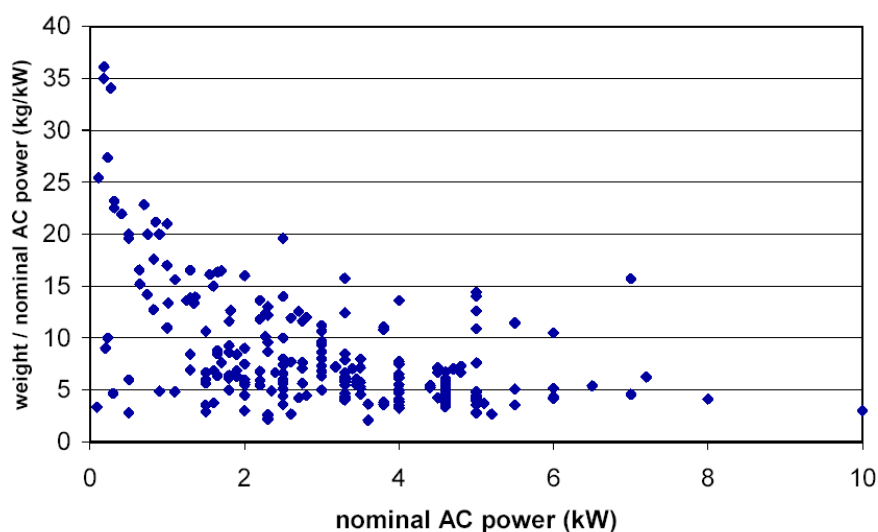


Fig. 11.4 Weight of small inverters (< 10 kW). Source: de Wild-Scholten et al. 2006

<sup>46</sup> Maximum-power-point-tracking is an electronic system that varies the electrical operating point of the modules so that the modules are able to deliver maximum available power.

## 11. Balance of System (BOS)

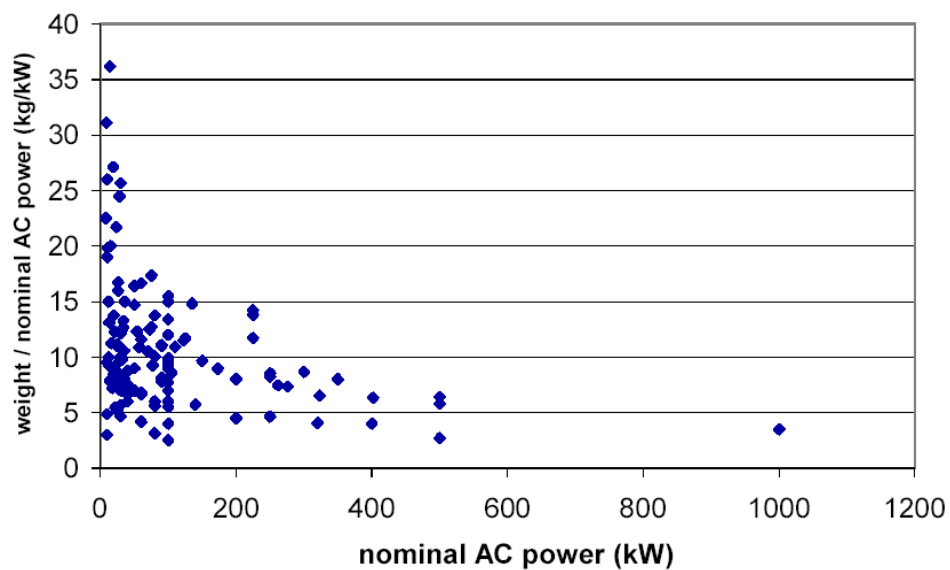


Fig. 11.5 Weight of large inverters (> 10kW). Source: (de Wild-Scholten et al. 2006)

Fig. 11.6 shows the interiors of a small-scale inverter (the PSI 300 from Phillips with a power of 300 W) with transformers, the different electronic parts and the case.

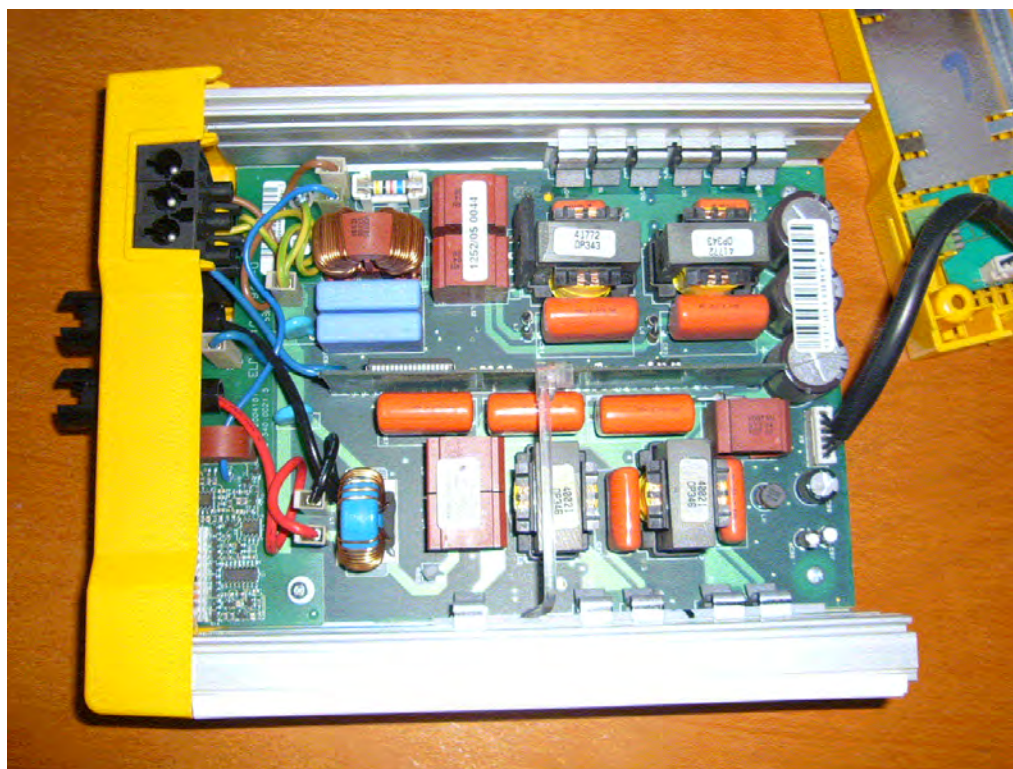


Fig. 11.6 Inside the inverter “PSI 300 from Phillips”, Power 300 W. Source: (de Wild-Scholten et al. 2006)

### 11.9.2 Efficiency factor

The resulting efficiency of the inverter depends on different factors. Therefore one number does not represent the whole characteristic of an inverter under all circumstances (e.g. meteorological conditions, different voltage, MPP-Tracking). In order to achieve a practical value for calculating an average conversion factor, the follow approach has been chosen:

- Source of the values: the measurement of four tested inverter in the range from 2.5kW to 3.8 kW (Testing: Berner Fachhochschule, Informatik und Technik, Labs-Plattform / Photovoltaik, see Häberlin (2006))
- geometrical mean of the measured values (three different voltages) = measured total efficiency factor (see last column)
- geometrical mean of the four inverters

An average efficiency of 93.5 % was taken for 500 W inverter and the 2500 W inverter (see Tab. 11.19). As the efficiency increase with the size of inverters, one has to consider the higher efficiency for the 500 kW-inverter with an average of 95.4 %.

Tab. 11.19: Total Efficiency factor of small-scale inverters

Model	Nominal power (kW)	Measured total Efficiency factor <sup>1)</sup>
Sunways NT4000	3.3	93.83
Fronius IG 40	3.5	91.53
Sputnik SM3000E	2.5	93.60
Sunnyboy 3800	3.8	94.97
Average	-	93.47

- 1) the efficiency factor is a product of the average European efficiency factor and the efficiency factor for the MPP Tracking, the measurement are from Häberlin (2006) and Kämpfer (2006)

Tab. 11.20 Total Efficiency factor of large-scale inverters of 250kW to 500kW

Model	Nominal power (kW)	European Efficiency factor <sup>1)</sup>	total Efficiency factor <sup>2)</sup>
SMA Sunny Central SC350	350	95.2	94.7
SMA Sunny Central SC500HE	500	97.3	96.8
SINVERT Solar 400, Siemens Automation & Drive	400	96	95.5
Solarmax 300C, Sputnik Engineering AG	400	94.8	94.3
Grid Tie Inverter GT500E, Xantrex 97%	500	97.3	96.8
Conergy IPG 280K, Conergy AG Deutschland	250	94.6	94.1
Geometric average			95.4

- 1) The european efficiency factor is a testscenario with determined radiation and simulates the meteorological conditions in Europe. The value is taken from the factsheets of each inverter.
- 2) The inverter has to maximise the MPP-tracking, in order to achieve a high efficiency under different conditions. The MPP-Efficiency ranges between 99.0 and 99.8%. Since only measurement from small-scale inverters are available (see Tab. 11.19), a fix MPP-efficiency of 99.5% has been taken for the 500kW-inverters.

### 11.9.3 Life cycle inventory of inverters

The life cycle inventories are mainly based on the reports of M. de Wild-Scholten (de Wild-Scholten & Alsema 2005; de Wild-Scholten et al. 2006), additional data about energy consumption and packaging is used from older literature (Schwarz & Keller 1992). Standard assumptions are taken for the transport of the materials and the disposal at the end-of-life.

## 11. Balance of System (BOS)

### 11.9.3.1 Inverter, 500 W, at plant

De Wild-Scholten (2006) made a detailed investigation about an inverter with an output-power of 500 W (PSI 500 from Philips). The device mass is about 1.6 kg and consists mainly of electronic components and the case (Aluminium, Polycarbonate and ABS).

### 11.9.3.2 Inverter, 2500 W, at plant

Another investigated inverter (by Wild-Scholten (2006)) was the “Mastervolt Sunmaster 2500”, produced by the German company “Mastervolt”. The device mass is about 18.5 kg, with more than 50 % w/w steel (from the casing) and about 35 % transformers. Although this specific model is not anymore available on the market<sup>47</sup>, the actual inverters have not changed their characteristics, as long as the weight is similar. The detailed list of electronic components from the inverter, 500 W (see Tab. 11.22) has been scaled up for the inverter, 2500 W to a weight of 1.8kg of electronic components.

### 11.9.3.3 Inverter 500 kW, at plant

There is also an inventory from de Wild-Scholten (2006) available for an installation of a 1 MW-Inverter, based on Fthenakis (2006). The inventoried installation in Springerville, Arizona, US consists of 33 inverters (Xantrex 150-PV) with a reported mass of 20'000 kg per 1'000 kW Capacity. Since this mass-capacity-ratio is significant greater than the calculated ratios from actual inverters on the market (see Tab. 11.21), an adjustment has been made for the total mass: The used materials have been therefore scaled-down to the average size of actual inverter.

As on the market exists rarely inverters with a capacity greater than 500 kW (see Fig. 11.5), a down-scaling for an inverter with 500 kW has been made in this project (see Tab. 11.21).

Tab. 11.21 Weight and power capacity of several inverters

Model	Power-Capacity (kW)	Weight (kg)	Ratio (kg/kW)
SMA Sunny Central SC350	350	2800	8.0
SMA Sunny Central SC500HE	500	2200	4.4
SINVERT Solar 400, Siemens Automation & Drive	400	2600	6.5
Solarmax 300C, Sputnik Engineering AG	400	2600	6.5
Grid Tie Inverter GT500E, Xantrex 97%	500	1770	3.54
Conergy IPG 280K, Conergy AG Deutschland	250	2140	8.56
Geometric Mean	-	-	5.98

The calculation has been made for an inverter of 500 kW power capacity with an average weight of 2991 kg ( $500\text{kW} * 5.98 \text{ kg/kW} = 2991 \text{ kg}$ ).

<sup>47</sup> It is replaced with the models “Sunmaster QS 2000” and “Sunmaster QS 3200” of the same manufacturer “Mastervolt”. These two products have a comparable power capacity.

## 11. Balance of System (BOS)

Tab. 11.22 Components of Inverters, all data from de Wild-Scholten (2006)

Component	Unit	Inverter, 500 W, at plant		Inverter, 2500 W, at plant		Inverter, 500 kW, at plant	
		Value	Remarks	Value	Remarks		Remarks
Aluminium	kg	0.682	casing	1.4	casing	131	c)
Polycarbonate	kg	0.068	casing	-			
ABS	kg	0.148	casing	-			
Poly Ethylene	kg	0.014		-			
PVC	kg	0.002	in cable	0.01	a)		
SAN (Styrene acrylonitrile)	kg	0.002	in cable	0.01	a)		
copper	kg	0.002	in cable	0.01	a)	335	c)
Steel	kg	0.078	screws and clamps	9.8		1438	c)
Printed Circuit Board	cm <sup>2</sup>	596 <sup>b)</sup>	without components	2246	a)	2246	d)
connector	kg	0.050		0.237	a)	47.4	d)
transformers, wire-wound	kg	0.310		5.500			
coils	kg	0.074		0.351	a)	0.351	d)
IC's	kg	0.006		0.028	a)	0.028	d)
transistor	kg	0.008		0.038	a)	0.038	d)
transistor diode	kg	0.010		0.047	a)	0.047	d)
capacitor, film	kg	0.072		0.341	a)	0.341	d)
capacitor, electrolytic	kg	0.054		0.256	a)	0.256	d)
capacitor, CMC	kg	0.0048		0.023	a)	0.023	d)
resistors	kg	0.001		0.005	a)	0.005	d)
polyamide injection moulded	kg					71	c)
polyester	kg					44	c)
Polyethylene, HD	kg					22	c)
Paint	kg					22	c)
Transformer oil	kg					881	c)
Total	kg	1.673		18.5		2991	

a) up scaled from the 500W inverter, electronic data adjusted where data has been available

b) Weight is 500g

c) proportionally downscaled by this project from the 1MW-Inverter-Data of de Wild-Scholten (2007), but adjusted for the weight (see text)

d) Assumption: 500kW-Inverter has the same electronic components as the 2500W-Inverter, the size of connectors scale with capacity of inverter.

## 11. Balance of System (BOS)

Packaging data (corrugated board, polystyrene foam and polyethylene-foil) have been taken from (Schwarz & Keller 1992), a correction was made for corrugated board, where the value seemed unrealistically high (2.5 kg of corrugated board instead of 6kg for the inverter of 18.5kg). The consumption of packaging material for the small-scale inverter and the 500 kW-inverter has been estimated on the base of the measured data of the mentioned 2.5 kW-inverter. Assuming a constant form and average density of the inverters, the wrapping packaging material is scaling up / down with the 3<sup>rd</sup> square root of the ratio of the masses. For the small-scale inverter of 0.5 kW a downscale-factor of 2.2 has been used, whereas the large-scale inverter needs 5.4-times more wrapping-material than the 2.5 kW-inverter.

According to Schwarz & Keller (1992), the electricity consumption for the assembling of an inverter of 20 kg is 22,9 kWh. Adapted to the weight of the investigated rectifiers, the consumption during production is 4.24 kWh for the 0.5 kW-Inverter, 21.2 kWh for the 2.5 kW-Inverter and 3600 kWh for the large scale-inverter of 500 kW.

Further information (e.g. emissions, plant-size) about the inverter production is not available.

Tab. 11.23 Energy consumption and packaging material for inverter, data from (Schwarz & Keller 1992),

	Unit	Inverter, 500 W	Inverter, 2500 W	Inverter, 500 kW
corrugated board <sup>1)</sup>	kg	1.12	2.5	13.6
polystyrene foam slab <sup>1)</sup>	kg	0.13	0.3	1.6
polyethylene <sup>1)</sup>	kg	0.03	0.06	0.3
Electricity <sup>2)</sup>	kWh	4.24	21.2	4240

1) Scaling-Ratio for packaging: 2.2 (between 0.5kW-Inverter and 2.5kW-Inverter), 5.4 (between 2.5kW-Inverter and 500kW-Inverter), based on the 3<sup>rd</sup> root of mass ratios.

2) Scaling Ratio for electricity: 5 (between 0.5kW-Inverter and 2.5kW-Inverter), 200 (between 2.5kW-Inverter and 500kW-Inverter), based on the ratios of capacities.

### 11.9.3.4 Inverter, Mont Soleil installation, at plant

In addition to inventories based on the reports by M. de Wild-Scholten, the inventory of the inverters in the Mont Soleil 560 kW<sub>p</sub> power plant in Switzerland is established. The inventory covers a combination of a hybrid inverter with two transformers. The data stem from Kreienbühl et al. (1991) cited in Frischknecht et al. (1996) and are shown in Tab. 11.24 and Tab. 11.27.

## 11. Balance of System (BOS)

Tab. 11.24 Components of inverters and transformers in the Mont Soleil intallation, data from Frischknecht et al. (1996)

Material bill of an inverter (without transformer) in kg	
Aluminium	304
Ceramic	104.55
Steel	52.81
Dimethyl amide	24.5
Epoxy resin	6.02
Polypropylene	1.8
Silicon	0.41
Solder (63 % Pb)	0.18
Copper	161.11
Various plastics, approximated with LDPE	102.4
PVC	29.62
Paper	12.2
Constantan	10.16
Molybdenum	0.51
Silver	0.24
<b>Total</b>	<b>811</b>

Material bill of two transformers in kg	
Copper	10395
Glass polyester (50 % glass, 50 % LDPE)	1882
Steel	3696
KLF epoxy resin	833.2
Tri -glass	308.7
Resin	689.6
Insulation paper	154
Polyester	103.2
Epoxy resin	52.4
KLH resin	24
Lacquer	20
Brass (65 %Cu, 35 % Zn)	3.6
<b>Total</b>	<b>18162</b>



## 11. Balance of System (BOS)

Tab. 11.25 Unit process raw data of “Inverter, 500W, at plant” and “Inverter, 2500W, at plant”

	Name	Location Infrastructure	Process	Unit	inverter, 500W, at plant	inverter, 2500W, at plant	Uncertainty Type	Standard Deviation on 95%	General Comment
					RER 1 unit	RER 1 unit			
product product technosphere	inverter, 500W, at plant	RER	1	unit	1.00E+0	0			
	inverter, 2500W, at plant	RER	1	unit	0	1.00E+0			
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	4.24E+0	2.12E+1	1	1.31	(2,3,4,1,1,5); Literature (Schwarz 1992)
	aluminium, production mix, cast alloy, at plant	RER	0	kg	6.82E-1	1.40E+0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), recycled after use
	copper, at regional storage	RER	0	kg	2.00E-3	5.51E+0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), recycled after use
	steel, low-alloyed, at plant	RER	0	kg	7.80E-2	9.80E+0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), recycled after use
	acrylonitrile-butadiene-styrene copolymer, ABS, at plant	RER	0	kg	1.48E-1	0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	polycarbonate, at plant	RER	0	kg	6.80E-2	0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	polyethylene, HDPE, granulate, at plant	RER	0	kg	1.40E-2	0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	styrene-acrylonitrile copolymer, SAN, at plant	RER	0	kg	2.00E-3	1.00E-2	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
electronical components	polyvinylchloride, at regional storage	RER	0	kg	2.00E-3	1.00E-2	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	printed wiring board, through-hole, at plant	GLO	0	m2	5.96E-2	2.25E-1	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	transformer, high voltage use, at plant	GLO	0	kg	3.10E-1	0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	connector, clamp connection, at plant	GLO	0	kg	5.00E-2	2.37E-1	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	inductor, ring core choke type, at plant	GLO	0	kg	7.40E-2	3.51E-1	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	integrated circuit, IC, logic type, at plant	GLO	0	kg	6.00E-3	2.80E-2	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	transistor, wired, small size, through-hole mounting, at plant	GLO	0	kg	8.00E-3	3.80E-2	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	diode, glass-, through-hole mounting, at plant	GLO	0	kg	1.00E-2	4.70E-2	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	capacitor, film, through-hole mounting, at plant	GLO	0	kg	7.20E-2	3.41E-1	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	capacitor, electrolyte type, > 2cm height, at plant	GLO	0	kg	5.40E-2	2.56E-1	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
processing	capacitor, Tantalum-, through-hole mounting, at plant	GLO	0	kg	4.80E-3	2.30E-2	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Assumption for Ceramic Multilayer Chip Capacitors
	resistor, metal film type, through-hole mounting, at plant	GLO	0	kg	1.00E-3	5.00E-3	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006), Calculation
	sheet rolling, steel	RER	0	kg	7.80E-2	9.80E+0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	wire drawing, copper	RER	0	kg	2.00E-3	5.51E+0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	section bar extrusion, aluminium	RER	0	kg	6.82E-1	1.40E+0	1	1.22	(2,3,1,1,1,5); Literature (de Wild 2006)
	metal working factory	RER	1	unit	1.04E-9	8.97E-9	1	3.06	(2,4,1,1,1,5); Calculation, based on annual production of electronic component production plant
	corrugated board, mixed fibre, single wall, at plant	RER	0	kg	1.12E+0	2.50E+0	1	1.24	(2,4,1,1,1,5); Calculation, based on estimated dimension of inverse rectifier
	polystyrene foam slab, at plant	RER	0	kg	1.30E-1	3.00E-1	1	1.31	(2,3,4,1,1,5); Literature (Schwarz 1992)
	fleece, polyethylene, at plant	RER	0	kg	3.00E-2	6.00E-2	1	1.31	(2,3,4,1,1,5); Literature (Schwarz 1992)
	transport, lorry >16t, fleet average	RER	0	tkm	3.66E-1	2.30E+0	1	2.09	(4,5,na,na,na,na); Standard distance 60km incl. disposal
infrastructure	transport, freight, rail	RER	0	tkm	1.89E+0	7.11E+0	1	2.09	(4,5,na,na,na,na); Standard distances 200km
	transport, transoceanic freight ship	OCE	0	tkm	8.09E+0	3.63E+1	1	2.09	(4,5,na,na,na,na); Estimation: 18000km
	Heat, waste	-	-	MJ	1.53E+1	7.63E+1	1	1.22	(2,3,1,1,1,5); Calculation
	disposal, packaging cardboard, 19.6% water, to municipal incineration	CH	0	kg	1.12E+0	2.50E+0	1	1.25	(2,3,1,5,1,5); Calculation, different geographical location
	disposal, polystyrene, 0.2% water, to municipal incineration	CH	0	kg	1.32E-1	3.10E-1	1	1.25	(2,3,1,5,1,5); Calculation, different geographical location
	disposal, polyethylene, 0.4% water, to municipal incineration	CH	0	kg	3.00E-2	6.00E-2	1	1.25	(2,3,1,5,1,5); Calculation, different geographical location
	disposal, plastic, industr. electronics, 15.3% water, to municipal incineration	CH	0	kg	2.30E-1	0	1	1.25	(2,3,1,5,1,5); Calculation, different geographical location
	disposal, treatment of printed wiring boards	GLO	0	kg	6.90E-1	1.70E+0	1	1.25	(2,3,1,5,1,5); Calculation, different geographical location
	emission air, high pop. dens.								
	disposal								

## 11. Balance of System (BOS)

Tab. 11.26 Unit process raw data of "Inverter, 500kW, at plant"

	Name	Location	Infrastructure	Process	Unit	inverter, 500kW, at plant	Uncertainty Type Standard Deviation on 95%	General Comment
	Location Infrastructure Process Unit					RER 1 unit		
product technosphere	inverter, 500kW, at plant	RER	1	unit	1.00E+0			
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	4.58E+3	1	1.38	(4,3,4,1,1,5); Literature (Schwarz 1992)
	aluminium, production mix, cast alloy, at plant	RER	0	kg	1.31E+2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), recycled after use, Calculation
	copper, at regional storage	RER	0	kg	3.35E+2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), recycled after use, Calculation
	steel, low-alloyed, at plant	RER	0	kg	1.44E+3	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), recycled after use, Calculation
	polyethylene, HDPE, granulate, at plant	RER	0	kg	2.20E+1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	alkyd paint, white, 60% in solvent, at plant	RER	0	kg	2.20E+1	1	2.10	(4,3,1,1,5,5); Literature (de Wild 2006), Calculation
	lubricating oil, at plant	RER	0	kg	8.81E+2	1	2.10	(4,3,1,1,5,5); Literature (de Wild 2006), Calculation
electronical components	glass fibre reinforced plastic, polyamide, injection moulding, at plant	RER	0	kg	7.10E+1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	glass fibre reinforced plastic, polyester resin, hand lay-up, at plant	RER	0	kg	4.40E+1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	printed wiring board, through-hole, at plant	GLO	0	m2	2.25E-1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	connector, clamp connection, at plant	GLO	0	kg	4.74E+1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	inductor, ring core choke type, at plant	GLO	0	kg	3.51E-1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	integrated circuit, IC, logic type, at plant	GLO	0	kg	2.80E-2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	transistor, wired, small size, through-hole mounting, at plant	GLO	0	kg	3.80E-2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	diode, glass-, through-hole mounting, at plant	GLO	0	kg	4.70E-2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	capacitor, film, through-hole mounting, at plant	GLO	0	kg	3.41E-1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	capacitor, electrolyte type, > 2cm height, at plant	GLO	0	kg	2.56E-1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	capacitor, Tantalum-, through-hole mounting, at plant	GLO	0	kg	2.30E-2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	resistor, metal film type, through-hole mounting, at plant	GLO	0	kg	5.00E-3	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
processing	sheet rolling, steel	RER	0	kg	1.44E+3	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	injection moulding	RER	0	kg	7.10E+1	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	wire drawing, copper	RER	0	kg	3.35E+2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
	section bar extrusion, aluminium	RER	0	kg	1.31E+2	1	1.31	(4,3,1,1,1,5); Literature (de Wild 2006), Calculation
infrastructure	metal working factory	RER	1	unit	1.36E-6	1	3.10	(4,4,1,1,1,5); Calculation, based on annual production of electronic component production plant
packaging	corrugated board, mixed fibre, single wall, at plant	RER	0	kg	1.36E+1	1	1.32	(4,4,1,1,1,5); Calculation, based on estimated dimension of inverse rectifier
	polystyrene foam slab, at plant	RER	0	kg	1.60E+0	1	1.38	(4,3,4,1,1,5); Literature (Schwarz 1992)
transport	fleece, polyethylene, at plant	RER	0	kg	3.00E-1	1	1.38	(4,3,4,1,1,5); Literature (Schwarz 1992)
	transport, lorry >16t, fleet average	RER	0	tkm	3.06E+2	1	2.09	(4,5,na,na,na,na); Standard distance 60km incl. disposal
	transport, freight, rail	RER	0	tkm	1.07E+3	1	2.09	(4,5,na,na,na,na); Standard distances 200km
	transport, transoceanic freight ship	OCE	0	tkm	1.04E+3	1	2.09	(4,5,na,na,na,na); Estimation: 18000km
emission air, high pop. dens.	Heat, waste	-	-	MJ	1.65E+4	1	1.31	(4,3,1,1,1,5); Calculation
disposal	disposal, packaging cardboard, 19.6% water, to municipal incineration	CH	0	kg	1.36E+1	1	1.33	(4,3,1,5,1,5); Calculation, different geographical location
	disposal, polystyrene, 0.2% water, to municipal incineration	CH	0	kg	1.60E+0	1	1.33	(4,3,1,5,1,5); Calculation, different geographical location
	disposal, polyethylene, 0.4% water, to municipal incineration	CH	0	kg	1.60E+0	1	1.33	(4,3,1,5,1,5); Calculation, different geographical location
	disposal, plastic, industr. electronics, 15.3% water, to municipal incineration	CH	0	kg	2.30E+2	1	1.33	(4,3,1,5,1,5); Calculation, different geographical location
	disposal, used mineral oil, 10% water, to hazardous waste incineration	CH	0	kg	8.81E+2	1	1.33	(4,3,1,5,1,5); Calculation, different geographical location
	disposal, treatment of printed wiring boards	GLO	0	kg	4.89E+1	1	1.33	(4,3,1,5,1,5); Calculation, different geographical location

## 11. Balance of System (BOS)

Tab. 11.27 Unit process raw data of "Inverter, Mont Soleil installation, at plant"

	Name	Location	Infrastructure	Process	Unit	inverter, Phalk installation, at plant	Uncertainty Type Standard Deviation 5%	General Comment
	Location Infrastructure Process Unit					CH 1 unit		
technosphere	aluminium, primary, at plant	RER	0	kg	3.04E+2	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	copper, at regional storage	RER	0	kg	1.06E+4	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	silver, at regional storage	RER	0	kg	2.40E-1	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	lead, at regional storage	RER	0	kg	1.10E-1	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991, in solder
	dimethylacetamide, at plant	GLO	0	kg	2.45E+1	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	polyethylene, LDPE, granulate, at plant	RER	0	kg	9.19E+2	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991, assumed for divers
	steel, low-alloyed, at plant	RER	0	kg	3.75E+3	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	ceramic tiles, at regional storage	CH	0	kg	1.05E+2	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	polyvinylchloride, at regional storage	RER	0	kg	2.96E+1	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	polypropylene, granulate, at plant	RER	0	kg	1.80E+0	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	silicon, electronic grade, at plant	DE	0	kg	4.10E-1	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	brass, at plant	CH	0	kg	3.60E+0	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	glass fibre reinforced plastic, polyamide, injection moulding, at plant	RER	0	kg	1.88E+3	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	epoxy resin, liquid, at plant	RER	0	kg	8.92E+2	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	glass wool mat, at plant	CH	0	kg	3.09E+2	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991, assumed for tri-glass
transport	acrylic varnish, 87.5% in H2O, at plant	RER	0	kg	2.00E+1	1	1.89	(2,1,5,1,1,5); Kreienbühl et al. 1991
	transport, lorry 20-28t, fleet average	CH	0	tkm	1.03E+3	1	2.85	(4,5,na,na,na,na); Standard distances: 50 km
disposal	transport, freight, rail	CH	0	tkm	1.08E+4	1	2.85	(4,5,na,na,na,na); Standard distances 600 km resp. 200km
	disposal, polyvinylchloride, 0.2% water, to municipal incineration	CH	0	kg	2.96E+1	1	1.89	(2,1,5,1,1,5); -
	disposal, polyethylene, 0.4% water, to municipal incineration	CH	0	kg	1.81E+3	1	1.89	(2,1,5,1,1,5); -
product	inverter, Phalk installation, at plant	CH	1	unit	1.00E+0			

Tab. 11.28 shows the EcoSpold meta information of PV inverter production investigated in this chapter.

## 11. Balance of System (BOS)

Tab. 11.28 EcoSpold meta information of PV inverters

ReferenceFunction	Name	inverter, 500W, at plant	inverter, 2500W, at plant	inverter, 500kW, at plant	inverter, Phalk installation, at plant
Geography	Location	RER	RER	RER	CH
ReferenceFunction	InfrastructureProcess	1	1	1	1
ReferenceFunction	Unit	unit	unit	unit	unit
DataSetInformation	Type	1	1	1	1
	Version	2.0	2.0	2.0	1.0
	energyValues	0	0	0	0
	LanguageCode	en	en	en	en
	LocalLanguageCode	de	de	de	de
DataEntryBy	Person	41	41	41	44
	QualityNetwork	1	1	1	1
ReferenceFunction	DataSetRelatesToProduct	1	1	1	1
	IncludedProcesses	Materials, packaging and electricity use for the production of an inverse rectifier. Disposal of the product after use.	Materials, packaging and electricity use for the production of an inverse rectifier. Disposal of the product after use.	Materials, packaging and electricity use for the production of an inverse rectifier. Disposal of the product after use.	Materials, packaging and electricity use for the production of an inverse rectifier. Disposal of the product after use.
	Amount	1	1	1	1
	LocalName	Wechselrichter, 500W, ab Werk	Wechselrichter, 2500W, ab Werk	Wechselrichter, 500kW, ab Werk	Wechselrichter, Phalk-Anlage, ab Werk
	Synonyms	inverse rectifier	inverse rectifier	inverse rectifier	inverse rectifier
	GeneralComment	Production of an inverter (500W) with an efficiency of 93.5% (total efficiency factor which includes MPP-Tracking) for photovoltaic plant. Total weight about 1.6 kg.	Production of an inverter (2500W) with an efficiency of 93.5% (total efficiency factor which includes MPP-Tracking) for photovoltaic plant. Total weight about 18.5 kg.	Production of an inverter (500kW) with an efficiency of 95.4% (total efficiency factor which includes MPP-Tracking) for photovoltaic plant. Total weight about 3000 kg.	Production of an inverter for a 560 kWp photovoltaic power plant in Switzerland.
	InfrastructureIncluded	1	1	1	1
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	production of	production of	production of	production of
	LocalCategory	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik
	LocalSubCategory	Herstellung	Herstellung	Herstellung	Herstellung
TimePeriod	StartDate	2004	2004	2004	1991
	EndDate	2006	2006	2006	1993
	DataValidForEntirePeriod	1	1	1	1
Geography	Text	Production in RER.	Production in RER.	Production in RER.	Production in CH.
Technology	Text	Inverter for a photovoltaic grid-connected system with a	Inverter for a photovoltaic grid-connected system with a	Inverter for a photovoltaic grid-connected system with a	Inverter for a photovoltaic grid-connected system
	ProductionVolume	Not known.	Not known.	Not known.	Not known.
	SamplingProcedure	Detailed analysis of materials for one product	Detailed analysis of materials for one product	Analysis of materials for a group of inverters, based on literature	Detailed analysis of materials for one product
	Extrapolations	Packaging materials and energy consumption during production has been scaled down from 2500 W-Inverter.	Data for electronic components has been extrapolated from 500 W-Inverter	Construction materials are extrapolated from a 1MW-Inverter included weight-adaptation, packaging materials have been scaled up from 2500 W-Inverter	none

## 11.10 Electric installation

### 11.10.1 Overview

The following chapter investigates the electric installation for a photovoltaic power plant. This includes all installations between the panel and the grid, but not the inverter. A terminal box is not used anymore. The single parts of the installation are shown in Fig. 11.7.

In a first approximation, most of the material use can be assumed to be proportional to the installed capacity <Meier 1993>. An important factor is the size of the building and thus the distance between the PV-panels and the electricity grid. All data are investigated by Schwarz & Keller (1992) with some own modifications. It was not possible to fully update these data for the present report.

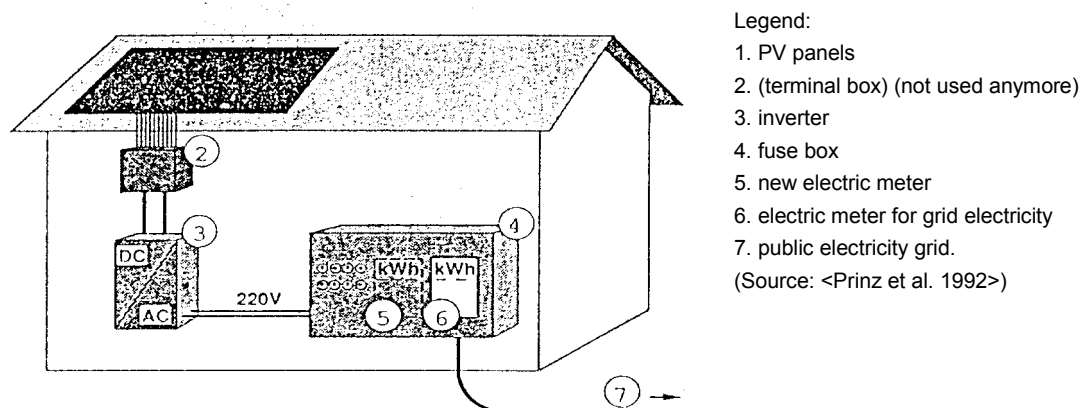


Fig. 11.7 Illustration of electric installation of a PV power plant (partly outdated)

### 11.10.2 Electric cables and lightning arrester

Tab. 11.29 shows the material use for the electric cables and the lightning arrester. A set of panels of the PV plant is serial connected, connected with the inverter and this connected to the fuse box. The whole cabling of a 3 kW<sub>p</sub> plant needs about 200 to 400 m of a 2 - 2.5 mm<sup>2</sup> copper wire <Meier 1993>.

At the inverter the electricity is transformed to alternating current (AC). Three thin cables (2.5 mm<sup>2</sup>) connect the inverter with the 220 V cable to the electric meter and then with the grid.

An important issue is the lightning arrester. Different technical requirements are discussed (Häberlin 1991). Panel frames and mounting structure are connected by copper cable with the normal lightning arrester of the house. A length of 10 m copper wire (2.5 kg) is assumed. A 25 mm<sup>2</sup>-cable is recommended for the grounding (Häberlin 1991). It is assumed that an existing lightning arrester of the building can be used. Thus an additional cable is laid from the fuse box to the electric meter (16 mm<sup>2</sup> Cu). The distance is assumed to be 10 m (2.3 kg copper).

The grounding cable between inverter and electric meter is 8 m long 25 mm<sup>2</sup>-copper wire (1.8 kg).

## 11. Balance of System (BOS)

Tab. 11.29 Material use for the electric installations (Schwarz & Keller 1992). Copper cables are used for the lightning arrester. Data for the area (e.g. 25 mm<sup>2</sup> Cu) are related to the cross section surface of the cable.

Part of installation	Material	Mass
		kg
<i>Lightning protection PV-plant</i>	copper (28 mm <sup>2</sup> Cu)	2.5
<i>Cabling PV panel area</i>	wire (245 m): copper	4.66
	Radox 125	5.39
	PVC-isolation tube (9 m)	2.13
	cable clip(plastics)	0.32
	cable lug (copper)	0.11
<i>Fuse box</i>	copper	0.31
	steel	0.77
	plastics	1.34
	brass	0.02
	Polycarbonate	0.20
	Polyamide	0.23
	ZnO	0.04
	Epoxy (Laquor)	0.002
	Radox 125	0.02
<i>PV panels to inverter</i>	wire (10m): copper	1.82
	Radox 125	2.69
	protection (copper)	0.97
	plastic tape	0.03
	cable lug Noryl (10m)	3.60
	grounding wire (10m): copper (16 mm <sup>2</sup> + 10 mm <sup>2</sup> Cu)	2.3
	Radox 125	0.30
	heat shrink tube (20cm): PE	0.02
	nail dowel: PE	0.16
<i>Inverter to electric meter</i>	grid cable (5m): copper	0.25
	Thermoplastic	0.17
	grounding wire (8m): copper (25 mm <sup>2</sup> Cu)	1.76
	Radox 125	0.32
	switch: copper	0.02
	plastics	0.07
	steel	0.09
Total		32.612

### 11.10.3 Life cycle inventory of the electric installation

Tab. 11.30 shows the unit process raw data of the electric installation derived from Tab. 11.29. Process data of the electric equipment include construction materials, wire drawing and transport services. The Radox-cable cladding is approximated with HDPE-plastic.

Tab. 11.31 shows the electric installation of large PV plants. In order to obtain inventory data for the large PV plants, the data from Tab. 11.29 are scaled with the length of the lightning protection, the length of the cabling in the module area, the length of the cabling from the module to the inverter, the length of the cabling from the inverter to the electric meter, and the weight of the fuse box. This plant specific information is shown in Tab. 13.2. An exception is the Mont Soleil power plant, for which plant specific data about the material consumption for electric installations are available from Frischknecht et al. (1996) and hence a scaling from other plants is not needed.

## 11. Balance of System (BOS)

Recent, but rough data have been investigated in the CrystalClear project (de Wild-Scholten et al. 2006). Their values confirm our data. The energy use for the manufacturing of the electric installations is only considered with a copper wire drawing process.

Tab. 11.30 Unit process raw data of the electric installation for a 3 kW<sub>p</sub> plant

	Name	Location	Infrastructure	Process	Unit	electric installation, photovoltaic plant, at plant	Uncertain Standard deviation	GeneralComment	de Wild 2006
	Location					CH			
	InfrastructureProcess					1			
	Unit					unit			unit
product	electric installation, photovoltaic plant, at plant	CH	1		unit	1.00E+0			
technosphere	copper, at regional storage	RER	0		kg	1.47E+1	1	1.24 (2,1,3,1,1,5); Literature, recycled after use	1.96E+1
	brass, at plant	CH	0		kg	2.00E-2	1	1.24 (2,1,3,1,1,5); Literature	
	zinc, primary, at regional storage	RER	0		kg	4.00E-2	1	1.24 (2,1,3,1,1,5); Literature	
	steel, low-alloyed, at plant	RER	0		kg	8.60E-1	1	1.24 (2,1,3,1,1,5); Literature, recycled after use	
	nylon 6, at plant	RER	0		kg	2.30E-1	1	1.24 (2,1,3,1,1,5); Literature	
	polyethylene, HDPE, granulate, at plant	RER	0		kg	1.76E+1	1	1.24 (2,1,3,1,1,5); Literature incl. different plastics and Radox insulation	1.45E+0
	polyvinylchloride, bulk polymerised, at plant	RER	0		kg	2.13E+0	1	1.24 (2,1,3,1,1,5); Literature	3.35E-1
	polycarbonate, at plant	RER	0		kg	2.00E-1	1	1.24 (2,1,3,1,1,5); Literature	
	epoxy resin, liquid, at plant	RER	0		kg	2.00E-3	1	1.24 (2,1,3,1,1,5); Literature	
manufacturing	wire drawing, copper	RER	0		kg	1.47E+1	1	1.24 (2,1,3,1,1,5); Assumption	
transport	transport, lorry 20-28t, fleet average	CH	0		tkm	2.15E+0	1	2.09 (4,5,na,na,na,na); Standard distance 60km incl. disposal	
	transport, freight, rail	CH	0		tkm	1.34E+1	1	2.09 (4,5,na,na,na,na); Standard distances 200km (metals 600km)	
disposal	disposal, plastic, industr. electronics, 15.3% water, to municipal incineration	CH	0		kg	2.02E+1	1	1.24 (2,1,3,1,1,5); Estimation	
	disposal, building, electric wiring, to final disposal	CH	0		kg	6.00E-2	1	1.24 (2,1,3,1,1,5); Estimation	
	total weight				kg	35.8			21.4

## 11. Balance of System (BOS)

Tab. 11.31 Unit process raw data of the electric installation for large PV plants

	Name	Location	electric installation, 93 kWp photovoltaic plant, at plant	electric installation, 280 kWp photovoltaic plant, at plant	electric installation, 156 kWp photovoltaic plant, at plant	electric installation, 1.3 MWp photovoltaic plant, at plant	electric installation, 560 kWp photovoltaic plant, at plant	electric installation, 324 kWp photovoltaic plant, at plant	electric installation, 450 kWp photovoltaic plant, at plant	electric installation, 570 kWp photovoltaic plant, at plant	Uncertainty Standard Deviation/95%	GeneralComment
	Location Infrastructure/Process Unit		CH 1 unit	CH 1 unit	CH 1 unit	CH 1 unit	CH 1 unit	DE 1 unit	DE 1 unit	ES 1 unit		
technosphere	aluminium, production mix, wrought alloy, at plant	RER	-	-	-	-	1.67E+2	-	-	-	1	1.36 (2,1,3,1,1,5); distributor box and control electronics
	copper, at regional storage	RER	7.06E+1	3.18E+2	3.03E+2	3.87E+3	2.71E+3	3.77E+2	3.81E+2	7.41E+2	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	brass, at plant	CH	5.46E-1	1.02E+0	6.82E-1	7.50E+0	0	1.36E+0	1.36E+0	1.36E+0	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	zinc, primary, at regional storage	RER	1.09E+0	2.05E+0	1.36E+0	1.50E+1	0	2.73E+0	2.73E+0	2.73E+0	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	steel, low-alloyed, at plant	RER	2.24E+1	4.12E+1	2.81E+1	2.90E+2	1.23E+3	5.29E+1	5.29E+1	5.29E+1	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	diode, glass-, through-hole mounting, at plant	GLO	-	-	-	-	1.93E+1	-	-	-	1	1.36 (2,1,3,1,1,5); diode and glass epoxy share for cotrol electronics
	concrete, normal, at plant	CH	-	-	-	-	2.80E+1	-	-	-	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	nylon 6, at plant	RER	6.28E+0	1.18E+1	7.84E+0	8.63E+1	0	1.57E+1	1.57E+1	1.57E+1	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	sulphuric acid, liquid, at plant	RER	-	-	-	-	1.00E+1	-	-	-	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	lead, at regional storage	RER	-	-	-	-	6.00E+1	-	-	-	1	1.36 (2,1,3,1,1,5); for control electronics
	polyethylene, HDPE, granulate, at plant	RER	6.07E+1	3.15E+2	2.80E+2	3.73E+3	0	4.12E+2	4.17E+2	7.09E+2	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	polyethylene, LDPE, granulate, at plant	RER	-	-	-	-	1.29E+3	-	-	-	1	1.36 (2,1,3,1,1,5); halogen free polyolefin cable insulation
	polyvinylchloride, bulk polymerised, at plant	RER	8.69E-1	2.61E+1	2.17E+1	2.36E+2	0	4.17E+1	4.35E+1	4.49E+1	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	polycarbonate, at plant	RER	5.46E-2	1.02E-1	6.82E-2	7.50E-1	0	1.36E-1	1.36E-1	1.36E-1	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
	epoxy resin, liquid, at plant	RER	5.46E-2	1.02E-1	6.82E-2	7.50E-1	0	1.36E-1	1.36E-1	1.36E-1	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
manufacturing	wire drawing, copper	RER	7.06E+1	3.18E+2	3.03E+2	3.87E+3	2.71E+3	3.77E+2	3.81E+2	7.41E+2	1	1.36 (2,1,3,1,1,5); Scaled from smaller plants over cabling length and fuse box weight
transport	transport, lorry 20-28t, fleet average	CH	9.76E+0	4.30E+1	3.86E+1	4.94E+2	4.33E+3	-	-	-	1	2.16 (4,5,na,na,na,na); Standard distance 60km incl. disposal
	transport, lorry >16t, fleet average	RER	-	-	-	-	-	5.43E+1	5.49E+1	9.40E+1	1	2.16 (4,5,na,na,na,na); Standard distance 60km incl. disposal
	transport, freight, rail	CH	7.04E+1	2.88E+2	2.62E+2	3.32E+3	1.60E+4	-	-	-	1	2.16 (4,5,na,na,na,na); Standard distances 200km (metals 600km)
	transport, freight, rail	RER	-	-	-	-	-	2.01E+2	2.03E+2	3.33E+2	1	2.16 (4,5,na,na,na,na); Standard distances 200km (metals 600km)
disposal	disposal, plastic, industr. electronics, 15.3% water, to municipal incineration	CH	6.80E+1	3.53E+2	3.10E+2	4.05E+3	1.36E+3	4.70E+2	4.76E+2	7.70E+2	1	1.36 (2,1,3,1,1,5); Estimation
	disposal, building, electric wiring, to final disposal	CH	1.64E+0	3.07E+0	2.05E+0	2.25E+1	0	4.09E+0	4.09E+0	4.09E+0	1	1.36 (2,1,3,1,1,5); Estimation
	total weight		162.7	715.9	643.5	8231.3	72123.6	904.3	914.6	1567.3		



## 11. Balance of System (BOS)

### 11.11 Meta information of balance of system

Tab. 11.32 and Tab. 11.33 show the EcoSpold meta information of balance of system components described in this chapter.

Tab. 11.32 EcoSpold meta information of balance of system components

ReferenceFunction	Name	electric installation, photovoltaic plant, at plant	facade construction, mounted, at building	facade construction, integrated, at building	flat roof construction, on roof	slanted-roof construction, mounted, on roof	slanted-roof construction, mounted, on roof, Stadel de Suisse	slanted-roof construction, integrated, on roof	open ground construction, on ground	open ground construction, on ground, Mont Soleil
Geography	Location	CH	RER	RER	RER	RER	CH	RER	RER	CH
ReferenceFunction	InfrastructureProcess	1	1	1	1	1	1	1	1	1
ReferenceFunction	Unit	unit	m2	m2	m2	m2	m2	m2	m2	m2
	IncludedProcesses	Materials and packaging for the production of cabling, lightning protection and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.	Materials and packaging for the production and estimation for metal processing. Disposal of the product after use. Energy use for the construction process must be included in data of the PV-plant.
	LocalName	Elektroinstallationen, Photovoltaikanlage, ab Werk	Fassadenkonstruktion, aufgesetzt, an Gebäude	Fassadenkonstruktion, integriert, an Gebäude	Flachdachkonstruktion, auf Dach	Schrägdachkonstruktion, aufgesetzt, auf Dach	Schrägdachkonstruktion, aufgesetzt, auf Dach, Stadel de Suisse	Schrägdachkonstruktion, integriert, auf Dach	Freiflächenkonstruktion, am Boden	Freiflächenkonstruktion, am Boden, Mont Soleil
	Synonyms									
	GeneralComment	Production of different components of the electric installation of a 3kWp photovoltaic plant.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.	Production of the additional components necessary for the mounting of 1 m2 PV panel or laminate.
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	production of components	production of components	production of components	production of components	production of components	production of components	production of components	production of components	production of components
	LocalCategory	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik
	LocalSubCategory	Herstellung Komponenten	Herstellung Komponenten	Herstellung Komponenten	Herstellung Komponenten	Herstellung Komponenten	Herstellung Komponenten	Herstellung Komponenten	Herstellung Komponenten	Herstellung Komponenten
	Formula									
	StatisticalClassification									
TimePeriod	CASNumber									
	StartDate	1992	1992	1992	1992	1992	2005	1992	1992	1991
	EndDate	1992	2008	2008	2008	2008	2008	2008	2009	2009
	OtherPeriodText	Date of data investigation.	Date of data investigation. Actual weight of materials updated in 2008.	Date of data investigation. Actual weight of materials updated in 2008.	Date of data investigation. Actual weight of materials updated in 2008.	Date of data investigation. Actual weight of materials updated in 2008.	Date of data investigation. Actual weight of materials updated in 2008.	Date of data investigation. Actual weight of materials updated in 2008.	Date of data investigation.	Date of data investigation.
Geography	Text	Production in CH.	Production in CH.	Production in CH.	Production in CH.	Production in CH.	Production in CH.	Production in CH.	Production in CH.	Production in CH.
Technology	Text	All electric installations for a photovoltaic system with a capacity of 3kWp. Including cables, counter, etc.	Construction parts for a photovoltaic system.	Construction parts for a photovoltaic system.	Construction parts for a photovoltaic system.	Construction parts for a photovoltaic system.	Construction parts for a photovoltaic system.	Construction parts for a photovoltaic system.	Construction parts for a photovoltaic system.	Construction parts for a photovoltaic system.
Representativen	Percent	5	10	10	10	10	0	10	10	10
	ProductionVolume	Not known.	Not known.	Not known.	Not known.	Not known.	Not known.	Not known.	Not known.	Not known.
	SamplingProcedure	Detailed analysis of materials for one product in a diploma thesis.	Detailed analysis of materials for one product in a diploma thesis.	Detailed analysis of materials for one product in a diploma thesis.	Detailed analysis of materials for one product in a diploma thesis.	Detailed analysis of materials for one product in a diploma thesis.	Aluminium and steel input from one large power plant installed in 2007 in Switzerland.	Detailed analysis of materials for one product in a diploma thesis.	Data from manufacturers	Data from manufacturers
	Extrapolations	none	From one product to the whole market. Correction factor applied to correct for today average weight per m2.	From one product to the whole market. Correction factor applied to correct for today average weight per m2.	From one product to the whole market. Correction factor applied to correct for today average weight per m2.	From one product to the whole market. Correction factor applied to correct for today average weight per m2.	From one product to the whole market. Correction factor applied to correct for today average weight per m2.	From one product to the whole market. Correction factor applied to correct for today average weight per m2.	From several products to the whole market.	One open ground system installed in 1992 in Switzerland

## 11. Balance of System (BOS)

Tab. 11.33 EcoSpold meta information of the electric installation for large PV plants

ReferenceFunction	Name	electric installation, 156 kWp photovoltaic plant, at plant	electric installation, 93 kWp photovoltaic plant, at plant	electric installation, 280 kWp photovoltaic plant, at plant	electric installation, 1.3 MWp photovoltaic plant, at plant	electric installation, 560 kWp photovoltaic plant, at plant	electric installation, 324 kWp photovoltaic plant, at plant	electric installation, 450 kWp photovoltaic plant, at plant	electric installation, 570 kWp photovoltaic plant, at plant	electric installation, 3.5 MWp photovoltaic plant, at plant
Geography	Location	CH	CH	CH	CH	CH	DE	DE	ES	US
ReferenceFunction	InfrastructureProcess	1	1	1	1	1	1	1	1	1
ReferenceFunction	Unit	unit	unit	unit	unit	unit	unit	unit	unit	unit
	IncludedProcesses	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.	Materials and packaging for the production of cabling and fuse box. Inverter ist not included. Estimation for metal processing. Disposal of the product after use.
	LocalName	Elektroinstallationen, 156 kWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 93 kWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 280 kWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 1.3 MWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 560 kWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 324 kWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 450 kWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 570 kWp Photovoltaikanlage, ab Werk	Elektroinstallationen, 3.5 MWp Photovoltaikanlage, ab Werk
	Synonyms									
	GeneralComment	Production of different components of the electric installation for a 156 kWp photovoltaic plant.	Production of different components of the electric installation for a 93 kWp photovoltaic plant.	Production of different components of the electric installation for a 280 kWp photovoltaic plant.	Production of different components of the electric installation for a 1.3 MWp photovoltaic plant.	Production of different components of the electric installation for a 560 kWp photovoltaic plant.	Production of different components of the electric installation for a 324 kWp photovoltaic plant.	Production of different components of the electric installation for a 450 kWp photovoltaic plant.	Production of different components of the electric installation for a 570 kWp photovoltaic plant.	Production of different components of the electric installation for a 3.5 MWp photovoltaic plant.
	InfrastructureIncluded	1	1	1	1	1	1	1	1	1
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	production of components	production of components	production of components	production of components	production of components	production of components	production of components	production of components	production of components
	LocalCategory	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik
	LocalSubCategory	Herstellung	Herstellung	Herstellung	Herstellung	Herstellung	Herstellung	Herstellung	Herstellung	Herstellung
	Formula									
	StatisticalClassification									
	CASNumber									
	StartDate	1992	1992	1992	1992	1991	1992	1992	1992	1992
	EndDate	2009	2009	2009	2009	1993	2009	2009	2009	2006
	DataValidForEntirePeriod	1	1	1	1	1	1	1	1	1
	OtherPeriodText									
TimePeriod	Text									
Geography	Text									
Technology	Text	Electric installation	Electric installation	Electric installation	Electric installation	Electric installation	Electric installation	Electric installation	Electric installation	Electric installation
Representative	Percent	100	100	100	100	100	100	100	100	100
	ProductionVolume									
	SamplingProcedure	unknown	unknown	unknown	unknown	unknown	unknown	unknown	unknown	unknown
	Extrapolations	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight	scaled from a small PV power plant over cabling length and fuse box weight

## 12 3 kW<sub>p</sub> PV power plants

### 12.1 Introduction

Combining the data for the single components derives the unit process raw data for the 3kW<sub>p</sub> PV power plants. The main parts are the PV-panels or laminates, the mounting structure, the inverter and the electric installation (Fig. 12.1). The inventory is supplemented with data for transports of panels, inverter and electric installation and with the energy use for the construction process according to the investigation in the previous chapter. It has to be noted that the transport of the mounting structure is already included in the unit process raw data investigated for this part, while all other transports and the energy use for the construction process is included in the unit process raw data investigated in this chapter.

All four main unit processes include the material uses, emissions and process energies per unit. Data for the dismantling and disposal are already included. The reference flow for the unit process raw data of 3kW<sub>p</sub> plants is one unit. By combination of the different types of panels and mounting structure we investigate 14 different types of plants (Tab. 3.2).

The operation of the plants with the electricity production is investigated in the following chapter.

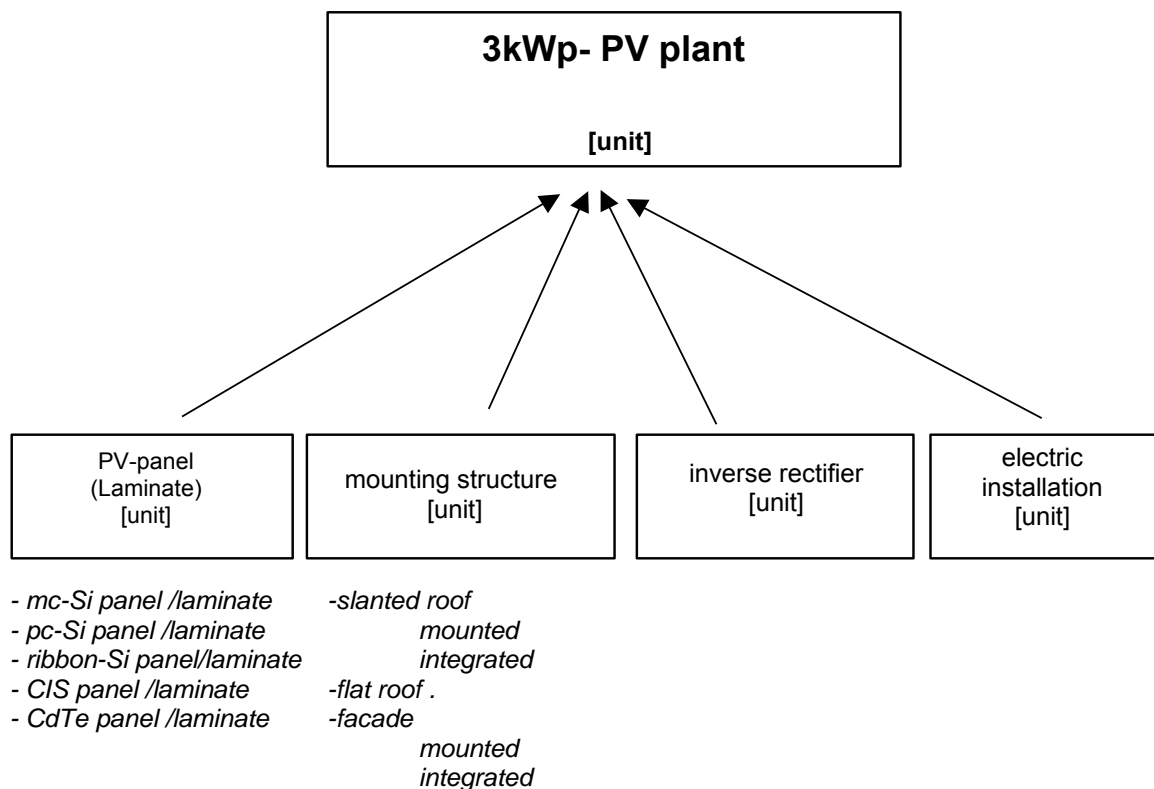


Fig. 12.1 Combination of unit process raw data for the PV-power plants. The four main modules of the life cycle inventory are combined.

## 12.2 Efficiency of solar cells

### 12.2.1 Developments

The efficiency of solar cells is measured under standardized conditions and describes the ratio of light converted to electricity. In order to calculate the amount of panels per installed kW<sub>p</sub> it is necessary to know the efficiency of the solar cells and panels. Solar panels have a little bit lower efficiency than solar cells because of the area covered by the frame and gaps between the solar cells. The efficiencies have been optimized in the last years. They are also an important part of the information for the customer.

Fig. 12.2 shows the development of the maximum efficiencies of different types of solar cells over the last decades. One can observe a steady improvement of the cell efficiencies.

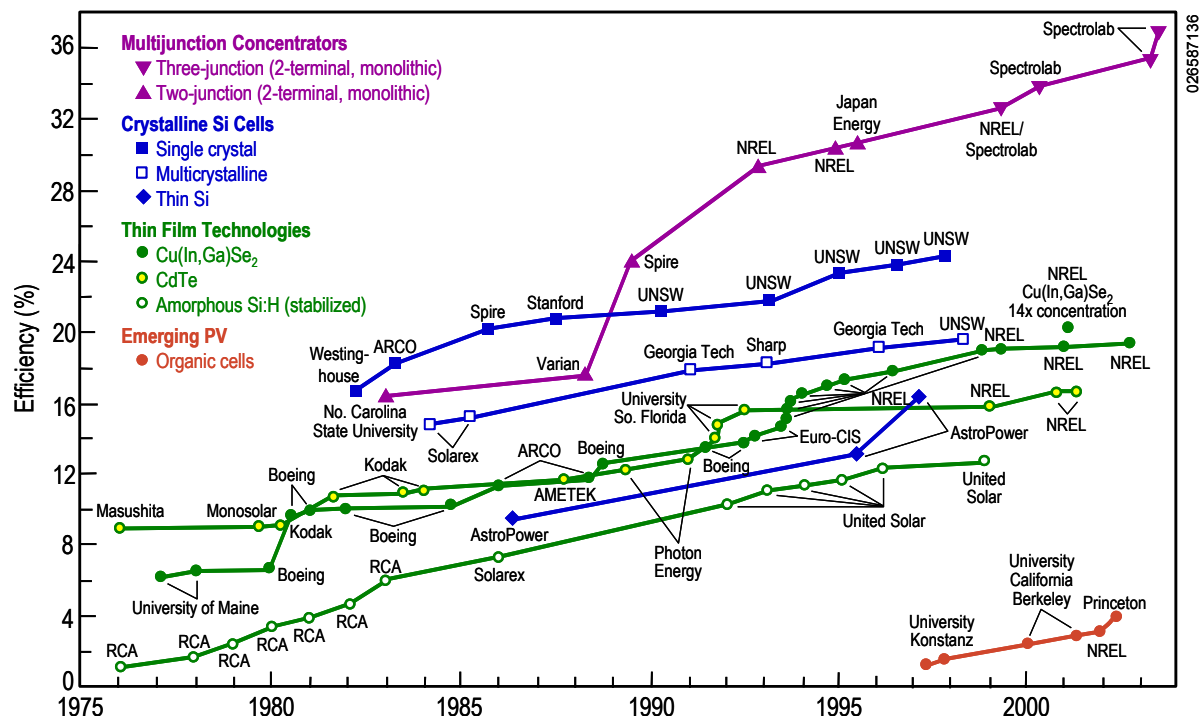


Fig. 12.2 Best research efficiencies. (NREL – National Renewable Energy Laboratory)<sup>48</sup>

In Fig. 12.3 we show a summary of efficiency data investigated over the past years. Tab. 12.1 shows a detailed summary of the available information. It has to be noted that there might be partly different assumptions behind these figures, which cannot be discussed for this overview in detail. Figures for panels are multiplied with a factor of 1.1 for calculating the cell efficiency. This factor has been estimated based on the ratio between active area and panel surface area in Tab. 12.3.

There is a large variation in data about the cell efficiencies. Furthermore a slight trend towards more efficient cells can be observed over the past years. On the other side it seems that earlier forecasts for the improvement were normally too optimistic. Maximum efficiencies from laboratory experiments are considered for the year 2020 (Lauinger 2000).

<sup>48</sup> Download on [www.nrel.gov/pv/thin\\_film/docs/kaz\\_best\\_research\\_cells.ppt](http://www.nrel.gov/pv/thin_film/docs/kaz_best_research_cells.ppt), 6.2007.

## 12. 3 kWp PV power plants

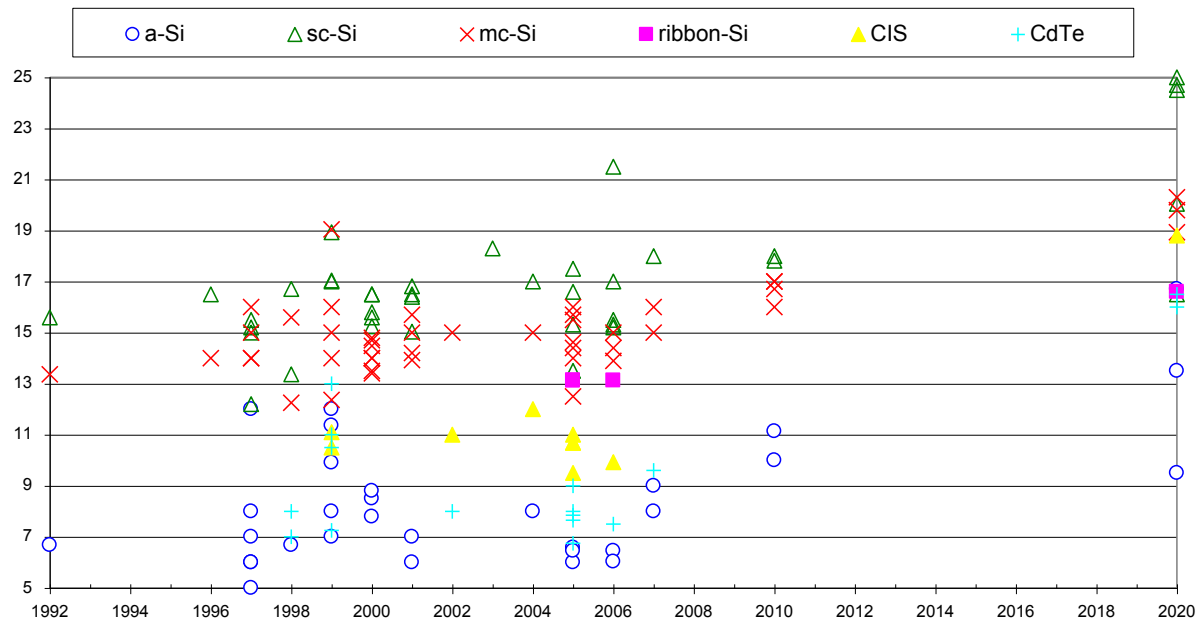


Fig. 12.3 Overview about the development of the efficiency of PV cells according to literature and information from producers

Tab. 12.1 shows the efficiencies of solar cells and photovoltaic panels reported in different studies. The different figures are sorted by the time of investigation. The values have been used for the summary in Fig. 12.3. Readers who are interested in a detailed understanding of all differences are referred to the original publications.

## 12. 3 kWp PV power plants

Tab. 12.1 Development of cell efficiencies and efficiencies of PV panels according to different assumptions in literature.

Type	C/P	- 1992	1993-97	1998 - 2000	2007	2010-20	Source
sc-Si	P	14					(Hagedorn & Hellriegel 1992)
	C/P		12.2-15.2/ 10.5-13.5				(Kohake 1997)
	C/P		15/12.3				(Kato et al. 1997b)
	C/P		15.5/12.7		18/14.8	18/14.8	(Alsema 1998; Alsema et al. 1998; P. Frankl & Gamberale 1998)
	P		16.5				(Frischknecht et al. 1996)
	P		12-15			24.5 <sup>1)</sup>	(Munro & Rudkin 1999)
	P			15.3-17			(Fritsche & Lenz 2000)
	P			14		16-18	(Alsema 2000a)
	C			17			Shell solar D
mc-Si	C					24.7	(Green et al. 2006)
	P	12					(Hagedorn & Hellriegel 1992)
	C/P		14/12.1		16/13.8		(Alsema 1998; Alsema et al. 1998)
	C/P		14/12.1			16/14.5	(P. Frankl & Gamberale 1998)
	P		14				(Frischknecht et al. 1996)
	C/P		15-16/ 11.6-15.7	15-16/ 11.9-13.2			(Kato et al. 1997b) / (Kato 2000)
	P		11-14			19.8 <sup>1)</sup>	(Munro & Rudkin 1999)
	P			11.1-17.1			(Fritsche & Lenz 2000)
	C			14			Shell solar D
a-Si	P					15-17	(Alsema 2000a)
	C					20.3	(Green et al. 2006)
	P	6					(Hagedorn & Hellriegel 1992)
	P		8-12	8-12			(Kato et al. 1997b) / (Kato 2000)
	C/P		-/6		-/9		(Alsema et al. 1998)
	P		6			10	(P. Frankl & Gamberale 1998)
	P		6-7			13.5 <sup>1)</sup>	(Munro & Rudkin 1999)
	P			8.9-10.2			(Fritsche & Lenz 2000)
	C			7			Shell solar D
CdTe	P						
			7-8			16.0 <sup>1)</sup>	(Munro & Rudkin 1999)
				7.25-10.5			(Fritsche & Lenz 2000)
	C					16.5	(Green et al. 2006)
	C/P			11-13/ 10.3-12.4			(Kato 2000)
				10.5-11.1			(Fritsche & Lenz 2000)
	C					18.8	(Green et al. 2006)

P Panel

C Cell

<sup>1)</sup> Maximum for cells in laboratory experiments

## 12. 3 kWp PV power plants

### 12.2.2 Module efficiencies used in this study

The efficiencies of single-Si and multi-Si modules used in this study are shown in Tab. 12.3. In order to evaluate the average module efficiency of crystalline silicon technologies, we considered the most important module producers in 2009 and 2010.<sup>49,50</sup> Tab. 12.2 shows the efficiencies of the modules sold by these companies according to a market survey (Photon Profi 2010).

Tab. 12.2 Module efficiencies of most important photovoltaic module producers in 2009/2010

	single-Si			multi-Si		
	min	max	average	min	max	average
Suntech	14.1	15.7	14.4	13.6	13.9	13.7
Yingli Green	-	-	-	13.9	14.1	14.0
Trina	13.5	14.1	13.8	-	-	-
Sharp	13.5	14.3	14.0	13.4	13.4	13.4
Canadian Solar	12.9	13.7	13.3	12.9	13.7	13.3
Hanwha	13.7	15.3	14.5	13.1	14.7	13.9
Kyocera	-	-	-	12.7	14.1	13.6
REC	-	-	-	13.3	13.3	13.3
<b>This study</b>	<b>12.9</b>	<b>15.7</b>	<b>14.0</b>	<b>12.7</b>	<b>14.7</b>	<b>13.6</b>

The ribbon-Si modules of Evergreen have an average efficiency of 12.5 % (11.6 % - 13.1 %). A-Si moduled produced by United Solar have an average efficiency of 6.5 % (6.1 % - 6.7 %). Important producers of CIS modules are Q-Cells and Würth Solar. Their modules show an average efficiency of 10.8 % (10.3 % - 11.8 %). The most important producer of CdTe is FirstSolar, with a module efficiency of 10.4 % in the 2010 market overview. In 2011 their average module efficiency reached 11.7 %.

## 12.3 Amount of panels for a 3 kW<sub>p</sub> PV plant

The amount of panels necessary for a 3 kW<sub>p</sub> plant has to be calculated with the efficiency and the cell surface of the panel. The surface areas for a 3 kW<sub>p</sub>-plant are shown in Tab. 12.3. For a-Si and CIS there is no "cell" as such. Thus, the area of cell and panel is the same. Also the efficiency is not differentiated. Thus, it is the same for cell and panel

Tab. 12.3 Active panel area of 3 kW<sub>p</sub>-PV plants with different types of solar cells, cell efficiencies and calculated panel capacity, amount of panels per 3kW<sub>p</sub> plant

cell type	cell efficiency	panel efficiency	cell area	cells	amount of panels per 3 kWp	active surface	panel capacity rate
	%	%	cm2	unit/m2	m2	m2	Wp/m2
single-Si	15.3%	14.0%	243	37.6	21.4	19.6	140
multi-Si	14.9%	13.6%	243	37.6	22.1	20.2	136
ribbon-Si	13.7%	12.5%	243	37.6	24.0	21.9	125
a-Si	6.5%	6.5%	10000	1	46.5	46.5	65
CIS	10.8%	10.8%	10000	1	27.7	27.7	108
CdTe	11.7%	11.7%	10000	1	25.6	25.6	117

<sup>49</sup> [www.electroiq.com/articles/pvw/2010/01/who\\_s-on\\_first\\_for.html](http://www.electroiq.com/articles/pvw/2010/01/who_s-on_first_for.html) (access on 26.10.2011)

<sup>50</sup> [www.renewableenergyworld.com/rea/blog/post/2010/06/top-10-ten-largest-solar-pv-companies](http://www.renewableenergyworld.com/rea/blog/post/2010/06/top-10-ten-largest-solar-pv-companies) (access on 26.10.211)

### 12.4 Dismantling of PV-power plants

For the dismantling of photovoltaic power plants standard scenarios used in the ecoinvent project according to the list of materials have been taken into account. For larger metal parts of the system and silicon a recycling is assumed. Neither environmental burdens nor credits have been considered for the recycling. In the production processes such materials are also used without a burden from the primary production process. So far no recycled silicon from PV panels has been used in the year 2005 nor it has been considered in the inventory herewith. The remaining parts are incinerated or land filled. Data are included within the individual unit process raw data investigated in this chapter.

### 12.5 Meta information of 3 kW<sub>p</sub> power plants

Tab. 12.4 shows the EcoSpold meta information of some of the 3 kW<sub>p</sub> power plants investigated in this chapter, as examples.



## 12. 3 kWp PV power plants

Tab. 12.4 EcoSpold meta information of 3 kW<sub>p</sub> power plants. Example for some plants

ReferenceFunction	Name	3kWp facade installation, single-Si, laminated, integrated, at building	3kWp facade installation, single-Si, panel, mounted, at building	3kWp facade installation, multi-Si, laminated, integrated, at building	3kWp facade installation, multi-Si, panel, mounted, at building
Geography	Location	CH	CH	CH	CH
ReferenceFunction	InfrastructureProcess	1	1	1	1
ReferenceFunction	Unit	unit	unit	unit	unit
TimePeriod	IncludedProcesses	All components for the installation of a 3kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components for the installation of a 3kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components for the installation of a 3kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components for the installation of a 3kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.
	LocalName	3kWp Fassadenanlage, single-Si, laminiert, integriert, an Gebäude	3kWp Fassadenanlage, single-Si, Paneel, aufgesetzt, an Gebäude	3kWp Fassadenanlage, multi-Si, laminiert, integriert, an Gebäude	3kWp Fassadenanlage, multi-Si, Paneel, aufgesetzt, an Gebäude
	Synonyms	monocrystalline//single crystalline//silicon	monocrystalline//single crystalline//silicon	polycrystalline//multi-crystalline//silicon	polycrystalline//multi-crystalline//silicon
	GeneralComment	Photovoltaic installation with a capacity of 3kWp and a life time of 30 years installed in CH.	Photovoltaic installation with a capacity of 3kWp and a life time of 30 years installed in CH.	Photovoltaic installation with a capacity of 3kWp and a life time of 30 years installed in CH.	Photovoltaic installation with a capacity of 3kWp and a life time of 30 years installed in CH.
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	production of components	production of components	production of components	production of components
	Formula				
	StatisticalClassification				
	CASNumber				
	StartDate	2000	2000	2000	2000
Geography	EndDate	2005	2005	2005	2005
	OtherPeriodText	Calculation of amount of panels used based on efficiency data for 2005. Other data are adopted.	Calculation of amount of panels used based on efficiency data for 2005. Other data are adopted.	Calculation of amount of panels used based on efficiency data for 2005. Other data are adopted.	Calculation of amount of panels used based on efficiency data for 2005. Other data are adopted.
	Text	Installation in CH	Installation in CH	Installation in CH	Installation in CH
Technology	Text	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.
Representativen	Percent	50	50	50	50
	ProductionVolume	Total installed capacity in 2000: 12.7MWp in CH. GLO installed PV-power 711MWp	Total installed capacity in 2000: 12.7MWp in CH. GLO installed PV-power 711MWp	Total installed capacity in 2000: 12.7MWp in CH. GLO installed PV-power 711MWp	Total installed capacity in 2000: 12.7MWp in CH. GLO installed PV-power 711MWp
	SamplingProcedure	Publication for efficiency, mounting systems and own estimations for other components.	Publication for efficiency, mounting systems and own estimations for other components.	Publication for efficiency, mounting systems and own estimations for other components.	Publication for efficiency, mounting systems and own estimations for other components.
	Extrapolations	Rough assumption for the decrease in material weights for mounting structures.	Rough assumption for the decrease in material weights for mounting structures.	Rough assumption for the decrease in material weights for mounting structures.	Rough assumption for the decrease in material weights for mounting structures.

## **12.6 Life cycle inventory of 3 kW<sub>p</sub> PV plants**

Tab. 12.5, Tab. 12.6 and Tab. 12.7 show the unit process raw data of 3kW<sub>p</sub> PV plants. The delivery of the different plant parts to the final construction place is assumed with 100 km by a delivery van. This includes the transport of the construction workers. It is assumed that 20 % of the panels are produced overseas and thus must be imported to Europe by ship. The lifetime of the inverter is assumed with 15 years. Thus, it must be exchanged once during the lifetime of the plant. The inverter investigated for this study has a capacity of 2.5 kW. Thus, a factor of 1.25 has been used for the 3kW<sub>p</sub> plant.

A 2 % replacement of damaged PV modules during the lifetime plus a further production loss during handling of 1 % is taken into account. The electricity use for mounting is considered in this inventory as well. For the use of mounting structures shown in Tab. 12.7, it is considered that the thin film cells have a lower efficiency and thus more panels need to be installed. This has been considered with a factor calculated from the panel area for a specific plant.

The data quality for the PV panels and laminates is fairly good for plants manufactured in Europe. A range of different studies and recent data from producers is used to model the different production stages. The data quality for the different parts of the PV plant differs substantially. Data about the mounting structure are quite detailed. They have been updated in particular regarding the weight of materials. It was necessary to introduce a correction factor that accounts only for the change in the weight of packaging materials.

Data of the inverters used in this study have been updated as well. Thus, they can be considered reliable. The electric installations are modelled based on rather old data. The environmental significance of the electric installation is small. However, the design of electric installations did not change that much.

## 12. 3 kWp PV power plants

Tab. 12.5 Unit process raw data of 3kW<sub>p</sub> sc-Silicon plants

	Name	Location	Infrastructure	Processes	Units	3kWp facade installation, single-Si, laminated, integrated, at building	3kWp facade installation, single-Si, panel, mounted, at building	3kWp flat roof installation, single-Si, on roof	3kWp slanted-roof installation, single-Si, laminated, integrated, on roof	3kWp slanted-roof installation, single-Si, panel, mounted, on roof	Uncertainty Type	Standard Deviation 5%	GeneralComment
						CH	CH	CH	CH	CH			
						1	1	1	1	1			
						unit	unit	unit	unit	unit			
technosphere	electricity, low voltage, at grid	CH	0	kWh	4.00E-2	4.00E-2	1.02E+0	2.30E-1	2.30E-1		1	1.28	(3,4,3,1,1,5); Energy use for erection of 3kWp plant
	inverter, 2500W, at plant	RER	1	unit	2.40E+0	2.40E+0	2.40E+0	2.40E+0	2.40E+0		1	1.24	(2,4,1,1,1,na); Literature, 1 repair in the life time
	electric installation, photovoltaic plant, at plant	CH	1	unit	1.00E+0	1.00E+0	1.00E+0	1.00E+0	1.00E+0		1	2.09	(3,4,3,1,1,5); Literature
	facade construction, mounted, at building	RER	1	m2	-	2.14E+1	-	-	-		1	1.23	(3,1,1,1,1,na); calculation with m2 panel
	facade construction, integrated, at building	RER	1	m2	2.14E+1	-	-	-	-		1	1.23	(3,1,1,1,1,na); calculation with m2 panel
	flat roof construction, on roof	RER	1	m2	-	-	2.14E+1	-	-		1	1.23	(3,1,1,1,1,na); calculation with m2 panel
	slanted-roof construction, mounted, on roof	RER	1	m2	-	-	-	-	2.14E+1		1	1.23	(3,1,1,1,1,na); calculation with m2 panel
	slanted-roof construction, integrated, on roof	RER	1	m2	-	-	-	2.14E+1	-		1	1.23	(3,1,1,1,1,na); calculation with m2 panel
	photovoltaic laminate, single-Si, at regional storage	RER	1	m2	2.21E+1	-	-	2.21E+1	-		1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic panel, single-Si, at regional storage	RER	1	m2	-	2.21E+1	2.21E+1	-	2.21E+1		1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	operation, lorry 20-28t, empty, fleet average	CH	0	vkm	-	-	8.00E+1	-	-		1	2.09	(3,4,3,1,1,5); crane 80km to construction place
	transport, van <3.5t	CH	0	tkm	3.54E+1	4.12E+1	4.12E+1	3.54E+1	4.12E+1		1	2.09	(3,4,3,1,1,5); electric parts and panel 100km to construction place
	transport, lorry >16t, fleet average	RER	0	tkm	1.37E+2	1.66E+2	1.66E+2	1.37E+2	1.66E+2		1	2.09	(3,4,3,1,1,5); 500km for import of panels and laminates to Switzerland
emission air	Heat, waste	-	-	MJ	1.44E-1	1.44E-1	3.67E+0	8.28E-1	8.28E-1		1	1.28	(3,4,3,1,1,5); calculated with electricity use

## 12. 3 kWp PV power plants

Tab. 12.6 Unit process raw data of 3kW<sub>p</sub> mc-silicon PV plants

	Name	Location	Infrastructure	Processes	Unit	3kWp facade installation, multi-Si, laminated, integrated, at building	3kWp facade installation, multi-Si, panel, mounted, at building	3kWp flat roof installation, multi-Si, on roof	3kWp slanted-roof installation, multi-Si, laminated, integrated, on roof	3kWp slanted-roof installation, multi-Si, panel, mounted, on roof	Uncertainty Type	Standard Deviation 5%	General Comment
						CH	CH	CH	CH	CH			
						1	1	1	1	1			
						unit	unit	unit	unit	unit			
technosphere	electricity, low voltage, at grid	CH	0	kWh	4.00E-2	4.00E-2	1.02E+0	2.30E-1	2.30E-1	1	1.28	(3,4,3,1,1,5); Energy use for erection of 3kWp plant	
	inverter, 2500W, at plant	RER	1	unit	2.40E+0	2.40E+0	2.40E+0	2.40E+0	2.40E+0	1	1.24	(2,4,1,1,1,na); Literature, 1 repair in the life time	
	electric installation, photovoltaic plant, at plant	CH	1	unit	1.00E+0	1.00E+0	1.00E+0	1.00E+0	1.00E+0	1	2.09	(3,4,3,1,1,5); Literature	
	facade construction, mounted, at building	RER	1	m2	-	2.21E+1	-	-	-	1	1.23	(3,1,1,1,1,na); calculation with m2 panel	
	facade construction, integrated, at building	RER	1	m2	2.21E+1	-	-	-	-	1	1.23	(3,1,1,1,1,na); calculation with m2 panel	
	flat roof construction, on roof	RER	1	m2	-	-	2.21E+1	-	-	1	1.23	(3,1,1,1,1,na); calculation with m2 panel	
	slanted-roof construction, mounted, on roof	RER	1	m2	-	-	-	-	2.21E+1	1	1.23	(3,1,1,1,1,na); calculation with m2 panel	
	slanted-roof construction, integrated, on roof	RER	1	m2	-	-	-	2.21E+1	-	1	1.23	(3,1,1,1,1,na); calculation with m2 panel	
	photovoltaic laminate, multi-Si, at regional storage	RER	1	m2	2.27E+1	-	-	2.27E+1	-	1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects	
	photovoltaic panel, multi-Si, at regional storage	RER	1	m2	-	2.27E+1	2.27E+1	-	2.27E+1	1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects	
	operation, lorry 20-28t, empty, fleet average	CH	0	vk	-	-	8.00E+1	-	-	1	2.09	(3,4,3,1,1,5); crane 80km to construction place	
	transport, van <3.5t	CH	0	tkm	3.63E+1	4.23E+1	4.23E+1	3.63E+1	4.23E+1	1	2.09	(3,4,3,1,1,5); electric parts and panel 100km to construction place	
	transport, lorry >16t, fleet average	RER	0	tkm	1.41E+2	1.71E+2	1.71E+2	1.41E+2	1.71E+2	1	2.09	(3,4,3,1,1,5); 500km for import of panels and laminates to Switzerland	
transport, transoceanic freight ship	OCE	0	tkm	5.65E+2	6.85E+2	6.85E+2	5.65E+2	6.85E+2	1	2.09	(3,4,3,1,1,5); 2000km for import (20%) of panels and laminates to Switzerland		
emission air	Heat, waste	-	-	MJ	1.44E-1	1.44E-1	3.67E+0	8.28E-1	8.28E-1	1	1.28	(3,4,3,1,1,5); calculated with electricity use	

## 12. 3 kWp PV power plants

Tab. 12.7 Unit process raw data of 3kW<sub>p</sub> other PV plants

	Name	Location	Infrastructure	Processes	Unit	3kWp	3kWp	3kWp	3kWp	3kWp	3kWp	Uncertainty Type	Standard Deviation	General Comment
						slanted-roof installation, CIS, panel, mounted, on roof	slanted-roof installation, ribbon-Si, panel, mounted, on roof	slanted-roof installation, CdTe, laminated, integrated, on roof	slanted-roof installation, ribbon-Si, laminated, integrated, on roof	slanted-roof installation, a-Si, laminated, integrated, on roof	slanted-roof installation, a-Si, panel, mounted, on roof			
						CH	CH	CH	CH	CH	CH			
	Location					1	1	1	1	1	1			
	Infrastructure					unit	unit	unit	unit	unit	unit			
technosphere	electricity, low voltage, at grid	CH	0	kWh		4.00E-2	4.00E-2	4.00E-2	4.00E-2	4.00E-2	4.00E-2	1	1.28	(3,4,3,1,1,5); Energy use for erection of 3kWp plant
	inverter, 2500W, at plant	RER	1	unit		2.40E+0	2.40E+0	2.40E+0	2.40E+0	2.40E+0	2.40E+0	1	1.24	(2,4,1,1,1,na); Literature, 1 repair in the life time
	electric installation, photovoltaic plant, at plant	CH	1	unit		1.00E+0	1.00E+0	1.00E+0	1.00E+0	1.00E+0	1.00E+0	1	2.09	(3,4,3,1,1,5); Literature
	slanted-roof construction, mounted, on roof	RER	1	m2		2.77E+1	2.40E+1	-	-	-	4.65E+1	1	1.23	(3,1,1,1,1,na); New estimation with mean value of frame weights, correction for panel area
	slanted-roof construction, integrated, on roof	RER	1	m2		-	-	2.56E+1	2.40E+1	4.65E+1	-	1	1.23	(3,1,1,1,1,na); New estimation with mean value of frame weights, correction for panel area
	photovoltaic laminate, ribbon-Si, at plant	RER	1	m2		-	-	-	2.47E+1	-	-	1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic panel, ribbon-Si, at plant	RER	1	m2		-	2.47E+1	-	-	-	-	1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic laminate, a-Si, at plant	US	1	m2		-	-	-	-	4.79E+1	-	1	2.09	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic panel, a-Si, at plant	US	1	m2		-	-	-	-	-	4.79E+1	1	2.09	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic panel, CIS, at plant	DE	1	m2		2.85E+1	-	-	-	-	-	1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic laminate, CdTe, mix, at regional storage	RER	1	m2		-	-	2.64E+1	-	-	-	1	1.36	(3,4,3,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	operation, lorry 20-28t, empty, fleet average	CH	0	vkm		-	-	-	-	-	-	1	2.09	(3,4,3,1,1,5); crane 80km to construction place
	transport, van <3.5t	CH	0	tkm		5.83E+1	4.54E+1	6.27E+1	3.90E+1	2.09E+1	4.74E+1	1	2.09	(3,4,3,1,1,5); electric parts and panel 100km to construction place
	transport, lorry >16t, fleet average	RER	0	tkm		2.51E+2	1.87E+2	2.73E+2	1.55E+2	6.46E+1	1.97E+2	1	2.09	(3,4,3,1,1,5); 500km for import of panels and laminates to Switzerland
	transport, transoceanic freight ship	OCE	0	tkm		1.01E+3	7.48E+2	-	6.19E+2	2.58E+2	7.87E+2	1	2.09	(3,4,3,1,1,5); 2000km for import (20%) of panels and laminates to Switzerland
emission air	Heat, waste	-	-	MJ		1.44E-1	1.44E-1	1.44E-1	1.44E-1	1.44E-1	1.44E-1	1	1.28	(3,4,3,1,1,5); calculated with electricity use

## 13 Large PV power plants

### 13.1 Introduction

In addition to the 3 kW<sub>p</sub> PV power plants described in Chapter 12, large PV power plants installed in Switzerland, Germany, Spain and the United States are modelled.

### 13.2 Meta information of large PV power plants

Tab. 13.1 shows the EcoSpold meta information of the large PV power plants described in this chapter.

## 13. Large PV power plants

Tab. 13.1 EcoSpold meta information of large PV power plants.

Name	93 kWp slanted-roof installation, single-Si, laminated, integrated, on roof	156 kWp flat-roof installation, multi-Si, on roof	280 kWp flat-roof installation, single-Si, on roof	1.3 MWp slanted-roof installation, multi-Si, panel, mounted, on roof	560 kWp open ground installation, single-Si, on open ground	324 kWp flat-roof installation, multi-Si, on roof	450 kWp flat-roof installation, single-Si, on roof	569 kWp open ground installation, multi-Si, on open ground	570 kWp open ground installation, multi-Si, on open ground	3.5 MWp open ground installation, multi-Si, on open ground
Location	CH	CH	CH	CH	CH	DE	DE	ES	ES	US
InfrastructureProcess	1	1	1	1	1	1	1	1	1	1
Unit	unit	unit	unit	unit	unit	unit	unit	unit	unit	unit
IncludedProcesses	All components (modules, mounting system, electric installation, inverter) for the installation of a 93 kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter) for the installation of a 156 kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter) for the installation of a 280 kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter) for the installation of a 1.3 MWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter, fence) for the installation of a 560 MWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter) for the installation of a 324 kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter) for the installation of a 450 kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter, fence) for the installation of a 569 kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter, fence) for the installation of a 570 kWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.	All components (modules, mounting system, electric installation, inverter, fence) for the installation of a 3.5 MWp photovoltaic plant, energy use for the mounting, transport of materials and persons to the construction place. Disposal of components after end of life.
LocalName	93 kWp Schrägdachanlage, single-Si, laminiert, integriert, auf Dach	156 kWp Flachdachanlage, multi-Si, auf Dach	280 kWp Flachdachanlage, single-Si, auf Dach	1.3 MWp Schrägdachanlage, multi-Si, Paneel, aufgesetzt, auf Dach	560 kWp Freiflächenanlage, single-Si, auf Freifläche	324 kWp Flachdachanlage, multi-Si, auf Dach	450 kWp Flachdachanlage, single-Si, auf Dach	569 kWp Freiflächenanlage, multi-Si, auf Freifläche	570 kWp Freiflächenanlage, multi-Si, auf Freifläche	3.5 MWp Freiflächenanlage, multi-Si, auf Freifläche
Synonyms	monocrystalline/single crystalline/silicon	polycrystalline/multi-crystalline/silicon	monocrystalline/single crystalline/silicon	monocrystalline/single crystalline/silicon	monocrystalline/single crystalline/silicon	monocrystalline/single crystalline/silicon	monocrystalline/single crystalline/silicon	polycrystalline/multi-crystalline/silicon	polycrystalline/multi-crystalline/silicon	polycrystalline/multi-crystalline/silicon
GeneralComment	Photovoltaic installation with a capacity of 93 kWp and a life time of 30 years installed in 2009 in CH.	Photovoltaic installation with a capacity of 156 kWp and a life time of 30 years installed in 2008 in CH.	Photovoltaic installation with a capacity of 280 kWp and a life time of 30 years installed in 2006 in CH.	Photovoltaic installation with a capacity of 1.3 kWp and a life time of 30 years installed in 2007 in CH.	Photovoltaic installation with a capacity of 1.3 kWp and a life time of 30 years installed in 1992 in CH.	Photovoltaic installation with a capacity of 324 kWp and a life time of 30 years installed in 2004 in DE.	Photovoltaic installation with a capacity of 450 kWp and a life time of 30 years installed in 2006 in DE.	Photovoltaic installation with a capacity of 569 kWp and a life time of 30 years installed in 2008 in ES.	Photovoltaic installation with a capacity of 570 kWp and a life time of 30 years installed in 2008 in ES.	Photovoltaic installation with a capacity of 3.5 MWp and a life time of 30 years installed in 2000 the US.
InfrastructureIncluded	1	1	1	1	1	1	1	1	1	1
Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
SubCategory	power plants	power plants	power plants	power plants	power plants	power plants	power plants	power plants	power plants	power plants
LocalCategory	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik
LocalSubCategory	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke
Formula										
StatisticalClassification										
CASNumber										
StartDate	2009	2008	2006	2005	1991	2004	2006	2008	2008	2000
EndDate	2009	2009	2009	2009	1993	2009	2009	2009	2009	2006
DataValidForEntirePeriod	1	1	1	1	1	1	1	1	1	1
OtherPeriodText										
Text	Installation in CH	Installation in CH	Installation in CH	Installation in CH	Installation in CH	Installation in DE	Installation in DE	Installation in ES	Installation in ES	Installation in the US
Text	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.	Current technology for mounting of panels or laminates, electric installations and other components.
Percent	100	100	100	100	100	100	100	100	100	100
ProductionVolume										
SamplingProcedure	Questionnaire filled in by the operator of the plant (Solarspar AG).	Questionnaire filled in by the operator of the plant (Edison Power AG).	Questionnaire filled in by the operator of the plant (Edison Power AG).	Questionnaire filled in by the operator of the plant (Hostettler).	Study by Frischknecht et al. (1996)	Questionnaire filled in by the operator of the plant (Edison Power AG).	Questionnaire filled in by the operator of the plant (Edison Power AG).	Questionnaire filled in by the operator of the plant (Edison Power AG).	Questionnaire filled in by the operator of the plant (Edison Power AG).	Study by Mason et al. 2006
Extrapolations	none	none	none	none	none	none	none	none	none	none

### 13.3 Life cycle inventory of large PV plants

Tab. 13.2 shows the specifications of the large PV power plants modelled in this chapter. The electric installations in Subchapter 11.10 were scaled to the considered large PV power plants by adjusting the length of the lightning protection, the length of the cabling, and the weight of the fuse box. The amount of inverter materials is approximated with a 500 kW inverter with adjusted inverter weights, with exceptions of the 560 kW<sub>p</sub> open ground power plant in Switzerland, for which a plant specific inverter was modelled in Section 11.9.3, and the 3.5 MW<sub>p</sub> open ground power plant in the US, for which the inventory data of the power plant include the materials for the inverters. Furthermore, the inventory data of the 3.5 MW<sub>p</sub> power plant in the US cover also the materials for the mounting systems and the electric installations, whereas the mounting systems of the other large plants are modelled using ecoinvent datasets.

The energy consumption for the erection of the mounting system is taken into account as described in Chapter 10. In contrast to the amount of PV modules required for a 3 kW<sub>p</sub> PV power plant, the total area of PV modules used in the large PV power plants is not calculated from the cell efficiency, but corresponds to the actual situation at the power plants investigated.

Alike for the 3 kW<sub>p</sub> plants, a 2 % replacement of damaged modules during the lifetime plus a further production loss during handling of 1 % is assumed.

For the transportation of different plant parts to the construction place a delivery of 100 km by van is assumed. The photovoltaic modules are transported by lorry over a distance of 500 km. It is assumed that 20 % of the panels are produced overseas and thus are imported to Europe by ship. The lifetime of the inverter is assumed with 15 years. Thus, it must be exchanged once during the lifetime of the plant.

Tab. 13.3 and Tab. 13.4 show the unit process raw data of the large photovoltaic power plants in Switzerland, Germany and Spain, and in the US respectively.



### 13. Large PV power plants

Tab. 13.2 Specifications of large PV power plants

	1	2	3	4	5	6	7	8	9	10
Source	Solarspar <sup>2</sup>	Edisun Power <sup>2</sup>	Edisun Power <sup>2</sup>	Hostettler Engineering <sup>3</sup>	Frischke et al. (1996)	Edisun Power <sup>2</sup>	Edisun Power <sup>2</sup>	Edisun Power <sup>2</sup>	Edisun Power <sup>2</sup>	Mason et al. (2006)
Capacity (kW <sub>p</sub> )	93	156	280	1'346	560	324	450	569	570	3'500
Location	CH	CH	CH	CH	CH	DE	DE	ES	ES	US
Mounting system	slanted-roof, mounted	flat-roof	flat-roof	slanted-roof, mounted	open ground	flat-roof	flat-roof	open ground	open ground	open ground
Module type	single-Si	multi-Si	single-Si	multi-Si	single-Si	single-Si	single-Si	multi-Si	multi-Si	multi-Si
Annual electricity produced (MWh)	87	140	323	1'300	560	360	460	1'017	847.5	605.5
Area of installed modules (m <sup>2</sup> )	684.0	1'170	2'077.4	10'126	4'576			4'265.25	4'273.5	18'735.2
Weight of inverters (kg)	1 x 98 kg + 1 x 25 kg	1 x 805 kg + 2 x 380 kg + 1 x 25 kg	2 x 935 kg + 2 x 275 kg	11 x 600 kg	19'011	1 x 2'600 kg	1 x 2'600 kg + 1 x 935 kg	5 x 935 kg	5 x 935 kg	17'640
Lightning protection (m)	50	-	-	-	2'000	-	-	-	-	-
Fuse box (kg)	80	100	150	1'100	Not known	200	200	Not known <sup>5</sup>	Not known <sup>5</sup>	Not known <sup>6</sup>
Cabling PV panel area (m)	100	2'500	3'000	27'090	Total: 13'700	4'800	5'000	5'170	5'170	Not known <sup>6</sup>
Cabling panels to inverter (m)	30	Not known <sup>4</sup>	400	6'270		500	500	1'200	1'200	Not known <sup>6</sup>
Cabling inverter to electric meter (m)	80	Not known <sup>4</sup>	100	80		20	20	Not known <sup>5</sup>	Not known <sup>5</sup>	Not known <sup>6</sup>

<sup>1</sup> Questionnaire filled in by Mr. Markus Chrétien from Solarspar

<sup>2</sup> Questionnaire filled in by Mr. Gordon Hasman from Edisun Power Europe AG

<sup>3</sup> Questionnaire filled in by Mr. Thomas Hostettler from Hostettler Engineering

<sup>4</sup> Due to lack of specific data the same figures as for the 280 kW<sub>p</sub> power plant are applied

<sup>5</sup> Due to lack of specific data the same figures as for the 450 kW<sub>p</sub> power plant are applied

<sup>6</sup> Materials are included in the BOS

### 13. Large PV power plants

Tab. 13.3 Unit process raw data of large PV plants in Switzerland, Germany and Spain

	Name	Location	Infrastructure	Process	Unit	93 kWp slanted-roof installation, single-Si, on roof	280 kWp flat-roof installation, single-Si, on roof	156 kWp flat-roof installation, multi-Si, on roof	1.3 MWp slanted-roof installation, multi-Si, panel, mounted, on roof	560 kWp open ground installation, multi-Si, on open ground	324 kWp flat-roof installation, single-Si, on roof	450 kWp flat-roof installation, single-Si, on roof	569 kWp open ground installation, multi-Si, on open ground	570 kWp open ground installation, multi-Si, on open ground	Uncertainty Type	Standard Deviation 5%	General Comment
						CH 1 unit	CH 1 unit	CH 1 unit	CH 1 unit	CH 1 unit	DE 1 unit	DE 1 unit	ES 1 unit	ES 1 unit			
technosphere	electricity, low voltage, at grid	CH	0	kWh		7.13E+0	2.15E+1	1.19E+1	1.03E+2	4.29E+1	-	-	-	-	1	1.43	(3,4,3,1,1,5); scaled from a 3kWp plant over capacity
	electricity, low voltage, at grid	DE	0	kWh		-	-	-	-	-	2.48E+1	3.45E+1	-	-	1	1.43	(3,4,3,1,1,5); scaled from a 3kWp plant over capacity
	electricity, low voltage, at grid	ES	0	kWh		-	-	-	-	-	-	-	3.60E+1	3.60E+1	1	1.43	(3,4,3,1,1,5); scaled from a 3kWp plant over capacity
	electricity, low voltage, at grid	US	0	kWh		-	-	-	-	-	-	-	-	-	1	1.43	(3,4,3,1,1,5); scaled from a 3kWp plant over capacity
	diesel, burned in building machine	GLO	0	MJ		-	-	-	-	1.96E+3	-	-	7.66E+3	7.67E+3	1	1.41	(3,4,1,1,1,5); Leit-Ramm; Energy use for foundation piling and wheel loader
	inverter, 500kW, at plant	RER	1	unit		1.49E-1	1.62E+0	1.05E+0	4.41E+0	-	1.74E+0	2.36E+0	3.13E+0	3.13E+0	1	1.46	(2,4,1,1,1,5); 1 repair in the life time
	inverter, Phalk installation, at plant	CH	1	unit		-	-	-	-	2.00E+0	-	-	-	-	1	2.15	(2,4,1,1,1,5); 1 repair in the life time
	electric installation, 93 kWp photovoltaic plant, at plant	CH	1	unit		1.00E+0	-	-	-	-	-	-	-	-	1	2.16	(3,4,1,1,1,5);
	electric installation, 280 kWp photovoltaic plant, at plant	CH	1	unit		-	1.00E+0	-	-	-	-	-	-	-	1	2.16	(3,4,1,1,1,5);
	electric installation, 156 kWp photovoltaic plant, at plant	CH	1	unit		-	-	1.00E+0	-	-	-	-	-	-	1	2.16	(3,4,1,1,1,5);
	electric installation, 1.3 MWp photovoltaic plant, at plant	CH	1	unit		-	-	-	1.00E+0	-	-	-	-	-	1	2.16	(3,4,1,1,1,5);
	electric installation, 560 kWp photovoltaic plant, at plant	CH	1	unit		-	-	-	-	1.00E+0	-	-	-	-	1	2.16	(3,4,1,1,1,5);
	electric installation, 324 kWp photovoltaic plant, at plant	DE	1	unit		-	-	-	-	-	1.00E+0	-	-	-	1	2.16	(3,4,1,1,1,5);
	electric installation, 450 kWp photovoltaic plant, at plant	DE	1	unit		-	-	-	-	-	-	1.00E+0	-	-	1	2.16	(3,4,1,1,1,5);
	electric installation, 570 kWp photovoltaic plant, at plant	ES	1	unit		-	-	-	-	-	-	-	1.00E+0	1.00E+0	1	2.16	(3,4,1,1,1,5);
	electric installation, 3.5 MWp photovoltaic plant, at plant	US	1	unit		-	-	-	-	-	-	-	-	-	1	2.16	(3,4,1,1,1,5);
	slanted-roof construction, integrated, on roof	RER	1	m2		6.84E+2	-	-	1.01E+4	-	-	-	-	-	1	2.16	(3,4,1,1,1,5); calculation with m2 panel
	flat roof construction, on roof	RER	1	m2		-	2.08E+3	1.17E+3	-	-	2.55E+3	3.38E+3	-	-	1	2.16	(3,4,1,1,1,5); calculation with m2 panel
	open ground construction, on ground	RER	1	m2		-	-	-	-	-	-	-	4.27E+3	4.27E+3	1	2.16	(3,4,1,1,1,5); calculation with m2 panel
	open ground construction, on ground, Phalk	CH	1	m2		-	-	-	-	4.58E+3	-	-	-	-	1	2.16	(3,4,1,1,1,5); calculation with m2 panel
	concrete, normal, at plant	CH	0	m3		-	-	-	-	-	-	-	-	-	1	1.47	(3,4,1,1,1,5); Concrete foundation
	photovoltaic laminate, single-Si, at plant	RER	1	m2		7.05E+2	-	-	-	4.71E+3	-	-	-	-	1	1.47	(3,4,1,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic panel, single-Si, at plant	RER	1	m2		-	2.14E+3	-	-	-	-	3.48E+3	-	-	1	1.47	(3,4,1,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	photovoltaic panel, multi-Si, at plant	RER	1	m2		-	-	1.21E+3	1.04E+4	-	2.63E+3	-	4.39E+3	4.40E+3	1	1.47	(3,4,1,1,1,5); Calculation, 2% of modules repaired in the life time, 1% rejects
	transport, van <3.5t	CH	0	tkm		8.91E+2	4.12E+3	2.24E+3	1.80E+4	9.46E+3	-	-	-	-	1	2.18	(4,5,na,na,na,na); electric parts and panel 100km to construction place
	transport, van <3.5t	RER	0	tkm		-	-	-	-	-	4.72E+3	6.62E+3	7.96E+3	7.98E+3	1	2.18	(4,5,na,na,na,na); electric parts and panel 100km to construction place
	transport, lorry >16t, fleet average	RER	0	tkm		4.23E+3	1.82E+4	9.64E+3	8.34E+4	2.83E+4	2.10E+4	2.96E+4	3.51E+4	3.52E+4	1	2.18	(4,5,na,na,na,na); 500km for import of panels and laminates to Switzerland
	transport, transoceanic freight ship	OCE	0	tkm		1.69E+4	7.28E+4	3.86E+4	3.34E+5	1.13E+5	8.41E+4	1.18E+5	1.41E+5	1.41E+5	1	2.18	(4,5,na,na,na,na); 2000km for import (20%) of panels and laminates to Switzerland
emission air	Heat, waste	-	-	MJ		2.57E+1	7.73E+1	4.30E+1	3.71E+2	1.55E+2	8.94E+1	1.24E+2	1.29E+2	1.30E+2	1	1.29	(1,na,na,na,na,na); calculated with electricity use

Tab. 13.4 Unit process raw data of a 3.5 MW PV plant in the US

### 13. Large PV power plants

	Name	Location	Infrastructure	Process	Unit	3.5 MWp open ground installation, multi-Si, on open ground	Uncertainty	Type	Standard Deviation 5%	General Comment
	Location Infrastructure Process Unit					US 1 unit				
technosphere	aluminium, production mix, wrought alloy, at plant	RER	0	kg	4.33E+3	1	1.36	(2,1,3,1,1,5); connections, inverters, grounding, offices		
	steel, low-alloyed, at plant	RER	0	kg	1.94E+5	1	1.36	(2,1,3,1,1,5); mainly for mounting system		
	copper, at regional storage	RER	0	kg	2.63E+4	1	1.36	(2,1,3,1,1,5); mainly for wires and transformers		
	polyvinylchloride, bulk polymerised, at plant	RER	0	kg	1.20E+4	1	1.36	(2,1,3,1,1,5); PVC conduit		
	polyethylene, HDPE, granulate, at plant	RER	0	kg	8.34E+3	1	1.36	(2,1,3,1,1,5); miscellaneous components		
	concrete, normal, at plant	CH	0	m3	1.12E+2	1	1.44	(3,1,3,1,1,5); concrete foundation		
	lubricating oil, at plant	RER	0	kg	2.10E+4	1	1.42	(2,1,3,1,1,5); transformer oil		
	tap water, at user	RER	0	kg	2.10E+4	1	1.42	(2,1,3,1,1,5); for soil stabilizer		
	diesel, burned in building machine	GLO	0	MJ	1.86E+5	1	1.36	(2,1,3,1,1,5); energy for construction		
	section bar extrusion, aluminium	RER	0	kg	4.33E+3	1	1.36	(2,1,3,1,1,5); assumed for all aluminium		
	section bar rolling, steel	RER	0	kg	1.94E+5	1	1.36	(2,1,3,1,1,5); assumed for all steel		
	wire drawing, copper	RER	0	kg	2.63E+4	1	1.36	(2,1,3,1,1,5); assumed for all copper		
	photovoltaic panel, multi-Si, at plant	RER	1	m2	2.96E+4	1	1.42	(2,1,3,1,1,5); calculation, 2% of modules repaired in the life time, 1% rejects		
	disposal, polyvinylchloride, 0.2% water, to municipal incineration	CH	0	kg	1.20E+4	1	1.36	(2,1,3,1,1,5); assumed for all PVC disposal		
disposal, polyethylene, 0.4% water, to municipal incineration	CH	0	kg	8.34E+3	1	1.36	(2,1,3,1,1,5); assumed for HDPE disposal			
disposal, concrete, 5% water, to inert material landfill	CH	0	kg	2.67E+5	1	1.36	(2,1,3,1,1,5); assumed for concrete disposal			
emission air, high population density	Heat, waste	-	-	MJ	-	1	1.39	(3,4,3,1,1,5); calculated with electricity use		
resource, land	Transformation, from pasture and meadow	-	-	m2	1.35E+5	1	1.42	(1,1,3,1,1,5); assumed for all land use		
	Transformation, to industrial area, built up	-	-	m2	4.44E+4	1	1.42	(2,1,3,1,1,5); module area + 50% for mounting systems and streets		
	Transformation, to industrial area, vegetation	-	-	m2	9.11E+4	1	1.42	(2,1,3,1,1,5); assumed for non-built up area		
	Occupation, industrial area, built up	-	-	m2a	1.33E+6	1	1.42	(2,1,3,1,1,5); 30 years life time		
	Occupation, industrial area, vegetation	-	-	m2a	2.73E+6	1	1.42	(2,1,3,1,1,5); 30 years life time		
technosphere	transport, lorry >16t, fleet average	RER	0	tkm	8.39E+5	1	2.16	(4,5,na,na,na,na); 800km + 160 km to disposal		
	transport, freight, rail, diesel	US	0	tkm	7.93E+5	1	2.16	(4,5,na,na,na,na); 800km		

## 14 Operation of photovoltaic power plants

### 14.1 Annual yield in different countries

#### 14.1.1 Switzerland

The actual electricity yield of PV plants is quite dependent on the annual irradiation at the place of installation. The irradiation depends on local and regional sunshine and weather conditions. This varies in Switzerland between 1110 kWh/m<sup>2</sup> (Olten) and 1530 kWh/m<sup>2</sup> (Jungfrauoch) (4 - 5.5 GJ/m<sup>2</sup>). The average solar irradiation in Switzerland is about 1100 kWh per m<sup>2</sup> and year.

The annual yield per kW<sub>p</sub> can be estimated based on experiences. Such estimation for different regions in Switzerland is shown in Tab. 14.1. The yield at the best location in Switzerland might be twice this of the worst one. This underlines the importance of the choice for the location of a PV plant. These estimation already considers the losses due to the use of inverters.

Tab. 14.1 Estimation for the annual yield per installed kW<sub>p</sub> capacity for different regions in Switzerland (Häberlin 1991, <Aufdenblatten et al. 1996>)

site of the plant	Yield
	kWh/a kW <sub>p</sub>
Midland (misty, non-optimum orientation)	520 - 700
Midland (good location and orientation)	700 - 880
Southern Switzerland and foothills of the alps	790 - 1140
Alpine areas	1230 - 1760

All yield data for recent years are shown in Tab. 14.2 (Hostettler 2006; Meier et al. 2000; Meier et al. 2001). The photovoltaic plants in operation in Switzerland show an average electricity production of 820 kWh per kW<sub>p</sub> for the years 2000 to 2005. According to Hostettler (2009b), this is the the long-time average electricity output in Switzerland. Due to changing meteorological conditions the annual yields ranged between 770 and 880 kWh per kW<sub>p</sub>. The ouput of 865 kWh/kW<sub>p</sub> in 2008 is explained with 3 % increased irradiaton (Hostettler 2009b), .

Tab. 14.2 Mean electricity production of PV plants in Switzerland (Hostettler 2006; Meier et al. 2000; Meier et al. 2001)

year	Output grid-connected kWh/kW <sub>p</sub>
1992	800
1993	810
1994	800
1995	815
1996	825
1997	880
1998	858
1999	770
2000	810
2001	800
2002	800
2003	875
2004	815
2005	820
<b>Mean (2000-2005)</b>	<b>820</b>

## 14. Operation of photovoltaic power plants

Fig. 14.1 shows the distribution of yields for the year 2005. It is obvious that the average yield is lowered by some installations with a relatively low performance. For plants located in Bern the publication (Gaiddon & Jedliczka 2006) calculates an annual yield of 922 and 620 kWh/kW<sub>p</sub> for roof-top and façade installations, respectively. This yield is calculated with an irradiation of 1117 kWh per m<sup>2</sup> and a performance ratio of 0.75, which results in an average yield of 892 kWh/kW<sub>p</sub> (average of all roof-top and façade installations). Details about the calculation of figures for roof-top and façade installations are not provided in the study, but it can be assumed that the angle and orientation have been taken into account.

Actually the performance ratio in Switzerland seems to be lower than assumed in the calculation of (Gaiddon & Jedliczka 2006). The IEA-PVPS Task 2 published a figure of 0.694, which would result in an average yield of 775 kWh/kW<sub>p</sub>, but this statistical figure takes into account only about 13 % of the PV installations in Switzerland.<sup>51</sup>

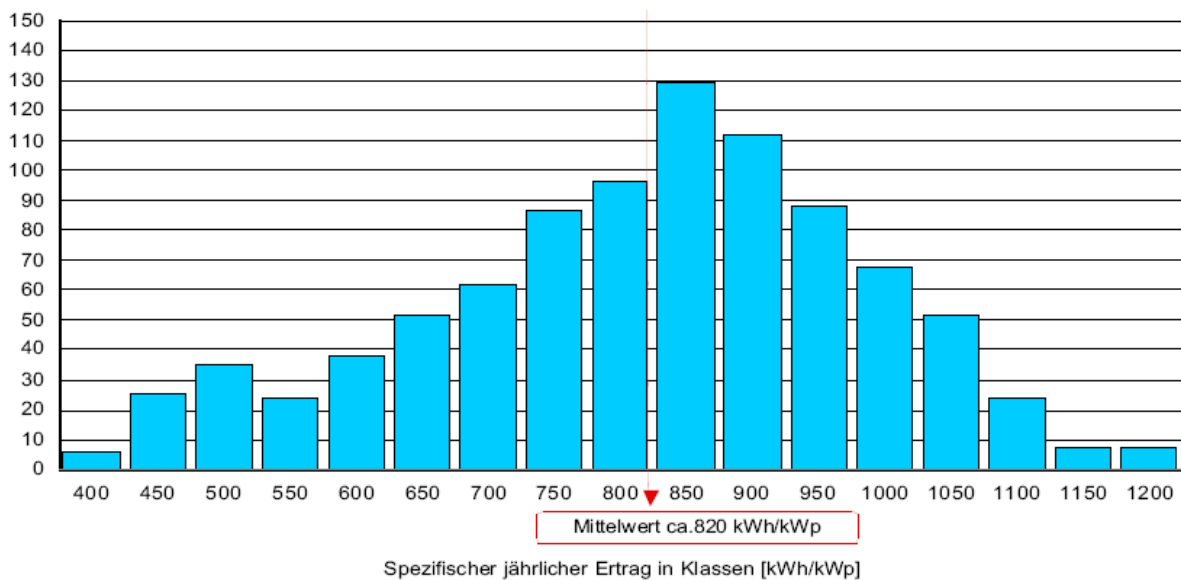


Fig. 14.1 Annual yield of PV-power plants in Switzerland in the year 2005. Number of plants per class. Mean figure is 820 kWh/kW<sub>p</sub> (Hostettler 2006)

Tab. 14.3 shows the different possibilities how to estimate the yield of PV-plants. From Fig. 14.1 it is estimated that most of PV plants achieve a yield of about 850 kWh/kW<sub>p</sub> (Median). It can be concluded that there is a quite important difference between the actually achieved average yield and the yield of PV-power plants, which are installed in optimum orientation and operated under optimum conditions. The average yield is considerably lower than what can be expected for an operation under good conditions.

Here we take the figure of 820 kWh per kW<sub>p</sub> as the basis to calculate the yield in the photovoltaic power mix in Switzerland. The PV electricity mix is used to calculate the country specific electricity mixes in the ecoinvent database.

For the analysis of different PV technologies it would not be fair to include also existing installations with a very low performance. Thus, the analysis of different technologies e.g. roof-top and façade installations is based on the approach using the performance according to (Gaiddon & Jedliczka 2006) as a basis of the yield calculation. The share of façade installation is assumed with 10 %.

In any case an analysis of PV electricity should clearly state whether average operation or optimum operation is the baseline.

<sup>51</sup> [www.task2.org](http://www.task2.org), [www.iea-pvps.org](http://www.iea-pvps.org)

## 14. Operation of photovoltaic power plants

Tab. 14.3 Calculation of electricity yields (kWh/kW<sub>p</sub>) based on average performance, performance of good plants and optimum conditions. Estimation of the yield in this study. *Italic figures partly based on own assumptions*

	This study	minimum	average 2000-2005	median	build in 2006	state of the art	optimum
average	<i>820</i>		<i>820</i>	<i>850</i>	<i>892</i>		
Roof-Top	<i>922</i>		<i>848</i>	<i>880</i>	<i>922</i>	<i>950</i>	<i>1200</i>
Facade	<i>620</i>	<i>400</i>	<i>568</i>	<i>580</i>	<i>620</i>		
		<i>Hostettler 2006</i>	<i>own calculation</i>	<i>Hostettler 2006</i>	<i>Gaiddon 2006</i>	<i>Nowak 2007</i>	<i>Hostettler 2006</i>

Sources: (Gaiddon & Jedliczka 2006; Hostettler 2006), Nowak 2007: Personal communication, 6.2007

### 14.1.2 International

The yield per kW<sub>p</sub> is one important factor for the comparison of PV with other types of electricity production. The yield is dependent on the solar irradiation and thus on the location of the installation (see Fig. 14.2). In this section the PV electricity mixes of several European countries are characterised with the specific yields for each country. Also non-European countries (e.g. from Asia, America, etc.) are considered in this calculation as far as data about the yield are available.

## 14. Operation of photovoltaic power plants

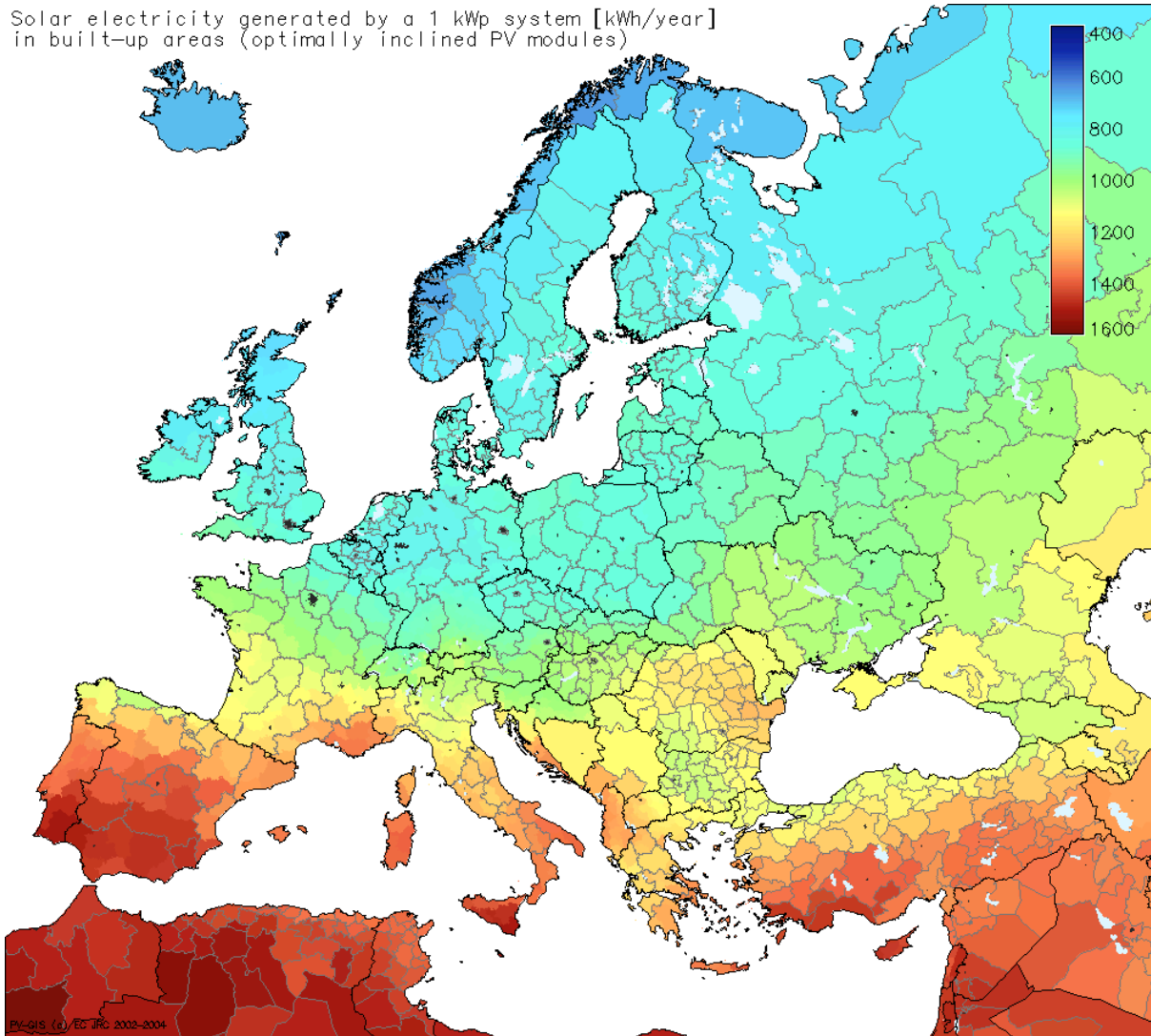


Fig. 14.2 Solar electricity generated by a 1 kW<sub>p</sub> system (kWh/year) in built-up areas  
(<http://re.jrc.ec.europa.eu/pvgis/index.htm>)

The calculations for international electricity production with PV-power plants are based on annual output data published by the IEA (Gaiddon & Jedliczka 2006). The data for the annual output of roof-top and façade PV-power plants in Tab. 14.4 describe the yield of newly erected plants.

In order to take into account the lower average yield as observed in Switzerland (see discussion in the previous chapter) we introduced a correction factor of 92 %. This is based on the ratio of actual yield and the published IEA data as shown in Tab. 14.3 ( $820/892 = 0.92$ ). The corrected annual output data for slanted-roof and façade installations have been used to calculate the amount of power plant necessary for the production of one kWh of electricity. For all countries shown in this table an electricity production mix with different PV power plants has been estimated.

As discussed in Section 14.1.1, the yield data from the IEA publication describe an average installation.

As the conditions investigated for Switzerland can be assumed to be fairly representative also for the European market possible deviations are not considered to be very important compared to the influence of the yield. For Asia and America the data used to model the PV plants can only be considered as rough estimates.

## 14. Operation of photovoltaic power plants

Tab. 14.4 Global horizontal irradiation and annual output for roof-top and façade PV power plants in different countries. Calculation based on average performance ratio of 0.75 corrected with the average yield data in Switzerland as shown in the two last columns (Gaiddon & Jedliczka 2006)

		Global horizontal irradiation kWh/m <sup>2</sup>	Annual output, Roof-Top kWh/kWp	Annual output, Facade kWh/kWp	Annual output, Roof-Top, corrected kWh/kWp	Annual output, Facade, corrected kWh/kWp
Austria	AT	1'108	906	598	833	550
Belgium	BE	946	788	539	725	496
Czech Republic	CZ	1'000	818	548	752	504
Denmark	DK	985	850	613	782	564
Finland	FI	956	825	602	759	554
France	FR	1'204	984	632	905	581
Germany	DE	972	809	561	744	516
Greece	GR	1'563	1'278	774	1'175	712
Hungary	HU	1'198	988	656	908	603
Ireland	IE	948	811	583	746	536
Italy	IT	1'251	1'032	676	949	622
Japan	JP	1'168	955	631	878	580
Luxembourg	LU	1'035	862	582	793	535
Netherlands	NL	1'045	886	611	815	562
Norway	NO	967	870	674	800	620
Portugal	PT	1'682	1'388	858	1'276	789
Spain	ES	1'660	1'394	884	1'282	813
Sweden	SE	980	860	639	791	588
Switzerland	CH	1'117	922	620	848	570
United Kingdom	GB	955	788	544	725	500
United States	US	1'816	1'512	913	1'390	839
Australia	AU	1'686	1'315	721	1'209	663
Canada	CA	1'273	1'088	735	1'000	676
Korea, Republic Of	KR	1'215	1'002	674	921	620
New Zealand	NZ	1'412	1'175	762	1'080	701
Turkey	TR	1'697	1'400	840	1'287	772

### 14.2 Lifetime of PV plants

In the year 2005 some older Swiss PV power plants have been dismantled and replaced with new plants (Jauch & Tschärner 2006). The lifetime of PV-plants produced today can only be estimated. Panels normally have a guarantee time of 10 to 20 years granted by the manufacturer. Also for economic calculations a lifetime of 20 years is usually assumed. In LCA case studies the lifetime has been set to between 20 and 30 years. A lifetime of 30 years seems to be realistic according to the available information.<sup>52</sup> So far it is not clear whether the lifetime for new thin film technologies might

<sup>52</sup> „Aufgrund der bisherigen Erfahrungen mit netzgekoppelten PV Anlagen, die zurzeit im Maximum bereits 20 Jahre in Betrieb sind, kann davon ausgegangen werden, dass mit entsprechendem Unterhalt eine Lebensdauer von 30 Jahren erreicht wird. Entsprechender Unterhalt heisst, dass nach ca. 15 Jahren der Wechselrichter revidiert oder ausgetauscht wird, und dass ev. vereinzelt Module mit Schäden ausgetauscht werden müssen, und dass auch die Verkabelung periodisch kontrolliert und bei Bedarf z.B. Klemmen ausgetauscht werden müssen. Von der Modulseite her kann mit den aktuellen Garantiebedingungen der meisten Hersteller (min. 80% Leistung nach 20 Jahren Betrieb) eine 30-jährige Lebensdauer erwartet werden. Module, die sich nach 20 Jahren noch in einwandfreiem Zustand befinden werden noch weitere Jahre problemlos funktionieren,



be longer or shorter. In this study a lifetime of 30 years is assumed for all types of technologies (de Wild-Scholten & Alsema 2007). It is also taken into account that a part of the panels and mounting structure must be replaced during this lifetime because of failures.

A decreased yield over the lifetime is taken into account with the yield data, which are based on production statistics (see Tab. 14.2).

### 14.3 Emissions during operation

PV-plants do normally not show any emissions to air or water during operation. The emissions due to maintenance operations are already considered in the inventories of the single components. Some panels might be washed by the user on an annual basis. Here we assume the use of 20 litre water per year and square meter for the washing of the panels (Frischknecht et al. 1996). Wastewater will be discharged with the normal rainwater and its treatment is accounted for.

Diffuse metal emissions due to corrosion of frame materials are not taken into account. They are mainly possible if the metals get into contact with salts, e.g. if they are located near a street where salt is used in the winter time or near the coast.

### 14.4 Waste heat

A PV panel might emit surplus waste heat compared to the situation without such an installation. The normal albedo<sup>53</sup> might be reduced and more irradiation is transferred into heat. The sun has produced the heat itself and thus there is no change in the total balance. But, on a local scale the heat formation might be higher and thus there might be a small rise in local temperature.

The reflection of light to the sky or to neighbouring buildings is not accounted for in the ecoinvent data. A disturbance of neighbouring buildings might occur due to such reflections.

Roesler <1992> has compared the waste heat emissions from a possible PV plant with a parking area of the similar size. He estimated that a small influence on the local climate might be possible. This might be mainly important for large-scale plants, e.g. in desert areas.

The albedo of a PV plant can be compared with other types of surfaces. Such figures are shown in Tab. 14.5. The albedo of PV panels is calculated according to <Shah et al. 1990> with the assumption that a panel absorbs 75% of the irradiation. About 6%-15% of the total irradiation are transformed to electricity depending on the type of PV technology. The rest is transformed into heat which is normally dissipated by convection. Also the delivered electricity will result in the emission of waste heat during its transport and at use. Thus, 25% of the irradiation are not absorbed. This figure can now be compared with the albedo observed before installation of the PV plant. The albedo of PV plants is in the same range as these of building materials. Thus the possible influence seems to be quite small. For plants on open ground the possible effect might not be neglected.

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Module mit Herstellungs- oder Materialfehlern müssen auf Garantie ausgetauscht werden.“  
Personal communication Stephan Gnos, NET AG, CH, 10.2002.

<sup>53</sup> Albedo is the ratio of the electromagnetic radiation power that is diffusively reflected to an observer to the incident electromagnetic radiation power.

## 14. Operation of photovoltaic power plants

Tab. 14.5 The albedo of selected natural and anthropogenic-influenced surfaces <Goward 1987, Bariou et al. 1985, Schäfer 1985>

surface	albedo
	%
PV-plant	25
fresh snow	75-95
old snow	40-70
granite-rocks	31
coniferous forest	5-15
limestone rocks	36
leafed forest, meadows	10-20
paved road	5-10
cities	15-25
dry concrete	17-27
average on earth	34-42

We assume, along ecoinvent standard methodology, that the waste heat emissions due to the use of electricity are accounted for at the processes using the electricity. The part of irradiation not transformed to electricity is not taken into account as a waste heat emission during operation of the plant. The use of solar energy is calculated with the amount of electric energy delivered by the cell to the inverter. The average efficiency of solar inverters is 93.5% (see Section 11.9.2). The use of “energy, solar” equals  $3.6 \text{ MJ/kWh} / 93.5\% = 3.85 \text{ MJ/kWh}$ . The waste heat directly released is  $3.85 \text{ minus } 3.6 = 0.25 \text{ MJ/kWh}$ .

### 14.5 Land occupation

It is assumed that all roof and façade PV plants investigated in this study are located on existing buildings. Thus no surplus land occupation is taken into account. The full land occupation is allocated to the building and thus to its main function, to provide space for dwellings, office work or industrial production.

The land use of open ground power plants is taken into account in the inventory of the open ground mounting system in Chapter 11.7 with exception of the land use for the US 3.5 MW power plant, which is included directly in the inventory of the power plant (see Tab. 13.4).

### 14.6 Accidents

The most important risks or accidents due to the operation of photovoltaics are according to <Tietze et al. 1989, Roesler et al. 1992> and (Fthenakis 2004) the following events:

- electric shock from power plant operation
- downfalls of maintenance workers at PV installations
- danger due to fires

Only fires are linked with the emission of relevant pollutants e.g. polyvinylfluoride. The danger of emissions due to fires is mainly discussed for new thin film materials containing cadmium or other hazardous substances, e.g. cells with CdS, CuS, CuInSe<sub>2</sub> and GaAs (Fthenakis 2004). So far statistical data or experimental measurements are not available. Thus emissions due to accidents are not considered for the life cycle inventory, because they do not appear frequently in operation.

## 14.7 Country specific PV electricity mixes

### 14.7.1 Types of PV plants

The photovoltaic electricity mix of a country is modelled based on information about the different types of cells and installations actually used in PV-plants. The shares of different types of photovoltaic cells installed world-wide are shown in Tab. 14.6 based on a photovoltaic market overview (Mints 2009).

Tab. 14.6 Share of different types of photovoltaic cells installed world-wide between 2000 and 2008 (based on Mints 2009)

	Technology shares 2005 in ecoinvent v2.0	Shipment 2000-2008 (MW <sub>p</sub> )	Technology shares in this study
single-Si	38.4 %	5097	34.5 %
multi-Si	52.4 %	7764.5	52.5 %
ribbon-Si	2.9 %	442.6	3.0 %
a-Si	4.7 %	692.6	4.7 %
CdTe	1.4 %	711.8	4.8 %
CIS	0.2 %	84.3	0.6 %
total	100 %	14792.8	100 %

The shares of different types of world-wide installed mounting systems on buildings are shown in Tab. 14.7. The rough estimation is based on older literature data <SOFAS 1994> and a more recent expert guess.<sup>54</sup>

Tab. 14.7 Share of different types of mounting systems on buildings

	CH	RER
façade installation, laminated, integrated, at building	5%	2.5%
façade installation, panel, mounted, at building	5%	10%
flat roof installation, on roof	15%	20%
slanted-roof installation, laminated, integrated, on roof	5%	2.5%
slanted-roof installation, panel, mounted, on roof	70%	65%
	100%	100%

Tab. 14.8 shows the actual standard shares of different types of PV plants used for the calculation of average electricity mixes, if no specific data are available. The shares are calculated from the information shown in Tab. 14.6 and Tab. 14.7.

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<sup>54</sup> Personal communication with Pius Hüsler, Novaenergie, CH, 16.12.2006

## 14. Operation of photovoltaic power plants

Tab. 14.8 Shares of different types of cells and mounting systems used for the calculation of average electricity mixes, if no specific data are available.

3kWp facade installation, single-Si, laminated, integrated, at building	0.9%
3kWp facade installation, single-Si, panel, mounted, at building	3.4%
3kWp facade installation, multi-Si, laminated, integrated, at building	1.3%
3kWp facade installation, multi-Si, panel, mounted, at building	5.2%
3kWp flat roof installation, single-Si, on roof	6.9%
3kWp flat roof installation, multi-Si, on roof	10.5%
3kWp slanted-roof installation, single-Si, laminated, integrated, on roof	0.9%
3kWp slanted-roof installation, single-Si, panel, mounted, on roof	22.4%
3kWp slanted-roof installation, multi-Si, laminated, integrated, on roof	1.3%
3kWp slanted-roof installation, multi-Si, panel, mounted, on roof	34.1%
3kWp slanted-roof installation, ribbon-Si, panel, mounted, on roof	2.9%
3kWp slanted-roof installation, ribbon-Si, laminated, integrated, on roof	0.1%
3kWp slanted-roof installation, CdTe, laminated, integrated, on roof	4.8%
3kWp slanted-roof installation, CIS, panel, mounted, on roof	0.6%
3kWp slanted-roof installation, a-Si, laminated, integrated, on roof	0.2%
3kWp slanted-roof installation, a-Si, panel, mounted, on roof	4.5%
<b>electricity, production mix photovoltaic, at plant</b>	<b>100%</b>

In Tab. 14.9, the cumulative grid-connected photovoltaic capacity and the share of centralized photovoltaic installations in the most important PV markets is displayed based on data published by the IEA-PVPS (2009). According to this publication, grid-connected centralized systems are typically ground-mounted. Hence, the share of centralized installations in the national photovoltaic electricity mixes is modelled with ground-mounted photovoltaic power plants.

Tab. 14.9 Cumulative grid-connected PV capacity and share of centralized installations in IEA PVPS countries as at the end of 2008 (IEA-PVPS 2009)

	Capacity	Thereof centralized	Share of centralized
	kW <sub>p</sub>	kW <sub>p</sub>	%
AT	29'030	1'756	6.0 %
AU	31'165	1'315	4.2 %
CA	5'237	65	1.2 %
CH	44'100	2'560	5.8%
DE	5'300'000		-
DK	2'825	0	0.0 %
ES	3'323'000		98.0 %
FR	156'785	160'00	10.2 %
GB	20'920	0	0.0 %
IL	611	14	2.2 %
IT	445'000	150'000	33.7 %
JP	2'053'380	9'300	0.5 %
KR	351'574	296'722	84.4 %
MX	500	0	0.0 %
MY	776	0	0.0 %
NL	52'000	3'500	6.7 %
NO	132	0	0.0 %
PT	65'011	62'103	95.5 %
SE	3'079	0	0.0 %
TR	250		-
US	798'500	63'500	8.0 %

## 14. Operation of photovoltaic power plants

### 14.7.2 Swiss photovoltaic electricity mix

The 560 kW<sub>p</sub> Mont Soleil open ground photovoltaic power plant produced 560 MWh electricity in 2008, which amounts to 1.7 % of the Swiss photovoltaic electricity mix. The 1.3 MW<sub>p</sub> installation on the Stade de Suisse stadium produced 1300 MWh electricity, which amounts to 3.9 % of the Swiss photovoltaic electricity mix. Hostettler (2009b) published data about the size of the installed photovoltaic power plants in Switzerland (see Tab. 14.10).

Tab. 14.10 Shares of different photovoltaic power plant sizes in Switzerland (based on Hostettler (2009b))

Size category (grid-connected)	Installed capacity until end of 2008 (kWp)	Share of size category
up to 4kWp	5155	11.7%
5 to 20 kWp	11840	26.8%
<b>Total small PV plants (up to 20 kWp)</b>	<b>16995</b>	<b>38.5%</b>
20 to 50 kWp	10545	23.9%
50 to 100 kWp	6490	14.7%
larger than 100 kWp	10070	22.8%
<b>Total large PV plants (larger than 20 kWp)</b>	<b>27105</b>	<b>61.5%</b>
<b>Total PV plants</b>	<b>44100</b>	<b>100 %</b>

From the information in Tab. 14.6, Tab. 14.7 and Tab. 14.10, the Swiss photovoltaic electricity mix is calculated (see Tab. 14.11).

Tab. 14.11 Shares of different types of photovoltaic power plants used to model the Swiss photovoltaic electricity mix

Technology	Share
560 kWp open ground installation, single-Si, on open ground	1.7 %
93 kWp slanted-roof installation, single-Si, laminated, integrated, on roof	1.0 %
156 kWp flat-roof installation, multi-Si, on roof	4.5 %
280 kWp flat-roof installation, single-Si, on roof	3.0 %
1.3 MWp slanted-roof installation, multi-Si, panel, mounted, on roof	3.9 %
3kWp facade installation, single-Si, laminated, integrated, at building	1.6 %
3kWp facade installation, single-Si, panel, mounted, at building	1.6 %
3kWp facade installation, multi-Si, laminated, integrated, at building	2.4 %
3kWp facade installation, multi-Si, panel, mounted, at building	2.4 %
3kWp flat roof installation, single-Si, on roof	1.9 %
3kWp flat roof installation, multi-Si, on roof	2.8 %
3kWp slanted-roof installation, single-Si, laminated, integrated, on roof	0.6 %
3kWp slanted-roof installation, single-Si, panel, mounted, on roof	22.9 %
3kWp slanted-roof installation, multi-Si, laminated, integrated, on roof	2.4 %
3kWp slanted-roof installation, multi-Si, panel, mounted, on roof	34.0 %
3kWp slanted-roof installation, ribbon-Si, panel, mounted, on roof	2.8 %
3kWp slanted-roof installation, ribbon-Si, laminated, integrated, on roof	0.2 %
3kWp slanted-roof installation, CdTe, laminated, integrated, on roof	4.8 %
3kWp slanted-roof installation, CIS, panel, mounted, on roof	0.6 %
3kWp slanted-roof installation, a-Si, laminated, integrated, on roof	0.3 %
3kWp slanted-roof installation, a-Si, panel, mounted, on roof	4.4 %
<b>electricity, production mix photovoltaic, at plant, CH</b>	<b>100.0 %</b>

**14.7.3 Photovoltaic electricity mixes in the most important European photovoltaic markets**

With regard to the photovoltaic electricity mixes in Germany, Spain, Italy, Portugal, France, and Austria, national statistical data are considered additionally to the cell technology and mounting system shares shown in Tab. 14.6 and Tab. 14.7.

For Germany, the share of different types of power plants is specified with data from GTI (2009), shown in Tab. 14.17.

Tab. 14.12 Shares of different types of mounting systems for the total installed capacity in Germany (GTI 2009)

Mounting system	share
façade	1 %
rooftop, < 10 kWp	30 %
rooftop, 10 - 1'000 kWp	52 %
rooftop, > 1'000 kWp	7 %
ground mounted	10 %

According to the IEA-PVPS (2009), 98 % of the Spanish and 95.5 % of the Portuguese photovoltaic capacity is ground-mounted. This share of Spanish and Portuguese PV is modelled with life cycle inventories of two Spanish multi-Si ground-mounted power plants. The remaining 2 % of the Spanish mix is taken into account with a CdTe slanted roof power plant, because Lenardic (2009) mentioned Spain as an important market of CdTe technologies. Since 99 % of the total installed capacity in Portugal are multi-Si modules and about 1 % are a-Si modules, the remaining 4.5 % of the Portuguese mix, is considered as composed of small scale power plants with a-Si and multi-Si modules.<sup>55</sup>

With regard to the Italian PV electricity mix, the market specifications in Tab. 14.13 are applied.

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<sup>55</sup> Personal communication with Pedro Paes (EDP), the Portuguese ExCo of the IEA PVPS, 16.02.2010.

## 14. Operation of photovoltaic power plants

Tab. 14.13 Specification of the Italian photovoltaic market (capacity installed up to September 2009)<sup>56</sup>

		MW <sub>p</sub>	share
technology	SC-Si	262.2	39.9%
	MC-Si	346.1	52.7%
	thin film	44.0	6.7%
	other	4.5	0.7%
	Total	656.8	100.0%
mounting	sloped roof or facade partially integrated	161.2	24.5%
	ground	171.0	26.0%
	flat roof partially integrated	114.6	17.4%
	sloped roof or facade integrated	67.0	10.2%
	retrofit	99.3	15.1%
	canopy	28.8	4.4%
	metal roofs	14.8	2.3%
	Total	656.8	100.0%
plant size	<5 kW	110.2	16.8%
	5-20 kW	148.7	22.6%
	20-50 kW	103.9	15.8%
	50-100 kW	33.8	5.1%
	>100 kW	260.3	39.6%
	Total	656.9	100.0%

For the French PV electricity mix, the size distribution of the power plants shown in Tab. 14.14 is taken into account in order to split up the power plants on flat roofs into small and large installations.

Tab. 14.14 Size distribution of the installed photovoltaic capacity in France up to September 2009 (SOLER 2009)

Plant size	share
> 3 kWp	42 %
3-10 kWp	5 %
10-100 kW	31 %
100-500 kWp	12 %
>500 kWp	10 %

The Austrian mix is adjusted with the national split of different cell types that is shown in Tab. 14.15.

Tab. 14.15 Shares of different cell types in the photovoltaic capacity installed in Austria up to December 2008 (Biemayer et al. 2009)

Cell Technology	share
Single-Si	37 %
Multi-Si	53 %
Heterojunction with Intrinsic Thin layer	7 %
Amorphous	1 %
Others	2 %

<sup>56</sup> Personal communication with Salvatore Costello (ENEA), the Italian ExCo of the IEA PVPS, 15.02.2010.

## 14. Operation of photovoltaic power plants

The shares of different types of photovoltaic power plants of the German, the Spanish, the Italian, the Portuguese, the French, and the Austrian photovoltaic electricity mix is presented in Tab. 14.16. The mixes are based on national statistical data from Tab. 14.9 and Tab. 14.12 to Tab. 14.15 which are completed with international average data from Tab. 14.6 to Tab. 14.8. The international average data is scaled with the specific national data to the situation in the different countries.

Tab. 14.16 Shares of different types of photovoltaic power plants of the photovoltaic electricity mix in Germany, Spain, Italy, Portugal, and France.

	DE	ES	IT	PT	FR	AT
324 kWp flat-roof installation, multi-Si, on roof	7.7%	-	2.1%	-	4.7%	-
450 kWp flat-roof installation, single-Si, on roof	5.8%	-	4.3%	-	3.8%	-
569 kWp open ground installation, multi-Si, on open ground		49.0%	-	47.8%		-
570 kWp open ground installation, multi-Si, on open ground	10.0%	49.0%	33.3%	47.8%	10.2%	6.0%
3kWp facade installation, single-Si, laminated, integrated, at building	0.1%	-	4.4%	-	1.0%	1.1%
3kWp facade installation, single-Si, panel, mounted, at building	0.3%	-	2.8%	-	4.0%	4.4%
3kWp facade installation, multi-Si, laminated, integrated, at building	0.1%	-	2.1%	-	1.2%	1.2%
3kWp facade installation, multi-Si, panel, mounted, at building	0.5%	-	1.4%	-	4.9%	4.7%
3kWp flat roof installation, single-Si, on roof	2.9%	-	10.7%	-	4.2%	8.8%
3kWp flat roof installation, multi-Si, on roof	3.9%	-	5.2%	1.0%	5.2%	9.4%
3kWp slanted-roof installation, single-Si, laminated, integrated, on roof	0.5%	-	1.7%	-	0.8%	1.1%
3kWp slanted-roof installation, single-Si, panel, mounted, on roof	24.8%	-	16.0%	-	20.6%	28.6%
3kWp slanted-roof installation, multi-Si, laminated, integrated, on roof	0.7%	-	0.8%	-	1.0%	1.2%
3kWp slanted-roof installation, multi-Si, panel, mounted, on roof	33.3%	-	7.8%	3.1%	25.2%	30.5%
3kWp slanted-roof installation, ribbon-Si, panel, mounted, on roof	2.9%	-	0.5%	-	2.9%	-
3kWp slanted-roof installation, ribbon-Si, laminated, integrated, on roof	0.1%	-	0.1%	-	0.1%	-
3kWp slanted-roof installation, CdTe, laminated, integrated, on roof	1.0%	2.0%	3.2%	-	4.8%	1.8%
3kWp slanted-roof installation, CIS, panel, mounted, on roof	0.6%	-	0.4%	-	0.6%	0.2%
3kWp slanted-roof installation, a-Si, laminated, integrated, on roof	0.2%	-	0.6%	0.1%	0.2%	1.0%
3kWp slanted-roof installation, a-Si, panel, mounted, on roof	4.5%	-	2.5%	0.3%	4.5%	0.0%
<b>electricity, production mix photovoltaic, at plant</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>

### 14.7.4 Photovoltaic electricity mixes in other countries

The photovoltaic electricity mix in Denmark, Japan, Netherlands, Norway, Australia, Sweden, Great Britain, Turkey, Canada, and Korea is calculated by considering the share of centralized power plants as indicated in Tab. 14.9 with a Spanish 570 kW<sub>p</sub> open ground power plant with adjusted yield and the shares of different types of cells and mounting systems as indicated in Tab. 14.8 for the non-centralized photovoltaic power plants.

For New Zealand, Luxemburg, Ireland, Hungary, Greece, Belgium, the Czech Republic, and Finland no information about the share of centralized photovoltaic power plants is reported by the IEA. Hence,



the photovoltaic electricity mix in those countries is considered with the international average shares of different types of cells and mounting systems (see Tab. 14.8).

The share of ground mounted photovoltaic power plants in the US is considered with the share of photovoltaic power plants with a size of larger than 500 kW<sub>p</sub>, since photovoltaic power plants of this size are rarely mounted on buildings. According to Wiser et al. (2009), 19.9 % of a representative sample of all photovoltaic power plants that were operating in the US in 2008, were larger than 500 kW<sub>p</sub>. This share is taken into account with the dataset of a 3.5 MW<sub>p</sub> open ground power plant installed in the US. The remaining share is considered with the different types of cells and mounting systems according to Tab. 14.8.

### 14.8 Life cycle inventories of PV-electricity production

The unit process raw data of the electricity production with different 3 kW<sub>p</sub> PV power plants in Switzerland is shown in Tab. 14.17. All inventory data have been discussed in the previous chapters. The amount of 3 kW<sub>p</sub> units per kWh of electricity is calculated with the yield (Tab. 14.3), the lifetime of 30 years and the share of the specific type of installation. Water consumption (for cleaning the panels once a year) is included in the inventory. Due to the higher uncertainties regarding the yield, the basic uncertainty is estimated to be 1.2. A major factor determining the environmental performance of PV electricity is the lifetime of the PV plants. Due to a lack of experience, the lifetime of PV panels is based on assumptions.

Tab. 14.18 shows the unit process raw data of the electricity production with large PV power plants in Switzerland, Germany, Spain and the US. Unlike the 3 kW<sub>p</sub> power plants, the inventories are not based on average national yields, but on the actually measured yields of the specific power plants.

Tab. 14.19 and Tab. 14.20 show the unit process raw data of photovoltaic electricity production mixes in European, Asian and North American countries. The inventories are based on the yields shown in Tab. 14.4 with exception of the share of large photovoltaic power plants in Switzerland, Germany, Spain, and the US, that are based on actually measured yields of the specific power plants. For those national photovoltaic electricity mixes that are connected to a dataset of a large power plant in another country, the yield of this large power plant is corrected with the country-specific yield from Tab. 14.4.

## 14. Operation of photovoltaic power plants

Tab. 14.17 Unit process raw data of electricity production with photovoltaic power plants in Switzerland

	Name	Location	Unit	electricity, PV, at 3kWp facade, single-Si, laminated, integrated											Standard Deviation 95%	General Comment
				CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh		
resource, in air	Energy, solar, converted	-	MJ	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	1.09	(2,2,1,1,1,3); Energy loss in the system is included
technosphere	tap water, at user	CH	kg	7.68E-3	7.68E-3	8.17E-3	8.17E-3	5.16E-3	5.49E-3	5.16E-3	5.16E-3	5.16E-3	5.49E-3	5.49E-3	1.09	(2,2,1,1,1,3); Estimation 20l/m2 panel
	treatment, sewage, from residence, to wastewater treatment, class 2	CH	m3	7.68E-6	7.68E-6	8.17E-6	8.17E-6	5.16E-6	5.49E-6	5.16E-6	5.16E-6	5.16E-6	5.49E-6	5.49E-6	1.09	(2,2,1,1,1,3); Estimation 20l/m2 panel
	3kWp facade installation, single-Si, laminated, integrated, at building	CH	unit	1.79E-5	-	-	-	-	-	-	-	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp facade installation, single-Si, panel, mounted, at building	CH	unit	-	1.79E-5	-	-	-	-	-	-	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp facade installation, multi-Si, laminated, integrated, at building	CH	unit	-	-	1.79E-5	-	-	-	-	-	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp facade installation, multi-Si, panel, mounted, at building	CH	unit	-	-	-	1.79E-5	-	-	-	-	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp flat roof installation, single-Si, on roof	CH	unit	-	-	-	-	1.21E-5	-	-	-	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp flat roof installation, multi-Si, on roof	CH	unit	-	-	-	-	-	1.21E-5	-	-	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp slanted-roof installation, single-Si, laminated, integrated, on roof	CH	unit	-	-	-	-	-	-	1.21E-5	-	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp slanted-roof installation, single-Si, panel, mounted, on roof	CH	unit	-	-	-	-	-	-	-	1.21E-5	-	-	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
	3kWp slanted-roof installation, multi-Si, laminated, integrated, on roof	CH	unit	-	-	-	-	-	-	-	-	-	1.21E-5	-	1.24	(3,2,1,1,1,3); yield at good installation, average is lower while optimum would be higher, basic uncertainty = 1.2
emission air	Heat, waste	-	MJ	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	1.05	(1,na,na,na,na,na); Calculation

## 14. Operation of photovoltaic power plants

Tab. 14.17 Unit process raw data of electricity production with photovoltaic power plants in Switzerland (part 2)

	Name	Location	Unit	electricity, PV, at 3kWp slanted-roof, multi-Si, panel, mounted										GeneralComment
				electricity, PV, at 3kWp slanted-roof, ribbon-Si, panel, mounted										
				electricity, PV, at 3kWp slanted-roof, ribbon-Si, lam., integrated										
Location InfrastructureProcess Unit			CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	UncertaintyType StandardDeviation95 %	
resource, in air	Energy, solar, converted	-	MJ	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	1 1.09 (2,2,1,1,1,3); Energy loss in the system is included	
technosphere	tap water, at user	CH	kg	5.49E-3	6.03E-3	6.03E-3	8.03E-3	6.77E-3	1.12E-2	1.12E-2	5.87E-3	1 1.09 (2,2,1,1,1,3); Estimation 20l/m2 panel		
	treatment, sewage, from residence, to wastewater treatment, class 2	CH	m3	5.49E-6	6.03E-6	6.03E-6	8.03E-6	6.77E-6	1.121E-05	1.121E-05	5.87E-6	1 1.09 (2,2,1,1,1,3); Estimation 20l/m2 panel		
	560 kWp open ground installation, single-Si, on open ground	CH	unit	-	-	-	-	-	-	-	-	9.98E-10	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	93 kWp slanted-roof installation, single-Si, laminated, integrated, on roof	CH	unit	-	-	-	-	-	-	-	-	3.86E-9	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	156 kWp flat-roof installation, multi-Si, on roof	CH	unit	-	-	-	-	-	-	-	-	1.07E-8	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	280 kWp flat-roof installation, single-Si, on roof	CH	unit	-	-	-	-	-	-	-	-	3.12E-9	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	1.3 MWp slanted-roof installation, multi-Si, panel, mounted, on roof	CH	unit	-	-	-	-	-	-	-	-	9.98E-10	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, single-Si, laminated, integrated, at building	CH	unit	-	-	-	-	-	-	-	-	3.21E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, single-Si, panel, mounted, at building	CH	unit	-	-	-	-	-	-	-	-	3.21E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, multi-Si, laminated, integrated, at building	CH	unit	-	-	-	-	-	-	-	-	4.75E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, multi-Si, panel, mounted, at building	CH	unit	-	-	-	-	-	-	-	-	4.75E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp flat roof installation, single-Si, on roof	CH	unit	-	-	-	-	-	-	-	-	2.48E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp flat roof installation, multi-Si, on roof	CH	unit	-	-	-	-	-	-	-	-	3.68E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, single-Si, laminated, integrated, on roof	CH	unit	-	-	-	-	-	-	-	-	8.28E-8	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, single-Si, panel, mounted, on roof	CH	unit	-	-	-	-	-	-	-	-	3.01E-6	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, multi-Si, laminated, integrated, on roof	CH	unit	-	-	-	-	-	-	-	-	3.18E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, multi-Si, panel, mounted, on roof	CH	unit	1.21E-5	-	-	-	-	-	-	-	4.46E-6	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, ribbon-Si, panel, mounted, on roof	CH	unit	-	1.21E-5	-	-	-	-	-	-	3.66E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, ribbon-Si, laminated, integrated, on roof	CH	unit	-	-	1.21E-5	-	-	-	-	-	2.61E-8	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, CdTe, laminated, integrated, on roof	CH	unit	-	-	-	1.21E-5	-	-	-	-	6.30E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2	
3kWp slanted-roof installation, CIS, panel, mounted, on roof	CH	unit	-	-	-	-	1.21E-5	-	-	-	7.47E-8	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2		
3kWp slanted-roof installation, a-Si, laminated, integrated, on roof	CH	unit	-	-	-	-	-	1.21E-5	-	-	4.09E-8	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2		
3kWp slanted-roof installation, a-Si, panel, mounted, on roof	CH	unit	-	-	-	-	-	-	1.21E-5	-	5.73E-7	1 1.24 (3,2,1,1,1,3); average yield, estimation for share of technologies. Basic uncertainty = 1.2		
emission air	Heat, waste	-	MJ	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	1 1.05 (1,na,na,na,na,na); Calculation		

## 14. Operation of photovoltaic power plants

Tab. 14.18 Unit process raw data of electricity production with individual large PV power plants in Switzerland, Germany, Spain and the US

	Name	Location	Unit	electricity, PV, at 93 kWp slanted-roof, single-Si, laminated integrated	electricity, PV, at 280 kWp flat-roof, single-Si	electricity, PV, at 156 kWp flat-roof, multi-Si	electricity, PV, at 1.3 MWp slanted-roof, multi-Si, panel, mounted	electricity, PV, at 560 MWp open ground, multi-Si	electricity, PV, at 324 kWp flat-roof, multi-Si	electricity, PV, at 450 kWp flat-roof, single-Si	electricity, PV, at 569 kWp open ground, multi-Si	electricity, PV, at 570 kWp open ground, multi-Si	electricity, PV, at 3.5 MWp open ground, multi-Si	UncertaintyType	StandardDeviation 95%	GeneralComment
	Location InfrastructureProcess Unit			CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	CH 0 kWh	DE 0 kWh	DE 0 kWh	ES 0 kWh	ES 0 kWh	US 0 kWh			
resource, in air	Energy, solar, converted	-	MJ	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	1	1.20	(2,2,3,2,1,3); Energy loss in the system is included
technosphere	tap water, at user	CH	kg	5.24E-3	4.29E-3	5.57E-3	5.19E-3	5.45E-3	4.72E-3	4.90E-3	2.80E-3	3.37E-3	3.16E-3	1	1.20	Estimation 20l/m2 panel
	treatment, sewage, from residence, to wastewater treatment, class 2	CH	m3	5.24E-6	4.29E-6	5.57E-6	5.19E-6	1.21E-5	4.72E-6	4.90E-6	2.80E-6	3.37E-6	3.16E-6	1	1.20	Estimation 20l/m2 panel
	93 kWp slanted-roof installation, single-Si, on roof	CH	unit	3.83E-7	-	-	-	-	-	-	-	-	-	1	3.07	Estimation 20l/m2 panel
	280 kWp flat-roof installation, single-Si, on roof	CH	unit	-	1.03E-7	-	-	-	-	-	-	-	-	1	3.07	Estimation 20l/m2 panel
	156 kWp flat-roof installation, multi-Si, on roof	CH	unit	-	-	2.38E-7	-	-	-	-	-	-	-	1	3.07	Estimation 20l/m2 panel
	1.3 MWp slanted-roof installation, multi-Si, panel, mounted, on roof	CH	unit	-	-	-	2.56E-8	-	-	-	-	-	-	1	3.07	Estimation 20l/m2 panel
	560 kWp open ground installation, multi-Si, on open ground	CH	unit	-	-	-	-	5.95E-8	-	-	-	-	-	1	3.07	Estimation 20l/m2 panel
	324 kWp flat-roof installation, single-Si, on roof	DE	unit	-	-	-	-	-	9.26E-8	-	-	-	-	1	3.07	Estimation 20l/m2 panel
	450 kWp flat-roof installation, single-Si, on roof	DE	unit	-	-	-	-	-	-	7.25E-8	-	-	-	1	3.07	Estimation 20l/m2 panel
	569 kWp open ground installation, multi-Si, on open ground	ES	unit	-	-	-	-	-	-	-	3.28E-8	-	-	1	3.07	Estimation 20l/m2 panel
	570 kWp open ground installation, multi-Si, on open ground	ES	unit	-	-	-	-	-	-	-	-	3.94E-8	-	1	3.07	Estimation 20l/m2 panel
	3.5 MWp open ground installation, multi-Si, on open ground	US	unit	-	-	-	-	-	-	-	-	-	5.51E-9	1	3.07	Estimation 20l/m2 panel
emission air	Heat, waste	-	MJ	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	1	1.15	(1,na,na,na,na,na); Calculation
Output grid-connected				kWh /kW <sub>p</sub>	933	1154	899	966	1000	1111	1022	1788	1483	1730		

## 14. Operation of photovoltaic power plants

Tab. 14.19 Unit process raw data of electricity production with PV plants in different countries (part 1)

Name		Location	Infrastructure	Unit	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	Uncertainty	Standard Deviation	General Comment	
					AT	BE	CZ	DK	FI	FR	DE	GR	HU	IE	IT	JP	LU	Uncertainty	Standard Deviation		
Location InfrastructureProcess Unit					0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh			
resource, in air technosphere	Energy, solar, converted	-	-	MJ	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	3.85E+0	1	1.09	(2,2,1,1,1,3); Calculation with average module efficiency	
	tap water, at user treatment, sewage, from residence, to wastewater treatment, class 2	CH	0	kg	6.25E-3	7.05E-3	6.81E-3	6.48E-3	6.67E-3	5.72E-3	5.85E-3	4.43E-3	5.65E-3	6.80E-3	5.41E-3	5.85E-3	6.45E-3	1	1.09	(2,2,1,1,1,3); Estimation 20l/m2 panel	
	324 kWp flat-roof installation, multi-Si, on roof	DE	1	unit	-	-	-	-	-	5.36E-9	7.16E-9	-	-	-	2.25E-9	-	-	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	450 kWp flat-roof installation, single-Si, on roof	DE	1	unit	-	-	-	-	-	3.15E-9	4.17E-9	-	-	-	3.33E-9	-	-	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	570 kWp open ground installation, multi-Si, on open ground	ES	1	unit	4.25E-9	-	-	-	-	6.60E-9	3.94E-9	-	-	-	2.05E-8	3.02E-10	-	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, single-Si, laminated, integrated, at building	CH	1	unit	2.22E-7	1.93E-7	1.90E-7	1.70E-7	1.73E-7	1.93E-7	1.84E-8	1.34E-7	1.59E-7	1.79E-7	7.84E-7	1.64E-7	1.79E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, single-Si, panel, mounted, at building	CH	1	unit	8.89E-7	7.72E-7	7.60E-7	6.79E-7	6.92E-7	7.71E-7	7.35E-8	5.38E-7	6.35E-7	7.14E-7	5.03E-7	6.57E-7	7.15E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, multi-Si, laminated, integrated, at building	CH	1	unit	2.37E-7	2.94E-7	2.89E-7	2.59E-7	2.63E-7	2.37E-7	2.47E-8	2.05E-7	2.42E-7	2.72E-7	3.81E-7	2.50E-7	2.72E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp facade installation, multi-Si, panel, mounted, at building	CH	1	unit	9.49E-7	1.18E-6	1.16E-6	1.03E-6	1.05E-6	9.46E-7	9.88E-8	8.19E-7	9.67E-7	1.09E-6	2.45E-7	1.00E-6	1.09E-6	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp flat roof installation, single-Si, on roof	CH	1	unit	1.17E-6	1.06E-6	1.02E-6	9.80E-7	1.01E-6	5.18E-7	4.37E-7	6.52E-7	8.43E-7	1.03E-6	1.26E-6	8.68E-7	9.66E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp flat roof installation, multi-Si, on roof	CH	1	unit	1.25E-6	1.61E-6	1.55E-6	1.49E-6	1.54E-6	6.36E-7	5.87E-7	9.93E-7	1.28E-6	1.56E-6	6.12E-7	1.32E-6	1.47E-6	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, single-Si, laminated, integrated, on roof	CH	1	unit	1.47E-7	1.32E-7	1.27E-7	1.22E-7	1.26E-7	9.83E-8	8.17E-8	8.14E-8	1.05E-7	1.28E-7	2.03E-7	1.09E-7	1.21E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, single-Si, panel, mounted, on roof	CH	1	unit	3.81E-6	3.43E-6	3.31E-6	3.18E-6	3.28E-6	2.52E-6	3.71E-6	2.12E-6	2.74E-6	3.34E-6	1.87E-6	2.82E-6	3.14E-6	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, multi-Si, laminated, integrated, on roof	CH	1	unit	1.57E-7	2.01E-7	1.94E-7	1.87E-7	1.92E-7	1.21E-7	1.10E-7	1.24E-7	1.60E-7	1.96E-7	9.88E-8	1.65E-7	1.84E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, multi-Si, panel, mounted, on roof	CH	1	unit	4.07E-6	5.23E-6	5.04E-6	4.85E-6	5.00E-6	3.10E-6	4.98E-6	3.23E-6	4.17E-6	5.08E-6	9.10E-7	4.30E-6	4.78E-6	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, ribbon-Si, panel, mounted, on roof	CH	1	unit	-	4.42E-7	4.26E-7	4.10E-7	4.22E-7	3.54E-7	4.30E-7	2.72E-7	3.52E-7	4.29E-7	6.40E-8	3.63E-7	4.04E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, ribbon-Si, laminated, integrated, on roof	CH	1	unit	-	1.70E-8	1.64E-8	1.58E-8	1.62E-8	1.36E-8	1.66E-8	1.05E-8	1.36E-8	1.65E-8	1.53E-8	1.40E-8	1.55E-8	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, CdTe, laminated, integrated, on roof	CH	1	unit	2.39E-7	7.38E-7	7.11E-7	6.84E-7	7.05E-7	5.91E-7	1.46E-7	4.55E-7	5.89E-7	7.17E-7	3.75E-7	6.06E-7	6.75E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, CIS, panel, mounted, on roof	CH	1	unit	2.82E-8	8.74E-8	8.42E-8	8.10E-8	8.35E-8	7.00E-8	8.51E-8	5.39E-8	6.97E-8	8.49E-8	4.44E-8	7.18E-8	7.99E-8	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, a-Si, laminated, integrated, on roof	CH	1	unit	1.28E-7	2.66E-8	2.56E-8	2.47E-8	2.54E-8	2.13E-8	2.59E-8	1.64E-8	2.12E-8	2.58E-8	7.05E-8	2.18E-8	2.43E-8	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	3kWp slanted-roof installation, a-Si, panel, mounted, on roof	CH	1	unit	4.94E-9	6.91E-7	6.66E-7	6.41E-7	6.60E-7	5.54E-7	6.73E-7	4.26E-7	5.51E-7	6.72E-7	2.94E-7	5.68E-7	6.32E-7	1	1.22	(2,2,1,1,1,3); Calculation with average annual output and share of technologies. Basic uncertainty = 1.2	
	emission air	Heat, waste	-	-	MJ	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	2.50E-1	1	1.05	(1,na,na,na,na,na); Calculation
					Austria	Belgium	Czech Republic	Denmark	Finland	France	Germany	Greece	Hungary	Ireland	Italy	Japan	Luxembourg				
	Global horizontal irradiation			kWh/m2	1108	946	1000	985	956	1204	972	1563	1198	948	1251	1168	1035				
	Annual output, Roof-Top, corrected			kWh/kWp	833	725	752	782	759	905	744	1175	908	746	949	878	793				
	Annual output, Facade, corrected			kWh/kWp	550	496	504	564	554	581	516	712	603	536	622	580	535				
	Annual output, Roof-Top			kWh/kWp	906	788	818	850	825	984	809	1278	988	811	1032	955	862				
	Annual output, Facade			kWh/kWp	598	539	548	613	602	632	561	774	656	583	676	631	582				

Tab. 14.20 Unit process raw data of electricity production with PV plants in different countries (part 2)

## Unit process raw data of electricity production with PV plants in different countries (part 2)

Life Cycle Inventories of Photovoltaics

## 14. Operation of photovoltaic power plants

### 14.9 Meta information of PV electricity production

Tab. 14.21 and Tab. 14.23 show an example of the EcoSpold meta information of PV electricity production investigated in this chapter.

Tab. 14.21 EcoSpold meta information of PV electricity production with 3 kW<sub>p</sub> PV power plants in Switzerland

ReferenceFunction	Name	electricity, PV, at 3kWp facade, single-Si, laminated, integrated	electricity, PV, at 3kWp facade installation, single-Si, panel, mounted	electricity, PV, at 3kWp facade, multi-Si, laminated, integrated	electricity, PV, at 3kWp facade installation, multi-Si, panel, mounted	electricity, PV, at 3kWp flat roof installation, single-Si
Geography	Location	CH	CH	CH	CH	CH
ReferenceFunction	InfrastructureProcess	0	0	0	0	0
ReferenceFunction	Unit	kWh	kWh	kWh	kWh	kWh
TimePeriod	IncludedProcesses	Infrastructure for 3kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 3kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 3kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 3kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 3kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.
	LocalName	Strom, Photovoltaik, ab 3kWp, Fassade, single-Si, laminiert, integriert	Strom, Photovoltaik, ab 3kWp, Fassade, single-Si, Paneel, aufgesetzt	Strom, Photovoltaik, ab 3kWp, Fassade, multi-Si, laminiert, integriert	Strom, Photovoltaik, ab 3kWp, Fassade, multi-Si, Paneel, aufgesetzt	Strom, Photovoltaik, ab 3kWp, Flachdach, single-Si
	Synonyms	monocrystalline//single crystalline//silicon	monocrystalline//single crystalline//silicon	polycrystalline//multi-crystalline//silicon	polycrystalline//multi-crystalline//silicon	monocrystalline//single crystalline//silicon
	GeneralComment	Assumption for electricity production of photovoltaic plants with good performance. Average performance is lower while optimum performance would be higher. Dataset can be used for comparison of energy technologies in Switzerland, but not for assessment of average production patterns. Yield data must be corrected for the installations used in other countries.	Assumption for electricity production of photovoltaic plants with good performance. Average performance is lower while optimum performance would be higher. Dataset can be used for comparison of energy technologies in Switzerland, but not for assessment of average production patterns. Yield data must be corrected for the installations used in other countries.	Assumption for electricity production of photovoltaic plants with good performance. Average performance is lower while optimum performance would be higher. Dataset can be used for comparison of energy technologies in Switzerland, but not for assessment of average production patterns. Yield data must be corrected for the installations used in other countries.	Assumption for electricity production of photovoltaic plants with good performance. Average performance is lower while optimum performance would be higher. Dataset can be used for comparison of energy technologies in Switzerland, but not for assessment of average production patterns. Yield data must be corrected for the installations used in other countries.	Assumption for electricity production of photovoltaic plants with good performance. Average performance is lower while optimum performance would be higher. Dataset can be used for comparison of energy technologies in Switzerland, but not for assessment of average production patterns. Yield data must be corrected for the installations used in other countries.
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	power plants	power plants	power plants	power plants	power plants
	Formula					
	StatisticalClassification					
	CASNumber					
	StartDate	2005	2005	2005	2005	2005
	EndDate	2009	2009	2009	2009	2009
Geography	OtherPeriodText	Calculation of yield based on production with a state of the art plant.	Calculation of yield based on production with a state of the art plant.	Calculation of yield based on production with a state of the art plant.	Calculation of yield based on production with a state of the art plant.	Calculation of yield based on production with a state of the art plant.
	Text	Use in CH.	Use in CH.	Use in CH.	Use in CH.	Use in CH.
Technology	Text	Electricity production with grid-connected photovoltaic power plants integrated in buildings facade. 620 kWh/kW <sub>p</sub> annual electricity output, 1117 kWh/m <sup>2</sup> irradiation, 0.75 performance ratio, 10.9% module efficiency.	Electricity production with grid-connected photovoltaic power plants mounted on buildings facade. 620 kWh/kW <sub>p</sub> annual electricity output, 1117 kWh/m <sup>2</sup> irradiation, 0.75 performance ratio, 14.0% module efficiency.	Electricity production with grid-connected photovoltaic power plants integrated in buildings facade. 620 kWh/kW <sub>p</sub> annual electricity output, 1117 kWh/m <sup>2</sup> irradiation, 0.75 performance ratio, 13.2% module efficiency.	Electricity production with grid-connected photovoltaic power plants mounted on buildings facade. 620 kWh/kW <sub>p</sub> annual electricity output, 1117 kWh/m <sup>2</sup> irradiation, 0.75 performance ratio, 13.2% module efficiency.	Electricity production with grid-connected photovoltaic power plants mounted on buildings flat roof. 922 kWh/kW <sub>p</sub> annual electricity output, 1117 kWh/m <sup>2</sup> irradiation, 0.75 performance ratio, 14.0% module efficiency.
Representativen	Percent	100	100	100	100	100
	ProductionVolume	In 2008 there were 3'875 PV-plants with an annual production of 33'400 MWh	In 2008 there were 3'875 PV-plants with an annual production of 33'400 MWh	In 2008 there were 3'875 PV-plants with an annual production of 33'400 MWh	In 2008 there were 3'875 PV-plants with an annual production of 33'400 MWh	In 2008 there were 3'875 PV-plants with an annual production of 33'400 MWh
	SamplingProcedure	Statistical data for CH.	Statistical data for CH.	Statistical data for CH.	Statistical data for CH.	Statistical data for CH.
	Extrapolations	none	none	none	none	none

## 14. Operation of photovoltaic power plants

Tab. 14.22

EcoSpold meta information of PV electricity production with large PV power plants in Switzerland, Germany, Spain and the US

Name	electricity, PV, at 93 kWp slanted-roof, single-Si, laminated, integrated	electricity, PV, at 156 kWp flat-roof, multi-Si	electricity, PV, at 280 kWp flat-roof, single-Si	electricity, PV, at 1.3 MWp slanted-roof, multi-Si, panel, mounted	electricity, PV, at 560 kWp open ground, single-Si	electricity, PV, at 324 kWp flat-roof, multi-Si	electricity, PV, at 450 kWp flat-roof, single-Si	electricity, PV, at 569 kWp open ground, multi-Si	electricity, PV, at 570 kWp open ground, multi-Si	electricity, PV, at 3.5 MWp open ground, multi-Si
Location	CH	CH	CH	CH	CH	DE	DE	ES	ES	US
InfrastructureProcess Unit	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh
Type	1	1	1	1	1	1	1	1	1	1
Version	1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4
energyValues	0	0	0	0	0	0	0	0	0	0
LanguageCode	en	en	en	en	en	en	en	en	en	en
LocalLanguageCode	de	de	de	de	de	de	de	de	de	de
Person	44	44	44	44	44	44	44	44	44	44
QualityNetwork	1	1	1	1	1	1	1	1	1	1
DataSetRelatesToProduct	1	1	1	1	1	1	1	1	1	1
IncludedProcesses	Infrastructure for 93 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 156 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 280 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 1.3 MWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 560 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 324 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 450 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 569 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 570 kWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Infrastructure for 3.5 MWp PV-plant. Water use for cleaning. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.
LocalName	Strom, Photovoltaik, ab 93 kWp, Schrägdach, single-Si, laminiert, integriert	Strom, Photovoltaik, ab 156 kWp, Flachdach, multi-Si	Strom, Photovoltaik, ab 280 kWp, Flachdach, single-Si	Strom, Photovoltaik, ab 1.3 MWp Schrägdach, multi-Si, Paneel, aufgesetzt	Strom, Photovoltaik, ab 560 kWp, Freifläche, single-Si	Strom, Photovoltaik, ab 324 kWp, Flachdach, multi-Si	Strom, Photovoltaik, ab 450 kWp, Flachdach, single-Si	Strom, Photovoltaik, ab 569 kWp, Freifläche, multi-Si	Strom, Photovoltaik, ab 570 kWp, Freifläche, multi-Si	Strom, Photovoltaik, ab 3.5 MWp, Freifläche, multi-Si
Synonyms	monocrystalline//single	polycrystalline//multi-	monocrystalline//single	polycrystalline//multi-	monocrystalline//single	polycrystalline//multi-	monocrystalline//single	polycrystalline//multi-	polycrystalline//multi-	polycrystalline//multi-
GeneralComment	Electricity from a photovoltaic installation with a capacity of 93 kWp and a life time of 30 years installed in 2009 in CH.	Electricity from a photovoltaic installation with a capacity of 156 kWp and a life time of 30 years installed in 2008 CH.	Electricity from a photovoltaic installation with a capacity of 280 kWp and a life time of 30 years installed in 2006 in CH.	Electricity from a photovoltaic installation with a capacity of 1.3 MWp and a life time of 30 years installed in 2007 in CH.	Electricity from a photovoltaic installation with a capacity of 1.3 MWp and a life time of 30 years installed in 1992 in CH.	Electricity from a photovoltaic installation with a capacity of 324 kWp and a life time of 30 years installed in 2004 in DE.	Electricity from a photovoltaic installation with a capacity of 450 kWp and a life time of 30 years installed in 2006 in DE.	Electricity from a photovoltaic installation with a capacity of 569 kWp and a life time of 30 years installed in 2008 in ES.	Electricity from a photovoltaic installation with a capacity of 570 kWp and a life time of 30 years installed in 2008 in ES.	Electricity from a photovoltaic installation with a capacity of 3.5 MWp and a life time of 30 years installed in 2000 in US.
InfrastructureIncluded Category	1	1	1	1	1	1	1	1	1	1
SubCategory	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
LocalCategory	power plants	power plants	power plants	power plants	power plants	power plants	power plants	power plants	power plants	power plants
LocalSubCategory	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik	Photovoltaik
Formula	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke	Kraftwerke
StatisticalClassification										
CASNumber										
StartDate	2009	2008	2006	2008	1993	2004	2006	2008	2008	2004
EndDate	2009	2009	2009	2009	2009	2009	2009	2009	2009	2006
DataValidForEntirePeriod	1	1	1	1	1	1	1	1	1	1
OtherPeriodText	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.	Annual yield based on actual annual electricity production of the plant.
Text	Production in CH.	Production in CH.	Production in CH.	Production in CH.	Production in CH.	Production in DE.	Production in DE.	Production in ES.	Production in ES.	Production in the US.
Text	Electricity production with grid-connected photovoltaic power plants integrated in buildings slanted-roof.	Electricity production with grid-connected photovoltaic power plants mounted on buildings flat roof.	Electricity production with grid-connected photovoltaic power plants mounted on buildings flat roof.	Electricity production with grid-connected photovoltaic power plants mounted on buildings flat roof.	Electricity production with grid-connected photovoltaic power plants mounted on buildings flat roof.	Electricity production with grid-connected photovoltaic power plants mounted on buildings flat roof.	Electricity production with grid-connected photovoltaic power plants mounted on buildings flat roof.	Electricity production with grid-connected photovoltaic power plants mounted on open ground.	Electricity production with grid-connected photovoltaic power plants mounted on open ground.	Electricity production with grid-connected photovoltaic power plants mounted on open ground.
Percent ProductionVolume	100	100	100	100	100	100	100	100	100	100
SamplingProcedure	Questionnaire filled in by the operator of the plant (Solarspar).	Questionnaire filled in by the operator of the plant (Edison power).	Questionnaire filled in by the operator of the plant (Edison power).	Questionnaire filled in by the engineer of the plant (Hostettler).	Questionnaire filled in by the engineer of the plant (Hostettler).	Questionnaire filled in by the operator of the plant (Edison power).	Questionnaire filled in by the operator of the plant (Edison power).	Questionnaire filled in by the operator of the plant (Edison power).	Questionnaire filled in by the operator of the plant (Edison power).	Study by Mason et al. 2006
Extrapolations	none	none	none	none	none	none	none	none	none	none



## 14. Operation of photovoltaic power plants

Tab. 14.23

EcoSpold meta information of PV electricity mixes in selected countries

ReferenceFunction	Name	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant	electricity, production mix photovoltaic, at plant
Geography	Location	CH	AT	TR	CA	DE
ReferenceFunction	InfrastructureProcess	0 kWh	0 kWh	0 kWh	0 kWh	0 kWh
ReferenceFunction	Unit					
	IncludedProcesses	Production mix of photovoltaic electricity in the country. Annual output, Roof-Top: 848, Annual output, Facade: 570 kWh/kWp. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Production mix of photovoltaic electricity in the country. Annual output, Roof-Top: 833, Annual output, Facade: 550 kWh/kWp. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Production mix of photovoltaic electricity in the country. Annual output, Roof-Top: 1287, Annual output, Facade: 772 kWh/kWp. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Production mix of photovoltaic electricity in the country. Annual output, Roof-Top: 1000, Annual output, Facade: 676 kWh/kWp. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.	Production mix of photovoltaic electricity in the country. Annual output, Roof-Top: 744, Annual output, Facade: 516 kWh/kWp. Amount of solar energy transformed to electricity. Waste heat emission due to losses of electricity in the system.
	LocalName	Strommix, Photovoltaik, ab Anlage	Strommix, Photovoltaik, ab Anlage	Strommix, Photovoltaik, ab Anlage	Strommix, Photovoltaik, ab Anlage	Strommix, Photovoltaik, ab Anlage
	Synonyms					
	GeneralComment	Annual output of grid-connected PV power plants differentiated for roof-top, facade and large power plants. Literature data for optimum 3kW, installation and not real performance in the country have been corrected with a factor of 92% according to experiences in Switzerland for average production. Large PV plants are considered with measured plant-specific yields. Mix of PV-plants based on world wide average, national statistics and own assumptions. A lifetime of 30 years is taken into account for the PV installation.	Annual output of grid-connected PV power plants differentiated for roof-top, facade and large power plants. Literature data for optimum installation and not real performance in the country have been corrected with a factor of 92% according to experiences in Switzerland for average production. Mix of PV-plants based on world wide average, national statistics and own assumptions. A lifetime of 30 years is taken into account for the PV installation.	Annual output of grid-connected PV power plants differentiated for roof-top, facade and large power plants. Literature data for optimum installation and not real performance in the country have been corrected with a factor of 92% according to experiences in Switzerland for average production. Mix of PV-plants based on world wide average, national statistics and own assumptions. A lifetime of 30 years is taken into account for the PV installation.	Annual output of grid-connected PV power plants differentiated for roof-top, facade and large power plants. Literature data for optimum installation and not real performance in the country have been corrected with a factor of 92% according to experiences in Switzerland for average production. Mix of PV-plants based on world wide average, national statistics and own assumptions. A lifetime of 30 years is taken into account for the PV installation.	Annual output of grid-connected PV power plants differentiated for roof-top, facade and large power plants. Literature data for optimum installation and not real performance in the country have been corrected with a factor of 92% according to experiences in Switzerland for average production. Large German PV plants are considered with measured plant-specific yields. Mix of PV-plants based on world wide average, national statistics and own assumptions. A lifetime of 30 years is taken into account for the PV installation.
	Category	photovoltaic	photovoltaic	photovoltaic	photovoltaic	photovoltaic
	SubCategory	power plants	power plants	power plants	power plants	power plants
	Formula					
	StatisticalClassification					
	CASNumber					
	StartDate	2000	2005	2005	2005	2005
TimePeriod	EndDate	2009	2009	2009	2009	2009
	OtherPeriodText	Time of publications	Time of publications	Time of publications	Time of publications	Time of publications
Geography	Text	Use in CH.				
Technology	Text	Electricity production with grid-connected photovoltaic power plants.	Electricity production with grid-connected photovoltaic power plants.	Electricity production with grid-connected photovoltaic power plants.	Electricity production with grid-connected photovoltaic power plants.	Electricity production with grid-connected photovoltaic power plants.
Representativen	Percent	100	100	100	100	100
	ProductionVolume	In 2008 there were 3'875 PV-plants with an annual production of 33'400 MWh	In 2008 there were grid connected PV-plants with a capacity of 29.0 MWp.	In 2008 there were grid connected PV-plants with a capacity of 250 kWp.	In 2008 there were grid connected PV-plants with a capacity of 5.2 MWp.	In 2008 there were PV-plants with a capacity of 5.3 GWp.
	SamplingProcedure	Statistical data for CH.	Statistical data and model calculations	Statistical data and model calculations	Statistical data and model calculations	Statistical data and model calculations
	Extrapolations	none	Use of PV technology data investigated for other countries (Switzerland). Correction of average yield with Swiss data.	Use of PV technology data investigated for other countries (Switzerland). Correction of average yield with Swiss data.	Use of PV technology data investigated for other countries (Switzerland). Correction of average yield with Swiss data.	Use of PV technology data investigated for other countries (Switzerland). Correction of average yield with Swiss data.

## 15 Chemicals and pre-products

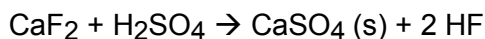
### 15.1 Fluorspar and hydrogen fluoride

#### 15.1.1 Introduction

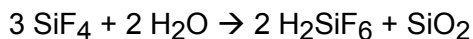
About 80% of the fluorspar ( $\text{CaF}_2$ ) production world-wide is used for the production of hydrogen fluoride. This is a basic chemical component of most chemicals containing fluorine. The most important producers of  $\text{CaF}_2$  are China (63 %), South-Africa (26 %) and Mexico (11 %). The worldwide production in the year 2000 amounts to about 4.5 Million tonnes. The worldwide resources are estimated to be about 500 Million tonnes (Miller 2002).

#### 15.1.2 Process description

Hydrogen fluoride is mainly produced from the decomposition of fluorspar with sulphuric acid according to the following reaction (<Ullmann 1985> and <EPA 1988>):



The main raw material is acid spar containing about 97%  $\text{CaF}_2$ . This is produced through flotation of grinded fluorspar. The endothermic reaction is taking place in a revolving oven that is heated from the outside. Waste gases are cleaned with sulphuric acid from dust and water. Then the hydrogen fluoride is condensed in a chain of coolers. With an after washing with concentrated sulphuric acid the remaining hydrogen fluoride is absorbed and recycled in the oven. The waste gases from the washer (mainly  $\text{SiF}_4$ ) are purified in a hydrolisator <Ullmann 1985>:



Hexafluoride silica acid ( $\text{H}_2\text{SiF}_6$ ) is fed to the further processing. The by-product calcium sulphate can be neutralized with lime, then it can be processes to synthetic anhydrite (gypsum) <Ullmann 1985>.

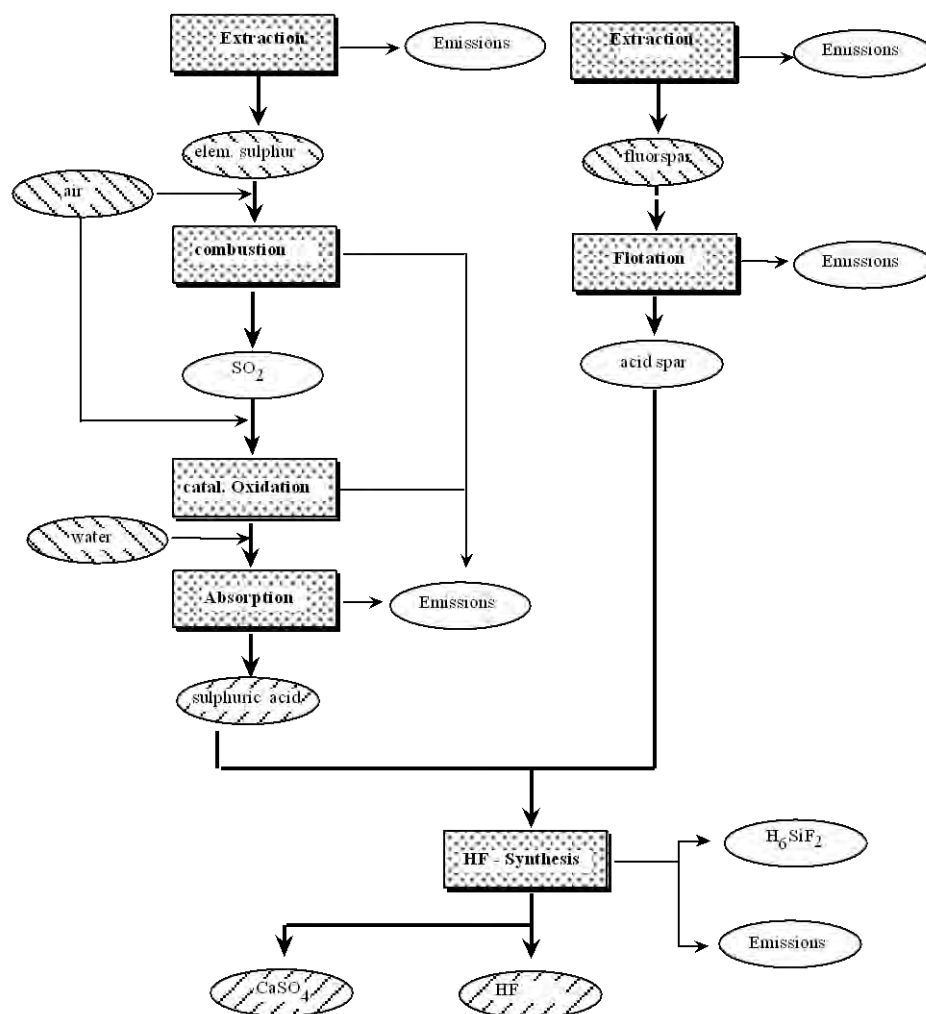


Fig. 15.1 Process stages for the production of hydrogen fluoride

### 15.1.3 Life cycle inventory

Fluorspar is produced in open-cast mining. The Vergenoeg Mining Company, South Africa, produces it in a mine with a size of approximately 1300 by 400 m<sup>2</sup>. After three stages of grinding, the fluoride is separated by flotation. Further purification stages and a magnetic separation follow. Humidity is removed in a vacuum filter. The final product contains 97 % CaF<sub>2</sub> (Metorexgroup 2002). Further information are derived from <Gruber et al. 1991>.

The amounts of the raw products fluorspar and sulphuric acid per kg of hydrogen fluoride have been investigated in (Krieger & Roekens-Guibert 2006).

Dust emissions due to fluorspar mining are reported by the US-EPA (1986) Further data for emissions were not available. The energy use for mining has been investigated by Boustead & Hancock (1979).

According to <Ullmann 1985> important resources of fluorspar are located in Upper Palatinate (Germany). A transport by truck is assumed to be 100 km for the extracted CaF<sub>2</sub>.

Emissions for the hydrogen fluoride production are estimated based on literature <EPA 1988>. The reaction of CaF<sub>2</sub> with sulphuric acid is endothermic and uses about 1 MJ heat per kg hydrogen fluoride. A process specific energy use has been estimated roughly based on information provided in the literature (Krieger & Roekens-Guibert 2006, see Fig. 15.3). Tab. 15.1 shows the unit process raw data for fluorspar and hydrogen fluoride.

## 15. Chemicals and pre-products

Tab. 15.1 Unit process raw data of fluorspar and hydrogen fluoride production (HF)

	Name	Location	Infrastructure	Unit	fluorspar, 97%, at plant	hydrogen fluoride, at plant	GLO		US, not
	Location Infrastructure Process Unit				GLO 0 kg	GLO 0 kg			
resource, in ground technosphere	Fluorspar, 92%, in ground	-	-	kg	1.05E+0	-	1	1.33	(3,3,4,3,1,5); Estimation, 5% loss
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	6.22E-2	2.56E+0	1	1.60	(3,3,5,5,1,5); Boustead 1979
	heavy fuel oil, burned in industrial furnace 1MW, non-modulating	RER	0	MJ	5.81E-1	-	1	1.60	(3,3,5,5,1,5); Boustead 1979
	natural gas, burned in industrial furnace >100kW	RER	0	MJ	6.22E-2	1.12E+0	1	1.60	(3,3,5,5,1,5); Boustead 1979
	chemical plant, organics	RER	1	unit	4.00E-10	4.00E-10	1	3.90	(5,na,1,1,5,na); Rough estimation
	fluorspar, 97%, at plant	GLO	0	kg	-	2.05E+0	1	1.09	(2,3,1,2,1,na); Krieger 2006
	sulphuric acid, liquid, at plant	RER	0	kg	-	5.50E+0	1	1.09	(2,3,1,2,1,na); Krieger 2006
	transport, lorry >16t, fleet average	RER	0	tkm	-	7.55E-1	1	2.09	(4,5,na,na,na,na); CaF <sub>2</sub> : 100 km, standard dis
	transport, freight, rail	RER	0	tkm	-	3.30E+0	1	2.09	(4,5,na,na,na,na); standard distance 600km
	Heat, waste	-	-	MJ	2.24E-1	9.21E+0	1	1.60	(3,3,5,5,1,5); Calculation
emission air, unspecified	Hydrogen fluoride	-	-	kg	6.94E-5	-	1	2.34	(3,3,4,3,5,5); Estimation
	Particulates, < 2.5 um	-	-	kg	3.75E-5	-	1	3.98	(3,3,5,5,5,5); Literature
	Particulates, > 2.5 um, and < 10um	-	-	kg	1.43E-4	-	1	2.96	(3,3,5,5,5,5); Literature
	Particulates, > 10 um	-	-	kg	1.95E-4	-	1	2.53	(3,3,5,5,5,5); Literature
	Sulfur dioxide	-	-	kg	-	3.00E-4	1	1.33	(3,3,4,3,1,5); HF production

## 15.2 Polyvinylfluoride films and pre-products (Tedlar® PVF Films)

### 15.2.1 Introduction<sup>57</sup>

Tedlar® PVF films are tough, durable, preformed polyvinyl fluoride films that are manufactured in continuous rolls. The unique weathering, mechanical, electrical, chemical, and stain-resistant properties of Tedlar® make it an ideal protective surfacing material for many applications as well as an ideal release film. Tedlar® PVF films can be oriented or non-oriented as in the Tedlar® Special (SP) film line. Film thickness can range from 12.5 microns (0.5 mil) to 50 microns (2 mil) and can be treated for adherability or left untreated for release applications.

### 15.2.2 Production process

Fig. 15.2 shows the process supply chain for Tedlar ® films produced by DuPont (Krieger & Roekens-Guibert 2006). Red and gold colours indicate process stages in DuPont facilities while grey boxes refer to purchased products. All following descriptions of individual pre-products and data are taken from the underlying publication (Krieger & Roekens-Guibert 2006) if not mentioned otherwise.

<sup>57</sup> Producers information on [www.dupont.com](http://www.dupont.com).

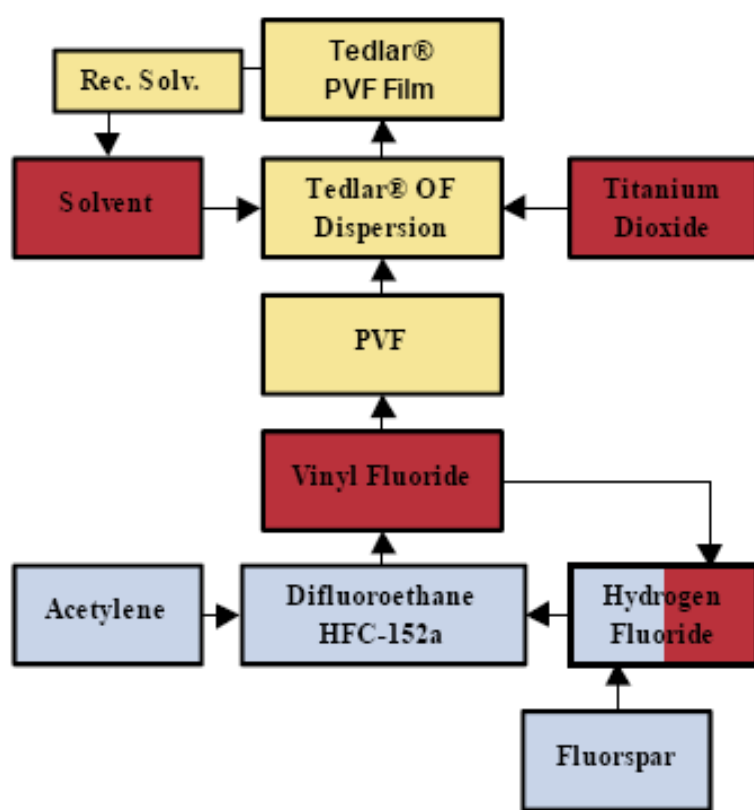


Fig. 15.2 Process supply chain for the production of PVF-films (Tedlar®) (Krieger & Roekens-Guibert 2006)

### 15.2.3 1,1-difluoroethane, HFC-152a

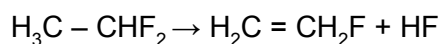
A low pressure, liquid-phase, acetylene-based process was used to model the production of HFC-152a using a  $\text{BF}_3$  catalyst (Krieger & Roekens-Guibert 2006).



The HF yield from the calculated input is assumed to be 95% while acetylene yield is estimated at 92.4%. The total process energy consumption at the HFC-152a facility is 4.9 MJ/kg HFC-152a, mostly from electricity (Krieger & Roekens-Guibert 2006, own assumption 80%). The catalyst and other raw materials (lime) contribute less than 1% to energy consumption. Further information, e.g. on emissions are not available.

### 15.2.4 Vinylfluoride

Vinyl fluoride (VF) production is modelled based on production at DuPont's Louisville, KY site. Difluoroethane (HFC-152a) is reacted to yield vinyl fluoride and hydrogen fluoride.



The co-product HF is allocated by HF avoidance in the underlying publication. Thus a credit is given for the couple product HF produced in the process.<sup>58</sup> Transport of HFC-152a is estimated with standard distances. The process energy requirements for the VF facility are 8.5 MJ per kg product, 75 % steams from natural gas. The total process energy from cradle-to-gate for VF production (60.7 MJ/kg) is less than that for HFC-152a production due to the HF avoidance credit (Krieger & Roekens-Guibert 2006).

<sup>58</sup> This is not fully in line with the general rules applied in ecoinvent data for allocation problems. In this case an allocation could not be made because of lack of data.

### 15.2.5 Polyvinylfluoride

Vinyl fluoride is polymerized by free-radical processes. The process requires high pressure (Carlsson & Schmiegel 2005). No further description of this stage is available in the underlying publication. Data for this stage have been disaggregated as described later.

### 15.2.6 Polyvinylfluoride, dispersion

The PVF polymer is mixed in a solvent with titanium dioxide and other minor additives to form a dispersion. The dispersion is coalesced into a melt in an extruder and formed into a web through a hopper die. The melt is quenched in a water/solvent bath, then stretched in both the machine direction and the transverse direction and dried in a tenter frame drying oven. Solvent is recovered from both the quench station and the dryer and recycled via distillation. The film is adhesion treated, slit to width, and packaged for shipment to a lamination facility. Some film is flaked and recycled to the dispersion to minimize yield loss (Krieger & Roekens-Guibert 2006).

### 15.2.7 PVF film production

No specific information on this process stage is available.

### 15.2.8 Solvent use

Acetic acid and Dimethylamine (DMA) are reacted without catalyst to form dimethylacetimide, DMAc. Emissions were estimated by assuming that the yield losses are released in the form of acetic acid, DMA, and DMAc. They are incinerated, releasing 0.15 kg CO<sub>2</sub> and 0.04 kg NO<sub>x</sub> per kg DMAc produced. The input of solvent is modelled here with acetic acid (Krieger & Roekens-Guibert 2006).

### 15.2.9 Life cycle inventories of PVF-film production

A life cycle assessment for the production of PVF-films has been elaborated by Krieger & Roekens-Guibert (2006) whose results are shown in Fig. 15.3. However, only cumulative data are shown here. Due to confidentiality concerns the detailed inventory data were not available. The data from the above mentioned publication have been disaggregated in order to calculate about the same results as shown in the underlying publication. The unit process raw data for the single production stages are shown in Tab. 15.2. For all process steps the inputs of the main reactants are calculated with an efficiency of 95 %. The data for the energy uses are based as far as possible on information in the paper. The emissions of HFC-152a are roughly assessed based on the published figure for the GWP in these process stages not resulting due to direct energy uses.

## 15. Chemicals and pre-products

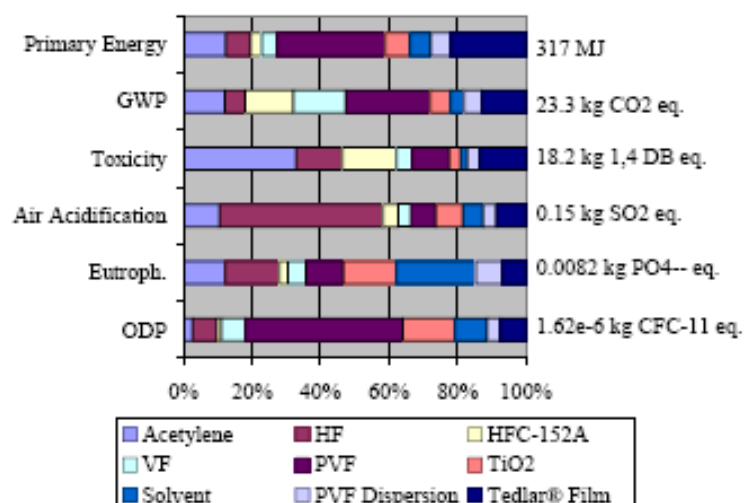


Fig. 15.3 Environmental impacts of the production of 1 kg Tedlar® films by process step (Krieger & Roekens-Guibert 2006)

Tab. 15.2 Unit process raw data of polyvinylfluoride films

	Name	Location	Infrastructure	Process	Unit	1,1-difluoroethane, vinylfluoride, polyvinylfluoride, polyvinylfluoride dispersion, at plant, polyvinylfluoride film, at plant									
						US	US	US	US	US					
						0	0	0	0	0					
	Location	Infrastructure	Process	Unit	kg	kg	kg	kg	kg	kg					
emission air, unspecified	electricity, medium voltage, at grid	US	0	kWh	1.09E+0	5.90E-1	4.76E+0	8.72E-1	2.81E+0	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	natural gas, burned in industrial furnace >100kW	RER	0	MJ	9.80E-1	6.38E+0	5.14E+1	9.42E+0	3.03E+1	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	chemical plant, organics	RER	1	unit	4.00E-10	4.00E-10	4.00E-10	4.00E-10	4.00E-10	1	3.27	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	hydrogen fluoride, at plant	GLO	0	kg	6.37E-1	-4.58E-1	-	-	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	1,1-difluoroethane, HFC-152a, at plant	US	0	kg	-	1.51E+0	-	-	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	vinylfluoride, at plant	US	0	kg	-	-	1.05E+0	-	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	polyvinylfluoride, at plant	US	0	kg	-	-	-	1.05E+0	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	polyvinylfluoride, dispersion, at plant	US	0	kg	-	-	-	-	8.04E-1	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	acetylene, at regional storehouse	CH	0	kg	4.27E-1	-	-	-	-	1	1.57	(5,3,1,1,1,5); Acetylen yield is 92.4%			
	acetic acid, 98% in H2O, at plant	RER	0	kg	-	-	-	4.71E-1	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	dimethylamine, at plant	RER	0	kg	-	-	-	-	-	1	1.57	(5,3,1,1,1,5); Emitted, but amount not known			
	titanium dioxide, production mix, at plant	RER	0	kg	-	-	-	-	2.48E-1	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	lime, hydrated, packed, at plant	CH	0	kg	2.14E-2	-	-	-	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	zinc, primary, at regional storage	RER	0	kg	1.97E-3	-	-	-	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	sulphuric acid, liquid, at plant	RER	0	kg	-	-	-	-	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	transport, lorry >16t, fleet average	RER	0	tkm	1.09E-1	1.05E-1	1.05E-1	1.52E-1	1.05E-1	1	2.28	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	transport, freight, rail	RER	0	tkm	2.70E-1	9.08E-1	-	2.82E-1	1.49E-1	1	2.28	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	transport, transoceanic freight ship	OCE	0	tkm	6.37E+0	-	-	-	-	1	2.28	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	Heat, waste	-	-	MJ	3.92E+0	2.13E+0	1.71E+1	3.14E+0	1.01E+1	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
	Nitrogen oxides	-	-	kg	-	-	-	1.88E-2	-	1	1.83	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006			
Carbon dioxide, fossil	-	-	kg	-	-	-	7.06E-2	-	1	1.57	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006				
Ethane, 1,1-difluoro-, HFC-152a	-	-	kg	1.36E-2	2.05E-2	-	-	-	1	1.83	(5,3,1,1,1,5); Rough estimation based on cumulative data, Krieger et al. 2006				

### 15.2.10 Crosscheck of results

The preliminary cumulative results based on ecoinvent data v1.3 have been crosschecked with the LCA software SimaPro with the published results shown in Fig. 15.3. In general the match is quite good. Only for toxicology it is not fully clear which indicator has been used in the paper and how the large difference can be explained.

## 15. Chemicals and pre-products

Tab. 15.3 Cross check of preliminary cumulative results calculated with ecoinvent data v1.3 with the published data

Impact category	Unit	polyvinylfluoride film, at plant/kg/US	Krieger 2006
cumulative energy demand	MJ-Eq	314	317
abiotic depletion	kg Sb eq	0.14	n.d.
global warming (GWP100)	kg CO2 eq	24.27	23.30
ozone layer depletion (ODP)	kg CFC-11 eq	1.28E-06	1.62E-06
human toxicity	kg 1,4-DB eq	5.37	18.20
fresh water aquatic ecotox.	kg 1,4-DB eq	1.27	
marine aquatic ecotoxicity	kg 1,4-DB eq	1.25E+04	
terrestrial ecotoxicity	kg 1,4-DB eq	0.04	
photochemical oxidation	kg C2H4	6.02E-03	n.d.
acidification	kg SO2 eq	0.13	0.15
eutrophication	kg PO4--- eq	6.95E-03	8.20E-03

### 15.3 Meta information of PV fluorine chemicals

Tab. 15.4 show the EcoSpold meta information of fluorine chemicals investigated in this chapter.



## 15. Chemicals and pre-products

Tab. 15.4 EcoSpold meta information of fluorine chemicals

ReferenceFunction	Name	fluorspar, 97%, at plant	hydrogen fluoride, at plant	1,1-difluoroethane, HFC-152a, at plant	vinylfluoride, at plant	polyvinylfluoride, at plant	polyvinylfluoride, dispersion, at plant	polyvinylfluoride film, at plant
Geography	Location	GLO	GLO	US	US	US	US	US
ReferenceFunction	InfrastructureProcess	0	0	0	0	0	0	0
ReferenceFunction	Unit	kg	kg	kg	kg	kg	kg	kg
TimePeriod	IncludedProcesses	Mineral extraction of calcium fluoride (fluorspar).	Production of hydrogen fluoride from fluorspar and sulphuric acid.	Pre-products, energy use, infrastructure, some air emissions and transports. No full information on all air and water emissions available.	Pre-products, energy use, infrastructure, some air emissions and transports. No full information on all air and water emissions available.	Pre-products, energy use, infrastructure, some air emissions and transports. No full information on all air and water emissions available.	Pre-products, energy use, infrastructure, some air emissions and transports. No full information on all air and water emissions available.	Pre-products, energy use, infrastructure, some air emissions and transports. No full information on all air and water emissions available.
	LocalName	Flussspat, 97%, ab Werk	Fluorwasserstoff, ab Werk	1,1-Difluoroethan, HFC-152a, ab Werk	Vinylfluorid, ab Werk	Polyvinylfluorid, ab Werk	Polyvinylfluorid, Dispersion, ab Werk	Polyvinylfluorid-Folie, ab Werk
	Synonyms	calcium fluoride	Flusssäure	R152a/1,1-Difluoroethylene	Emylene, fluoro-Fluoroethene//Fluoroethylene//Monofluoroethylene//Vinyl fluoride//			Tedlar//Tefzel
	GeneralComment	Basic inventory based on old literature information.	Basic inventory based on own assumptions.	Basic inventory based on cumulative data.	Basic inventory based on cumulative data.	Basic inventory based on cumulative data.	Basic inventory based on cumulative data.	Basic inventory based on cumulative data.
	Category	chemicals	chemicals	chemicals	chemicals	chemicals	chemicals	chemicals
	SubCategory	inorganics	inorganics	organics	organics	organics	organics	organics
	Formula	CaF <sub>2</sub>	HF	C <sub>2</sub> H <sub>4</sub> F <sub>2</sub>	C <sub>2</sub> H <sub>3</sub> F	C <sub>2</sub> H <sub>3</sub> F	C <sub>2</sub> H <sub>3</sub> F	C <sub>2</sub> H <sub>3</sub> F
	StatisticalClassification	14542-23-5	73602-61-6	75-37-6	75-02-5	24981-14-4	24981-14-4	24981-14-4
	CASNumber	1976	1979	2005	2005	2005	2005	2005
	StartDate	1991	2006	2006	2006	2006	2006	2006
Geography	OtherPeriodText	Time of publications.	Time of publications.	Time of publications.	Time of publications.	Time of publications.	Time of publications.	Time of publications.
	Text	Main producers are China, South Africa and Mexico. Some data for calcium fluoride produced in Germany.	Hydrogen fluoride is produced in different countries.	Production plant of DuPont in the United States.	Production plant of DuPont in the United States.	Production plant of DuPont in the United States.	Production plant of DuPont in the United States.	Production plant of DuPont in the United States.
Technology	Text	Open cast mining of resource. Separation by crushing, grinding and flotation.	Endothermic reaction of CaF <sub>2</sub> and H <sub>2</sub> SO <sub>4</sub> .	Fluoropolymer chemistry.	Fluoropolymer chemistry.	Fluoropolymer chemistry.	Fluoropolymer chemistry.	Fluoropolymer chemistry.
Representative	Percent	10	10	50	50	50	50	50
	ProductionVolume	A few million tonnes per year.	About 53'000 metric tonnes in the US.	Not known	Not known	Not known	Not known	Not known
	SamplingProcedure	Literature and own estimations.	Own estimations.	Publication of cumulative data.	Publication of cumulative data.	Publication of cumulative data.	Publication of cumulative data.	Publication of cumulative data.
	Extrapolations	none	Own assumptions for desaggregation of published cumulative data on energy use.	Desaggregation of published cumulative results for global warming potential and cumulative energy demand.	Desaggregation of published cumulative results for global warming potential and cumulative energy demand.	Desaggregation of published cumulative results for global warming potential and cumulative energy demand.	Desaggregation of published cumulative results for global warming potential and cumulative energy demand.	Desaggregation of published cumulative results for global warming potential and cumulative energy demand.

## 15.4 Polytetrafluoroethylene, Trichloromethane, Chlorodifluoromethane and PTFE-Film

### 15.4.1 Acknowledgement

We thank an important producer of PTFE for some information provided in a personal communication.

### 15.4.2 Summary

This chapter describes the production of polytetrafluoroethylene (PTFE). The intermediate production stages of trichloromethane and chlorodifluoromethane production are included in the investigation. The inventory for PTFE and some of the pre-products is based on a literature research, general information provided by manufacturers and assumptions. The unit process raw data are meant to be used as background information if PTFE or PTFE film is used for a product in small amounts.

Therefore these data can give an approximation. These are not reliable enough for direct comparison of this material with alternative products.

### 15.4.3 Introduction

This chapter describes the production of polytetrafluoroethylene. This product is better known under the brand names like Teflon® or Fluon. A common abbreviation is PTFE. It can be used for a range of different applications. Half of the PTFE produced is consumed by electrical applications. This includes wires, tapes in coaxial cables, computer wires, electrical tape, electrical components and tubings. In mechanical applications PTFE is applied in seals, piston rings, basic shapes and anti-stick uses as well as bearings, mechanical tapes and coated glass fabrics. The third group, the chemical applications, uses PTFE for packing, overbraided hose liners, thread-sealant tapes and gaskets. (Gangal & Brothers 2010). The pre-products trichloromethane and chlorodifluoromethane are also investigated.

### 15.4.4 Reserves and Resources of PTFE

PTFE is a solid organic chemical product. Basic resources are raw salt and fluorite. Manufacturers of PTFE include Ausimont (Algoflon and Halar), Daikin Kogyo (Polyflon), Du Pont (Teflon®), Hoechst (Hostaflon), ICI (Fluon), and a producer in Russia (Ftoroplast) (Asahi 2002; Ausimont 2002; DuPont 2002). The worldwide production capacity in 1987 for all fluoroplastics was about 45 000t (Carlson & Schmiegel 2002).

### 15.4.5 Characterisation of PTFE

Polytetrafluoroethylene (PTFE, CAS number 009002-84-0) is a straight-chain polymer of tetrafluoroethylene (TFE). It has a high melting point (327°C) and a maximum use temperature (>260°C). PTFE exhibits unusual toughness down to very low temperatures. It is insoluble in all known solvents and resists attack by most chemicals. PTFE has the general formula (Carlson & Schmiegel 2002):



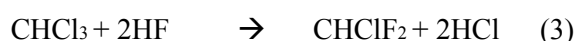
The product of interest for this study is fluorocarbon film used for coatings of solar glass. It is a transparent, thermoplastic film that can be heat sealed, thermoformed, vacuum formed, heat bonded, welded, metalized, laminated-combined with dozens of materials, and can also be used as an excellent hot-melt adhesive (DuPont 2002).

### 15.4.6 Use of PTFE

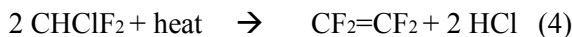
Numerous uses have been found for fluoropolymers, many of which cannot be satisfied by any other material. About half of the PTFE resin that is produced is used in electrical applications such as insulation, flexible printed circuits, and piezoelectric devices. Other applications include chemically resistant coatings, bearings, no-stick surfaces, architectural fabric, laboratory ware, heat exchangers and water repellent fabrics (Carlson & Schmiegel 2002). PTFE products are offered in a broad range of grades including granular fine cut, free flows, compounds, fine coagulated powders, and aqueous dispersions.

### 15.4.7 System Characterisation

Fig. 15.4 shows a flow chart for the production of fluorochemicals and PTFE. The following chemical reactions are used to create the monomers (Cedergren et al. 2001):



## 15. Chemicals and pre-products



Parts of the production are carried out at high pressure and high temperature, 590 °C – 900 °C. The first reaction (1) takes place in the presence of heat and  $\text{HSO}_3^-$  and steam. The inventory for the production of hydrogen fluoride for this project can be found in Section 15.1. Reaction (2) is used to produce trichloromethane. Reaction 3 for the production of chlorodifluoromethane takes place in the presence of a catalyst. The production of PTFE (4) takes place under high temperature pyrolysis conditions.

Large amounts of hydrochloric acid (HCl) are generated as a couple product during the process and are sold as a 30% aqueous solution. A large number of other by-products and emissions is formed in the processes (benzene, dichloromethane, ethylene oxide, formaldehyde, R134a, and vinyl chloride) and small amounts of the highly toxic perfluoroisobutylene  $\text{CF}_2=\text{C}(\text{CF}_3)_2$ . The by-products in the production of monomers can harm the processes of polymerisation. Because of this the refinement of the production of monomers has to be very narrow. This makes the process complex and it contributes to a high cost for the PTFE-laminates. (Cedergren et al. 2001).

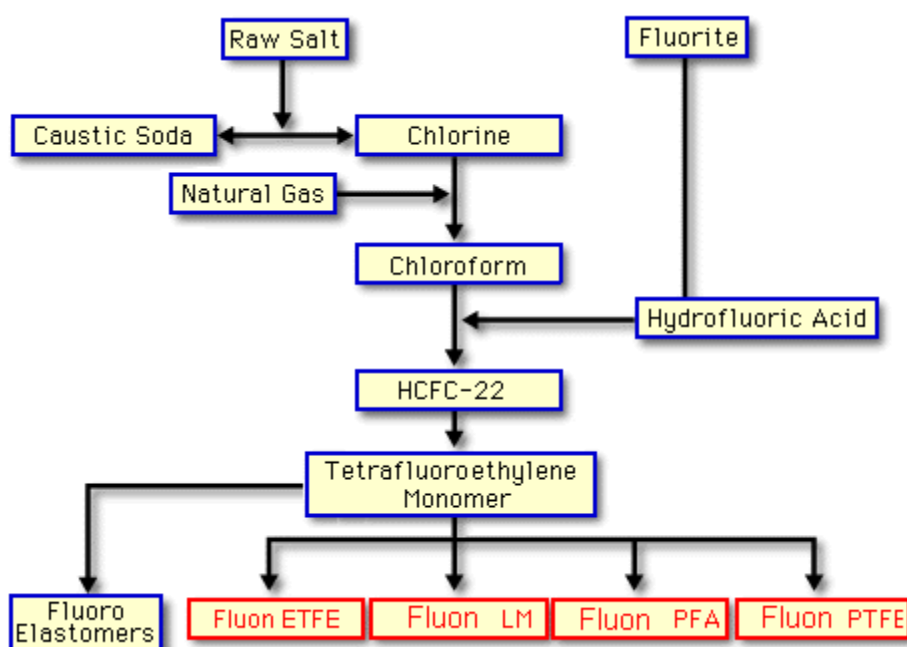


Fig. 15.4 Flow chart for the production of fluoro chemicals and PTFE (Asahi 2002)

The principal method for synthesizing organic fluoropolymers is free-radical polymerisation (Carlson & Schmiegel 2002). PTFE is obtained by polymerisation of the tetrafluoroethylene monomer. This reaction generally takes place in an aqueous medium with additional additives and modifiers. The polymerisation process requires a lot of energy to reach the reaction temperature, but it is about the same amount of energy is liberated in the exothermic reaction. Two basic polymerisation processes are used in order to obtain two different classes of polymers, which are also processed by different techniques (Ausimont 2002):

- Suspension polymerisation: resulting polymers are suitable for moulding or ram extrusion after appropriate treatment.
- Dispersion polymerisation: resulting aqueous dispersion of polymers, after concentration and formulation, can be used for impregnation, cast films, dedusting and coating. Coagulated powders obtained from aqueous dispersion are used in paste extrusion technology.

### 15.4.8 Life Cycle Inventory for PTFE

Specific data for the product of interest in this context, the fluorocarbon film materials were not available. A life cycle inventory for PTFE laminates has been elaborated by (Cedergren et al. 2001). It is mainly based on stoichiometric assumptions for the production process. The intermediate products chlorodifluoromethane (HCFC-22) and trichloromethane (chloroform) have been investigated by (Frischknecht 1999). The cumulative results of a life cycle inventory for PTFE have been provided by a manufacturer. This study is confidential. Some information could be used in estimating and verifying the assumptions for the life cycle inventory. But the data shown here are not specific or original data of a company.

Environmental impacts and exchanges are fully allocated to the main products of the processes. The couple product HCl which is formed in the process stages contributes only a small share to the earnings of the process. The ratios in selling prices of the two products are: HCl : chlorodifluoromethane = 2.8 : 97.2 and HCl : PTFE = 0.5 : 99.5. Trichloromethane is an intermediate raw material that is not sold on the market (and thus has no clear price).<sup>59</sup> From an economic point of view its production can thus be neglected even if the mass share is relative high.

### 15.4.9 Trichloromethane

The unit process raw data for the production of trichloromethane (CAS No. 67-66-3) are shown in Tab. 15.8. It is produced as a clear colourless liquid with a pleasant, sweet odour. The chemical is an intermediate product for e.g. chlorodifluoromethane product and thus not sold on the market. The dataset has been elaborated by (Frischknecht 1999). It is based on stoichiometric assumptions and a literature information for the energy use (Papasavva & Moomaw 1998). Natural gas is assumed as an input instead of methane. Transports of chlorine are not considered because it is assumed that the production takes place in the same plant. Data for infrastructure are assumed with generic data. The couple product HCl (0.92kg/kg) is not considered in the calculation.

Tab. 15.5 Unit process raw data and data quality indicators for production of trichloromethane.

	Name Location InfrastructureProcess Unit	Locati on	Infrastr	Unit	trichloromethane, at plant RER 0 kg	Uncer Stand andDe viation	GeneralComment
product	trichloromethane, at plant	RER	0	kg	1.00E+0		
technosphere	natural gas, high pressure, at consumer	RER	0	MJ	7.65E+0	1 1.38	(4,5,1,1,3,na); Assumption for methane, stoichiometric calculation, yield 85%
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	4.44E-1	1 1.32	(3,5,1,1,3,na); Literature 1998, estimation 10% electricity
	natural gas, burned in industrial furnace >100kW	RER	0	MJ	1.10E+1	1 1.32	(3,5,1,1,3,na); Literature 1998, estimation 90% gas
	chlorine, liquid, production mix, at plant	RER	0	kg	8.48E-1	1 1.38	(4,5,1,1,3,na); Stoichiometric calculation, yield 85%, not including Cl2 for total HCl couple product
	chemical plant, organics	RER	1	unit	4.00E-10	1 3.90	(5,na,1,1,5,na); Generic estimation
emission air, high population density	Methane, tetrachloro-, CFC-10	-	-	kg	1.00E-3	1 1.88	(5,5,1,1,3,na); Estimation of 0.1% emission
	Chloroform	-	-	kg	1.00E-2	1 1.88	(5,5,1,1,3,na); Estimation of 1% emission
	Methane, dichloro-, HCC-30	-	-	kg	3.00E-4	1 1.88	(5,5,1,1,3,na); Estimation of 0.03% emission
	Ethene, chloro-	-	-	kg	5.00E-7	1 1.88	(5,5,1,1,3,na); Estimation
	Heat, waste	-	-	MJ	1.60E+0	1 1.88	(5,5,1,1,3,na); Estimation of 1% emission
emission water, river	Methane, dichloro-, HCC-30	-	-	kg	5.00E-6	1 3.32	(5,5,1,1,3,na); Estimation
couple product	hydrochloric acid, 36% in H2O, at plant			kg	9.20E-1		
total couple product for PTFE	hydrochloric acid, 36% in H2O, at plant			kg	1.21E+0		

### 15.4.10 Chlorodifluoromethane (HCFC-22)

Chlorodifluoromethane (CAS No. 75-45-6), a non-flammable gas, is created by reacting chloroform with hydrogen fluoride in the presence of an antimony pentachloride catalyst. The gaseous reactants are sent to a reactor where they are bubbled through the molten catalyst. The product gas is then cooled and the unreacted chloroform is separated and recycled. Scrubbers absorb the HCl by using water, NaOH and sulphuric acid. The product gas is then converted into a liquid by refrigeration and

<sup>59</sup> Information provided by an important producer of PTFE in a personal communication, 11.2002.

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## 15. Chemicals and pre-products

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sent to a series of distillation columns where trifluoromethane is removed from the product stream (Overcash n.d.).

The unit process raw data for the production of chlorodifluoromethane are shown in Tab. 15.6. Inventory datasets have been elaborated by (Frischknecht 1999; Overcash n.d.). The specific electricity consumption for the production of chlorodifluoromethane is based on Campbell & McCulloch (1998): Less than 1.8MJ/kg of electricity is used. Further information on the energy consumption is available in Weibel (1996) and Papasavva & Moomaw (1998). The calculation of the amount of heat required in the production is described in Section 15.4.11.

Data for infrastructure are assumed with generic data. (Harnisch & Hendriks 2000) give an emission factor of 1.5% to 4% for HFC-23 as a by-product of HCFC-22 production. (Ros 1994) reported a much lower value (0.35%) for the production plant of DuPont in the Netherlands. A thermal destruction device at this place removes a large part of the by-products, e.g. 94% of the HFC-23.

Emissions from this Dutch production facility in the year 2010 are included in the inventory (see Tab. 15.7). The emissions of CFC-11, CFC-12, and HCC-30 into air as well as of fluoride into water are allocated equally to all products from this production facility (see description in Section 15.4.11). According to Ros (1994), hydrochlorofluorocarbons (HCFC) and hydrofluorocarbons (HFC) are emitted in the production of chlorodifluoromethane. Applying the emissions in 2010 and the chlorodifluoromethane production reported by Ros (1994) results in HFC-23 emissions of 1.93 g/kg and HCFC emissions of 3.5 g/kg. The HCFC emissions are split into 99.7 % HCFC-22 and 0.3 % HCFC-21 according to Ros (1994). This value is representative for the production in a modern plant in Europe and not for a world average production. Chloroform, hydrogen chloride, and hydrogen fluoride emissions into air as well as emissions into water are adopted from Ros (1994).

Standard transport distances have been considered for this study. The ratios in selling price of the two products are: HCl : chlorodifluoromethane = 2.8 : 97.2. The by-products HCl (0.367kg/kg) and trifluoromethane are not considered in the calculation.

## 15. Chemicals and pre-products

Tab. 15.6 Unit process raw data and data quality indicators for production of chlorodifluoromethane.

	Name	Location	Infrastructure	Unit	chlorodifluoromethane, at plant, update	Uncertainty Standard Deviation	General Comment
	Location				NL		
	Infrastructure/Process				0		
	Unit				kg		
	chlorodifluoromethane, at plant, update	NL	0	kg	1		
technosphere	electricity, medium voltage, at grid	NL	0	kWh	5.00E-1	1	1.24 (3,3,1,1,1,5); Based on PRTR 2010
	natural gas, burned in industrial furnace >100kW	RER	0	MJ	3.75E+1	1	1.24 (3,3,1,1,1,5); Based on PRTR 2010
	wood chips, from industry, mixed, burned in furnace 1000kW	CH	0	MJ	4.68E+0	1	1.24 (3,3,1,1,1,5);
	tap water, at user	RER	0	kg	9.53E-1	1	1.26 (3,na,3,3,1,5); Calculation in literature
	hydrogen fluoride, at plant	GLO	0	kg	5.50E-1	1	1.24 (3,3,1,1,1,5); Estimation based on literature values
	sodium hydroxide, 50% in H <sub>2</sub> O, production mix, at plant	RER	0	kg	6.16E-2	1	1.26 (3,na,3,3,1,5); Calculation in literature
	sulphuric acid, liquid, at plant	RER	0	kg	2.13E-1	1	1.26 (3,na,3,3,1,5); Calculation in literature
	trichloromethane, at plant	RER	0	kg	1.60E+0	1	1.24 (3,3,1,1,1,5); Estimation based on literature values
	chemical plant, organics	RER	1	unit	4.00E-10	1	3.90 (5,na,1,1,5,na); Generic estimation
	transport, lorry >16t, fleet average	RER	0	tkm	2.42E-1	1	2.09 (4,5,na,na,na,na); Standard distance 100km
	transport, freight, rail	RER	0	tkm	1.45E+0	1	2.09 (4,5,na,na,na,na); Standard distance 600km
	disposal, refinery sludge, 89.5% water, to hazardous waste incineration	CH	0	kg	4.40E-3	1	1.31 (4,3,2,1,1,5); Chemical wastes, literature 1994
	disposal, municipal solid waste, 22.9% water, to municipal incineration	CH	0	kg	1.20E-3	1	1.31 (4,3,2,1,1,5); Other wastes, literature 1994
emission air, high population density	Methane, chlorodifluoro-, HCFC-22	-	-	kg	3.45E-3	1	1.61 (3,4,3,3,1,5); Based on PRTR 2010
	Methane, trifluoro-, HFC-23	-	-	kg	1.93E-3	1	1.61 (3,4,3,3,1,5); Based on PRTR 2010
	Methane, dichlorofluoro-, HCFC-21	-	-	kg	1.04E-5	1	1.58 (3,3,1,1,1,5); Based on PRTR 2010
	Methane, trichlorofluoro-, CFC-11	-	-	kg	1.76E-6	1	1.58 (3,3,2,1,1,5); Based on PRTR 2010
	Methane, dichlorodifluoro-, CFC-12	-	-	kg	1.25E-4	1	1.58 (3,3,2,1,1,5); Based on PRTR 2010
	Methane, dichloro-, HCC-30	-	-	kg	1.04E-4	1	2.05 (4,3,na,na,1,na); Literature
	Chloroform	-	-	kg	1.00E-4	1	1.58 (3,3,2,1,1,5); Literature 1994
	Hydrogen fluoride	-	-	kg	3.10E-3	1	1.59 (3,na,3,3,1,5); Based on PRTR 2010
	Hydrogen chloride	-	-	kg	5.60E-3	1	1.59 (3,na,3,3,1,5); Based on PRTR 2010
	Heat, waste	-	-	MJ	1.80E+0	1	1.24 (3,3,1,1,1,5); Based on PRTR 2010
emission water, river	Chloroform	-	-	kg	1.10E-5	1	3.06 (3,3,2,1,1,5); Literature 1994
	Antimony	-	-	kg	3.60E-5	1	5.07 (3,3,2,1,1,5); Literature 1994
	Zinc, ion	-	-	kg	4.30E-6	1	5.07 (3,3,2,1,1,5); Literature 1994
	Hydrocarbons, unspecified	-	-	kg	1.00E-6	1	3.06 (3,3,2,1,1,5); Literature 1994
	Sodium, ion	-	-	kg	6.46E-2	1	5.08 (3,na,3,3,1,5); Calculation in literature
	Chloride	-	-	kg	9.83E-2	1	5.08 (3,na,3,3,1,5); Calculation in literature
	Sulfate	-	-	kg	2.78E-1	1	5.08 (3,na,3,3,1,5); Calculation in literature
	Fluoride	-	-	kg	4.62E-4	1	2.05 (4,3,na,na,1,na); Based on PRTR 2010
couple product	trifluoromethane			kg	1.78E-1		
	hydrochloric acid, 36% in H <sub>2</sub> O, at plant			kg	3.67E-1		

### 15.4.11 PTFE

The unit process raw data of the production of PTFE are shown in Tab. 15.8. The inventory is based on a stoichiometric calculation and some qualified assumptions regarding process specific emissions. Further information is available for a production plant in the Netherlands (Ros 1994) and from the European Pollutant Release and Transfer Register (see Tab. 15.7). Data about infrastructure are approximated with generic data. The co-product HCl (0.18 kg/kg) is disregarded in the calculation.

## 15. Chemicals and pre-products

Tab. 15.7 Emissions in 2010 of Du Pont de Nemours, a facility in the Netherlands producing PTFE and other chemicals.<sup>60</sup>

Releases to air	t/a
Chlorofluorocarbons, CFCs	8.83
Carbon dioxide, CO <sub>2</sub>	178000
Carbon dioxide, CO <sub>2</sub> , excluding biomass	146000
Dichloromethane, DCM	7.2
Hydrochlorofluorocarbons, HCFCs	121
Hydro-fluorocarbons, HFCs	67.7
Perfluorocarbons PFC	0.576
NM VOC	256
Nitrogen oxides	119
Releases to water	
Fluorides (as total F)	32.1

According to Ros (1994) the total capacity of the Du Pont facility in the Netherlands for producing CFC 113/114, HCFC 22, PTFE, and hexafluoropropylene (HFP) is 69.5 ktons per year. 1.4 % of the CFC emissions is CFC-11 and 98.6 % CFC-12, resulting in 1.76 mg CFC-11 and 125 mg CFC-12 per kg product. We apply these factors for both PTFE, and chlorodifluoromethane production. The total dichloromethane emissions divided by the total amount of products results in HCC-C30 emissions of 104 mg/kg product. Correspondingly, fluoride emissions into water amount to 462 mg/kg product.

Ros (1994) describes that the emissions of NMVOC stem from the production monomers (TFE & HFP). In 1992, 12'800 tons of polymers were produced from these monomers. This production volume is used as the basis to calculate the following emission factor (the capacity of the site has not changed since then). The total NMVOC emissions amount to 256 tons (see Tab. 15.7) according to the most recent PRTR information<sup>60</sup>. NMVOC emissions are mainly methanol, resulting in an emission factor of 20 g methanol per kilogramme monomer. Perfluorocarbons released in the production of tetrafluoroethylene are perfluorocyclobutane (PFC-318) and perfluorocyclopropane. We assume equal shares of both substance, which results in emission factors of 22.5 mg of each substance per kilogramme PTFE.

The energy demand is estimated based on the carbon dioxide emissions. Following Ros (1994), the fossil CO<sub>2</sub>-emissions of 2.1 kg/kg product calculated from Tab. 15.7 derive from the heating with natural gas with a CO<sub>2</sub>-intensity of 56g CO<sub>2</sub> (fossil)/MJ of heat. The biogenic CO<sub>2</sub> of 0.4kg/kg is assumed to derive from an industrial furnace that is fuelled with wood chips. Its CO<sub>2</sub>-intensity is 98.4g CO<sub>2</sub> (biogenic)/MJ. The resulting energy demand is 37.5 MJ from natural gas and 4.2 MJ from wood chips per kg produced.

<sup>60</sup> <http://prtr.ec.europa.eu/FacilityLevels.aspx> (access on 04.09.2012); pollutant releases of Du Pont de Nemours, Dordrecht NL

## 15. Chemicals and pre-products

Tab. 15.8 Unit process raw data and data quality indicators for production of PTFE.

	Name	Location	Infrastruct	Unit	tetrafluoroethylene, at plant, update	Uncertal Standard Deviatio	GeneralComment
	Location				RER		
	InfrastructureProcess				0		
	Unit				kg		
product	tetrafluoroethylene, at plant, update	RER	0	kg	1		
technosphere	natural gas, burned in industrial furnace >100kW	RER	0	MJ	3.75E+1	1 1.57	(5,3,na,na,3,na); Estimation based on CO2 emissions 2010 (E-PRTR) and literature (Ros 1994)
	wood chips, from industry, mixed, burned in furnace 1000kW	CH	0	MJ	4.68E+0	1 3.90	(5,na,1,1,5,na); Estimation based on CO2 emissions 2010 (E-PRTR) and literature (Ros 1994)
	chlorodifluoromethane, at plant, update	NL	0	kg	1.81E+0	1 1.22	(4,3,na,na,1,na); Stoichiometric calculation, 95% yield
	disposal, refinery sludge, 89.5% water, to hazardous waste incineration	CH	0	kg	4.39E-3	1 1.33	(4,3,3,3,1,5); Literature (Ros 1994)
	disposal, municipal solid waste, 22.9% water, to municipal incineration	CH	0	kg	1.22E-3	1 1.33	(4,3,3,3,1,5); Literature, Ros 1994, manufacturing waste
	chemical plant, organics	RER	1	unit	4.00E-10	1 3.90	(5,na,1,1,5,na); Generic estimation
	transport, lorry >16t, fleet average	RER	0	tkm	2.32E-4	1 2.09	(4,5,na,na,na,na); Standard distance 10/50km
emission air, high population density	Methanol	-	-	kg	2.00E-2	1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
	Methane, trichlorofluoro-, CFC-11	-	-	kg	1.76E-6	1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
	Methane, dichlorodifluoro-, CFC-12	-	-	kg	1.25E-4	1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
	Propane, perfluorocyclo-	-	-	kg	2.25E-5	1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
	Butane, perfluorocyclo-, PFC-318	-	-	kg	2.25E-5	1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
	Methane, dichloro-, HCC-30	-	-	kg	1.04E-4	1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
	NMVO, non-methane volatile organic compounds, unspecified origin	-	-	kg		1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
emission water, river	Fluoride	-	-	kg	4.62E-4	1 2.05	(4,3,na,na,1,na); Based on emission data 2010 (E-PRTR) and production figures from literature (Ros 1994)
couple product	hydrochloric acid, 36% in H2O, at plant			kg	1.80E-1		
total couple product	hydrochloric acid, 36% in H2O, at plant			kg	3.51E+0		

The chlorinated or fluorinated carbon emissions in this table do not occur in the production of the tetrafluoroethylene used in the ETFE foil applied in the Flexcell a-Si modules (Stucki et al. 2012). An environmental product declaration of this specific product reveals that the production steps from chlorodifluoromethane to tetrafluoroethylene and finally to ETFE do not release any chlorinated or fluorinated carbons that have an effect on climate change or ozone layer depletion (Institut Bauen und Umwelt e.V. 2011).

### 15.4.12 Adhesion of PTFE on Glass

Adhesion of PTFE to glass is difficult because it is usually undesirable to etch these substrates. In order to use PTFE for adhesion of glass, the surfaces to be coated shall be cleaned by boiling in isopropanol for 15 minutes, followed by drying. Then the dried surfaces are coated with a 2% solution of fluorosilane in 95% ethanol/5% water. The surface is baked at 110°C for 10 minutes and coated with a PTFE solution. The process can liberate vapours that may be dangerous for health. The isothermal rate of weight loss of PTFE in air at 360°C has been shown to be 0.2% to 0.6%/hr. Other decomposing products are HF, COF<sub>2</sub> (carbonyl fluoride), CO, and hexafluoroacetone (HFA). Some residual gases (incl. HF, COF<sub>2</sub>, CO and HFA) diffuse from PTFE resins even at room temperature.



## 15. Chemicals and pre-products

Thus resin containers should be opened and used only in well-ventilated areas.<sup>61</sup> Emission figures were not available.

The unit process raw data for the adhesion of PTFE on glass are shown in Tab. 15.9. Quantitative data for this process were not available. The inventory has been estimated based on the information provided before. Data for infrastructure are assumed with generic data

Tab. 15.9 Unit process raw data and data quality indicators for adhesion of PTFE on glass.

	Name	Location	Infrastructure	Unit	tetrafluoroethylene film, on glass	Uncertainty	GeneralComment
	Location				RER		
	InfrastructureProcess				0		
	Unit				kg		
product	tetrafluoroethylene film, on glass	RER	0	kg	1.00E+0		
technosphere	tetrafluoroethylene, at plant	RER	0	kg	1.05E+0	1 1.50	(5,na,1,1,1,na); Stoichiometric calculation, 95% yield
	silicone product, at plant	RER	0	kg	2.11E-5	1 1.50	(5,na,1,1,1,na); Rough estimation
	methanol, at regional storage	CH	0	kg	1.00E-3	1 1.50	(5,na,1,1,1,na); Rough estimation for alcohol
	isopropanol, at plant	RER	0	kg	1.00E-3	1 1.50	(5,na,1,1,1,na); Rough estimation
	natural gas, burned in industrial furnace >100kW	RER	0	MJ	5.00E+0	1 1.50	(5,na,1,1,1,na); Rough estimation
	chemical plant, organics	RER	1	unit	4.00E-10	1 3.90	(5,na,1,1,5,na); Generic data
	transport, lorry 32t	RER	0	tkm	1.05E-1	1 2.09	(4,5,na,na,na,na); Standard distance 100km
	transport, freight, rail	RER	0	tkm	6.33E-1	1 2.09	(4,5,na,na,na,na); Standard distance 600km
emission air, high population density	Hydrogen fluoride	-	-	kg	1.00E-4	1 1.77	(5,na,1,1,1,na); Rough estimation
	Carbon monoxide, fossil	-	-	kg	1.00E-4	1 1.50	(5,na,1,1,1,na); Rough estimation
	Acetone	-	-	kg	1.00E-4	1 2.23	(5,na,1,1,1,na); Rough estimation

### 15.4.13 Data Quality Considerations

The simplified approach with a pedigree matrix has been used for calculating the standard deviation. The data quality is poor. A lot of assumptions have been used to estimate the unit process raw data.

The inventory for PTFE-films, polytetrafluoroethylene and some of its pre-products is based on a literature research, general information provided by manufacturers and assumptions. The unit process raw data are meant to be used as background information if PTFE or PTFE film is used for a product in small amounts. Therefore these data can only give an approximation. They are not reliable enough for direct comparison of this material with alternative products.

<sup>61</sup> Information in the literature packet on Teflon® AF resin and solution provided by Du Pont, 5.2002.

## 15. Chemicals and pre-products

### 15.4.14 EcoSpold Meta Information

ReferenceFunction	Name	trichloromethane, at plant	chlorodifluoromethane, at plant	tetrafluoroethylene, at plant	tetrafluoroethylene film, on glass
Geography	Location	RER	NL	RER	RER
ReferenceFunction	InfrastructureProcess	0	0	0	0
ReferenceFunction	Unit	kg	kg	kg	kg
TimePeriod	IncludedProcesses	Gate to gate inventory for the production, estimation for infrastructure and not including by-products.	Gate to gate inventory for the production in the Netherlands, estimation for infrastructure and not including by-products.	Gate to gate inventory for the production, estimation for infrastructure and not including by-products.	Gate to gate inventory for the production, estimation for infrastructure.
	LocalName	Trichloromethane, ab Werk	Chlorodifluoromethane , ab Werk	Tetrafluoroethylene, ab Werk	Tetrafluoroethyleneschicht, auf Glas
	Synonyms	Methyl trichloride // Chloroform // Refrigerant R20 // formyl trichloride // methane trichloride // methenyl trichloride // trichloroform // R 20 // r 20 (refrigerant) // Chloroform	HCFC-22 // Freon 22 // Algeon 22 // Isotron 22 // Difluorochloromethane // Monochlorodifluoromethane // Refrigerant R22 // Fluorocarbon 22 // Fisherbrand histo freeze(TM)-22 // R-22 // Freon R-22 // Halocarbon 22 // chlorodifluoromethane (R-22) // Chlorodifluoromethane	Fluon // PTFE // Teflon // Ethene // tetrafluoro- // homopolymer // Politef // Tetrafluoroethylene Resin	Fluon // PTFE // Teflon // Ethene // tetrafluoro- // homopolymer // Politef // Tetrafluoroethylene Resin
	GeneralComment	Inventory for a chemical.	Inventory for a chemical. Emissions of HFC-23 are much higher in the world wide average. Emission data from PRTR-database.	Inventory for a chemical. Emission data from PRTR-database.	Inventory for a chemical.
	InfrastructureIncluded	1	1	1	1
	Category	chemicals	chemicals	chemicals	chemicals
	SubCategory	organics	organics	inorganics	inorganics
	LocalCategory	Chemikalien	Chemikalien	Chemikalien	Chemikalien
	LocalSubCategory	Organisch	Organisch	Anorganika	Anorganika
	Formula	CHCl3	CHClF2	C2F4	C2F4
	StatisticalClassification				
	CASNumber	67-66-3	75-45-6	9002-84-0	9002-84-0
	StartDate	1998	1999	1999	2002
	EndDate	1999	2010	2010	2002
	DataValidForEntirePeriod	1	1	1	1
Geography	OtherPeriodText				Background information.
	Text	Plant e.g. in NL	Plant e.g. in NL	Plant e.g. in NL	Production plant in RER
Technology	Text	Chemical processing	Chemical processing	Chemical processing	Chemical processing
Representativeness	Percent				
	ProductionVolume	The worldwide production capacity in 1987 for fluoroplastics was ca. 45 000t.	The worldwide production capacity in 1987 for fluoroplastics was ca. 45 000t.	The worldwide production capacity in 1987 for fluoroplastics was ca. 45 000t.	The worldwide production capacity in 1987 for fluoroplastics was ca. 45 000t.
	SamplingProcedure	Literature, company information, stoichiometric calculations, assumptions.	Literature, company information, stoichiometric calculations, emission database, assumptions.	Literature, company information, stoichiometric calculations, emission database, assumptions.	Own assumptions.
	Extrapolations	none	from one plant	from one plant	none

## 15.5 ETFE (Ethylen-Tetrafluorethylen)

### 15.5.1 Introduction

This Subchapter describes the production of ethylene-tetrafluoroethylene copolymers (ETFE). The inventory is based on general literature data and modelled theoretically. The unit process raw data are thus meant to be used as background information. They are not reliable enough for direct comparison of this product with alternative materials.

The process is modelled theoretically because no production data are available. The functional unit is 1 kg unmodified ETFE.

If not otherwise stated, information is derived from Carlsson & Schmiegel (2005).

### 15.5.2 Characterisation of ETFE

ETFE (CAS 25038-71-5) is a durable, adaptable and transparent plastic related to Teflon. It is composed mainly of alternating sequences of two monomers (ethylene and tetrafluoroethylene) and has the following structure:  $-(CF_2CF_2CH_2CH_2-)_n-$

Unmodified TFE-ethylene copolymers have a poor thermal stress–crack resistance, which limits their utility. Therefore, commercial ETFE resins are all modified containing 0.1 – 10 mol% of termonomers (such as perfluoro- (alkyl vinyl ethers) and perfluoroalkylethylenes). Trade names of ETFE are Tefzel (DuPont), Aflon COP (Asahi Glass), Halon ET (Ausimont), Neoflon EP (Daikin), and Hostaflon ET (Hoechst).

Tab. 15.10: Chemical and physical properties of ETFE

Property	Value	Unit
Molecular mass	3-30*	g/10min
Melting point	200-300**	°C
Specific gravity	1.9	g/cm3

\*The molecular mass of ETFE resins is normally specified in terms of melt-flow index (MI), measured at 300°C in a melt rheometer

\*\*Depends on ethylene ratio, degree of alternation, and termonomer content

ETFE can be reinforced by glass fibres. The operating temperature range of ETFE resins is between about -100°C to at least +150°C. ETFE is a good insulating material. Resistance to chemicals and solvents is also excellent. Strong acids and bases have no effect on ETFE resins. Strong oxidizing acids, organic bases, and sulfonic acids attack ETFE resins to varying degrees at higher temperatures. Furthermore, ETFE resins are non-flammable in air.

### 15.5.3 Use of ETFE

ETFE resins are used in many applications as e.g. jacketing signal, control, communications, and power wiring for mass transport systems, control and instrumentation wire for utilities, for critical wiring in chemical plants, and for injection-moulded components such as sockets and connectors. Other products include seal glands, pipe plugs, fasteners, pump vanes, pump impellers, laboratory ware, and chemical packing. Some ETFE types are used for extrusion coating of fine wires and injection moulding of intricate shapes. High stress–crack resistance ETFE is used for insulating heater cables and automotive wiring and for oil-well logging cables. This resin is also used for transfer moulding and injection moulding of articles containing metal inserts or thick sections, and for stock shapes such as tubes and rods.

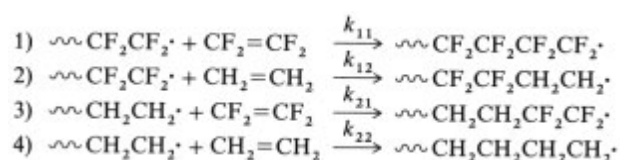
### 15.5.4 Production of ETFE

Basically, ETFE is produced by mixing tetrafluoroethylene and ethylene monomers. The copolymerization of these monomers is very energetic.

Copolymers of TFE and ethylene can be prepared in aqueous, non-aqueous, or mixed systems.

- Mixed systems: Co-monomers and initiator are dissolved in the non-aqueous phase. The water acts as a heat-transfer medium and dispersant for the viscous non-aqueous phase.
- Aqueous systems: Polymerisation is carried out in the presence of fluorinated surfactants such as ammonium perfluorooctanoate with manganic acids as initiators. These mixtures usually also contain a chain-transfer agent and stabilizer such as ammonium oxalate. ETFE is isolated from the aqueous polymerization medium by coagulating, filtering, washing, and drying.
- Non-aqueous systems: Polymerizations employ a fluorinated solvent (e.g., 1,1,2-trichloro-1,2,2-trifluoroethane) with a fluorinated acyl peroxide as initiator. Chain transfer agents are added to control molecular mass. ETFE is recovered from non-aqueous polymerization by evaporating the fluorinated solvent.

The following four reactions take place in TFE–ethylene co-polymerizations:



A 1:1 copolymer of TFE and ethylene, prepared at 60°C, contains ca. 92 % alternating units. A 1:1 copolymer prepared at 0°C is ca. 96 % alternating.

Finishing usually includes a melt-compaction step in which the dried powders are converted into extruded pellets (moulding powders).

### 15.5.5 System Characterisation

The life cycle inventory includes the production process with consumption of raw materials, energy, infrastructure, and land use, as well as the generation of emissions to air and water. It also includes transportation of the raw materials to the production site. Transient or unstable operations like starting-up or shutting-down, are not included, but the production during stable operation conditions. Storage and transportation of the final product are excluded as well. It is assumed that the manufacturing plants are located in an urban/industrial area and consequently the emissions to air are categorized as emanating in a high population density area.

### 15.5.6 Ethylene-Tetrafluoroethylene, at plant

#### 15.5.6.1 Inputs and Products

Funaki et al. (2008) chose a mol share of TFE and ethylene of 54 % and 46 % in their experiment. In this study a 1:1 copolymer of TFE and ethylene and 95 % yield is assumed. Based on these assumptions it is calculated (applying molar weights) that per kg ETFE 0.82 kg tetrafluoroethylene and 0.23 kg ethylene monomer is used.

### 15.5.6.2 *Energy Demand*

There was no feasible information available on the required energy use for the production. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

Process energy demand is approximated according to Hischer et al. (2004). Data from a large chemical plant site in Germany producing 2.05 Mt of different chemicals per year (intermediates included) are adopted (Gendorf 2000). The energy consumption per kg of product of this plant (3.2 MJ/kg) is used to approximate the energy consumption of these processes. This total energy demand is covered by a mix of 50 % natural gas, 38 % electricity and 12 % steam generated with external energy sources.

As already mentioned in Subchapter 15.5.4 the reaction is very energetic, therefore it is assumed that no additional thermal energy is required in this process. Thus, only 0.33 kWh of electricity is included.

### 15.5.6.3 *Water Use*

There was no feasible information available on the required cooling water consumption for the remaining production processes. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The cooling water consumption (24 kg per kg of product) is adopted from the cooling water demand of a large chemical plant site in Germany producing 2.05 Mt of different chemicals per year (intermediates included, Gendorf 2000).

### 15.5.6.4 *Transportation*

Standard distances as defined in Frischknecht et al. (2004) are used to estimate transportation expenditures, i.e. 100 km by lorry >16t and 600 km by train.

### 15.5.6.5 *Infrastructure and land use*

No information was available about infrastructure and land-use of production plants. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The infrastructure is estimated based on the dataset "chemical plant, organics". This dataset assumes a built area of about 4.2 ha, an average output of 50'000 t/a, and plant life of fifty years. For this study, the estimated value is 4.00 E-10 units per kg of produced chemical (Gendorf 2000).

### 15.5.6.6 *Emissions to Air*

It is assumed that 100% of the electricity consumed is converted to waste heat and that 100% of the waste heat is released to air.

There was no data available on process emissions to air for the ETFE production. Emissions of TFE ( $C_2F_4$ ) are relatively unstable towards decomposition to C and  $CF_4$ . According to Schilling & Kugler (2009) the efficiency of abatement systems are 95% up to more than 99% concerning  $CF_4$ . Because abatement systems need to be revised and redundant system are most probably not common standard all over the world an efficiency of the abatement system of 95% is assumed, resulting in 0.0025%  $CF_4$  emissions. This corresponds to 1.8 g  $CF_4$ .  $CF_4$  ending up in the abatement system is converted to HF and  $CO_2$ . The generated HF has to be captured downstream with a wet scrubber or a dry absorber (Schilling & Kugler 2009) and ends up in the wastewater.  $CO_2$  emissions are assumed to be released to air.

As approximation emissions of ethylene are estimated to be 0.2 % of the input resulting in 0.46 g.

As it is assumed that the manufacturing plants are located in an urban/industrial area the emissions are categorized as emanating in a high population density area.

## 15. Chemicals and pre-products

### 15.5.6.7 Emissions to Water

The remaining amount of unreacted material is assumed to leave the production process with the wastewater.

It is assumed that the chemical plant has its own wastewater treatment plant with a removal efficiency of 90 % for ethylene leading to 1.1 g ethylene in the treated water. They are accounted for as “hydrocarbons, unspecified” due to lack of more specific emission categories. COD, BOD, TOC and DOC are calculated from the mass balance. For the calculation of BOD and DOC the worst case is assumed, i.e. COD = BOD and TOC = DOC. For COD a carbon conversion of 96% is assumed.

HF is precipitated. No information was available about this process, it is thus neglected.

### 15.5.6.8 Solid Waste

No solid wastes are included in the inventory due to lack of information.

## 15.5.7 Life Cycle Inventory Data

In Tab. 15.11 unit process raw data as well as the uncertainties of the production of 1 kg ETFE are shown.

Tab. 15.11: Unit process raw data and uncertainties of the process “ethylene-tetrafluoroethylene copolymers, at plant”.

	Name	Location	Infrastructure	Process	Unit	ethylene-tetrafluoroethylene copolymers, at plant	Uncertainty	Standard Deviation 95 %	General Comment
	Location Infrastructure Process Unit					RER 0 kg			
	ethylene-tetrafluoroethylene copolymers, at plant	RER	0	kg	1				
technosphere	tetrafluoroethylene, at plant	RER	0	kg	8.22E-1	1	1.21	(4,na,na,na,na,na); stoichiometric calculation and 95% yield	
	ethylene, average, at plant	RER	0	kg	2.31E-1	1	1.21	(4,na,na,na,na,na); stoichiometric calculation and 95% yield	
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	3.33E-1	1	1.88	(5,5,1,1,4,5); estimation with data from large chemical plant	
	chemical plant, organics	RER	1	unit	4.00E-10	1	3.77	(4,5,1,3,5,4); estimation	
	transport, lorry >16t, fleet average	RER	0	tkm	1.05E-1	1	2.09	(4,5,na,na,na,na); standard distances	
	transport, freight, rail	RER	0	tkm	6.32E-1	1	2.09	(4,5,na,na,na,na); standard distances	
emission air, high population density	Heat, waste	-	-	MJ	1.20E+0	1	1.88	(5,5,1,1,4,5); due to electricity consumption	
resource, in water	Water, cooling, unspecified natural origin	-	-	m3	2.40E-2	1	1.88	(5,5,1,1,4,5); estimation with data from large chemical plant	
	Water, unspecified natural origin	-	-	m3	1.20E-2	1	1.88	(5,5,1,1,4,5); estimation with data from large chemical plant	
emission air, high population density	Ethene	-	-	kg	4.61E-4	1	1.88	(5,5,na,na,na,5); estimation 0.2% of material input	
	Methane, tetrafluoro-, R-14	-	-	kg	1.81E-3	1	1.88	(5,5,na,na,na,5); estimation: 5% of CF4 in TFE Input, efficiency abatement system 95%	
	Carbon dioxide, fossil	-	-	kg	1.81E-2	1	1.62	(5,5,na,na,na,5); estimation: 5% of C in TFE input and 14% of CF4 send to scrubber	
water, river	DOC, Dissolved Organic Carbon	-	-	kg	9.49E-4	1	2.11	(5,5,1,1,4,5); estimated from mass balance and WWTP efficiency of 90%	
	COD, Chemical Oxygen Demand	-	-	kg	3.64E-3	1	2.11	(5,5,1,1,4,5); estimated from mass balance and WWTP efficiency of 90%	
	BOD5, Biological Oxygen Demand	-	-	kg	3.64E-3	1	2.11	(5,5,1,1,4,5); estimated from mass balance and WWTP efficiency of 90%	
	TOC, Total Organic Carbon	-	-	kg	9.49E-4	1	2.11	(5,5,1,1,4,5); estimated from mass balance and WWTP efficiency of 90%	
	Hydrocarbons, unspecified	-	-	kg	1.11E-3	1	2.11	(5,5,1,1,4,5); estimated from mass balance and WWTP efficiency of 90%	
	Hydrocarbons, unspecified	-	-	kg	1.60E-3	1	2.11	(5,5,1,1,4,5); estimated from mass balance and WWTP efficiency of 90%	

The tetrafluoromethane emissions in this table do not occur in the production of the ETFE foil applied in the Flexcell a-Si modules (Stucki et al. 2012). An environmental product declaration of this specific

## 15. Chemicals and pre-products

product reveals that the production step from tetrafluoroethylene to ETFE does not release any chlorinated or fluorinated carbons that have an effect on climate change or ozone layer depletion (Institut Bauen und Umwelt e.V. 2011).

Tab. 15.12: Meta information of the process “ethylene-tetrafluoroethylene copolymers, at plant”.

ReferenceFunction	Name	ethylene-tetrafluoroethylene copolymers, at plant
Geography	Location	RER
ReferenceFunction	InfrastructureProcess	0
ReferenceFunction	Unit	kg
DataSetInformation	Type	1
	Version	1.0
	energyValues	0
	LanguageCode	en
	LocalLanguageCode	de
DataEntryBy	Person	43
	QualityNetwork	1
ReferenceFunction	DataSetRelatesToProduct	1
	IncludedProcesses	Included are raw materials and chemicals used for production, transport of materials to manufacturing plant, estimated emissions to air and water from production (incomplete), estimation of energy demand and infrastructure of the plant (approximation). Solid wastes omitted.
	Amount	1
	LocalName	Ethylen-Tetrafluoroethylen, ab Werk
	Synonyms	ETFE, Tefzel, Aflon COP, Halon ET, Neoflon EP, Hostafion ET
	GeneralComment	Large uncertainty of the process data due to weak data on the production process and missing data on process emissions.
	InfrastructureIncluded	1
	Category	chemicals
	SubCategory	inorganic
	LocalCategory	Chemikalien
	LocalSubCategory	Anorganika
	Formula	-(CF <sub>2</sub> CF <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> ) <sub>n</sub> -
	StatisticalClassification	
	CASNumber	25038-71-5
TimePeriod	StartDate	1998
	EndDate	2007
	DataValidForEntirePeriod	1
	OtherPeriodText	Time of publications.
Geography	Text	Europe
Technology	Text	ETFE is produced by mixing ethylene and TFE.
Representativeness	Percent	unknown
	ProductionVolume	unknown
	SamplingProcedure	Literature data.
	Extrapolations	none
	UncertaintyAdjustments	none

### 15.5.8 Data Quality Considerations

The simplified approach with a pedigree matrix is applied. Data quality is rather poor as a lot of assumptions are made and no “real” production data were available.

## 15.6 Polyvinylalcohol (PVA)

### 15.6.1 Introduction

This chapter describes the production of polyvinylalcohol. The inventory is based on literature and industrial production data. Data quality is considered to be accurate.

The functional unit is 1 kg polyvinylalcohol.

If not stated otherwise information is derived from Hallensleben (2005) and Roscher (2005).

### 15.6.2 Characterisation of Polyvinylalcohol

Polyvinylalcohol (PVA or PVOH,  $C_2H_4O_x$ , CAS 9002-89-5) is a water-soluble synthetic polymer. It is white or yellowish and supplied as powder and granules. The range of commercial polyvinyl alcohols starts from fully saponified types to products with degrees of hydrolysis of about 70 mol %.

Tab. 15.13: Chemical and physical properties of polyvinylalcohol

Property	Value	Unit	Remarks
Melting point	230	°C	
Boiling point	228	°C	for fully saponified PVA
Specific gravity	1.19 – 1.31	g/cm <sup>3</sup>	

The properties of polyvinylalcohol depend on the molecular mass and residual content of acetyl groups.

Polyvinyl alcohol has film forming, emulsifying and adhesive properties. It is also resistant to oil, grease and solvent. It is odourless and nontoxic. It has high tensile strength and flexibility, as well as high oxygen and aroma barrier properties. PVA is fully degradable and is a quick dissolver.

### 15.6.3 Use of Polyvinylalcohol

Preparation of polyvinylbutyral is the largest use for polyvinylalcohol in the U.S. and Western Europe. Its use as a polymerization aid is the largest market in China. In Japan the major use is vinylon fiber production<sup>62</sup>.

Other uses include textile sizing agent, adhesive in latex paints, paper coatings, packaging, cigarette, hairsprays, shampoos and glues, bonding non-woven fabrics of all kinds, especially glass fibre. They are used in temporary bonding agents for special ceramics, in secondary brighteners in electroplating and electroforming, for the production of protective lacquers and decorator sizing and solvent-resistant dipped products, such as gloves and aprons, for the modification of surface coating formulations, as a release agent for cast resin mouldings, in the production of photoresists for the printing industry and of highly absorbent sponges, and in binders and thickeners for cosmetics.

### 15.6.4 Production of Polyvinylalcohol

The most important industrial process of the production of polyvinylalcohol is the polymerisation of vinyl esters or ethers, with a following saponification or transesterification process. The preferred starting material is vinyl acetate.

Preferably, polyvinylacetate for further processing to polyvinylalcohol is polymerized in methanol. The production of polyvinylalcohol from polyvinylacetate can be carried out in solution, suspension or emulsion. The preferred method is transesterification in methanol in the presence of catalytic amounts of sodium methoxide with formation of polyvinylalcohol and methyl acetate. Polyvinylalcohol can be produced in batch and continuous process (see figures Fig. 15.5 and Fig. 15.6).

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<sup>62</sup> SRI Consulting, <http://www.sriconsulting.com/CEH/Public/Reports/580.1810/>, June 2009



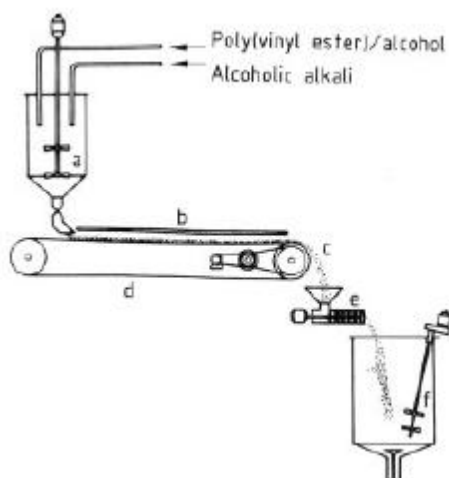


Fig. 15.5: Continuous process. Belt saponification process for the production of PVA (Hallensleben 2005). a) Mixing vessel; b) Cover plate; c) Discharge; d) Conveyor belt; e) Mill; f) Washing vessel

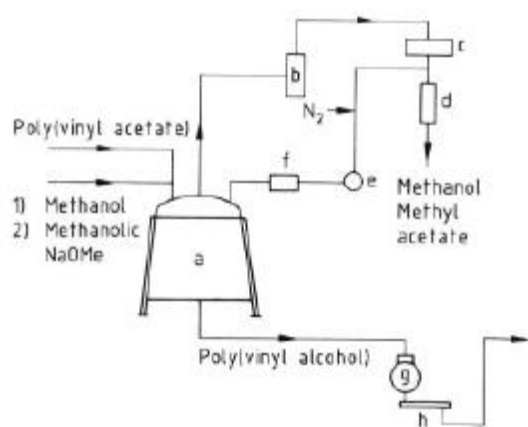


Fig. 15.6: Batch process. Manufacturing of PVA in a kneader (Hallensleben 2005). a) Kneader; b) Dust separator; c) Trap; d) Cooler; e) Blower; f) Heater; g) Mill; h) Sieve.

### 15.6.5 Systems characterisation

The system includes the process with consumption of raw materials, energy, infrastructure, and land use, as well as the generation of emissions to air and water. It also includes transportation of the raw materials. For the study transient or unstable operations like starting-up or shutting-down, are not included, but the production during stable operation conditions. Storage and transportation of the final product are not included either. It is assumed that the manufacturing plants are located in an urban/industrial area and consequently the emissions are categorized as emanating in a high population density area. The emissions into water are assumed to be emitted into rivers.

### 15.6.6 Polyvinylalcohol, at plant

Industry data are available about the material inputsof the production process<sup>63</sup>. Neither data on energy consumption nor data on emissions were available and are thus modelled based on analogies with similar processes.

#### 15.6.6.1 Inputs and Products

Following data were provided by Kuraray Europe GmbH describing the production of 1 kg PVA.

Tab. 15.14: Material inputs for the production of 1 kg PVA

		Industry data
Polyvinylacetate	kg	<1.94
Methanol	kg	<0.005
NaOH	kg	<0.01
Methylacetate	kg	<0.01
Yield	%	>99

The values concerning the amount of material used shown in Tab. 15.14 are considered to be rather high.

Polyvinylacetate is produced from vinyl acetate by bulk, solution, suspension or emulsion polymerisation. For the polymerisation process an initiator is required. Generally 0.1 % – 1 % of an initiator based on the monomer is used.

Data representing suspension and emulsion polymerisation of polyvinylchloride are available from PlasticsEurope (Ostermayer & Giegrich 2006a; b). In this study emulsion polymerisation is included. The data representing PVC polymerisation are taken to approximate the polymerisation process. Tab. 15.15 shows the unit process raw data. No monomer input is included in this dataset. To produce 1 kg of polymerized material 1.017 kg monomer is required.

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<sup>63</sup> Personal communication with Mariska de Wild-Scholten, ECN, 7.5.2009 and Martin Streuer, Kuraray Europe GmbH, 21.1.2010

## 15. Chemicals and pre-products

Tab. 15.15: Unit process raw data and uncertainties for the process “suspension polymerisation, polyvinylchloride”.

Name	Location	Infrastructure	Process	Unit	emulsion polymerisation, polyvinylchlorid	Uncertainty	Type	StandardDeviation	95 %	GeneralComment
product	emulsion polymerisation, polyvinylchlorid	RER	0	kg	1					
technosphere	chemicals organic, at plant	GLO	0	kg	2.50E-2	1	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers
	nitrogen, liquid, at plant	RER	0	kg	1.25E-3	2	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers
	compressed air, average installation, >30kW, 8 bar gauge, at supply network	RER	0	m3	1.73E+0	1	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers
	tap water, at user	RER	0	kg	2.48E+0	1	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	3.76E-1	1	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers
	natural gas, burned in industrial furnace >100kW	RER	0	MJ	8.85E-1	1	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers
	steam, for chemical processes, at plant	RER	0	kg	1.40E+0	1	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers
	treatment, sewage, unpolluted, to wastewater treatment, class 3	CH	0	m3	2.48E-3	1	1.30	(1,2,1,1,3,5); treatment of process water		
emission air, high population density	Heat, waste	-	-	MJ	1.36E+0	1	1.30	(1,2,1,1,3,5); due to electricity consumption		
resource, in water	Water, cooling, unspecified natural origin	-	-	m3	3.14E-2	1	1.30	(1,2,1,1,3,5); plastics europe (2006)		polymerisation of vinyl chloride monomers

### 15.6.6.2 Energy demand

There was no feasible information available on the required energy use for the production. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

Process energy demand is approximated according to Hirschier et al. (2004). Data from a large chemical plant site in Germany producing 2.05 Mt of different chemicals per year (intermediates included) are adopted (Gendorf 2000). The energy consumption per kg of product of this plant (3.2 MJ/kg) is used to approximate the energy consumption of these processes. This total energy demand is covered by a mix of 50 % natural gas, 38 % electricity and 12 % steam generated with external energy sources. Steam is assumed to be produced from natural gas.

### 15.6.6.3 Water Use

There was no feasible information available on the required cooling water consumption for the remaining production processes. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The cooling water consumption (24 kg per kg of product) is adopted from the cooling water demand of a large chemical plant site in Germany producing 2.05 Mt of different chemicals per year (intermediates included, Gendorf 2000).

No processing water is included as the polymerisation takes place in methanol.

### 15.6.6.4 Transportation

Standard distances as defined in Frischknecht et al. (2004) are used to estimate transportation expenditures, i.e. 100 km by lorry >16t and 600 km by train.

### 15.6.6.5 Infrastructure and land use

No information was available about infrastructure and land-use of production plants. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The infrastructure is estimated based on the dataset "chemical plant, organics". This dataset assumes a built area of about 4.2 ha, an average output of 50'000 t/a, and plant life of fifty years. For this study, the estimated value is 4.00 E-10 units per kg of produced chemical (Gendorf 2000).

### 15.6.6.6 *Emissions to Air*

It is assumed that 100 % of the electricity consumed is converted to waste heat and that 100 % of the waste heat is released to air. Furthermore, there were no data available on process emissions to air for the polyvinylalcohol production. As polyvinylalcohol is produced in methanol no emissions to air are included.

As it is assumed that the manufacturing plants are located in an urban/industrial area the emissions are categorized as emanating in a high population density area.

### 15.6.6.7 *Emissions to Water*

It is assumed that the chemical plant has its own wastewater treatment plant with a removal efficiency of 90% for vinyl acetate and methanol. Considering the overall process efficiency of the PVA production process (more than 99%, Tab. 15.14) emissions into rivers are 0.001 g methanol, 1.97 g vinyl acetate and 0.01 g methyl acetate. Vinyl and methyl acetate are accounted for as “hydrocarbons, unspecified” due to lack of more specific emission categories. COD, BOD, TOC and DOC are calculated from the mass balance. For the calculation of BOD the worst case is assumed, i.e. COD = BOD. For COD a carbon conversion of 96 % is assumed. 42 % of the carbon contained in the removed substances leads to CO<sub>2</sub> emissions into air (Doka 2007).

Sodium hydroxide causes a high pH of the water and is thus neutralized before entering the wastewater treatment plant. It is assumed that 57 % of the remaining NaOH leaves the system as sodium ion (share of Na in NaOH).

### 15.6.6.8 *Solid Waste*

No solid wastes are included in the inventory.

## 15.6.7 Life Cycle Inventory Data

In Tab. 15.16 unit process raw data as well as the uncertainties of the production of 1 kg polyvinylalcohol are shown.

## 15. Chemicals and pre-products

Tab. 15.16: Unit process raw data and uncertainties of the process “polyvinylalcohol, at plant”.

	Name	Location	Infrastructure	Process	Unit	polyvinylalcohol, at plant		Uncertainty Type	Standard Deviation95 %	GeneralComment
	Location	Infrastructure	Process	Unit		RER 0 kg				
product	polyvinylalcohol, at plant		RER	0	kg	1				
technosphere	vinyl acetate, at plant		RER	0	kg	1.97E+0	1	1.57		(2,2,2,3,4,5); industry data, estimation for PVAc
	emulsion polymerisation, polyvinylchlorid		RER	0	kg	1.94E+0	1	1.57		(2,2,2,3,4,5); production of PVAc out of vinyl acetate
	methanol, at regional storage		CH	0	kg	5.00E-3	1	1.24		(1,4,1,3,1,5); industry data
	sodium hydroxide, 50% in H2O, production mix, at plant		RER	0	kg	1.00E-2	1	1.24		(1,4,1,3,1,5); industry data
	methyl acetate, at plant		RER	0	kg	1.00E-2	1	1.24		(1,4,1,3,1,5); industry data
	natural gas, burned in industrial furnace >100kW		RER	0	MJ	2.00E+0	1	1.30		(4,5,na,na,na,na); estimation with data from large chemical plant
	electricity, medium voltage, production UCTE, at grid		UCTE	0	kWh	3.30E-1	1	1.30		(4,5,na,na,na,na); estimation with data from large chemical plant
	transport, lorry >16t, fleet average		RER	0	tkm	3.93E-1	1	2.09		(4,5,na,na,na,na); standard distances
	transport, freight, rail		RER	0	tkm	1.20E+0	1	2.09		(4,5,na,na,na,na); standard distances
	chemical plant, organics		RER	1	unit	4.00E-10	1	3.09		(4,5,na,na,na,na); estimation with data from large chemical plant
	Water, cooling, unspecified natural origin		-	-	m3	2.40E-2	1	1.30		(4,5,na,na,na,na); estimation with data from large chemical plant
emission air, high population density	Heat, waste		-	-	MJ	1.19E+0	1	1.30		(4,5,na,na,na,na); due to electricity consumption
	Carbon dioxide, fossil		-	-	kg	1.53E-3	1	1.30		(4,5,na,na,na,na); from waste water treatment
water, river	DOC, Dissolved Organic Carbon		-	-	kg	4.72E-3	1	1.62		(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%
	COD, Chemical Oxygen Demand		-	-	kg	6.25E-3	1	1.62		(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%
	BOD5, Biological Oxygen Demand		-	-	kg	6.25E-3	1	1.62		(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%
	TOC, Total Organic Carbon		-	-	kg	4.72E-3	1	1.62		(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%
	Hydrocarbons, unspecified		-	-	kg	1.98E-3	1	1.62		(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%
	Methanol		-	-	kg	5.00E-6	1	3.09		(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%

## 15. Chemicals and pre-products

Tab. 15.17: Meta information of the process “polyvinylalcohol, at plant”.

ReferenceFunction	Name	polyvinylalcohol, at plant
Geography	Location	RER
ReferenceFunction	InfrastructureProcess	0
ReferenceFunction	Unit	kg
	IncludedProcesses	Included are raw materials and chemicals used for production, transport of materials to manufacturing plant, estimated emissions to air and water from production (incomplete), estimation of energy demand and infrastructure of the plant (approximation). Solid wastes omitted.
	Amount	1
	LocalName	Polyvinylalkohol, ab Werk
	Synonyms	Mowirol, Polyviol, Rhodoviol, Alcotex, Polivinol, Denka Poval, Gohsenol, Kurashiki Poval, Shinetsu Poval, Unitika Poval, Elvanol, Gelvatol, Lemol
	GeneralComment	Material inputs are based on industrial data. Other inventory data are based on rough estimations.
	InfrastructureIncluded	1
	Category	chemicals
	SubCategory	organics
	LocalCategory	Chemikalien
	LocalSubCategory	Organisch
	Formula	C2H4Ox
	StatisticalClassification	
	CASNumber	9002-89-5
TimePeriod	StartDate	1956
	EndDate	2009
	DataValidForEntirePeriod	1
Geography	OtherPeriodText	Time of publications.
	Text	Europe
Technology	Text	Polymerisation of vinyl esters or ethers, with a following saponification or transesterification process
Representativeness	Percent	unknown
	ProductionVolume	World production PVA 650'000t
	SamplingProcedure	Literature and industry data.
	Extrapolations	Production of polyvinylacetate is estimated with vinyl acetate and PVC polymerisation

### 15.6.8 Data Quality Considerations

The simplified approach with a pedigree matrix is applied. Data quality is considered to be accurate as most impacts derive from material consumption, which is modeled with industry data.

## 15.7 Polyvinylbutyral foil

### 15.7.1 Introduction

This chapter describes the production of polyvinylbutyral. The inventory is based on literature and industrial production data. Data quality is considered to be accurate.

Polyvinylbutyral foil is produced by extrusion from polyvinylbutyral (PVB) granulate and a plasticizer. The production of PVB is described in Subchapter 15.7.6 and the production of PVB foil is

described in Subchapter 15.7.8. The functional unit is 1 kg polyvinylbutyral powder and 1 kg polyvinylbutyral foil, respectively.

If not stated otherwise information is derived from Hallensleben (2005).

### 15.7.2 Characterisation of Polyvinylbutyral

Polyvinylbutyrals (PVB, CAS 63148-65-2) are the most important polyvinyl acetals on a commercial level. They are produced in numerous types and sold as a powder. In 1990, world consumption of polyvinylbutyral was about 80'000 tons. Tab. 15.18 gives an overview of chemical and physical properties.

Tab. 15.18: Chemical and physical properties of polyvinylbutyral

Property	Value	Unit
Share of vinyl alcohol units	13-25%	
Molecular weight	30'000-100'000 <sup>64</sup>	g/mol <sup>-1</sup>
Specific gravity	1.1	g/cm <sup>3</sup>

The structure of PVB consists of reactive, hydrophobic and hydrophilic polymer units. The ratio between the two groups is variable. They are amorphous and transparent. Highly acetalized polyvinylbutyrals (1-3 weight % of vinyl acetate units) are commercially important. They differ considerably in their molecular mass and degree of acetalization. The chemical is non-toxic.

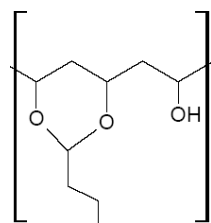


Fig. 15.7 Chemical formula of polyvinylbutyral

### 15.7.3 Use of Polyvinylbutyral

Polyvinylbutyral is used in the manufacture of laminated glass sheets and paints. In the field of laminated glass sheets polyvinylbutyral is almost without competition. Plasticized polyvinylbutyral sheets are used in the production of automobile windshields and sold as structural or bullet-proof glass. Other uses are as raw materials in paints, adhesives, temporary, strippable coatings, powder coatings and blends with other polymers (Hallensleben 2005).

### 15.7.4 Production of Polyvinylbutyral

The synthesis of PVB starts from polyvinyl alcohol (acetalization). The acetalization is carried out either in water or in alcohols (methanol or ethanol) and is catalyzed with acids (sulfuric or hydrochloric acid). The reaction temperatures of the acetalization step vary between around 40°C and 90°C and the reaction time is between 2 and 6 hours.

After the reaction the PVB is obtained as a precipitate. Filtering and washing steps as well as final drying are typically carried out after the chemical reaction.

<sup>64</sup> This is the range stated in Hallensleben (2005). However, the value for typical PVB foils used in safety glass application is 100'000 – 200'000 g/mol (personal communication with Mariska de Wild-Scholten, ECN, 7.5.2009).

The polyvinylalcohol (PVA) is typically obtained by saponification of polyvinylacetate (PVAc). This reaction is carried out with NaOH. Some producers start with PVAc and make the PVA on site prior to the acetalization, others start with PVA directly<sup>65</sup>. The main starting products are thus PVAc or PVA and butyraldehyde (butanal).

### 15.7.5 Systems characterisation

The life cycle inventory includes the production process with consumption of raw materials, energy, infrastructure, and land use, as well as the generation of emissions to air and water. It also includes transportation of the raw materials to the production site. Transient or unstable operations like starting-up or shutting-down, are not included, but the production during stable operation conditions. Storage and transportation of the final product are excluded as well. It is assumed that the manufacturing plants are located in an urban/industrial area and consequently the emissions to air are categorized as emanating in a high population density area.

### 15.7.6 Polyvinylbutyral, at plant

Industry data about material inputs<sup>65</sup> of the production process of polyvinylbutyralfoil are available. Neither data on energy consumption nor process-specific emissions are available and are thus modeled theoretically.

#### 15.7.6.1 Inputs and Products

Following data were provided by Kuraray Europe GmbH representing the production of 1 kg PVB.

Tab. 15.19: Material inputs for the production of 1 kg PVB

		Industry data
Polyvinylalcohol (PVA)	kg	<0.72
Butanal	kg	<0.5
Acid	kg	<0.2
Water	kg	<9
Yield	%	>96

The values concerning the amount of material used shown in Tab. 15.19 are considered to be rather high. All raw material inputs, except butanal, are linked to existing ecoinvent datasets.

Butanal (butyraldehydes,  $C_4H_8O$ , CAS 123-72-8) is produced exclusively by hydroformylation (oxo synthesis) of propylene (Cornils et al. 2005). The oxo synthesis with a subsequent hydrogenation results in 1-Butanol for which an ecoinvent inventory already exists (Althaus et al. 2007). As no specific information could be found on the production of butanal and the hydrogenation is not considered to be of primary importance 1-Butanol is taken as approximation for butanal.

#### 15.7.6.2 Energy Demand

There was no feasible information available on the required energy use for the production. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

Process energy demand is approximated according to Hischier et al. (2004). Data from a large chemical plant site in Germany producing 2.05 Mt of different chemicals per year (intermediates included) are adopted (Gendorf 2000). The energy consumption per kg of product of this plant (3.2 MJ/kg) is used to approximate the energy consumption of these processes. This total energy de-

<sup>65</sup> Personal communication with Mariska de Wild-Scholten, ECN, 7.5.2009 and Martin Streuer, Kuraray Europe GmbH, 21.1.2010



mand is covered by a mix of 50 % natural gas, 38 % electricity and 12 % steam generated with external energy sources.

### 15.7.6.3 *Water Use*

Process water consumption was indicated by industry (see Tab. 15.19).

Furthermore, there was no feasible information available on the required cooling water consumption for the remaining production processes. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The cooling water consumption (24 kg per kg of product) is adopted from the cooling water demand of a large chemical plant site in Germany producing 2.05 Mt of different chemicals per year (intermediates included, Gendorf 2000).

### 15.7.6.4 *Transportation*

Standard distances as defined in Frischknecht et al. (2004) are used to estimate transportation expenditures, i.e. 100 km by lorry >16t and 600 km by train.

### 15.7.6.5 *Infrastructure and land use*

No information was available about infrastructure and land-use of production plants. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The infrastructure is estimated based on the dataset "chemical plant, organics". This dataset assumes a built area of about 4.2 ha, an average output of 50'000 t/a, and plant life of fifty years. For this study, the estimated value is 4.00 E-10 units per kg of produced chemical (Gendorf 2000).

### 15.7.6.6 *Emissions to Air*

It is assumed that 100 % of the electricity consumed is converted to waste heat and that 100 % of the waste heat is released to air.

There were no specific data available on process emissions to air. As the maximum temperature reached during the process is not higher than 90°C (depending on the process, see Section 15.7.4) and butanal's boiling point is at 75°C, air emissions are likely to occur. Therefore, emissions into air of butanal are approximated to be 0.2 %.

As it is assumed that the manufacturing plants are located in an urban/industrial area the emissions are categorized as emanating in a high population density area.

### 15.7.6.7 *Emissions to Water*

The remaining amount of unreacted raw materials is assumed to leave the production process with the wastewater. A yield of 96 % is modeled (see Tab. 15.19) and thus 3.8 % butanal and 4 % of the other input materials are emitted into water.

It is assumed that the chemical plant has its own wastewater treatment plant with a removal efficiency of 90 % for PVA and butanal, leading to 2.8 g PVA and 1.9 g butanal. They are accounted for as "hydrocarbons, unspecified" due to lack of more specific emission categories. COD, BOD, TOC and DOC are calculated from the mass balance. For the calculation of BOD and DOC the worst case is assumed, i.e. COD = BOD and TOC = DOC. For COD a carbon conversion of 96% is assumed. 42% of the carbon contained in the removed substances leads to CO<sub>2</sub> emissions into air (Doka 2007).

Hydrochloric acid causes a low pH of the water and is thus neutralized before entering the wastewater treatment plant. It is assumed that 97% of the remaining hydrochloric acid leaves the system as chloride ion (share of Cl<sup>-</sup> in HCl).

## 15. Chemicals and pre-products

### 15.7.6.8 Solid Waste

No solid wastes are included in the inventory.

### 15.7.7 Life Cycle Inventory Data

In Tab. 15.20 unit process raw data as well as the uncertainties of the production of 1 kg polyvinylbutyral are shown.

Tab. 15.20: Unit process raw data and uncertainties of the process “polyvinylbutyral, powder, at plant”.

	Name	Location	Infrastructure	Process	Unit	polyvinylbutyral, powder, at plant			GeneralComment
						UncertaintyType	StandardDeviation95	%	
	Location InfrastructureProcess Unit					RER 0 kg			
product	polyvinylbutyral, powder, at plant	RER	0	kg	1				
technosphere	polyvinylalcohol, at plant	RER	0	kg	7.20E-1	1	1.24	(1,4,1,3,1,5); industry data	
	1-butanol, propylene hydroformylation, at plant	RER	0	kg	5.00E-1	1	1.24	(1,4,1,3,1,5); industry data	
	water, decarbonised, at plant	RER	0	kg	9.00E+0	1	1.24	(1,4,1,3,1,5); industry data	
	hydrochloric acid, 30% in H <sub>2</sub> O, at plant	RER	0	kg	2.00E-1	1	1.16	(3,4,2,3,1,1); industry data	
	natural gas, burned in industrial furnace >100kW	RER	0	MJ	2.00E+0	1	1.30	(4,5,na,na,na,na); estimation with data from large chemical plant	
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh	3.30E-1	1	1.30	(4,5,na,na,na,na); estimation with data from large chemical plant	
	transport, lorry >16t, fleet average	RER	0	tkm	1.89E-1	1	2.09	(4,5,na,na,na,na); standard distances	
	transport, freight, rail	RER	0	tkm	1.13E+0	1	2.09	(4,5,na,na,na,na); standard distances	
	treatment, sewage, unpolluted, to wastewater treatment, class 3	CH	0	m3	9.00E-3	1	1.30	(4,5,na,na,na,na); treatment of decarbonised water	
	chemical plant, organics	RER	1	unit	4.00E-10	1	3.09	(4,5,na,na,na,na); estimation with data from large chemical plant	
emission air, high population density	Heat, waste	-	-	MJ	3.84E-1	1	1.30	(4,5,na,na,na,na); due to electricity consumption	
	Carbon dioxide, fossil	-	-	kg	3.03E-3	1	1.30	(4,5,na,na,na,na); from waste water treatment	
resource, in water	Water, cooling, unspecified natural origin	-	-	m3	2.40E-2	1	1.30	(4,5,na,na,na,na); estimation with data from large chemical plant	
water, river	DOC, Dissolved Organic Carbon	-	-	kg	2.80E-3	1	1.62	(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%	
	COD, Chemical Oxygen Demand	-	-	kg	8.18E-3	1	1.62	(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%	
	BOD5, Biological Oxygen Demand	-	-	kg	8.18E-3	1	1.62	(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%	
	TOC, Total Organic Carbon	-	-	kg	2.80E-3	1	1.62	(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%	
	Hydrocarbons, unspecified	-	-	kg	4.39E-2	1	1.62	(4,5,na,na,na,na); estimated from mass balance and WWTP efficiency of 90%	
	Chloride	-	-	kg	7.78E-3	1	3.09	(4,5,na,na,na,na); estimation, due to use of HCl	

### 15.7.8 Production of PVB Foil

The main industrial process for producing polyvinyl butyral sheets is extrusion. According to Hallensleben (2005) poly(vinyl butyral) powder is mixed with ca. 30 wt% of a plasticizer and extruded at temperatures above 150°C. The most frequently used plasticizer is the di-2-ethylbutyric acid ester of triethylene glycol. They are estimated with triethylene glycol. In the plastic extrusion dataset, 2.4% of the extruded product is considered as waste to be incinerated (Hischier 2007).

In Tab. 15.21 unit process raw data as well as the uncertainties of the production of 1 kg polyvinylbutyral foil are shown.

## 15. Chemicals and pre-products

Tab. 15.21: Unit process raw data and uncertainties of the process “polyvinylbutyral foil, at plant”.

	Name	Location	Infrastructure	Unit	polyvinylbutyral foil, at plant	Uncertainty Type	Standard Deviation 95%	General Comment
product	polyvinylbutyral foil, at plant	RER	0	kg	1			
technosphere	polyvinylbutyral, powder, at plant	RER	0	kg	7.17E-1	1	1.08	(1,3,2,1,1,1); 70% PVB
	triethylene glycol, at plant	RER	0	kg	3.07E-1	1	1.22	(1,3,2,1,3,1); 30% plasticizer
	extrusion, plastic film	RER	0	kg	1.00E+0	1	1.12	(1,4,2,3,1,1);
	transport, lorry >16t, fleet average	RER	0	tkm	1.02E-1	1	2.09	(4,5,na,na,na,na); standard distances
	transport, freight, rail	RER	0	tkm	6.15E-1	1	2.09	(4,5,na,na,na,na); standard distances

## 15. Chemicals and pre-products

Tab. 15.22; Meta information of all processes

ReferenceFunction	Name	polyvinylbutyral foil, at plant	polyvinylbutyral, powder, at plant
Geography	Location	RER	RER
ReferenceFunction	InfrastructureProcess	0	0
ReferenceFunction	Unit	kg	kg
TimePeriod	IncludedProcesses	Included is polyvinylbutyral and a plasticizer which is commonly used in PVB sheets. Extrusion process and transports of raw materials are included as well.	Included are raw materials and chemicals used for production, transport of materials to manufacturing plant, estimated emissions to air and water from production (incomplete), estimation of energy demand and infrastructure of the plant (approximation). Solid wastes omitted.
	Amount	1	1
	LocalName	Polyvinylbutyral-Folie, ab Werk	Polyvinylbutyral-Pulver, ab Werk
	Synonyms	0	Mowital B, Pioloform B, Butvar, Rhonival B, S-lec, VinyLite
	GeneralComment	The foil consists of 70% PVB and 30% plasticizer. Wastes are recycled internally.	Material inputs are based on industrial data. Other inventory data are based on rough estimations.
	InfrastructureIncluded	1	1
	Category	plastics	plastics
	SubCategory	others	polymers
	LocalCategory	Kunststoffe	Kunststoffe
	LocalSubCategory	Andere	Polymere (Granulate)
	Formula		
	StatisticalClassification		
	CASNumber		63148-65-2
	StartDate	2007	2007
	EndDate	2009	2009
Geography	DataValidForEntirePeriod	1	1
	OtherPeriodText	Time of publications.	Time of publications.
Technology	Text	Europe	Europe
	Text	Extrusion of PVB and plasticizer to sheets.	The synthesis of PVB starts from polyvinyl alcohol (acetalization). The acetalization is carried out either in water or in alcohols (methanol or ethanol) and is catalyzed with acids (sulfuric or hydrochloric acid). After the reaction the PVB is obtained as a precipitate. Filtering and washing steps as well as final drying are typically carried out after the chemical reaction.
Representative	Percent	unknown	unknown
	ProductionVolume	unknown	World production of PVB in 1990 was 80'000t
	SamplingProcedure	Literature and industry data.	Literature and industry data.
	Extrapolations	none	Generic data for energy consumption are applied.
	UncertaintyAdjustments	none	none

### 15.7.9 Data Quality Considerations

The simplified approach with a pedigree matrix is applied. Data quality is considered to be accurate as most impacts derive from material consumption, which is modeled with industry data.

## 15.8 Silicon Tetrahydride

### 15.8.1 Introduction

An inventory of silane (silicon tetrahydride) is already established in Sutter (2007). The inventory described in this Chapter is based on data from a “reliable confidential source”, the data shown in Sutter (2007) are based on the catalytic silicon hydrochloration with the products silicon tetrahydride and tetrachlorosilane. The inventory described in Sutter (2007) is estimated with data from the production of electronic grade silicon. The data presented in this Chapter are believed to be more accurate.

Chemical and physical properties as well as use are discussed in Sutter (2007, p. 247).

### 15.8.2 Systems characterisation

The life cycle inventory includes the production process with consumption of raw materials, energy, infrastructure, and land use, as well as the generation of emissions to air and water. It also includes transportation of the raw materials to the production site. Transient or unstable operations like starting-up or shutting-down, are not included, but the production during stable operation conditions. Storage and transportation of the final product are excluded as well. It is assumed that the manufacturing plants are located in an urban/industrial area and consequently the emissions to air are categorized as emanating in a high population density area.

### 15.8.3 Silicon tetrahydride, at plant

Data provided from a reliable confidential source are shown in Tab. 15.23 and compared with the existing data (Sutter 2007). Auxiliary materials are included in the dataset described in this Chapter and it is obvious that electricity consumption is substantially lower compared to the existing data. However, no emissions into water are included in the data provided by the reliable source.

Tab. 15.23: Comparison of new and existing data in ecoinvent

		new data	existing data	Unit
Resources	Cooling water		44.60	m <sup>3</sup>
Technosphere	Metallurgical grade silicon	1.00	1.03	kg
	Lime	0.50		kg
	Silicon tetrachloride	0.08		kg
	Hydrogen, liquid	0.08		kg
	Nitrogen, liquid	6.21		kg
	electricity, medium voltage	25.00	116.87	kWh
	natural gas, high pressure	179.36	125.07	MJ
Emissions to water	Copper, ion		0.0001	g
	Nitrogen		0.15	g
	Phosphate		0.002	g
	Sodium, ion		2.42	g
	Zinc, ion		0.001	g
	Iron, ion		0.004	g
	BOD5		0.15	g
	COD		1.45	g
	DOC		0.65	g
	TOC		0.65	g

#### 15.8.3.1 Transportation

Standard distances as defined in Frischknecht (2004) are used to estimate transportation expenditures, i.e. 100 km by lorry >16t and 600 km by train.

### 15.8.3.2 *Infrastructure and Land Use*

No information was available about infrastructure and land use of production plants. Therefore, the infrastructure for an average silicon plant based on is used (Althaus et al. 2007).

### 15.8.3.3 *Emissions to Air*

It is assumed that 100% of the electricity consumed is converted to waste heat and that 100% of the waste heat is released to air.

### 15.8.3.4 *Emissions to Water*

No emissions into water are indicated in Tab. 15.23. Because silane is one of the products manufactured at Wacker-Chemie GmbH, emissions are approximated with the average releases to water in the production of an average silicon product (Althaus et al. 2007).

### 15.8.3.5 *Solid Waste*

No solid wastes are included in the inventory.

## 15.8.4 Life Cycle Inventory Data

In Tab. 15.24 unit process raw data as well as the uncertainties of the production of 1 kg silane (silicon tetrahydride) are shown.

## 15. Chemicals and pre-products

Tab. 15.24: Unit process raw data and uncertainties of the process “Silicon tetrahydride, at plant”.

	Name	Location	Infrastructure	Process	Unit	silane, at plant	Uncertainty Type	Standard Deviation 95%	General Comment
						RER 0 kg			
product	silane, at plant					1			
technosphere	MG-silicon, at plant	NO	0	kg		1.00E+0	1	1.22	(1,3,1,3,1,5); reliable source
	lime, hydrated, packed, at plant	CH	0	kg		5.00E-1	1	1.22	(1,3,1,3,1,5); reliable source
	natural gas, burned in boiler condensing modulating >100kW	RER	0	MJ		1.79E+2	1	1.22	(1,3,1,3,1,5); reliable source
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh		2.50E+1	1	1.22	(1,3,1,3,1,5); reliable source
	silicon tetrachloride, at plant	DE	0	kg		8.14E-2	1	1.22	(1,3,1,3,1,5); reliable source
	hydrogen, liquid, at plant	RER	0	kg		8.42E-2	1	1.22	(1,3,1,3,1,5); reliable source
	nitrogen, liquid, at plant	RER	0	kg		6.21E+0	1	1.22	(1,3,1,3,1,5); reliable source
	transport, lorry >16t, fleet average	RER	0	tkm		7.87E-1	1	2.09	(4,5,na,na,na,na); standard distances
	transport, freight, rail	RER	0	tkm		4.72E+0	1	2.09	(4,5,na,na,na,na); standard distances
	silicone plant	RER	1	unit		1.03E-11	1	3.09	(4,5,na,na,na,na); estimated over Althaus (2007) for average Si product
	Chloride	-	-	kg		3.85E-2	1	3.09	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Copper, ion	-	-	kg		6.85E-7	1	3.09	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Nitrogen	-	-	kg		2.85E-4	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
emission water, river	Phosphate	-	-	kg		4.30E-6	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Sodium, ion	-	-	kg		2.70E-2	1	5.10	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Zinc, ion	-	-	kg		1.83E-6	1	5.10	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Iron, ion	-	-	kg		5.92E-6	1	5.10	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	BOD5, Biological Oxygen Demand	-	-	kg		7.85E-5	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	COD, Chemical Oxygen Demand	-	-	kg		8.83E-4	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	DOC, Dissolved Organic Carbon	-	-	kg		7.15E-4	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	TOC, Total Organic Carbon	-	-	kg		7.15E-4	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	AOX, Adsorbable Organic Halogen as Cl	-	-	kg		1.08E-5	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Cadmium, ion	-	-	kg		9.47E-7	1	3.09	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Chromium, ion	-	-	kg		7.87E-7	1	3.09	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Fluoride	-	-	kg		3.71E-5	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Lead	-	-	kg		2.04E-7	1	5.10	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Mercury	-	-	kg		1.86E-9	1	5.10	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Nickel, ion	-	-	kg		7.98E-7	1	5.10	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Phosphorus	-	-	kg		4.65E-7	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
	Sulfate	-	-	kg		1.86E-4	1	1.62	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product
emission air, high population density	Heat, waste	-	-	MJ		9.00E+1	1	1.30	(4,5,na,na,na,na); estimated over Althaus (2007), emissions for average Si product

### 15.8.5 Data Quality Considerations

The simplified approach with a pedigree matrix is applied. Data quality is considered to be accurate as most data are derived from a reliable confidential source.

## 15.9 Nitrogen trifluoride (NF<sub>3</sub>)

### 15.9.1 Introduction

This chapter describes the production of nitrogen trifluoride. The functional unit of this life cycle inventory is 1 kg nitrogen trifluoride. The inventory is based on literature and production data. If not stated otherwise information is derived from Jaccaud et al. (2005).

### 15.9.2 Characterisation of Nitrogen Trifluoride

Nitrogen trifluoride (NF<sub>3</sub>, CAS 7789-54-2) is a colourless, toxic gas. Tab. 15.25 gives an overview about its chemical and physical properties. In 1992 less than 100 tons of NF<sub>3</sub> were produced. In 2007 a production volume of about 4000 tons is estimated and the production volume is projected to continue to increase (Prather & Hsu 2008).

Tab. 15.25: Chemical and physical properties of nitrogen trifluoride

Property	Nitrogen trifluoride	Unit
Molecular mass	71.00	g/mol
Melting point	-206.8	°C
Boiling point	-129	°C
Specific density	3.003	kg/m <sup>3</sup> (1 atm, 15°C)

### 15.9.3 Use of Nitrogen Trifluoride

Nitrogen trifluoride is used to replace PFCs in industrial applications. In the photovoltaic industry it is used in plasma etching of silicon wafers and the cleaning of PECVD chambers in thin film solar cell production (Schilling & Kugler 2009). Nitrogen trifluoride is broken down by the plasma by electron bombardment so that the active cleaning agent, fluorine, is able to attack the silicon residue. Nitrogen trifluoride is also used in high power chemical lasers. It is preferred to fluorine gas due to its convenient handling properties, reflecting its considerable stability.

### 15.9.4 Production of Nitrogen Trifluoride

Nitrogen trifluoride is manufactured by direct fluorination of NH<sub>3</sub> or NH<sub>4</sub><sup>+</sup>HF by F<sub>2</sub> or by electrolysis of ammonium fluoridehydrogen fluoride melts. The following reaction formula forms the basis of the life cycle inventory described.

$\text{NH}_3 + 3 \text{F}_2 = \text{NF}_3 + 3 \text{HF}$ , yield 95 %, according to de Wild-Scholten et al. (2007)

### 15.9.5 System Characterisation

The life cycle inventory includes the production process with consumption of raw materials, energy, infrastructure, and land use, as well as the generation of emissions to air and water. It also includes transportation of the raw materials to the production site. Transient or unstable operations like starting-up or shutting-down, are not included, but the production during stable operation conditions. Storage and transportation of the final product are excluded as well. It is assumed that the manufacturing plants are located in an urban/industrial area and consequently the pollutants to air are categorized as emanating in a high population density area.

### 15.9.6 Nitrogen Trifluoride, at plant

The inventory is established partly based on industrial data and partly on theoretical information.

#### 15.9.6.1 Inputs and Products

The input of raw materials is calculated based on the stoichiometric production formula assuming a yield of 95 % of NF<sub>3</sub> (see Section 15.9.4). According to the reaction formula, 1.69 kg fluorine and 0.25 kg ammonia are used per kg nitrogen trifluoride.



### 15.9.6.2 *Energy Demand*

There are many different technologies for manufacturing  $\text{NF}_3$ . The electricity consumption depends on the technology applied and varies between 25-60 kWh per kg  $\text{NF}_3$ . De Wild-Scholten et al (2007) assume 43 kWh/kg. The same value is used in this study.

Emissions of  $\text{NF}_3$  are sent to an abatement system (see below), where  $\text{NF}_3$  is burned with natural gas. This results in  $\text{N}_2$  emissions into air and HF emissions into water. The binding energy of  $\text{NF}_3$  is 248 kJ/mol. Assuming  $\text{NF}_3$  emissions of 0.2 % of the final product, a natural gas consumption of 0.07 MJ per kg  $\text{NF}_3$  (final product) results.

### 15.9.6.3 *Water Use*

There was no information available on the amount of cooling water used in the production processes. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The cooling water consumption (24 kg per kg of product) is adopted from the cooling water demand of a large chemical plant site in Germany producing 2.05 Mt of different chemicals per year (intermediates included, Gendorf 2000).

### 15.9.6.4 *Transportation*

Standard distances as defined in Frischknecht et al (2004) are used to estimate transportation expenditures, i.e. 100 km by lorry >16t and 600 km by train.

### 15.9.6.5 *Infrastructure and Land Use*

No information was available about infrastructure and land-use of production plants. Therefore the common ecoinvent procedure in such cases, described below, is applied (Althaus et al. 2007).

The infrastructure is estimated based on the dataset "chemical plant, organics". This dataset assumes a built area of about 4.2 ha, an average output of 50'000 t/a, and a plant life of fifty years. For this study, the estimated value is 4.00 E-10 units per kg of produced chemical (Gendorf 2000).

### 15.9.6.6 *Emissions to Air*

Fugitive emissions may occur during  $\text{NF}_3$  production and its further use. Most of these emissions are treated in a scrubber. Potential emissions of  $\text{NF}_3$  and the raw materials, which are not sent to a scrubber occur due to leaky packages during transportation, plant explosion or damage. These emissions might be relevant but can not be quantified. Hence, they are not considered in the inventory. Efficiency of burn-wet abatement systems used in the photovoltaic industry is 99.96% with regard to  $\text{NF}_3$ . Older and other technologies reach lower efficiency rates (80%-90%). Removal efficiencies of 99 % are reported in Schilling & Kugler (2009). As no data on  $\text{NF}_3$  emissions during production are available the common ecoinvent procedure is applied. Thus, emissions of raw materials, i.e. of fluorine and ammonia, into air of 0.2% are assumed.

### 15.9.6.7 *Emissions to Water*

In the scrubber  $\text{HF}^-$  is built, which ends up in the wastewater. The wastewater stemming from the scrubber needs to be neutralized and can then be discharged into the sewers. It is assumed that the wastewater is neutralized with  $\text{Ca}(\text{OH})_2$  before being discharged into the sewer system. Furthermore, remaining ammonia ends-up in the wastewater as well. Using the ecoinvent wastewater tool the dataset "treatment, wastewater,  $\text{NF}_3$  production, class 3" is established.

### 15.9.6.8 *Solid Waste*

Solid waste accumulates after the scrubber in which potassium is used which drops out. The amount of solid waste could not be quantified and is thus neglected.

## 15. Chemicals and pre-products

### 15.9.7 Life Cycle Inventory Data

In Tab. 15.26 unit process raw data as well as the uncertainties of the production of 1 kg nitrogen trifluoride are shown.

Tab. 15.26: Unit process raw data and uncertainties of the process “nitrogen trifluoride, at plant”.

	Name	Location	Infrastructure	Process	Unit	nitrogen trifluoride, at plant	Uncertainty	Standard Deviation95 %	GeneralComment
	Location InfrastructureProcess Unit					RER 0 kg			
	nitrogen trifluoride, at plant	RER	0	kg		1			
technosphere	fluorine, liquid, at plant	RER	0	kg		1.69E+0	1	1.38	(4,5,1,1,1,5); stoichiometric calculation and 95% yield
	ammonia, liquid, at regional storehouse	RER	0	kg		2.53E-1	1	1.38	(4,5,1,1,1,5); stoichiometric calculation and 95% yield
	natural gas, burned in boiler condensing modulating >100kW	RER	0	MJ		7.00E-2	1	1.30	(4,5,na,na,na,na); abatement system theoretical calculation
	electricity, medium voltage, production UCTE, at grid	UCTE	0	kWh		4.30E+1	1	1.12	(1,4,1,3,1,1); according to de Wild-Scholten (2007)
	chemical plant, organics	RER	1	unit		4.00E-10	1	3.09	(4,5,na,na,na,na); estimation
	transport, lorry >16t, fleet average	RER	0	tkm		1.95E-1	1	2.09	(4,5,na,na,na,na); standard distances
	transport, freight, rail	RER	0	tkm		1.17E+0	1	2.09	(4,5,na,na,na,na); standard distances
	treatment, effluent from NF3 production, to wastewater treatment, class 3	CH	0	m3		1.20E-2	1	1.30	(4,5,na,na,na,na); due to water consumption
emission air, high population density	Heat, waste	-	-	MJ		1.55E+2	1	1.30	(4,5,na,na,na,na); due to electricity consumption
	Fluorine	-	-	kg		3.39E-3	1	1.62	(4,5,na,na,na,na); estimation 0.2%
	Ammonia	-	-	kg		5.06E-4	1	1.37	(4,5,na,na,na,na); estimation 0.2%
resource, in water	Water, cooling, unspecified natural origin	-	-	m3		2.40E-2	1	1.30	(4,5,na,na,na,na); estimation with data from large chemical plant
	Water, unspecified natural origin	-	-	m3		1.20E-2	1	1.30	(4,5,na,na,na,na); estimation with data from large chemical plant

## 15. Chemicals and pre-products

Tab. 15.27: Unit process raw data and uncertainties of the process “nitrogen trifluoride, at plant”.

Name	nitrogen trifluoride, at plant
Location	RER
InfrastructureProcess	0
Unit	kg
IncludedProcesses	Included are raw materials and chemicals used for production, transport of materials to manufacturing plant, estimated emissions to air and water from production (incomplete), energy demand and infrastructure of the plant (approximation). Solid wastes omitted.
LocalName	Stickstofftrifluorid, ab Werk
Synonyms	Nitrogen fluoride, Trifluorammine, Trifluorammonia
GeneralComment	Energy consumption is based on industrial data. Material consumption is calculated based on stoichiometric formula. Emissions are based on rough assumptions.
InfrastructureIncluded	1
Category	chemicals
SubCategory	inorganic
LocalCategory	Chemikalien
LocalSubCategory	Anorganika
Formula	NF3
StatisticalClassification	
CASNumber	7783-54-2
StartDate	2005
EndDate	2007
DataValidForEntirePeriod	1
OtherPeriodText	Time of publications.
Text	Europe
Text	NF3 is produced from elementary fluorine.
Percent	unknown
ProductionVolume	4000 t in 2007
SamplingProcedure	Company and literature data.
Extrapolations	none
UncertaintyAdjustments	none

### 15.9.8 Data Quality Considerations

The quality of this dataset is moderate due to the large share of default data used. Data on the electricity demand is derived from industrial information. The remaining inputs and outputs are derived from stoichiometric information. The simplified approach with a pedigree matrix is applied.

## 16 Summary of key parameters regarding silicon use

One of the most important issues in LCA studies for PV is the material efficiency over all process stages. A range of different factors is influencing this efficiency. Tab. 16.1 shows recent literature data about the use of purified silicon in solar cells. Most studies did not further describe the basic assumptions underlying these calculations.

Tab. 16.1 Literature data about the use of purified silicon for the production of crystalline PV cells.

t/MW <sub>p</sub> (g/dm <sup>2</sup> )	year	
4.4-4.5	Near future	forecast by (del Coso et al. 2010)
8	~2011	<sup>66</sup>
6.5	2011	forecast by (del Coso et al. 2010)
7	2010	forecast by Mr. Rogol, Photon-consulting.com
9.1	2005	(del Cañizo et al. 2009)
11.5-12.5	2005	(Rogol 2005)
11	2005	(Fawer 2006)
12	2004	(Fawer 2006)
10	2004	(Flamant et al. 2006)
17	2000	(Woditsch & Koch 2002)
17	2000	(Schmela 2002)
11-14 (14-18)	2000	(Scheer 2002)
15-20	2000	(Sarti & Einhaus 2002)
16	2000	(Räuber & Warmuth 2002)
11.5	2000	(Lauinger 2000)

The material efficiency of silicon in the PV industry has been improved in the last years. According to the life cycle inventory data presented in this study, the actual use of purified silicon is 6.6, 7.6 and 6.6 kg per kW<sub>p</sub> for sc-Si, mc-Si and ribbon-Si, respectively (see Tab. 16.2). Tab. 16.2 shows also the material efficiency used in the different versions of the ecoinvent data (Jungbluth 2003; Jungbluth & Tuchschnid 2007; Jungbluth et al. 2010).

The silicon consumption decreased considerably over the last years, especially because of the current Si supply shortages and the consequent technical improvements. The silicon use for single-Si cells is indeed lower than for multi-Si. This is partly caused by the higher cell efficiency and the lower wafer thickness. Furthermore the cut-offs from the squaring process can be recycled internally.

These important figures have been verified with top-down data of the photovoltaics industry in Tab. 16.1. It is not clear how different authors considered the reuse of SiCl<sub>4</sub> and the recycling of silicon scraps. It is possible that internal recycling has not been considered in these calculations. The consumption has also declined considerably in the years 2004 to 2007. Thus, actual figures should be lower than shown in Tab. 16.1. The inventory of each stage seems to be fairly reliable.

<sup>66</sup> [http://www.greenrhinoenergy.com/solar/technologies/pv\\_modules.php](http://www.greenrhinoenergy.com/solar/technologies/pv_modules.php), access July 2012

## 16. Summary of key parameters regarding silicon use

Tab. 16.2 Calculation of MG-silicon use in this study compared to earlier studies (Jungbluth 2003; Jungbluth & Tuchschnid 2007; Jungbluth et al. 2010).

			single-Si				multi-Si				ribbon-Si	
			2000	2005	2007	2011	2000	2005	2007	2011	2005	2007
		Unit	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>	m <sup>2</sup>
SoG-Si	yield, MG-Si to SoG-Si	%	95	88	88	88	95	88	88	88	88	88
CZ-Si/mc-Si	yield, SoG-Si to mc-/sc- silicon	%	65	93	93	93	67	88	88	88	88	88
wafer	wafer thickness	µm	300	270	270	190	300	240	240	200	250	250
	kerf loss (calculated for 2007 incl. other losses)	µm	200	191	15	68	200	249	249	-	-	-
	wafer surface	cm <sup>2</sup>	100	243	243	243	100	243	243	243	243	243
	kerf loss	g				3.9				-		
	wafer weight	g	7.0	15.3	15.0	10.8	7.0	13.6	14.0	11.3	14.2	14.2
	sawing losses, wafer	g	4.7	10.8	11.0	10.8	4.7	14.1	14.0	11.3	3.8	4.0
	sawing losses, wafer (total)	%	40	41	41	50	40	51	51	50	21	21
	out of this to recycling	%	10	0	0	0	10	0	0	0	0	0
	total silicon use for wafer	g	11.2	26.2	26.0	21.5	11.2	27.7	28.0	22.7	18.0	18.2
	yield, wafer production	%	63	59	59	50	63	49	49	50	79	79
cell	yield, cell production	%	95	94	94	94	92	94	94	94	94	94
total	purified silicon use per cell	g	18.1	29.7	30.0	24.4	18.2	33.5	34.0	27.4	21.8	21.9
	<b>purified silicon use per Wp</b>	<b>g</b>	<b>11.0</b>	<b>8.0</b>	<b>8.0</b>	<b>6.6</b>	<b>12.3</b>	<b>9.6</b>	<b>9.4</b>	<b>7.6</b>	<b>6.8</b>	<b>6.8</b>
	use MG-Si per cell	g	19.0	33.5	33.5	27.6	19.2	37.9	37.9	31.0	24.6	24.6
	<i>total yield, MG-Si to wafer</i>	%	36.8	45.7	45.7	39.0	36.5	35.9	35.9	36.6	57.6	57.6
MG-silicon per Wp		g	11.6	9.0	9.0	0.0	12.9	10.8	10.8	0.0	7.7	7.7

specific weight of silicon      g/cm<sup>3</sup>    2.33

## 17 Cumulative results and interpretation

### 17.1 Environmental impact assessment of 1 kWh low voltage electricity

The environmental impacts of electricity generation in photovoltaic installations in Switzerland using single-Si, multi-Si and CdTe modules is analysed with the environmental indicators presented in Tab. 17.1. Other technologies such as CIGS or amorphous silicon are not assessed in this Chapter. The results are obtained from Bauer et al (2012).

Tab. 17.1 Environmental impact category indicators

Indicator	Assessed (potential) environmental / health damage	Method
Greenhouse gas emissions	Contribution to climate change, EU Directive 2003/54	IPCC 2007, European Commission 2003
Highly radioactive wastes	Problematic aspects of repositories, EU Directive 2003/54	European Commission 2003
Particulate matter	Effects of primary and secondary particles on human health	Goedkoop et al. 2009
Land use	Effects of land use on biodiversity	Köllner 2001
Cumulative energy demand, renewable and non-renewable	Important characteristic with regard to the targets of the 2000 Watt society. Renewable and non-renewable energy demand is displayed separately.	Frischknecht et al. 2007
Abiotic resource depletion, excluding fossil energy resources	Resource oriented indicator for the assessment of material intensive technologies	CML 2001 (Guinée et al. 2001)
Ionising radiation	Effects of emitted radionuclides on human health	Frischknecht et al. (2000)

The results of the different impact category indicators are presented in Fig. 17.1 and Fig. 17.2 on page 211 and 212. The contributions of each process step to the overall results of the different indicators are described in the following subchapters. The installation of the modules and the electricity distribution are independent of the module technologies and therefore discussed separately.

## 17. Cumulative results and interpretation

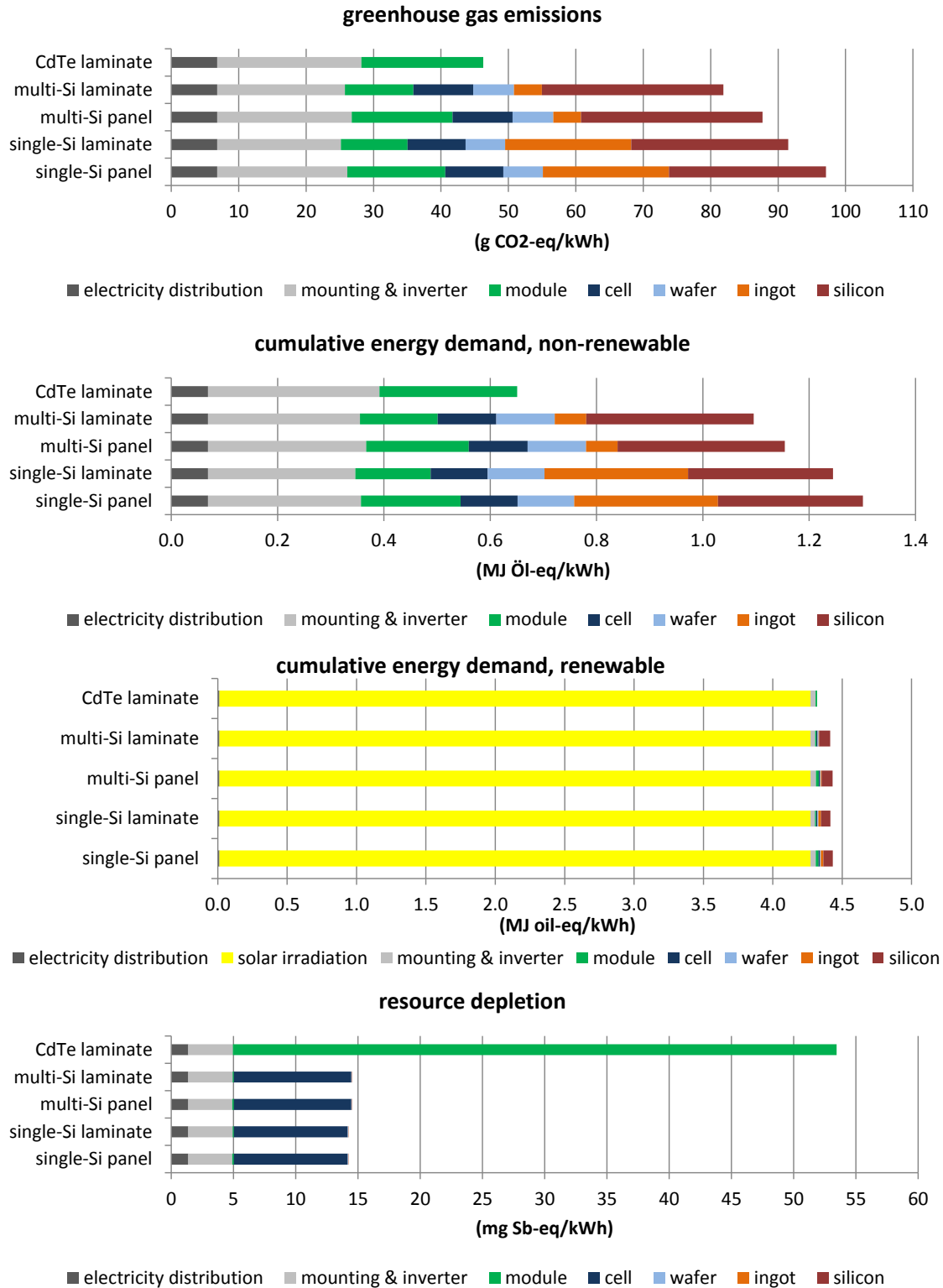


Fig. 17.1: Environmental impacts of electricity produced with different photovoltaic technologies. Reference: 1 kWh low voltage electricity at household. The photovoltaic installations refer to new 3kW<sub>p</sub> power plants installed on slanted roofs in Switzerland. Annual yield: 922 kWh/kW<sub>p</sub>. Module efficiencies: single-Si: 14 %, multi-Si 13.6 %, CdTe 11.7 %. Lifetime: 30 years. The life cycle includes module production, installation, cabling, inverter, maintenance, and disposal.

## 17. Cumulative results and interpretation

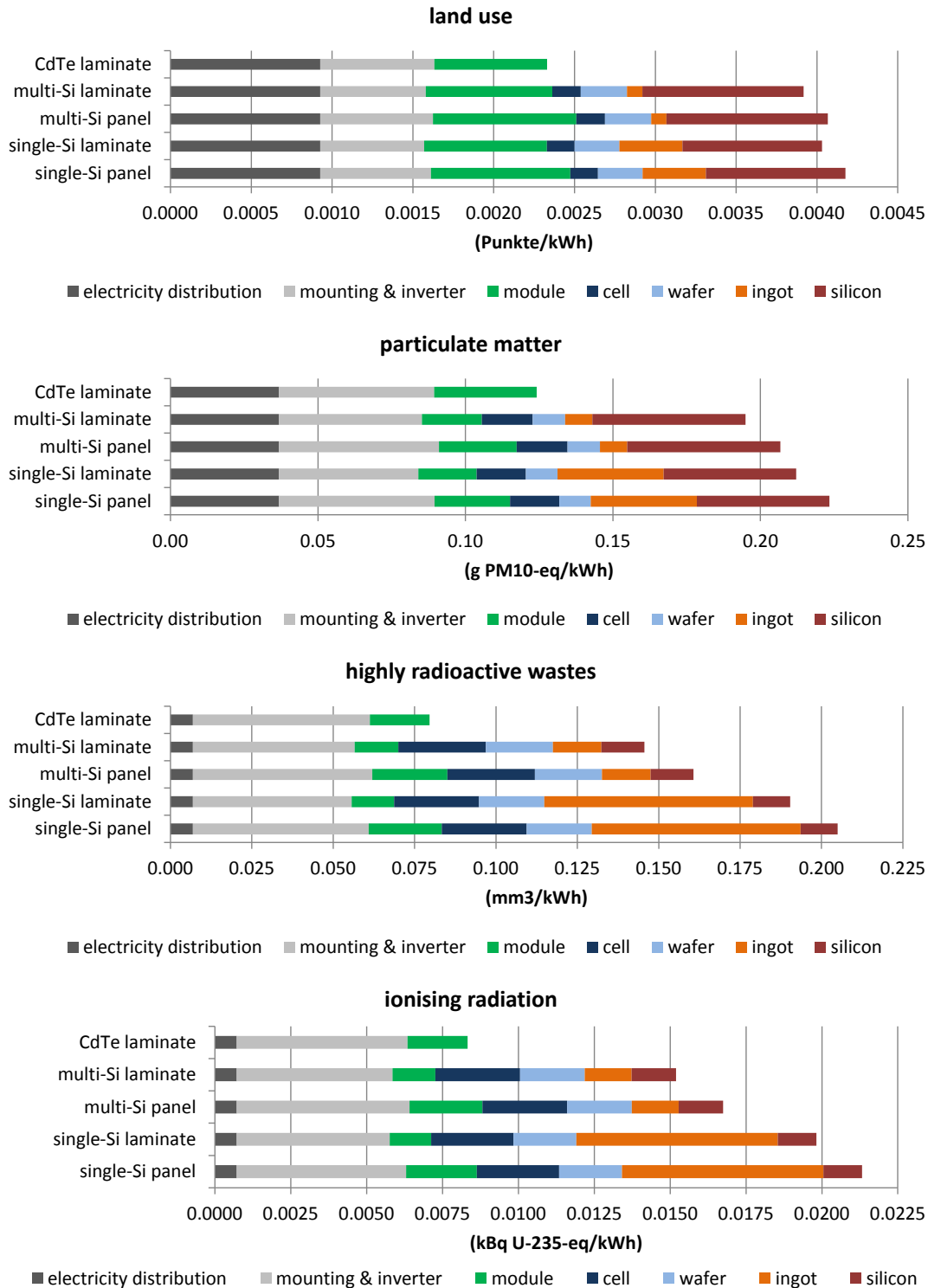


Fig. 17.2: Environmental impacts of electricity produced with different photovoltaic technologies. Reference: 1 kWh low voltage electricity at household. The photovoltaic installations refer to new 3kW<sub>p</sub> power plants installed on slanted roofs in Switzerland. Annual yield: 922 kWh/kW<sub>p</sub>. Module efficiencies: single-Si: 14 %, multi-Si 13.6 %, CdTe 11.7 %. Lifetime: 30 years. The life cycle includes module production, installation, cabling, inverter, maintenance, and disposal.



### 17.1.1 Module production

Laminates have lower environmental impacts compared to panels with regard to all indicators considered. Fig. 17.1 and Fig. 17.2 show that the main differences occur in the process steps “mounting & inverter” and “module”. Laminates are directly integrated into roofs and therefore they require less material for mounting compared to panels which are usually installed on metallic mounting systems. Furthermore, panels are generally fitted with a frame in order to protect them. Such frames are usually not used with laminates. A comparison with regard to other process steps reveals that the two module types do not have further differences. Hence, the further discussion of environmental impacts focuses on photovoltaic panels only.

The greenhouse gas emissions of crystalline photovoltaic technologies are mainly dominated by the electricity consumption in the production chain from silicon over the wafers to the modules. In the single-Si production chain, the silicon and ingot production are the most energy intensive process steps that contribute 23 g CO<sub>2</sub>-eq./kWh and 27 g CO<sub>2</sub>-eq./kWh, respectively. The production of multi-Si ingots is less energy intensive and causes only 4 g CO<sub>2</sub>-eq./kWh. However, this benefit is partly counterbalanced by the slightly higher emissions of 27 g CO<sub>2</sub>-eq./kWh due to the multi-Si silicon production compared to the single-Si silicon production. Energy intensive materials such as aluminium frames and solar glass used in the module production contribute about 7 % and 9 % to the total greenhouse gas emissions of electricity from single-Si and multi-Si photovoltaic power plants, respectively. Greenhouse gas emissions during the manufacturing of wafers and cells originate from the electricity consumption during these processes. The cumulative greenhouse gas emissions amount to 97.1 g CO<sub>2</sub>-eq/kWh for electricity delivered to low voltage consumers from power plants using single-Si panels and 87.7 g CO<sub>2</sub>-eq/kWh for electricity delivered to low voltage consumers from power plants using multi-Si panels.

The production of CdTe laminates causes 17 g CO<sub>2</sub>-eq/kWh of greenhouse gas emissions. Their manufacturing process is less energy intensive compared to crystalline technologies. However, the electricity consumption is still responsible for about half of the greenhouse gas emissions from the module production. The production of the solar glass contributes to about one third of the emissions.

Like the greenhouse gas emissions, the cumulative fossil energy demand is dominated by the energy intensive production steps and materials too. The cumulative nuclear energy demand is particularly driven by the consumption of electricity from the UCTE-Mix with its considerable share of nuclear power. This finding is valid for both, crystalline technologies and CdTe laminates.

Renewable energy sources such as hydropower and biomass are mainly important for the European production chain of the crystalline technologies. The Chinese electricity mix has a share of about 16 % renewables (mainly hydropower). In the production of CdTe laminates the use of renewable energy sources is negligible.

The metallization paste used in the production of crystalline cells contains silver, which is the most important contribution to the depletion of non-renewable resources. The result of the production of CdTe laminates is dominated by the use of cadmium.

Land use of the crystalline module production is dominated by the Chinese coal mining. Hence, the important contributions stem from the electricity intensive process steps (silicon and ingot production). The cardboard packaging of the modules contributes additional 16 % to the total land use. In the case of CdTe laminates, the share of electricity from coal is smaller, which is why land use is dominated by the packaging materials and the use of infrastructure.

Emissions and formation of particulate matter in the crystalline module production chain are dominated by the Chinese coal power. Since the production of CdTe laminates uses less coal power, the transportation from the USA and Malaysia to Europe gets more important (about 12 %) instead.

Along the lines of the cumulative nuclear energy demand, radioactive wastes and ionising radiation are dominated by the consumption of UCTE electricity in the production of crystalline and CdTe modules.

### 17.1.2 Mounting and operation

The installation of the photovoltaic power plants is modelled independently of the module technology. Hence, the results of this process are the same for all module technologies. The energy intensive production of aluminium used for the mounting system and the production of the inverter dominates the results of this process step with regard to all indicators discussed in this chapter. The depletion of non-renewable resources is dominated by the inverter alone, since it contains silver, gold, and zinc. They contribute to about one fifth of the overall result of photovoltaic electricity.

Flat roof installations and open ground installations have higher environmental impacts per kWh produced electricity than slanted roof installations since they require more material for their mounting systems. Façade installations have higher environmental impacts because their angle to the solar irradiation is less optimal and therefore they produce less electricity per installed kWp of modules.

The consumption of renewable energy is clearly dominated by the solar irradiation converted into electricity during the operation of the power plant.

### 17.1.3 Electricity distribution

It is assumed that the produced photovoltaic electricity is transported and distributed via the electricity grid (KEV model in Switzerland and local or regional solar electricity markets). As for this step, most indicators are strongly influenced by the use of copper in the grid infrastructure. The direct land use of the infrastructure plays an important role with regard to land use.

## 17.2 Chinese modules on the European market

The evaluation of environmental impacts shows that the Chinese production chain has high shares in the environmental impacts of photovoltaic electricity produced in Europe. In a sensitivity analysis the influence of the newly modelled module production mixes on the results is investigated. To this end, the production mixes (crystalline: 34 % China, 66 % Europe; CdTe: 12 % USA, 22 % Germany, and 65 % Malaysia) are compared to a purely European production chain. In this comparison, the production processes, material consumption, and the amount of consumed electricity are set as constants. The only difference between the production mix and the purely European production chain are the applied electricity mixes and transport distances.

Tab. 17.2 shows the result of the comparison between the production mixes and purely European production chains. The variation displayed is declared as difference of the purely European production compared to the production mix.

In case of the crystalline production chains, the location of the production facilities with the corresponding electricity mix mainly has a strong impact of the greenhouse gas emissions, particulate matter emissions, and on land use. These indicators are driven by the high share (77 %) of coal power in the Chinese electricity mix. In contrast to the Chinese electricity mix, the European electricity mix (UCTE) has a higher share of nuclear power, leading to higher amounts of radioactive wastes and ionising radiation of production chains in Europe.

In the case of CdTe laminates, the difference in greenhouse gas emissions, particulate matter emissions and land use depending on the production location is less pronounced than in the case of crystalline technologies. The dependence of radioactive wastes and ionising radiation from the location of the production facilities is the same as for crystalline modules.

The differences in the amount of greenhouse gas emission between the production chains are displayed in Fig. 17.3.

## 17. Cumulative results and interpretation

Tab. 17.2 Comparison of the newly modelled production mixes (34 % Europe and 66 % China for crystalline modules, and 22 % Germany, 12 % US, and 65 % Malaysia for CdTe laminates) with purely European production lines. The variation is declared as difference of the purely European production compared to the production mix

Indicator	Unit/kWh	Single-Si panel			Multi-Si panel			CdTe laminate		
		Mix	Europe	Variation	Mix	Europe	Variation	Mix	Europe	Variation
Greenhouse gas emissions	g CO <sub>2</sub> -eq	97.4	79.7	+22%	87.8	71.9	+22%	46.3	43.90	+5%
Cumulative energy demand, non-renewable	MJ Oil-eq	1.31	1.24	+6%	1.16	1.07	+8%	0.65	0.62	+5%
Cumulative energy demand, renewable	MJ Oil-eq	4.43	4.45	0%	4.43	4.45	0%	4.32	4.32	0%
Depletion of non-renewable resources	mg Sb-eq	0.004	0.004	0%	0.004	0.003	0%	0.002	0.002	+1%
Land use	Points	0.22	0.16	+19%	0.21	0.15	+16%	0.12	0.11	0%
Particulate matter	g PM10-eq	0.21	0.25	+41%	0.16	0.18	+38%	0.08	0.09	+11%
Highly radioactive wastes	mm <sup>3</sup>	14.2	14.2	-18%	14.5	14.5	-13%	53.5	52.8	-13%
Ionising radiation	kBq U-235-eq	0.021	0.026	-17%	0.017	0.019	-12%	0.008	0.010	-14%

## 17. Cumulative results and interpretation

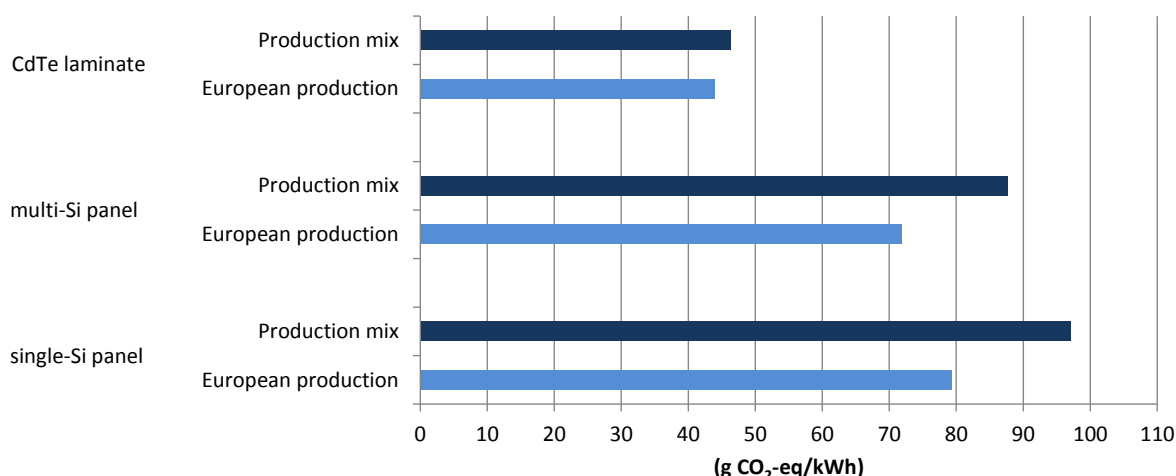


Fig. 17.3: Comparison of the module production mixes (34 % Europe and 66 % China for crystalline modules, and 22 % Germany, 12 % US, and 65 % Malaysia for CdTe laminates) with purely European production lines. Reference: 1 kWh low voltage electricity. The photovoltaic installations refer to new 3kW<sub>p</sub> power plants installed on slanted roofs in Switzerland. Annual yield: 922 kWh/kW<sub>p</sub>. Module efficiencies: single-Si: 14 %, multi-Si 13.6 %, CdTe 11.7 %. Lifetime: 30 years. The life cycle includes module production, installation, cabling, inverter, maintenance, and disposal.

Fig. 17.4 shows that photovoltaic electricity produced with multi-Si panels produced in China causes more than 70 % higher greenhouse gas emissions compared to electricity produced with European panels. The higher emissions result from the electricity mix used in the Chinese industry with a high share of coal power. The part painted red in the figure can be influenced by the photovoltaic industry by their selected electricity mix. Using electricity from renewable energy sources can reduce the greenhouse gas emissions of photovoltaic electricity by about 20 g CO<sub>2</sub>-eq per kWh to 50 g CO<sub>2</sub>-eq per kWh. Similar reduction potentials as for multi-Si panels can be identified for single-Si modules.

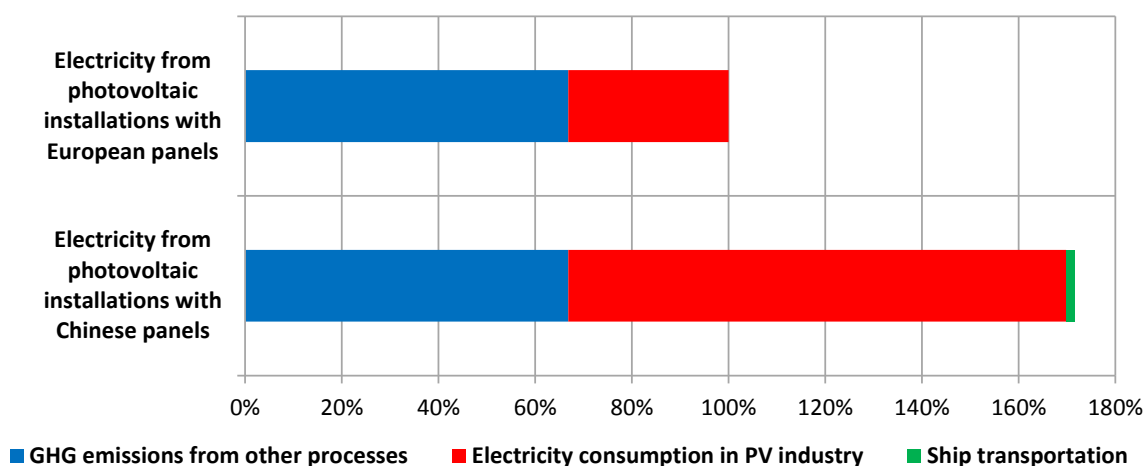


Fig. 17.4: Greenhouse gas (GHG) emissions from photovoltaic electricity produced with a European 3kW<sub>p</sub> installation on a slanted roof using multi-Si panels produced in Europe and China respectively.

Compared to the previous inventory data (ecoinvent data v2.2), the greenhouse gas emissions of the updated photovoltaic electricity from crystalline cells increased by about 10 % to 15 %. Improvements in efficiencies (wafer thickness, sawing gap, module efficiency) that lead to a reduction of greenhouse gas emissions are overcompensated by the newly modelled market share of Chinese modules.

### 17.3 Pay-back time

An important yardstick for the assessment of renewable energy systems is the energy and/or environmental payback time. In some publications the energy payback time is defined as the time until the electricity production of the plant equals the energy use for the production of the plant. This does not take into account differences in the type of energy (e.g. nuclear or fossil or renewable resources) nor differences in the quality of energy (e.g. electricity or thermal energy). In this subchapter the pay-back time is defined as the time until the cumulative non-renewable energy demand of the production of the plant is levelled out by replacing the non-renewable primary energy of a conventional reference system that produces the same amount of electricity (Fthenakis et al. 2011).

$$PBT_{CED} = \frac{CED_{PV}}{CED_{kWh} \cdot E_{PV}}$$

with:

$PBT_{CED}$  : Payback time cumulative energy demand, in years

$CED_{PV}$  : cumulative energy demand PV plant

$CED_{kWh}$  : cumulative energy demand kWh UCTE electricity

$E_{PV}$  : annual electricity production with PV plant, in kWh per year

The outcome of such a comparison is influenced by the choice of the reference system on one hand and the indicator on the other. We chose the UCTE electricity mix in the year 2008 as the reference system. Fig. 17.5 shows the pay-back time for the non-renewable cumulative energy demand for PV power plants operated in Switzerland. The energy pay-back time of optimally oriented PV plants is between 1.5 and 3.3 years depending on the type of cells used and the type of mounting. The energy pay-back time of PV plants mounted on façades is more than 4 years. Thus, the energy pay-back time is 9 to 20 times shorter than the expected lifetime of optimally oriented photovoltaic power plants. Different factors like type of installation, type of cells, type of panel or laminates, etc. are influencing the energy pay-back time.

## 17. Cumulative results and interpretation

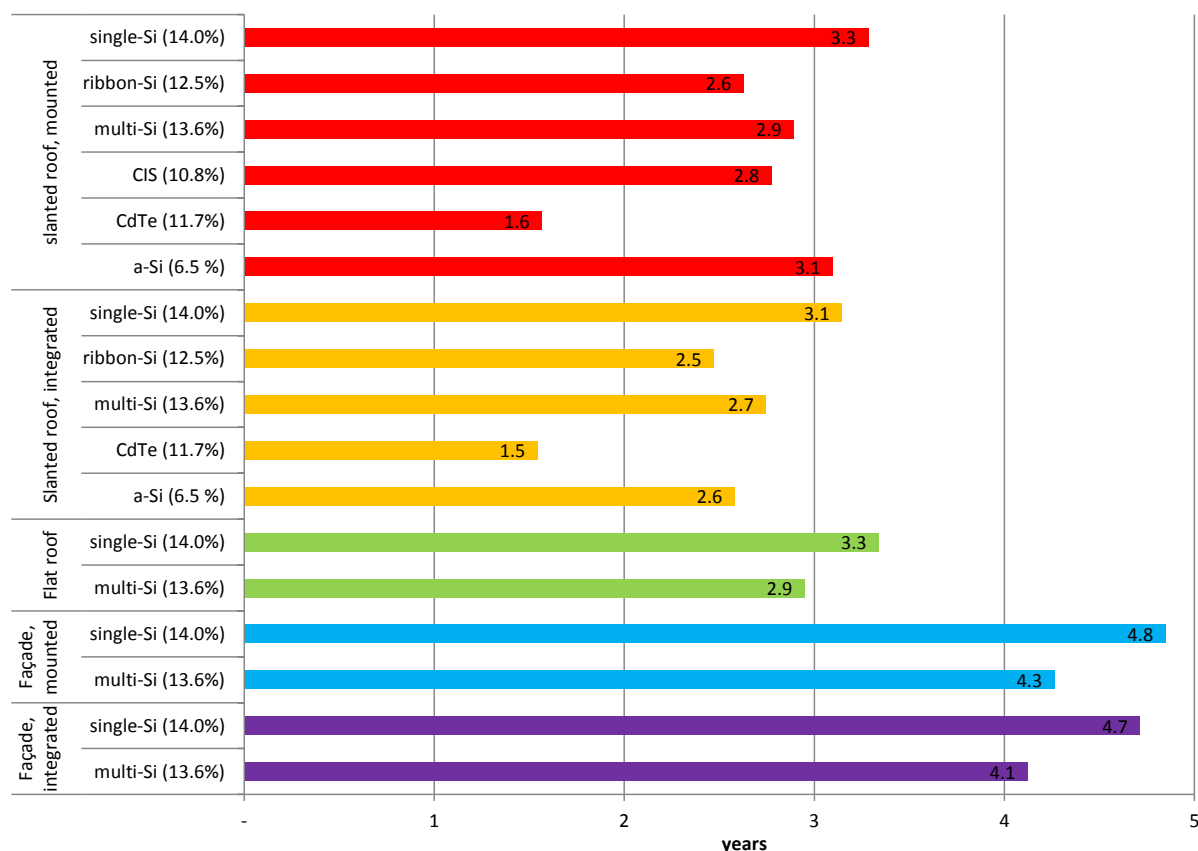


Fig. 17.5: Non-renewable Energy Pay-back Time of 3 kW<sub>p</sub> photovoltaic power plants operated in Switzerland in relation to the UCTE electricity mix. Annual yield: 922 kWh/kW<sub>p</sub>. The life cycle includes module production, installation, cabling, inverter, maintenance, and disposal.

Fig. 17.6 shows the energy pay-back time of large photovoltaic installations in Switzerland, Germany, Spain, and the US. For installations in southern countries (such as Spain and the US) the energy pay-back time is considerably shorter than for installations in Switzerland and Germany.

The picture may change if other reference systems would be taken into account. While the non-renewable cumulative energy demand (CED) of the German grid mix is close to the one of the UCTE electricity, the non-renewable CED of the Spanish and US grid mix is 10 % lower and 20 % higher, respectively. Thus the energy pay-back times of Spanish PV plants referring to the Spanish grid mix would be 10 % higher as compared to the values shown, whereas the energy pay-back times of US PV plants referring to the US grid mix would be 20 % lower as compared to using the UCTE electricity mix as reference.

## 17. Cumulative results and interpretation

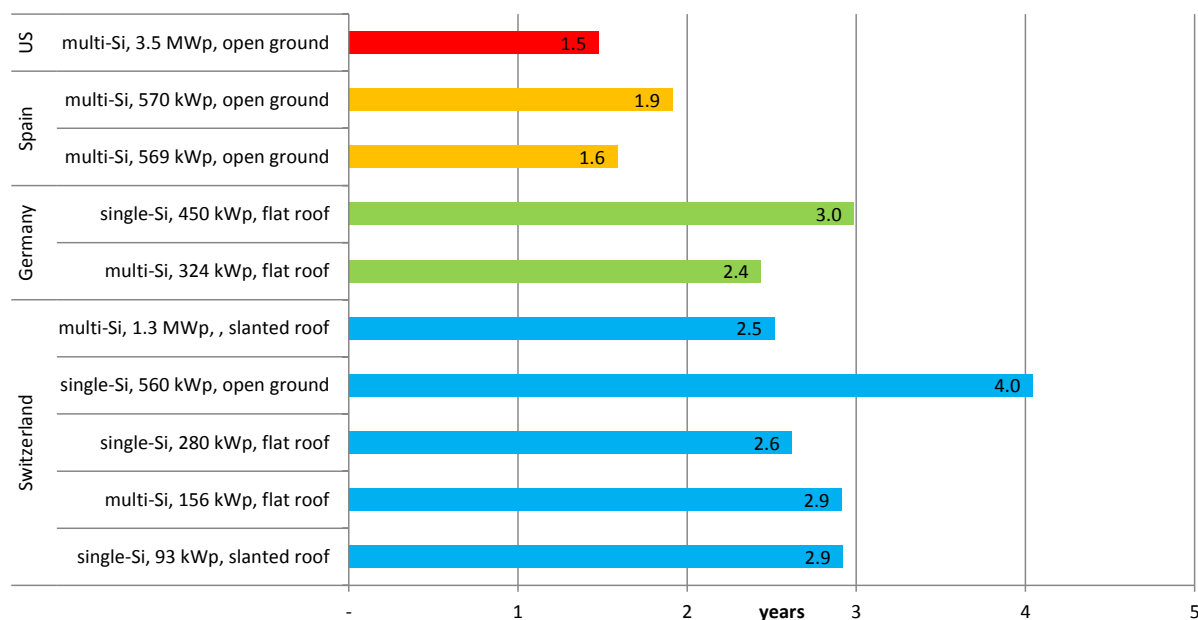


Fig. 17.6: Non-renewable Energy Pay-back Time of large photovoltaic power plants operated in Switzerland (blue), Germany, (green), Spain (orange), and the US (red) in relation to the UCTE electricity mix. The life cycle includes module production, installation, cabling, inverter, maintenance, and disposal.

### 17.4 Cumulative energy demand and capacity of PV electricity in the past 20 years

Fig. 17.7 shows the development of the non-renewable cumulative energy demand of photovoltaic electricity in this study and in previous Swiss studies as well as a European study forecasting the future development. The figure also shows the increase in installed capacity in IEA PVPS countries (as shown in Fig. 2.1). This evaluation shows that the non-renewable cumulative energy demand has been decreased by a factor of more than two since the first studies on PV systems made in the early nineties.

## 17. Cumulative results and interpretation

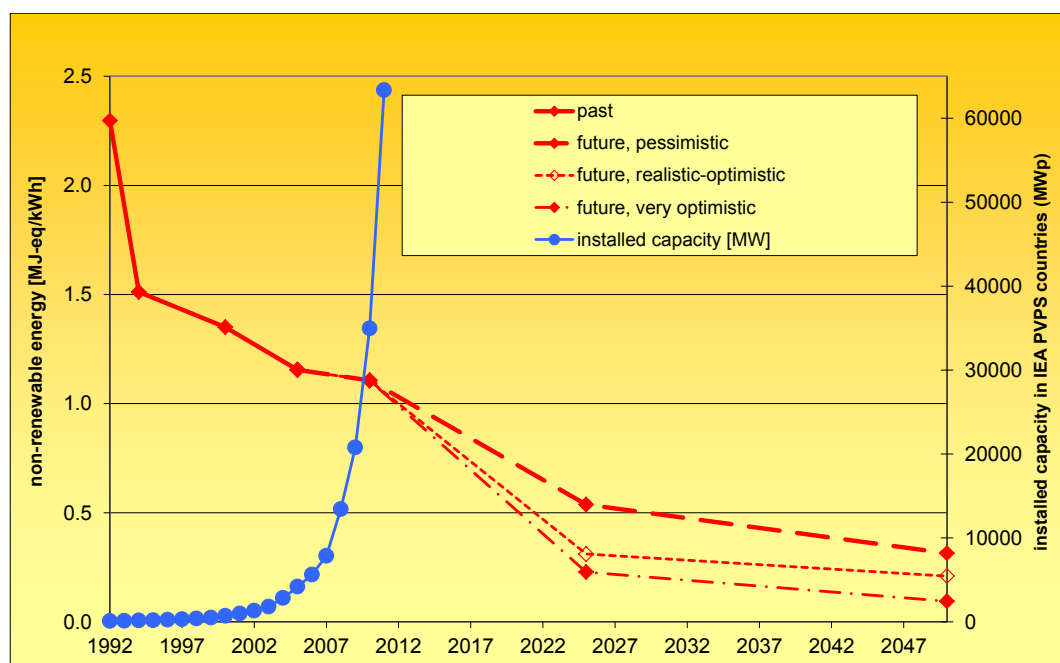


Fig. 17.7 Cumulative non-renewable (fossil and nuclear) energy demand of 1 kWh electricity from a Swiss photovoltaic power plant, multi-Si slanted roof installation (2010), comparison with previous Swiss studies and forecasts until 2050, as well a cumulative installed photovoltaic capacity in IEA PVPS countries. (Frankl et al. 2006; Frischknecht et al. 1994; Frischknecht et al. 1996; IEA-PVPS 2006; 2009; Jungbluth & Frischknecht 2000; Jungbluth 2003, IEA-PVPS 2011)



## 18 Conclusion and Outlook

### 18.1 Conclusion

The life cycle inventories of photovoltaic power plants can be assumed to be representative for photovoltaic plants and for the average photovoltaic mix in Switzerland and in many other OECD countries operated in the year 2010. The average electricity mix in Switzerland considers the actual performance of the installed plants and the shares of small and large plants, while plant data (e.g. laminate and panel, single- or multicrystalline) can be used for comparisons of different technologies. The yield data underlying PV electricity mixes in other countries are based on actual performance data of large installations and assumptions for optimum installations with a capacity of  $3\text{kW}_p$  and a correction factor which takes into account an actually lower yield of installations (represented by the ratio between optimal and actual yield in Switzerland). The analysis of the results shows that it is important to take the real market situation (raw material supply, electricity mix in the supply chain, etc.) into account.

Differences between data used to model photovoltaic power production in Switzerland on one hand and other OECD countries on the other are mainly due to different solar irradiation. It should be considered that the life cycle inventory may not be suited to represent wafers and panels produced outside of Europe or US, because production technologies and power mixes for production processes may differ substantially. The datasets on PV electricity in non-European countries should thus be revised as soon as data are available for production patterns in other PV producing countries such as China or Japan.

It is advisable to consider at least the annual yield ( $\text{kWh/kW}_p$ ) and if possible also the actual size of the plant in square metres when modelling a specific power plant or power plant mixes not addressed in this report. Furthermore it is necessary to clearly define whether average, plant-specific or optimum performance ratios are taken into account.

The PV power plants analysed and documented in this report represent the actual production patterns and yields. For the comparison of energy technologies it is advisable to take into account also future development potentials. Several LCA studies about future PV electricity production are available such as the deliverables of the NEEDS project (Frischknecht et al. 2007).

The type of electricity used in different production stages may have a substantial influence on the environmental impacts caused. If a specific situation different from what was assumed in the present study is investigated, the specific sources of electricity supply should be considered. Some PV producers also use photovoltaic electricity in their own production process.<sup>67</sup> This is not considered in the modelling of the present life cycle inventories.

The analysis of the environmental impacts with different LCIA methods highlights the importance of process specific emissions within the production chain. We tried to quantify all major process specific emissions. We recommend using different LCIA methods to compare photovoltaic electricity with electricity from other sources.

Compared to earlier investigations of PV, the inverter and mounting systems get more important. For most indicators these so called balance of system (BOS) elements have a share of 30 % to 50 %. On the one hand, this is due to the improvements in the production chain of the photovoltaic cell (silicon feedstock). On the other hand the life cycle inventories of BOS components are more detailed, including for example electronic components in the inverter.

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<sup>67</sup> The solar cell manufacturing plant of Scheuten Solar in Gelsenkirchen produces a part of the own electricity consumption with solar cells installed on the factory roof.

### 18.2 Recommendations for future updates

#### 18.2.1 General Recommendations

The photovoltaics production chain is subject to rapid changes. An example is the supply situation for the silicon feedstock, which totally changed during the last couple of years.

Some emission data in the inventory are based on only one single information source, some are from one specific producer only. Such data should be verified with data from other production companies and factories to the extent possible. In cases where several information sources were available they partly showed a large variation. A general problem is that data had to be combined from different information sources with possibly different underlying assumptions and boundaries.

The projected lifetime is a key parameter in the assessment, but operational experience with these rather new technologies is not yet sufficient to derive reliable values. The degradation in a-Si may limit the lifetime of this specific type of solar panels. Many production processes, especially in the photovoltaics sector, are under development. Thus, future updates of the LCI should verify key assumptions on energy and material uses as well as emissions, which are important in any of the LCIA methods. The allocation procedure applied on the silicon purification process is dependent on the actual market conditions and therefore needs to be revised if these conditions change.

The ecoinvent database provides detailed background data about a range of materials and services used in the production chain of photovoltaics. These data can also be used to assess the environmental impacts of the production of photovoltaic power plants in other countries or to assess the environmental impacts of other technologies.

#### 18.2.2 Further PV technologies

Further thin film technologies should be investigated in a mid-term time frame. Updated data might be available from a European project.<sup>68</sup>

#### 18.2.3 End of life treatment

With the worldwide growth of the photovoltaic market, the collection and recycling of the photovoltaic modules after their end of life has become more important. In 2007, the PV industry initiated the PV CYCLE programme<sup>69</sup> with the commitment to set up a voluntary take back and recycling programme for the end-of-life treatment of used modules. First Solar, the world's largest module manufacturer also designed a collection and recycling programme for their modules, which is pre-financed by a premium on the retail price of their CdTe modules.

The environmental impacts caused by dismantling, transport to recycling plant and further treatment should be investigated as soon as reliable data are available. Such take back systems might further improve the accuracy of the results of the life cycle assessment of PV plants and PV electricity.

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<sup>68</sup> EU-Project ATHLET ([www.ip-athlet.eu](http://www.ip-athlet.eu))

<sup>69</sup> PV CYCLE ([www.pvcycle.org](http://www.pvcycle.org))

## Glossary and abbreviations

a-Si	amorphous Silicon.
ABS	Acrylonitril-Butadien-Styrol, a polymer
Albedo	Albedo is the ratio of the electromagnetic radiation power, that is diffusively reflected to an observer, to the incident electromagnetic radiation power.
BIPV	building integrated photovoltaics
CED	cumulative energy demand
CIS	CuInSe <sub>2</sub> (Copper-Indium-Diselenide)
CVD	chemical vapour deposition, a surface is coated in a specific process.
CZ-Si	Singlecrystalline Silicon that is produced by the Czochralski process.
DCS	Dichlorosilane
EG-silicon	electronic grade silicon for the electronic industry with a high purification grade.
EVA	Ethylene-Vinylacetate, a copolymer, used for the encapsulation of solar cells in a laminate
HDK	high disperse silica acid
ID	Inner Diameter saw
n.d.	no data
kW <sub>p</sub>	Kilowatt Peak. The basic unit for the characterisation of a PV plants capacity. The capacity is measured in a standardized test with a temperature of 25°C, and an irradiation of 1000 W/m <sup>2</sup> ).
Laminate	Type of solar modules without a frame
mc-Si	multicrystalline Silicon
MG-silicon	metallurgical grade silicon; technical product with a purity of > 96-98%
MJ-eq	Mega Joule primary energy equivalents.
Module	PV-panels are quite often labelled as modules. Here module is also used to describe one set of unit process raw data for the life cycle inventory.
MW <sub>p</sub>	Megawatt Peak.
ppmw	parts per million by weight
PTFE	Polytetrafluoroethylen, „Teflon“
PV	Photovoltaics
sc-Si	singlecrystalline silicon
SoG-Si	solar grade silicon, purified silicon with a purification grade between =>MG- and =>EG-silicon, specifically produced for photovoltaics applications.
STC	Silicon tetrachloride
SWISSOLAR	Schweizerischer Fachverband für Sonnenenergie
TCS	Trichlorosilane
UCTE	Union for the Co-ordination of Transmission of Electricity
VSE	Verband Schweizerischer Elektrizitätsunternehmen

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| Brunschweiler 1993        | telephonische Mitteilung vom 16.3.1993 von Hrn. Brunschweiler, Zetter solar   |
| CRC 1985                  | „ <i>CRC Handbook of Chemistry and Physics</i> “, 66 <sup>th</sup> Ed., CRC Press Inc., Boca Raton, Florida   |

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